

Test Report

As per

FCC Part 96 SAS requirements (CBRS Test Plan)

on the

**LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz)
Base Station**

Issued by:
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Test Personnel



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Report Reviewer



Canada

**Choose certainty.
Add value.**

Testing produced for

Ericsson Canada

See Appendix A for
full client & EUT
details.



Testing Laboratory
Certificate #2955.02



Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

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Report Scope

This report addresses the EMC verification testing and test results of the **LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station** herein referred to as EUT (Equipment Under Test). The EUT was tested for compliance against the following standards:


FCC Part 96 SAS requirements (CBRS Test Plan)

. Test procedures, results, justifications, and engineering considerations, if any, follow later in this report.

For a more detailed list of the standards and the revision used, see the "Applicable Standards, Specifications and Methods" section of this report.

This report does not imply product endorsement by any government, accreditation agency, or TÜV SÜD Canada Inc.

Opinions or interpretations expressed in this report, if any, are outside the scope of TÜV SÜD Canada Inc accreditations. Any opinions expressed do not necessarily reflect the opinions of TÜV SÜD Canada Inc, unless otherwise stated.


Client	Ericsson	
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Summary

The results contained in this report relate only to the item(s) tested.

Equipment Under Test (EUT)	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station
EUT passed all tests performed	Yes
Tests conducted by	Scott Drysdale


For testing dates, see 'Testing Environmental Conditions and Dates'.

Client	Ericsson	
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Test Results Summary

Section as per Working Document WINNF-TS-0122


Section	CBS D	D P	Test Case ID	Test Case Title	RF Measurement Requirement	Pass / Fail
6.1.4.1.1	X	--	WINNF.FT.C.R EG.1	Multi-Step registration	Monitor for 60 seconds after REG message sent. No transmission during test.	N/A
6.1.4.1.2	--	X	WINNF.FT.D.R EG.2	Domain Proxy Multi-Step registration	Monitor for 60 seconds after REG message sent. No transmission during test.	P
6.1.4.1.3	X	--	WINNF.FT.C.R EG.3	Single-Step registration for Category A CBSD	Monitor for 60 seconds after REG message sent. No transmission during test.	N/A
6.1.4.1.4	--	X	WINNF.FT.D.R EG.4	Domain Proxy Single-Step registration for Cat A CBSD (Note: Mandatory for without CPI, if EUT will always have signed CPI – asked for email waiver)	Monitor for 60 seconds after REG message sent. No transmission during test.	N/A
6.1.4.1.5	X	--	WINNF.FT.C.R EG.5	Single-Step registration for CBSD with CPI signed data	Monitor for 60 seconds after REG message sent. No transmission during test.	N/A
6.1.4.1.6	--	X	WINNF.FT.D.R EG.6	Domain Proxy Single-Step registration for CBSD with CPI signed data	Monitor for 60 seconds after REG message sent. No transmission during test.	P
6.1.4.1.7	X	X	WINNF.FT.C.R EG.7	Registration due to change of an installation parameter	Test waits until transmission starts, then trigger an	P

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					installationParam change. <ul style="list-style-type: none"> Record time at which transmission stops. Time must be within 60 seconds of the installationParam change taking effect. 	
6.1.4.2.1	X	--	WINNF.FT.C.R EG.8	Missing Required parameters (responseCode 102)	Monitor for 60 seconds after REG message sent. No transmission during test.	N/A
6.1.4.2.2	--	X	WINNF.FT.D.R EG.9	Domain Proxy Missing Required parameters (responseCode 102)	Monitor for 60 seconds after REG message sent. No transmission during test.	P
6.1.4.2.3	X	--	WINNF.FT.C.R EG.10	Pending registration (responseCode 200)	Monitor for 60 seconds after REG message sent. No transmission during test.	N/A
6.1.4.2.4	--	X	WINNF.FT.D.R EG.11	Domain Proxy Pending registration (responseCode 200)	Monitor for 60 seconds after REG message sent. No transmission during test.	P
6.1.4.2.5	X	--	WINNF.FT.C.R EG.12	Invalid parameter (responseCode 103)	Monitor for 60 seconds after REG message sent. No transmission during test.	N/A
6.1.4.2.6	--	X	WINNF.FT.D.R EG.13	Domain Proxy Invalid parameters (responseCode 103)	Monitor for 60 seconds after REG message sent. No transmission during test.	P
6.1.4.2.7	X	--	WINNF.FT.C.R EG.14	Blacklisted CBSD (responseCode 101)	Monitor for 60 seconds after REG message sent. No	N/A

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					transmission during test.	
6.1.4.2.8	--	X	WINNF.FT.D.R EG.15	Domain Proxy Blacklisted CBSD (responseCode 101)	Monitor for 60 seconds after REG message sent. No transmission during test.	P
6.1.4.2.9	X	--	WINNF.FT.C.R EG.16	Unsupported SAS protocol version (responseCode 100)	Monitor for 60 seconds after REG message sent. No transmission during test.	N/A
6.1.4.2.10	--	X	WINNF.FT.D.R EG.17	Domain Proxy Unsupported SAS protocol version responseCode 100)	Monitor for 60 seconds after REG message sent. No transmission during test.	P
6.1.4.2.11	X	--	WINNF.FT.C.R EG.18	Group Error (responseCode 201)	Monitor for 60 seconds after REG message sent. No transmission during test.	N/A
6.1.4.2.12	--	X	WINNF.FT.D.R EG.19	Domain Proxy Group Error (responseCode 201)	Monitor for 60 seconds after REG message sent. No transmission during test.	P
6.1.4.3.1	X	X	WINNF.FT.C.R EG.20	Category A CBSD location update		N/A
6.3.4.2.1	X	X	WINNF.FT.C.G RA.1 (TYPO FIXED D TO C)	Unsuccessful Grant responseCode=400 (INTERFERENCE)	Monitor for 60 seconds after REG message sent. No transmission during test.	P
6.3.4.2.2	X	X	WINNF.FT.C.G RA.2	Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	Monitor for 60 seconds after REG message sent. No transmission during test.	P
6.4.4.1.1	X	--	WINNF.FT.C.H BT.1	Heartbeat Success Case (first Heartbeat Response)	Monitor RF from start of test. Ensure that: <ul style="list-style-type: none"> Transmission does not start until time of first 	N/A

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					<p>heartbeat response or after.</p> <ul style="list-style-type: none"> After transmission starts, measure that transmission is within the granted channel (frequencyLow, frequencyHigh) 	
6.4.4.1.2	--	X	WINNF.FT.D.H BT.2	Domain Proxy Heartbeat Success Case (first Heartbeat Response)	<p>Monitor RF from start of test. Ensure that:</p> <ul style="list-style-type: none"> Transmission does not start until time of first heartbeat response or after. After transmission starts, measure that transmission is within the granted channel (frequencyLow, frequencyHigh) 	P
6.4.4.2.1	X	X	WINNF.FT.C.H BT.3	Heartbeat responseCode=105 (DEREGISTER)	<p>Monitor RF transmission. Ensure that:</p> <ul style="list-style-type: none"> CBSD stops transmission within 60 seconds of the heartbeatResponse which contains 	P

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					responseCode = 105	
6.4.4.2.2	X	--	WINNF.FT.C.H BT.4	Heartbeat responseCode=500 (TERMINATED_GRANT)		N/A
6.4.4.2.3	X	X	WINNF.FT.C.H BT.5	Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	Monitor RF transmission from start of test. Ensure there is no transmission during the test	p
6.4.4.2.4	X	X	WINNF.FT.C.H BT.6	Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	Monitor RF transmission. Ensure: <ul style="list-style-type: none"> • CBSD stops transmission within 60 seconds of heartbeatRe sponse which contains responseCod e=501 	p
6.4.4.2.5	X	X	WINNF.FT.C.H BT.7	Heartbeat responseCode=502 (UNSYNC_OP_PA RAM)	Monitor RF transmission. Ensure: <ul style="list-style-type: none"> • CBSD stops transmission within 60 seconds of heartbeatRe sponse which contains responseCod e=502 	p
6.4.4.2.6	--	X	WINNF.FT.D.H BT.8	Domain Proxy Heartbeat responseCode=500 (TEMINATED_GRANT)	Monitor RF transmission. CBSD s will have different behavior: <ul style="list-style-type: none"> • CBSD1: will continue to transmit to end of test 	P

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					(this is not a pass/fail criteria, but check) <ul style="list-style-type: none"> CBSD2: must stop transmission within 60 seconds of being sent heartbeatResponse with responseCode = 500 	
6.4.4.3.1	X	X	WINNF.FT.C.H BT.9	Heartbeat Response Absent (First Heartbeat)	Monitor RF from start of test to 60 seconds after last heartbeatResponse message was sent. CBSD should not transmit at any time during test	P
6.4.4.3.2	X	X	WINNF.FT.C.H BT.10	Heartbeat Response Absent (Subsequent Heartbeat)	Monitor RF transmission. Verify: <ul style="list-style-type: none"> CBSD must stop transmission within transmitExpirationTime+60 seconds, where transmitExpirationTime is from last successful heartbeatResponse message 	P
6.5.4.2.1	X	--	WINNF.FT.C.M ES.1	Registration Response contains measReportConfig	No RF monitoring	N/A
6.5.4.2.2	--	X	WINNF.FT.D.M ES.2	Domain Proxy Registration Response contains measReportConfig	No RF monitoring	P

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6.5.4.2.3	X	X	WINNF.FT.C.M ES.3	Grant Response contains measReportConfig	No RF monitoring	P
6.5.4.2.4	X	--	WINNF.FT.C.M ES.4	Heartbeat Response contains measReportConfig	No RF monitoring	N/A
6.5.4.2.5	--	X	WINNF.FT.D.M ES.5	Domain Proxy Heartbeat Response contains measReportConfig	No RF monitoring	P
6.6.4.1.1	X	--	WINNF.FT.C.R LQ.1	Successful Relinquishment	Monitor RF transmission. Ensure: <ul style="list-style-type: none"> • CBSD stops transmission at any time prior to sending the relinquishmentRequest message. 	N/A
6.6.4.1.2	--	X	WINNF.FT.D.R LQ.2	Domain Proxy Successful Relinquishment	Monitor RF transmission. Ensure: <ul style="list-style-type: none"> • CBSD stops transmission at any time prior to sending the relinquishmentRequest message. 	P
6.7.4.1.1	X	--	WINNF.FT.C.D RG.1	Successful Deregistration	Monitor RF transmission. Ensure: <ul style="list-style-type: none"> • CBSD stops transmission at any time prior to sending the relinquishmentRequest message or deregistrationRequest message (whichever is sent first) 	N/A


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6.7.4.1.2	--	X	WINNF.FT.D.D RG.2	Domain Proxy Successful Deregistration	Monitor RF transmission. Ensure : • CBSD stops transmission at any time prior to sending the relinquishmentReque st message or deregistrationReques t message (whichever is sent first)	P
6.8.4.1.1	X	X	WINNF.FT.C.SC S.1	Successful TLS connection between UUT and SAS Test Harness	No RF transmission during test Check the tcpdump for the TLS information	P
6.8.4.2.1	X	X	WINNF.FT.C.SC S.2	TLS failure due to revoked certificate	No RF transmission during test Check the tcpdump for the TLS information	P
6.8.4.2.2	X	X	WINNF.FT.C.SC S.3	TLS failure due to expired server certificate	No RF transmission during test Check the tcpdump for the TLS information	P
6.8.4.2.3	X	X	WINNF.FT.C.SC S.4	TLS failure when SAS Test Harness certificate is issue by unknown CA	No RF transmission during test Check the tcpdump for the TLS information	P
6.8.4.2.4	X	X	WINNF.FT.C.SC S.5	TLS failure when certificate at the SAS Test Harness is corrupted	No RF transmission during test Check the tcpdump for the TLS information	P
7.1.4.1.1	X	X	WINNF.PT.C.H BT	UUT RF Transmit Power Measurement	Power Spectral Density test case. Assume we use 1 carrier bandwidth	P

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					(say, 5 or 10 MHz), one frequency (say middle channel in band) for test. Measure at max transmit power, and reduce in steps of 3 dB to minimum declared transmit power.	
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If the product as tested complies with the specification, the EUT is deemed to comply with the standard and is deemed a 'PASS' or 'P' grade. If not 'FAIL' grade is issued. Where 'N/A' is stated this means the test case is not applicable, and see Notes, Justifications or Deviations Section for details.

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Notes, Justifications, or Deviations

The following notes, justifications for tests not performed or deviations from the above listed specifications apply:


A later revision of the standard may have been substituted in place of the previous dated referenced revision. The year of the specification used is listed under applicable standards. Using the later revision accomplishes the goal of ensuring compliance to the intent of the previous specification, while allowing the laboratory to incorporate the extensions and clarifications made available by a later revision.

For the N/A test cases, the following justifications apply:

- a. EUT is a CBSD with Domain Proxy
- b. EUT supports the following Conditional functionality from WINNF-TS-0122-V1.0.0, Table 6-2:
 - i. C1 – Multi-step registration (WINNF.FT.D.REG.2)
 - ii. C3 – Single step registration containing CPI-signed data in the registration message (WINNF.FT.D.REG.6)
 - iii. C4 – RECEIVED_POWER_WITHOUT_GRANT measurement report (WINNF.FT.D.MES.2)
 - iv. C5 – RECEIVED_POWER_WITH_GRANT measurement report (WINNF.FT.D.MES.3, WINNF.FT.D.MES.5)
 - v. C6 – UUT supports installation parameter change (WINNF.FT.C.REG.7)
- c. Optional test cases were not performed

Note, where graph sweeps are incomplete, this was used to set the time stamp of when the events occurred. This can be accomplished by determining the time at which the graph was captured and subtracting the remaining time. For example if there was a 30 second sweep, and 9 out of 10 is complete, that means the end occurred at the 27 second mark. If the time on the graph was 12:03:35, this means the graph started at 12:03:08. This allows us to co-ordinate graph with UTC in the logs.

Logs are kept on file.

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Applicable Standards, Specifications and Methods

ANSI C63.4:2014 Methods of Measurement of Radio-Noise Emissions from Low-Voltage Electrical and Electronic Equipment in the Range of 9 kHz to 40 GHz

CFR47 FCC Part 96 Code of Federal Regulations – Citizens Broadband Radio Service


WINNF-TS-0122 Conformance and Performance Test Technical Specification;
Version V1.0.0 CBSD/DP as Unit Under Test (UUT)
19 December 2017 Working Document

ISO/IEC 17025:2005 General requirements for the competence of testing and calibration laboratories

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Document Revision Status

Revision 000:	July 26, 2018	Initial (Draft) Release
Revision 001:	August 9, 2018	Revisions as per customer request – kept on file
Revision 002:	August 10, 2018	Revisions as per customer request – kept on file
Revision 003:	August 12, 2018	Revisions as per customer request – kept on file

Client	Ericsson	
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Definitions and Acronyms

The following definitions and acronyms are applicable in this report.
See also ANSI C63.14.

AE – Auxiliary Equipment. A digital accessory that feeds data into or receives data from another device (host) that in turn, controls its operation.

AM – Amplitude Modulation

Class A device – A device that is marketed for use in a commercial, industrial or business environment. A 'Class A' device should not be marketed for use by the general public and the instructions for use accompanying the product shall contain the following text:

Caution: This equipment is not intended for use in residential environments and may not provide adequate protection to radio reception in such environments.

Class B device – A device that is marketed for use in a residential environment and may also be used in a commercial, business or industrial environments.

EMC – Electro-Magnetic Compatibility. The ability of an equipment or system to function satisfactorily in its electromagnetic environment without introducing intolerable electromagnetic disturbances to anything in that environment.

EMI – Electro-Magnetic Immunity. The ability to maintain a specified performance when the equipment is subjected to disturbance (unwanted) signals of specified levels.

Enclosure Port – Physical boundary of equipment through which electromagnetic fields may radiate or impinge.

EUT – Equipment Under Test. A device or system being evaluated for compliance that is representative of a product to be marketed.


LISN – Line Impedance Stabilization Network

NCR – No Calibration Required

NSA – Normalized Site Attenuation

RF – Radio Frequency

EMC Test Plan – An EMC test plan established prior to testing. See 'Appendix A – EUT & Client Provided Details'.


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Testing Facility

Testing for EMC on the EUT was carried out at customer location as described in Appendix A.

Calibrations and Accreditations


TÜV SÜD Canada Inc is accredited to ISO/IEC 17025 by A2LA with Testing Certificate #2955.02. The laboratory's current scope of accreditation listing can be found as listed on the A2LA website. All measuring equipment is calibrated on an annual or bi-annual basis as listed for each respective test.

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Testing Environmental Conditions and Dates

Following environmental conditions were recorded in the facility during time of testing

Date	Test	Initials	Temperature (°C)	Humidity (%)	Pressure (kPa)
June 11, 2018	All	SD	20-23	40-55	96.106

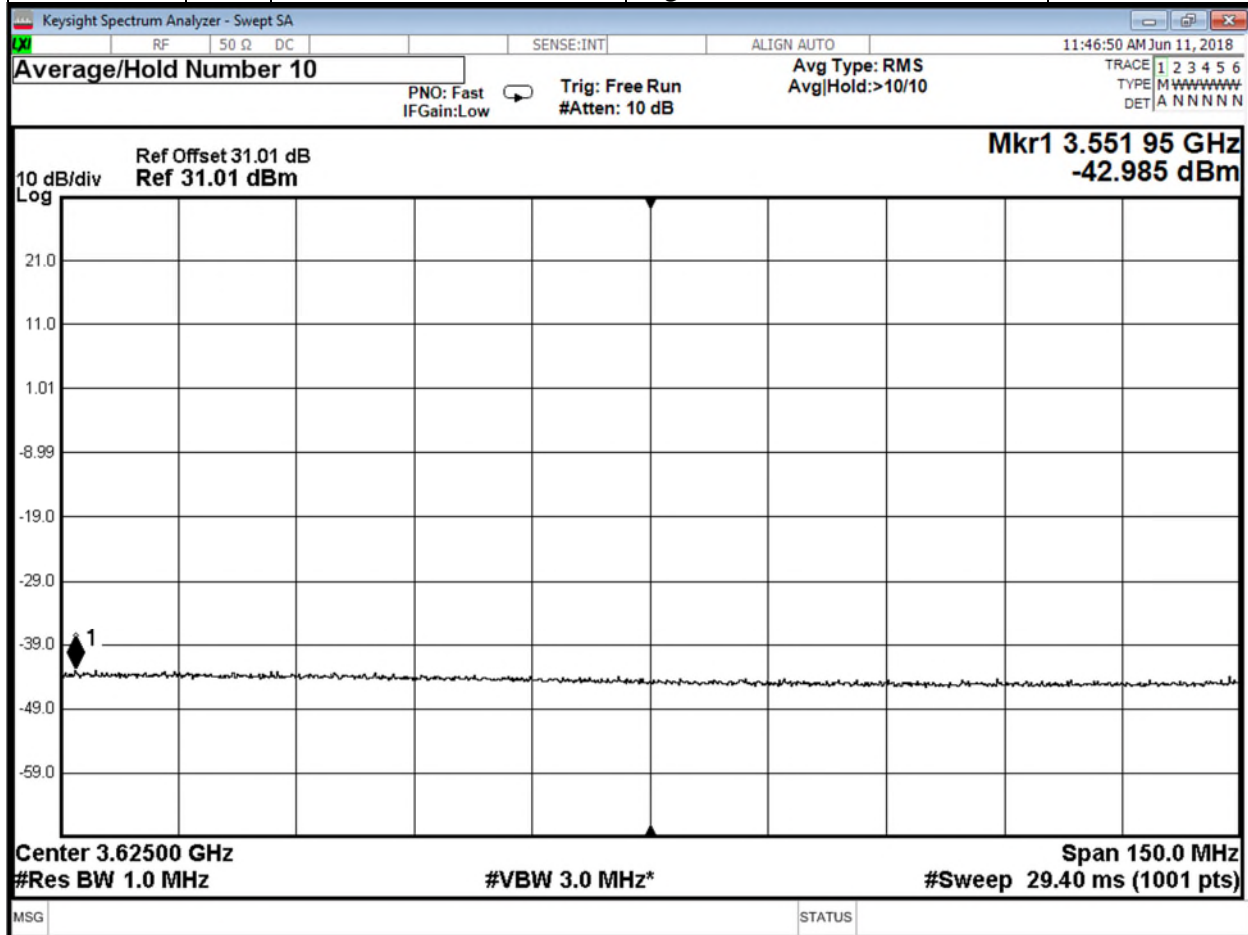
Client	Ericsson	
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Detailed Test Results Section

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
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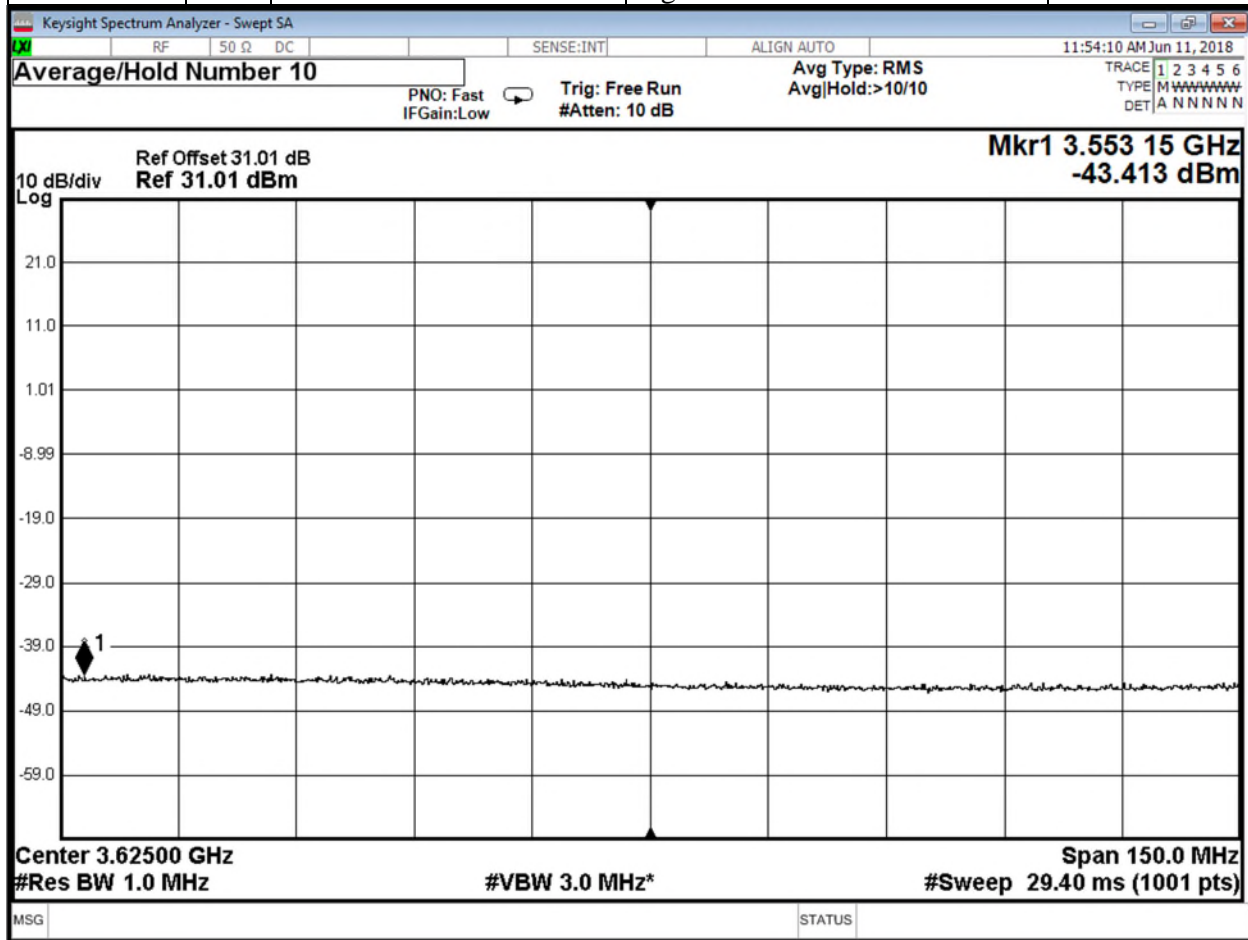
Authorization transmit after it receives authorization from a SAS.


Section	DP	Test Case ID	Test Case Title	Pass / Fail
6.1.4.1.2	X	WINNF.FT.D.REG.2	Domain Proxy Multi-Step registration	P



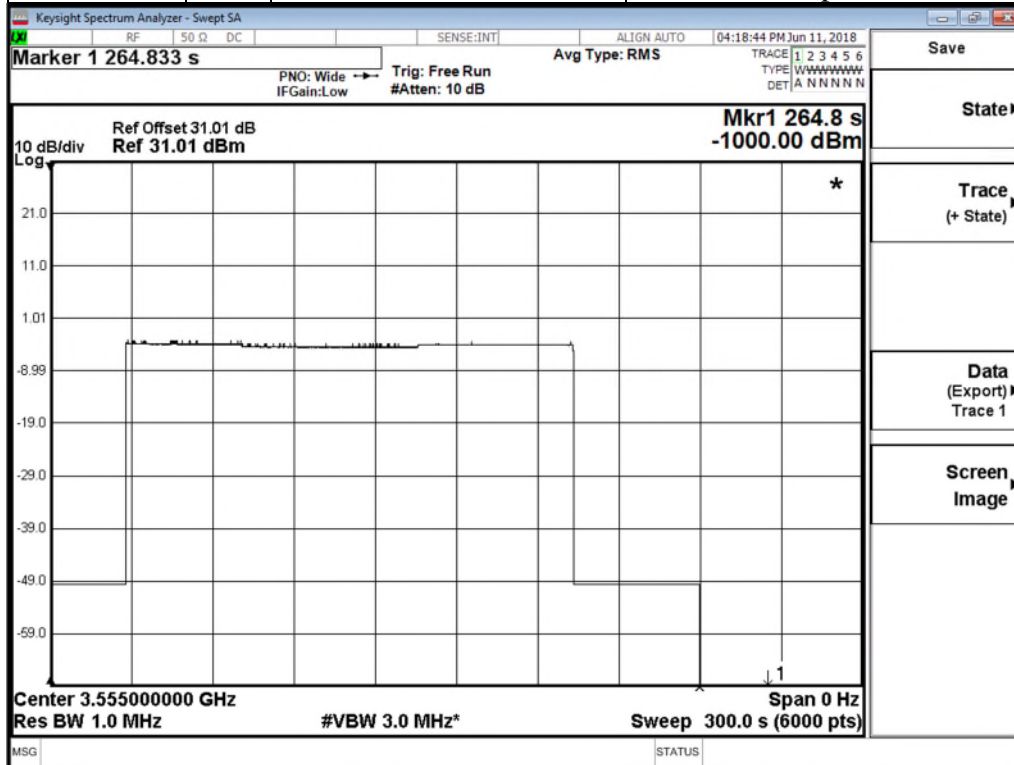
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6.1.4.1.6	X	WINNF.FT.D.REG.6	Domain Proxy Single-Step registration for CBSD with CPI signed data	P
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6.1.4.1.7	X	WINNF.FT.C.REG.7	Registration due to change of an installation parameter	P
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From Test Harness Logs:

eventTime (from logs)	Comment
20:18:04	RLQ.request sent at 2018-06-11T20:18:04.503Z, first HBT.response sent at 2018-06-11T20:15:08.432Z

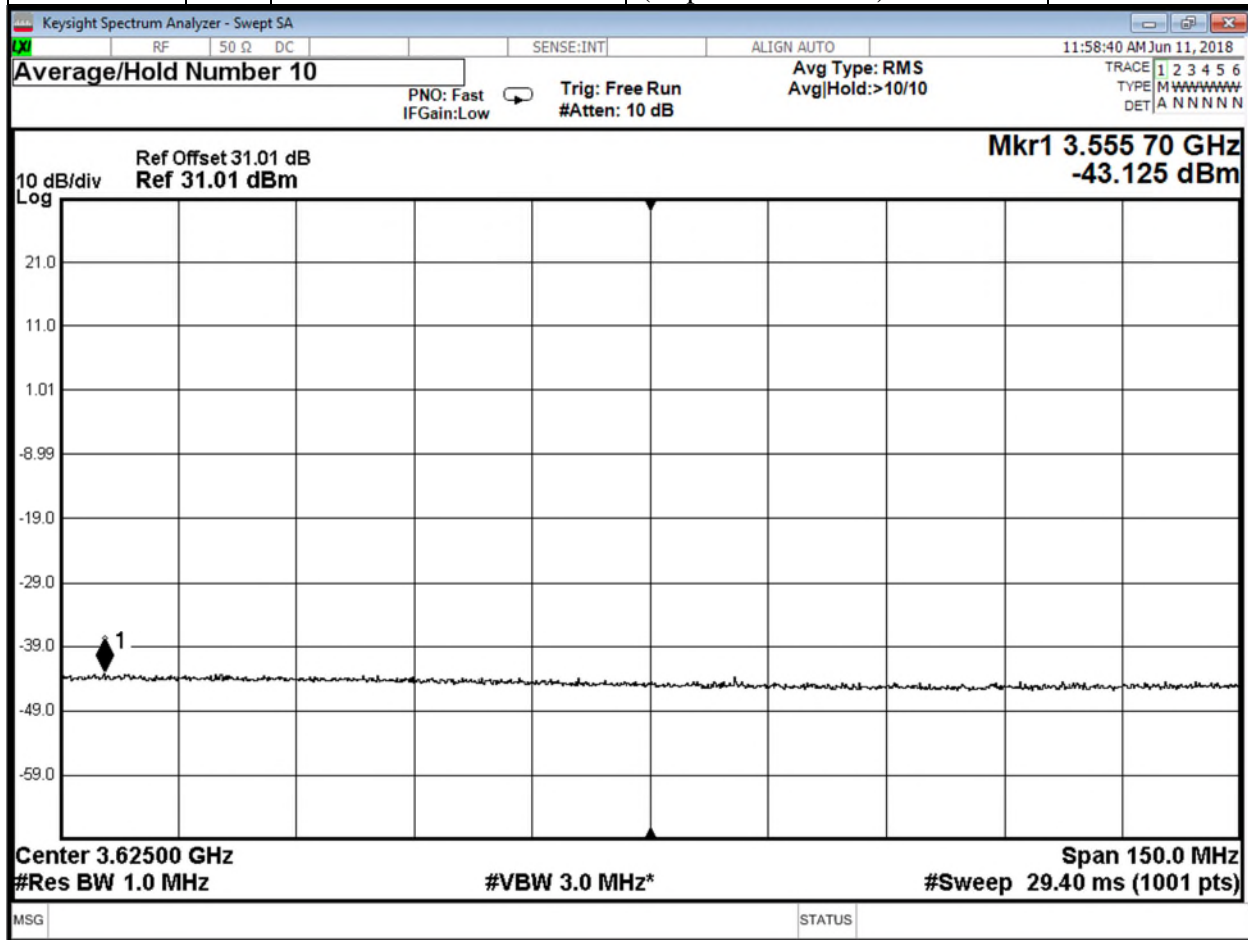
From Spectrum Analyzer Capture:


Test	plot time [h:m:s]	sweep [s]	plot start time [h:m:s]	mrk [s]	event time [h:m:s]	eventTime UTC	
REG.7	16:18:44	240	16:14:44	192	16:17:56	20:17:56	ok
			DP logs (on file)			20:17:59	ok

Note: DP log time result was taken from Domain Proxy internal logs, to verify shutdown time .

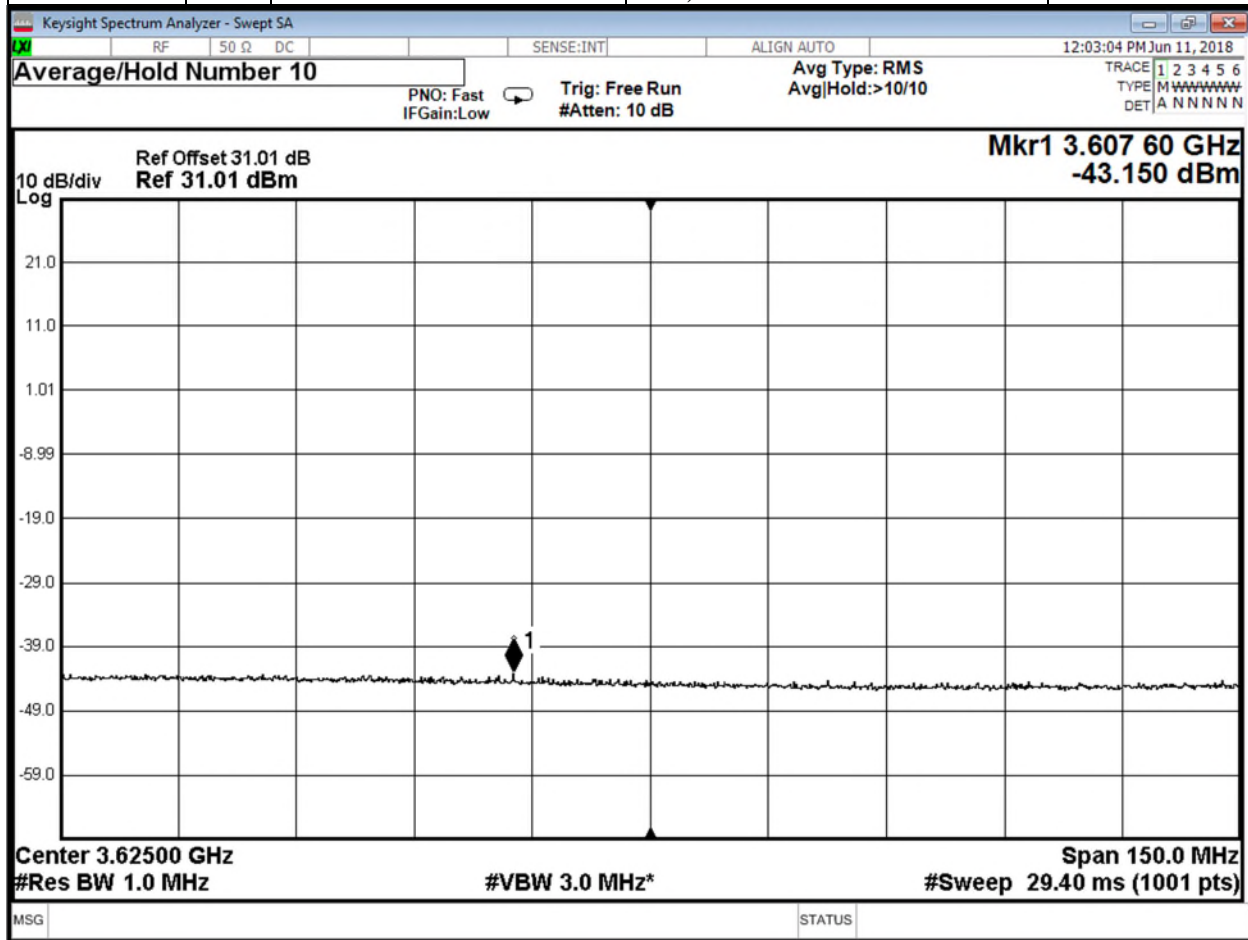
Client	Ericsson	
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6.1.4.2.2	X	WINNF.FT.D.REG.9	Domain Proxy Missing Required parameters (responseCode 102)	P
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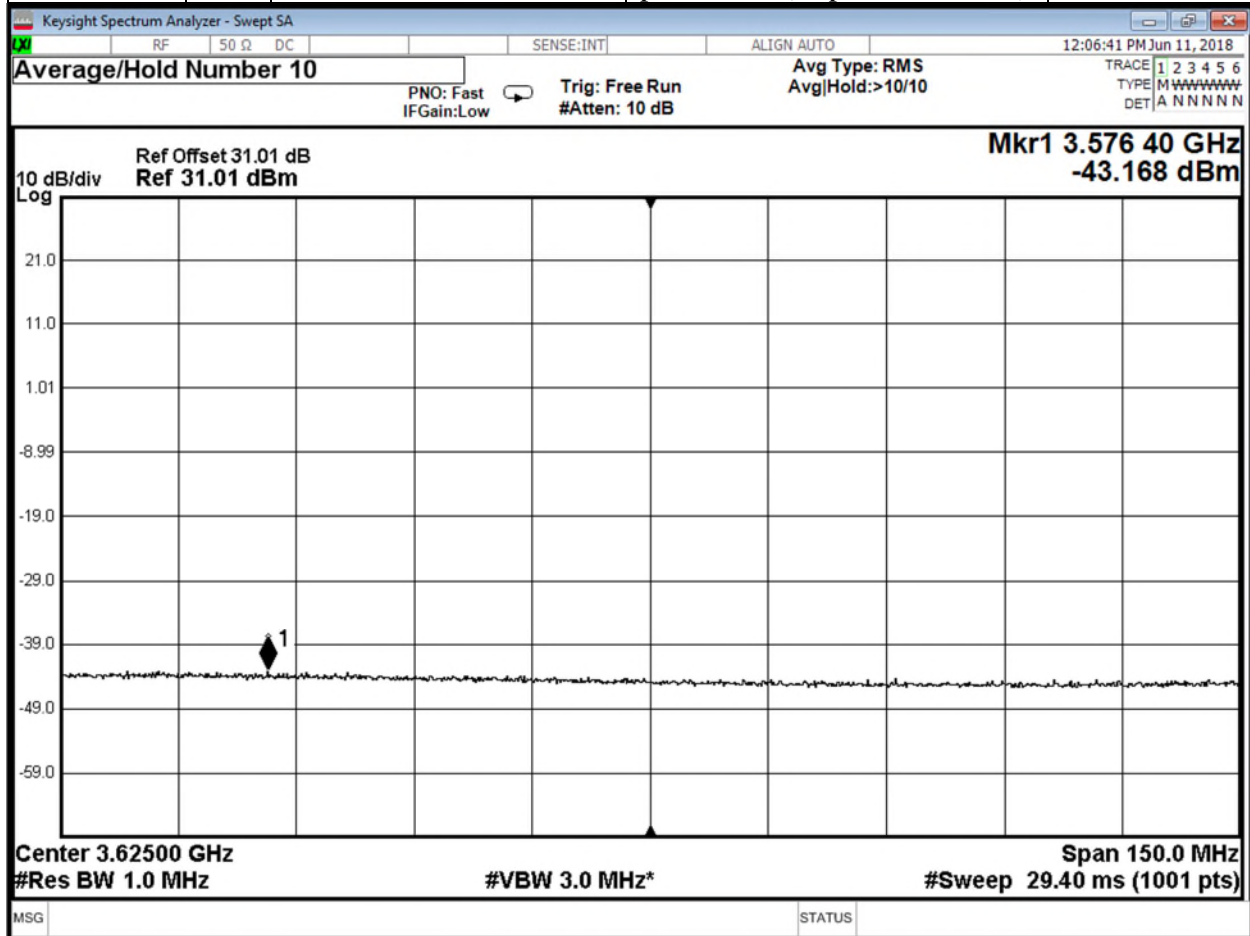
Client	Ericsson	
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6.1.4.2.4	X	WINNF.FT.D.REG.11	Domain Proxy Pending registration (responseCode 200)	P
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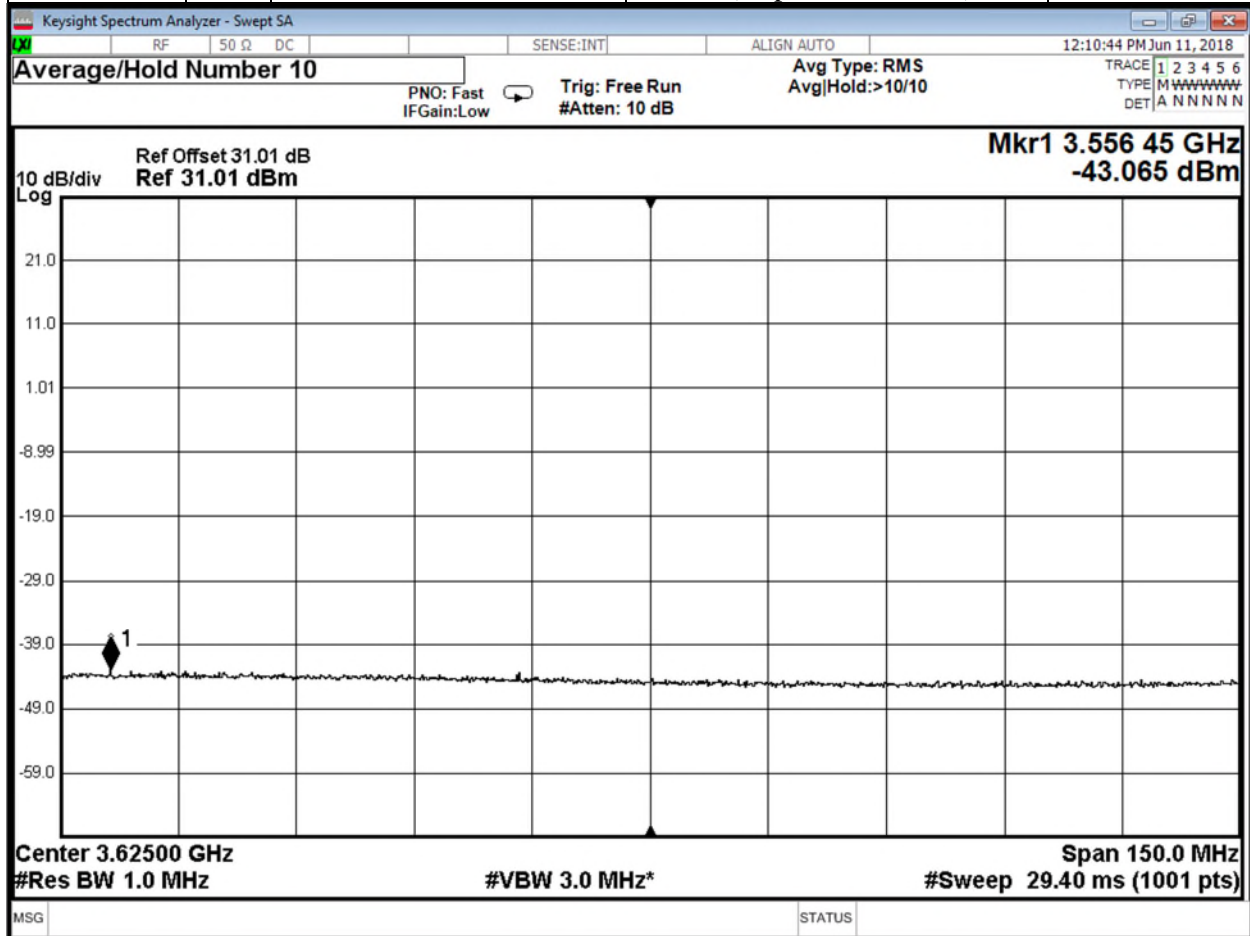
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6.1.4.2.6	X	WINNF.FT.D.REG.13	Domain Proxy Invalid parameters (responseCode 103)	P
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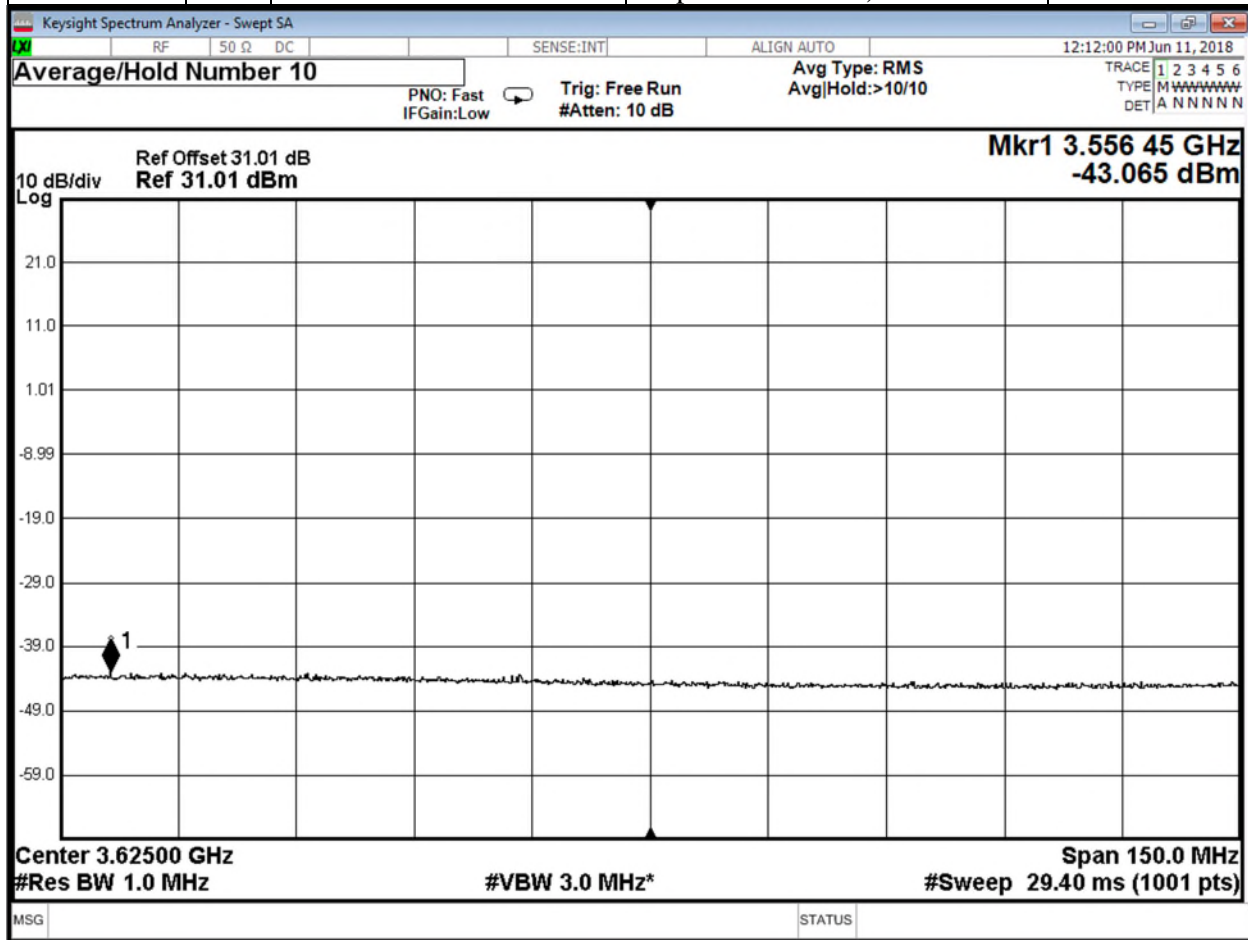
Client	Ericsson	
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6.1.4.2.8	X	WINNF.FT.D.REG.15	Domain Proxy Blacklisted CBSD (responseCode 101)	P
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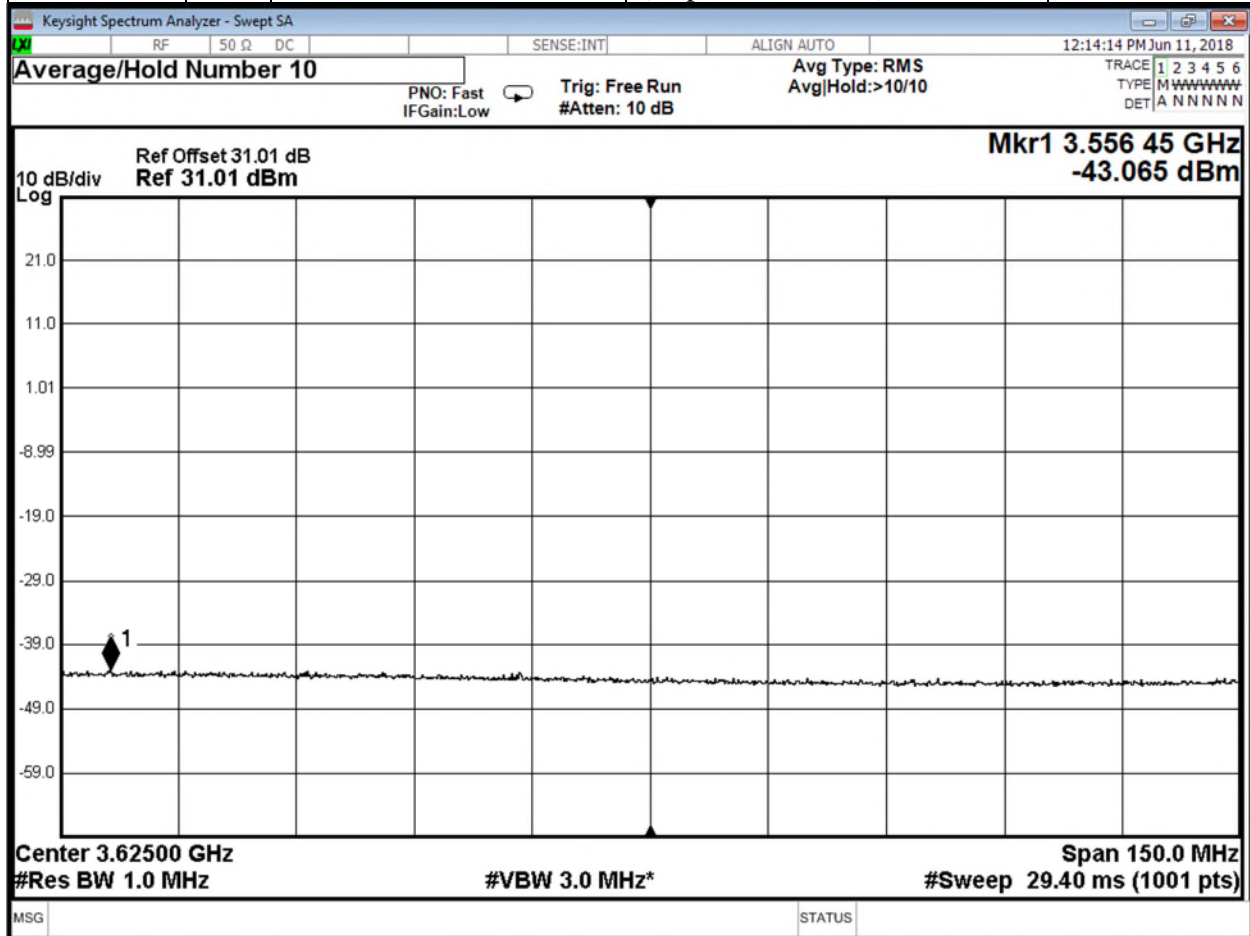
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Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	


6.1.4.2.10	X	WINNF.FT.D.REG.17	Domain Proxy Unsupported SAS protocol version responseCode 100)	P
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Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

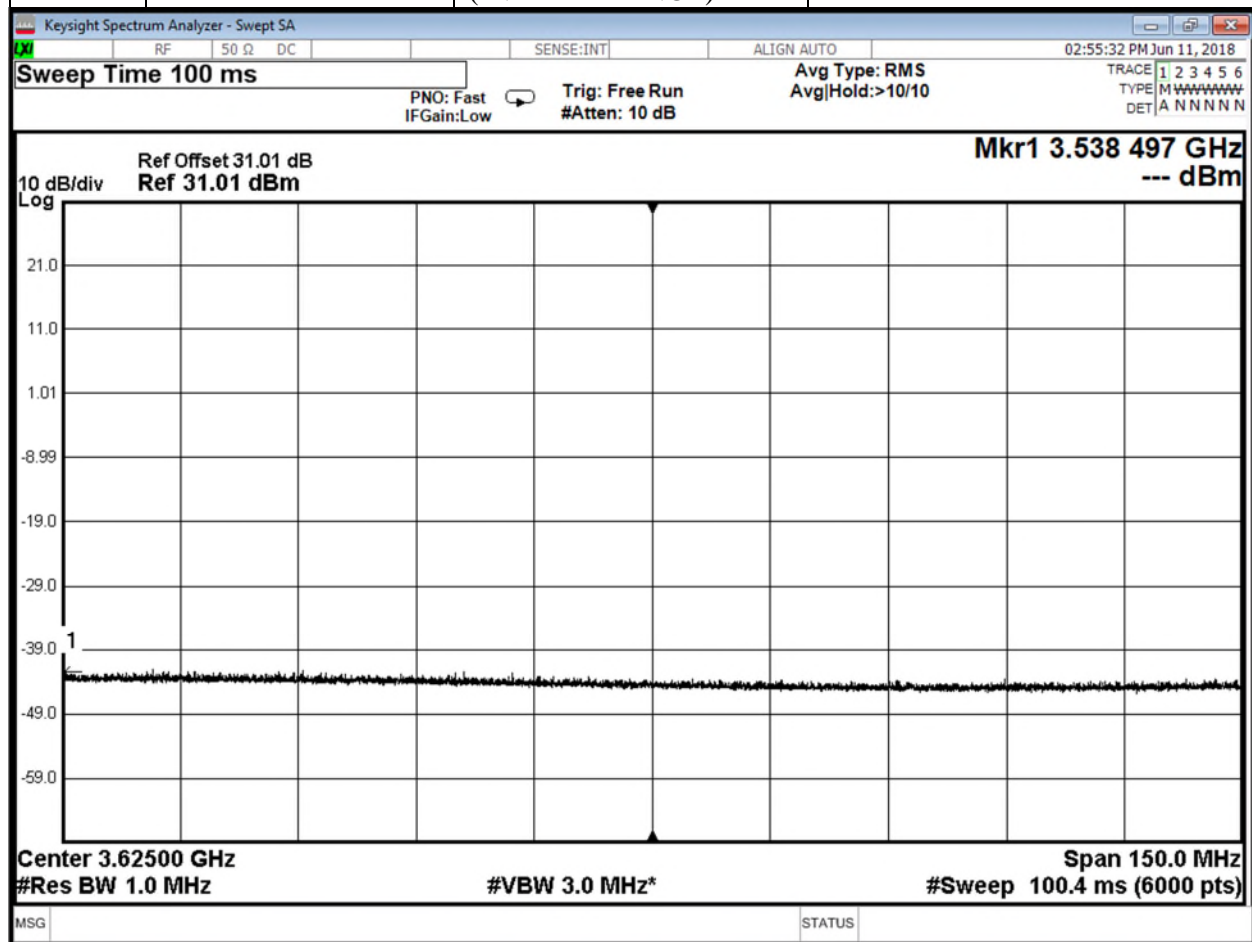
6.1.4.2.12	X	WINNF.FT.D.REG.19	Domain Proxy Group Error (responseCode 201)	P
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Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

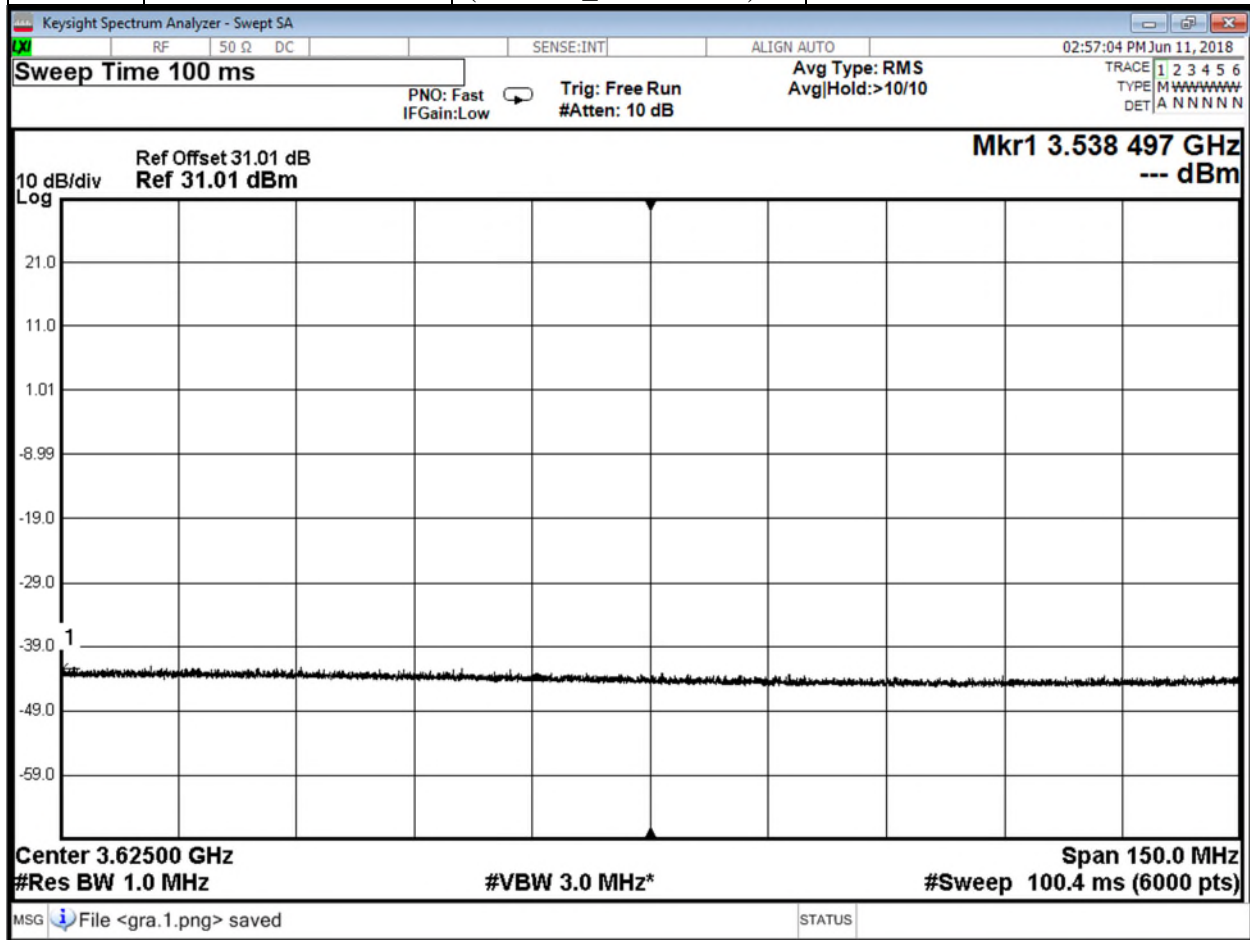
***Check the device registration and authorization with the SAS,
Confirm that the device changes its operating power and/or channel
in response to a command from the SAS and Confirm that the device
correctly configures based on the different license classes.***


6.3.4.2.1	WINNF.FT.C.GRA.1	Unsuccessful Grant responseCode=400 (INTERFERENCE)	Monitor for 60 seconds after REG message sent. No transmission during test.	P
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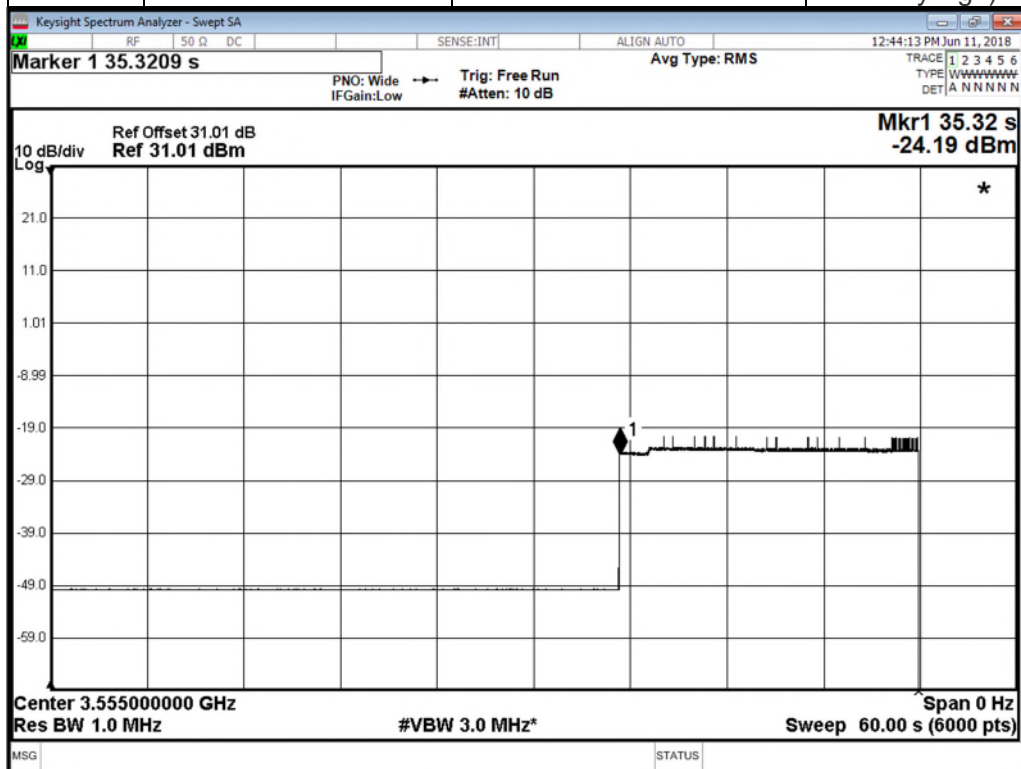
Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

6.3.4.2.2	WINNF.FT.C.GRA.2	Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	Monitor for 60 seconds after REG message sent. No transmission during test.	P
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Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

6.4.4.1.2	WINNF.FT.D.HBT.2	Domain Proxy Heartbeat Success Case (first Heartbeat Response)	<p>Monitor RF from start of test. Ensure that:</p> <ul style="list-style-type: none"> Transmission does not start until time of first heartbeat response or after. After transmission starts, measure that transmission is within the granted channel (frequencyLow, frequencyHigh) 	P
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From Test Harness Logs:

eventTime (from logs)	Comment
16:43:52	first HBT.response sent at 2018-06-11T16:43:52.052Z

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

From Spectrum Analyzer Capture:							
Test	plot time [h:m:s]	sweep [s]	plot start time [h:m:s]	mrk [s]	event time [h:m:s]	eventTime UTC	
HBT.2	12:44:13	54	12:43:19	35.35	12:43:54	16:43:54	ok

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

6.4.4.2.1	WINNF.FT.C.HBT.3	Heartbeat responseCode=105 (DEREGISTER)	Monitor RF transmission. Ensure that: <ul style="list-style-type: none"> CBSD stops transmission within 60 seconds of the heartbeatResponse which contains responseCode = 105 	P
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From Test Harness Logs:	
eventTime (from logs)	Comment
19:04:18	HBT.response with respCode=105 sent at: 2018-06-11T19:04:18.367Z

From Domain Proxy Logs:						
Test	plot time [h:m:s]	sweep [s]	plot start time [h:m:s]	mrk [s]	event time [h:m:s]	eventTime UTC
HBT.3			from DP logs (below)			19:04:23


HBT.3

19:04:22,607 INFO [com.ericsson.oss.sas.handler.network.cm.CMHandler] (EJB timerService - 1) Set the CbrsTxExpireTime on the cell:

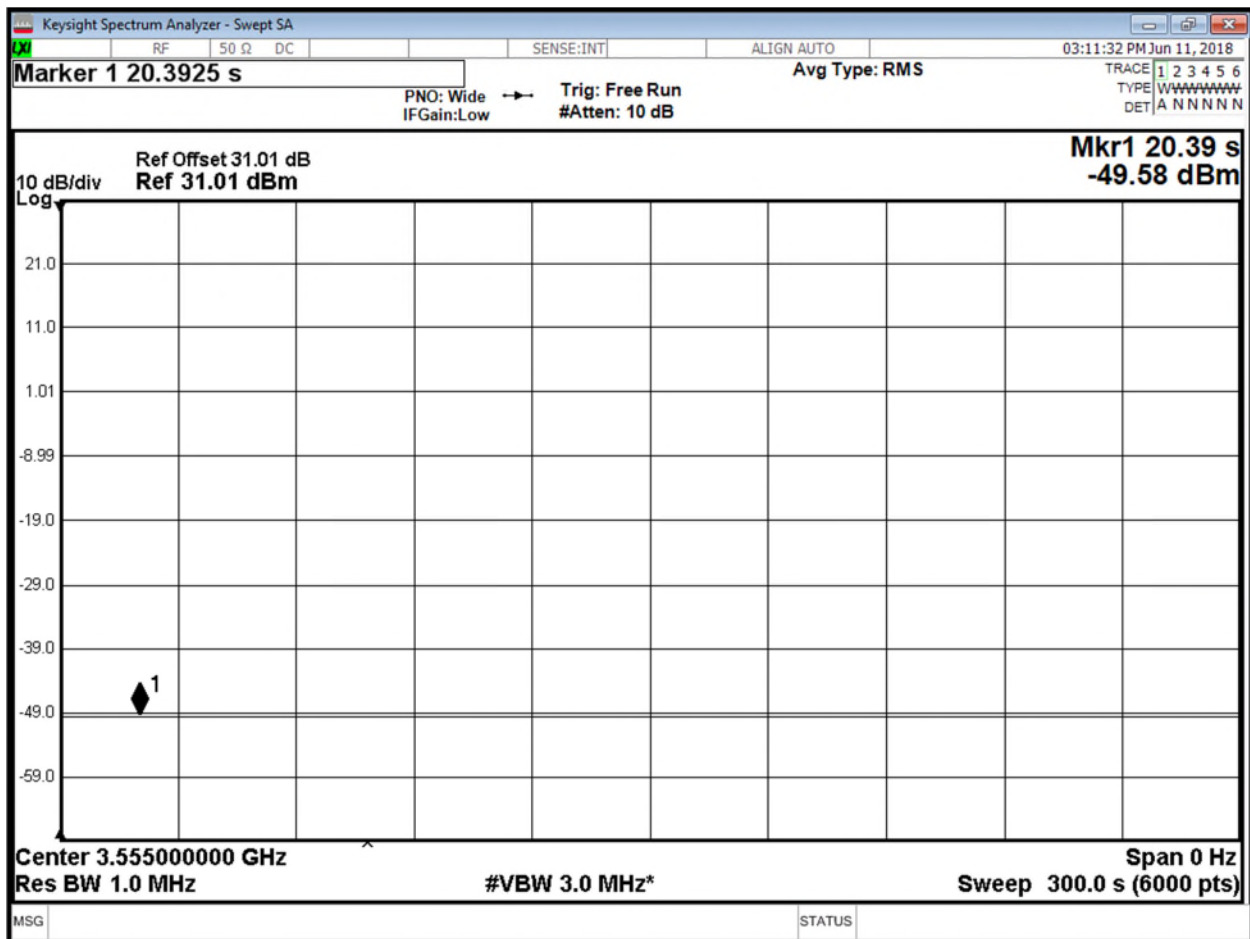
SubNetwork=G2RBS,ManagedElement=OTENB5311,ENodeBFunction=1,EUtranCellTDD=12 to: -1 in DPS. Time taken: 1749


19:04:24,627 INFO [com.ericsson.oss.sas.handler.network.cm.CMHandler] (EJB timerService - 7) Set the CbrsTxExpireTime on the cell:

SubNetwork=G2RBS,ManagedElement=OTENB5311,ENodeBFunction=1,EUtranCellTDD=12 to: -1 in DPS. Time taken: 1772

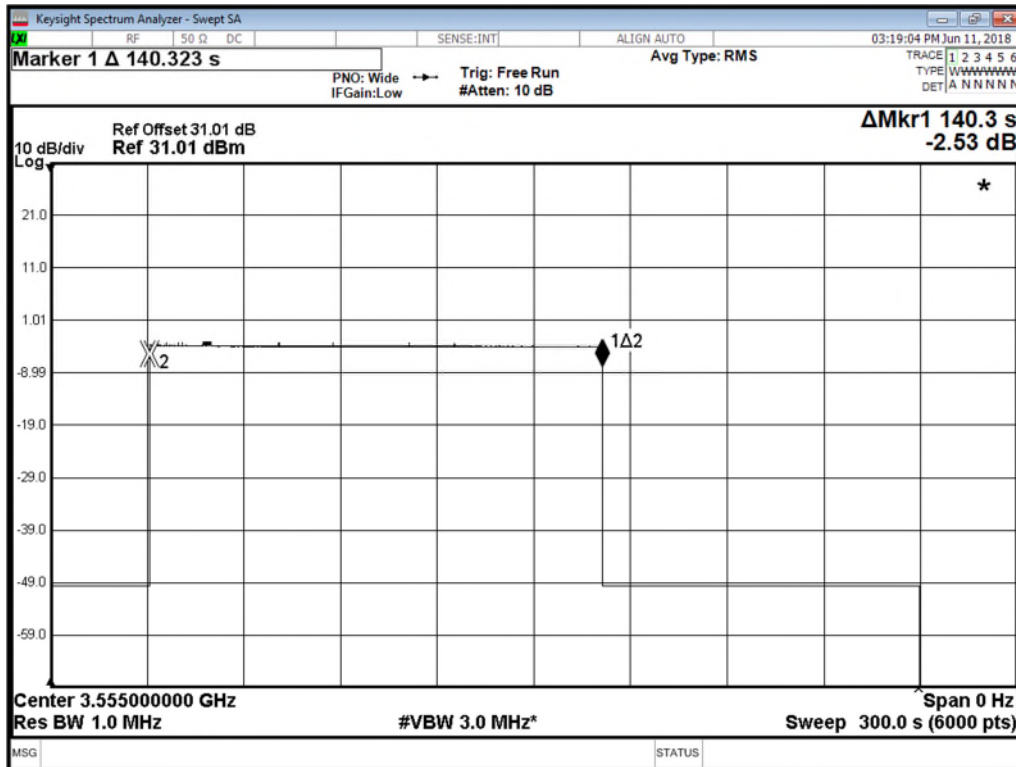
Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

6.4.4.2.3	WINNF.FT.C.HBT.5	Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	Monitor RF transmission from start of test. Ensure there is no transmission during the test	p
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Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

6.4.4.2.4	WINNF.FT.C.HBT.6	Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	Monitor RF transmission. Ensure: <ul style="list-style-type: none"> CBSD stops transmission within 60 seconds of heartbeatResponse which contains responseCode=501 	p
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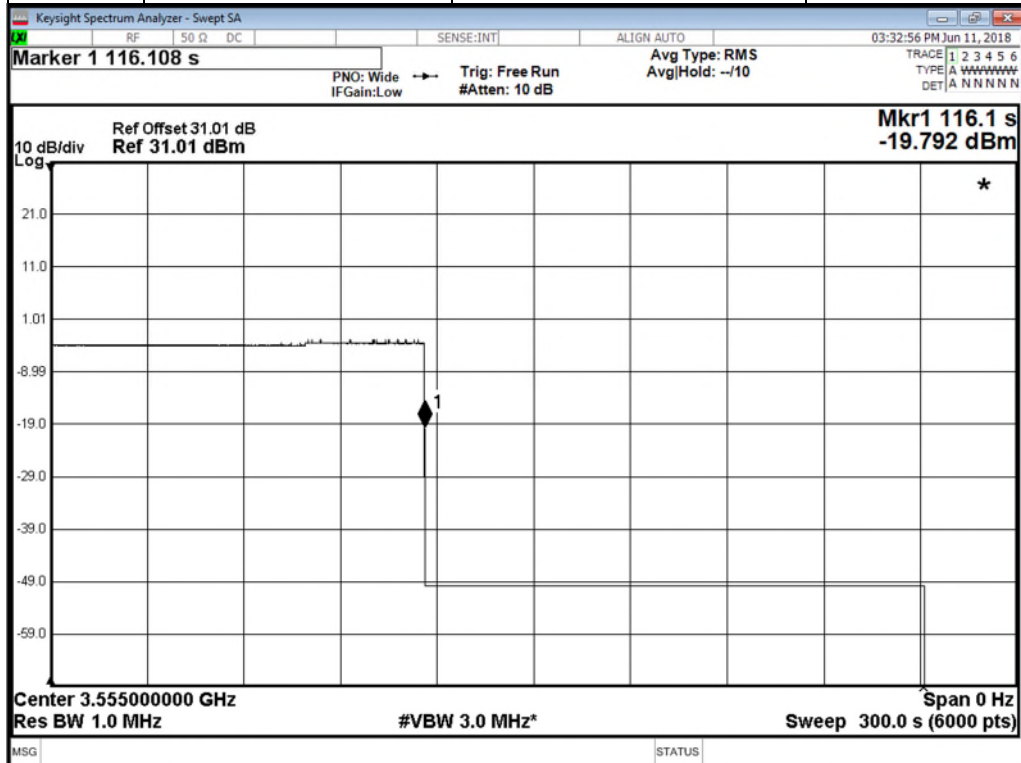


From Test Harness Logs:	
eventTime (from logs)	Comment
19:17:26	HBT.response with respCode=501 sent at: 2018-06-11T19:17:26.918Z

From Spectrum Analyzer Capture:						
Test	plot time [h:m:s]	sweep [s]	plot start time [h:m:s]	mrk [s]	event time [h:m:s]	eventTime UTC
HBT.6	15:19:04	270	15:14:34	174	15:17:28	19:17:28

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

6.4.4.2.5	WINNF.FT.C.HBT.7	Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	Monitor RF transmission. Ensure: <ul style="list-style-type: none"> CBSD stops transmission within 60 seconds of heartbeatResponse which contains responseCode=502 	p
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From Test Harness Logs:

eventTime (from logs)	Comment
19:30:18	HBT.response with respCode=502 send at: 2018-06-11T19:30:17.235Z, RLQ.request sent at: 2018-06-11T19:30:18.609Z


From Spectrum Analyzer Capture:

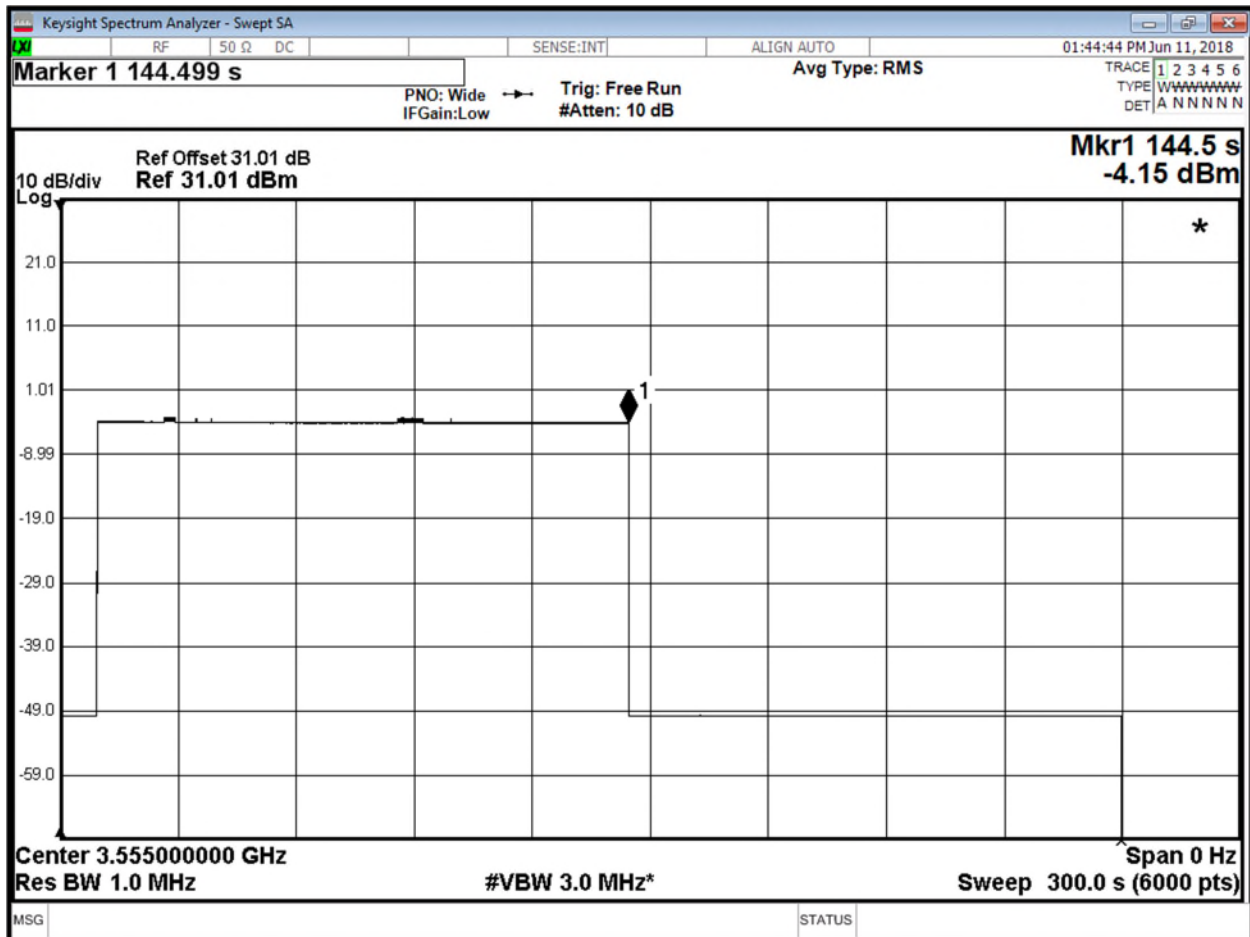
Test	plot time [h:m:s]	sweep [s]	plot start time [h:m:s]	mrk [s]	event time [h:m:s]	eventTime UTC
HBT.7	15:32:56	274.5	15:28:22	116.1	15:30:18	19:30:18

ok

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	


6.4.4.2.6	--	X	WINNF.FT.D.H BT.8	Domain Proxy Heartbeat responseCode=500 (TEMINATED_GRANT)	Monitor RF transmission. CBSD s will have different behavior: <ul style="list-style-type: none"> • CBSD1: will continue to transmit to end of test (this is not a pass/fail criteria, but check) • CBSD2: must stop transmission within 60 seconds of being sent heartbeatResponse with responseCode = 500 	P
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Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

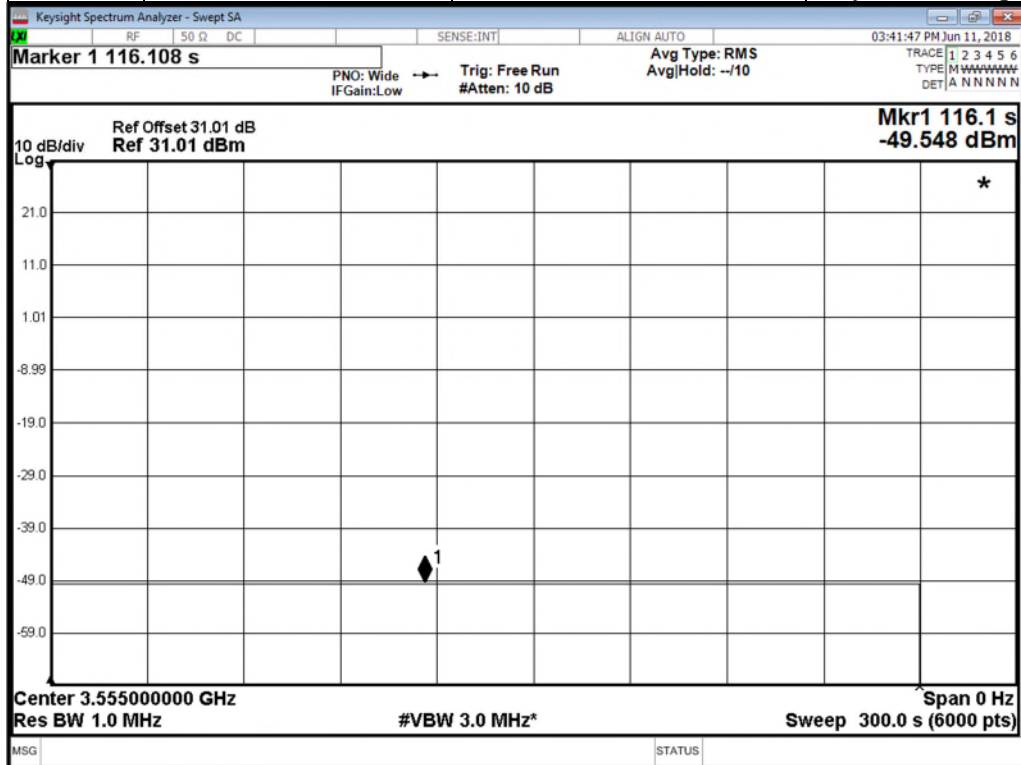



From Test Harness Logs:	
eventTime (from logs)	Comment
17:42:37	HBT.response with respCode= 500 sent at: 2018-06-11T17:42:37.559Z

From Spectrum Analyzer Capture:						
Test	plot time [h:m:s]	sweep [s]	plot start time [h:m:s]	mrk [s]	event time [h:m:s]	eventTime UTC
HBT.8	13:44:44	270	13:40:14	144.5	13:42:38	17:42:38

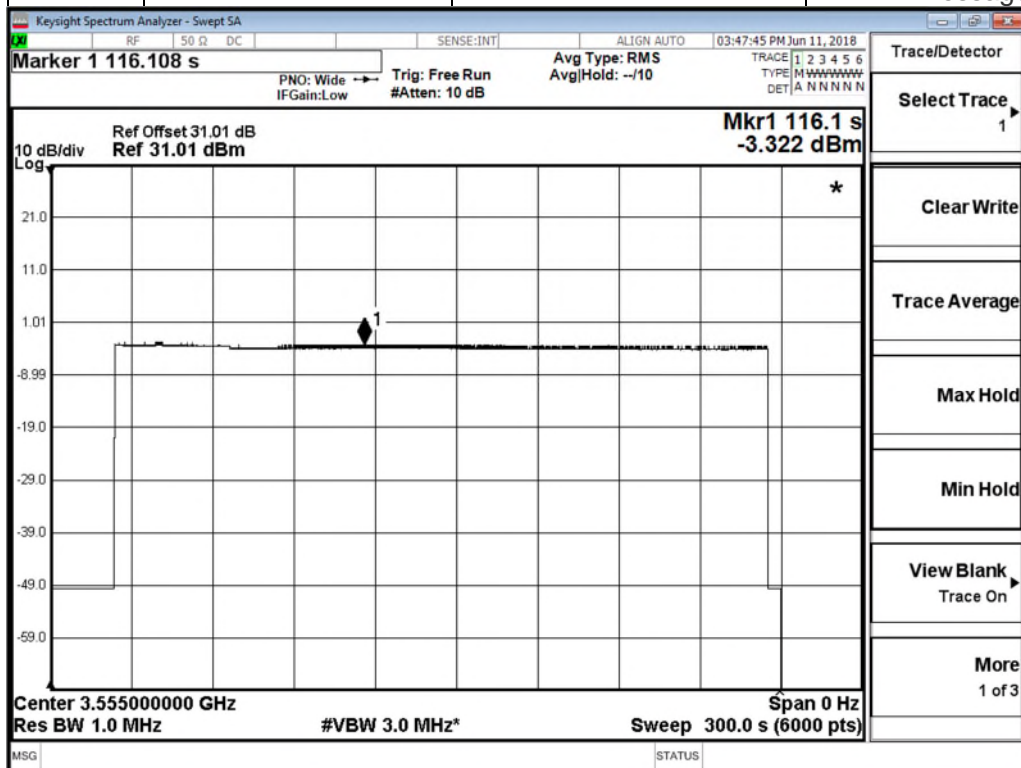
Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

6.4.4.3.1	WINNF.FT.C.HBT.9	Heartbeat Response Absent (First Heartbeat)	Monitor RF from start of test to 60 seconds after last heartbeatResponse message was sent. CBSD should not transmit at any time during test	P
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Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

6.4.4.3.2	WINNF.FT.C.HBT.10	Heartbeat Response Absent (Subsequent Heartbeat)	Monitor RF transmission. Verify: <ul style="list-style-type: none"> CBSD must stop transmission within transmitExpireTime+60 seconds, where transmitExpireTime is from last successful heartbeatResponse message 	P
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From Test Harness Logs:

eventTime (from logs)	Comment
19:47:41	Last HBT.response that set TxExpire time @ 2018-06-11T19:44:21.647Z, transmitExpireTime = 2018-06-11T19:47:41Z


From Spectrum Analyzer Capture:

Test	plot time [h:m:s]	sweep [s]	plot start time [h:m:s]	mrk [s]	event time [h:m:s]	eventTime UTC	
HBT.10	15:47:45	270	15:43:15	240	15:47:15	19:47:15	ok

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	


6.5.4.2.2	WINNF.FT.D.MES.2	Domain Proxy Registration Response contains measReportConfig	No RF monitoring	P
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Pass saw “measreportconfig” in logs

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	


6.5.4.2.3	WINNF.FT.C.MES.3	Grant Response contains measReportConfig	No RF monitoring	P
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Pass saw “measreportconfig” in logs

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

6.5.4.2.5	WINNF.FT.D.MES.5	Domain Proxy Heartbeat Response contains measReportConfig	No RF monitoring	P
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Pass saw “measreportconfig” in logs

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

6.6.4.1.2	WINNF.FT.D.RLQ.2	Domain Proxy Successful Relinquishment	Monitor RF transmission. Ensure: <ul style="list-style-type: none"> • CBSD stops transmission at any time prior to sending the relinquishmentRequest message. 	P
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From Test Harness Logs:

eventTime (from logs)	Comment
17:57:46	RLQ.request sent at: 2018-06-11T17:57:46.023Z

From Spectrum Analyzer Capture:

Test	plot time [h:m:s]	sweep [s]	plot start time [h:m:s]	mrk [s]	event time [h:m:s]	eventTime UTC	
RLQ.2			from DP logs (below)			17:57:44	ok from DP log

RLQ.2:


17:57:42,792 INFO [com.ericsson.oss.sas.handler.network.cm.CMHandler] (EJB timerService - 5) Set the CbrsTxExpireTime on the cell:

SubNetwork=G2RBS,ManagedElement=OTENB5311,ENodeBFunction=1,EUtranCellTDD=12 to: -1 in DPS. Time taken: 1765

17:57:44,607 INFO [com.ericsson.oss.sas.handler.network.cm.CMHandler] (EJB timerService - 5) Set the CbrsTxExpireTime on the cell:

SubNetwork=G2RBS,ManagedElement=OTENB5311,ENodeBFunction=1,EUtranCellTDD=14 to: -1 in DPS. Time taken: 1796

Note: shutdown time taken from Domain Proxy logs, and shutdown confirmed by RF monitoring.

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

6.7.4.1.2	WINNF.FT.D.DRG.2	Domain Proxy Successful Deregistration	Monitor RF transmission. Ensure: <ul style="list-style-type: none"> • CBSD stops transmission at any time prior to sending the relinquishmentRequest message or deregistrationRequest message (whichever is sent first) 	P
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From Test Harness Logs:	
eventTime (from logs)	Comment
18:13:38	RLQ.request sent at: 2018-06-11T18:13:38.532Z, DRG.request sent at: 2018-06-11T18:13:40.598Z

From Spectrum Analyzer Capture:						
Test	plot time [h:m:s]	sweep [s]	plot start time [h:m:s]	mrk [s]	event time [h:m:s]	eventTime UTC
DRG.2			from DP logs (below)			18:13:37

DRG.2


18:13:34,794 INFO [com.ericsson.oss.sas.handler.network.cm.CMHandler] (EJB timerService - 6) Set the CbrsTxExpireTime on the cell:

SubNetwork=G2RBS,ManagedElement=OTENB5311,ENodeBFunction=1,EUtranCellTDD=12 to: -1 in DPS. Time taken: 1768

18:13:36,565 INFO [com.ericsson.oss.sas.handler.network.cm.CMHandler] (EJB timerService - 6) Set the CbrsTxExpireTime on the cell:

SubNetwork=G2RBS,ManagedElement=OTENB5311,ENodeBFunction=1,EUtranCellTDD=14 to: -1 in DPS. Time taken: 1753

Note: shutdown time taken from Domain Proxy logs, and shutdown confirmed by RF monitoring.


Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

Confirm that the device transmits at a power level less than or equal to the maximum power level approved by the SAS.


7.1.4.1.1	X	X	WINNF.PT.C.H BT	UUT RF Transmit Power Measurement	Power Spectral Density test case. Assume we use 1 carrier bandwidth (say, 5 or 10 MHz), one frequency (say middle channel in band) for test. Measure at max transmit power, and reduce in steps of 3 dB to minimum declared transmit power.	P
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	Information from grantRequest				
	lowFrequency	highFrequency	maxEirp	Grant Center Freq	
Log file name	[MHz]	[MHz]	[dBm/MHz]	[MHz]	
PowerMeasTest_2018-06-11T14.15.51Z	3625	3635	13.7	3630	
PowerMeasTest_2018-06-11T14.43.18Z	3625	3635	7.67940008 7	3630	
PowerMeasTest_2018-06-11T15.03.45Z	3550	3560	13.7	3555	
PowerMeasTest_2018-06-11T14.54.15Z	3550	3560	7.67940008 7	3555	
PowerMeasTest_2018-06-11T15.14.15Z	3690	3700	13.7	3695	
PowerMeasTest_2018-06-11T15.20.57Z	3690	3700	7.67940008 7	3695	

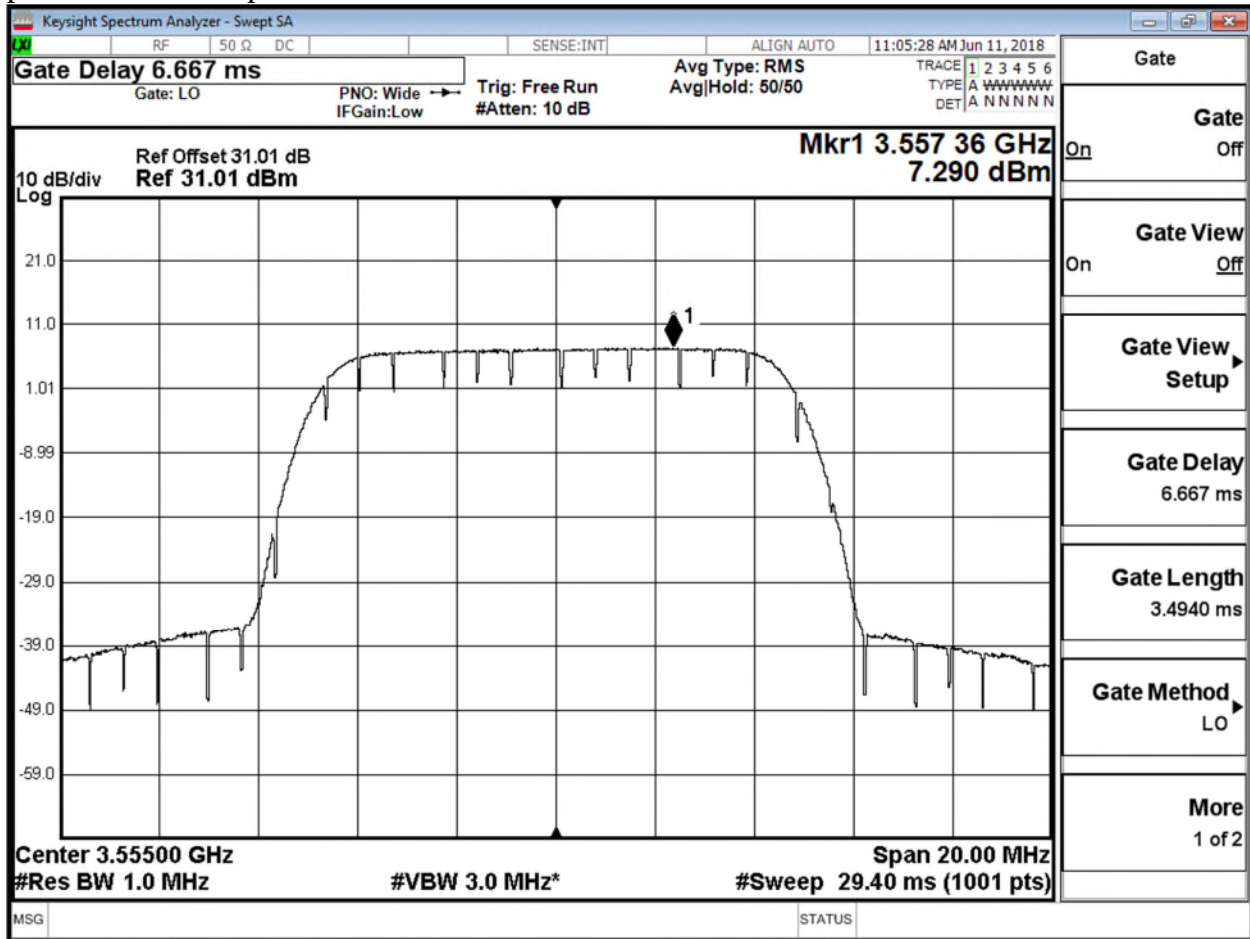
Information from Measurement plots


Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

plot name	Plot time		Center Freq [MHz]	single port, conducted PSD [dBm/MHz]	total PSD EIRP, measured [dBm/MHz]	Margin [dB]
	local	UTC				
psd low chan_low power 13.7	11:05:28	15:05:28	3555	7.29	13.39	0.3
psd low chan_low power 7.7 dBm_10MHz.png	10:58:45	14:58:45	3555	0.756	6.856	0.8
psd mid chan high power 13.7	10:24:43	14:24:43	3630	6.418	12.518	1.2
psd mid chan low power 7.7	10:48:08	14:48:08	3630	0.184	6.284	1.4
psd high chan_high_power 13.7_10MHz.png	11:17:06	15:17:06	3695	5.403	11.503	2.2
psd high chan_high_power 7.7_10MHz	11:26:26	15:26:26	3695	-0.698	5.402	2.3

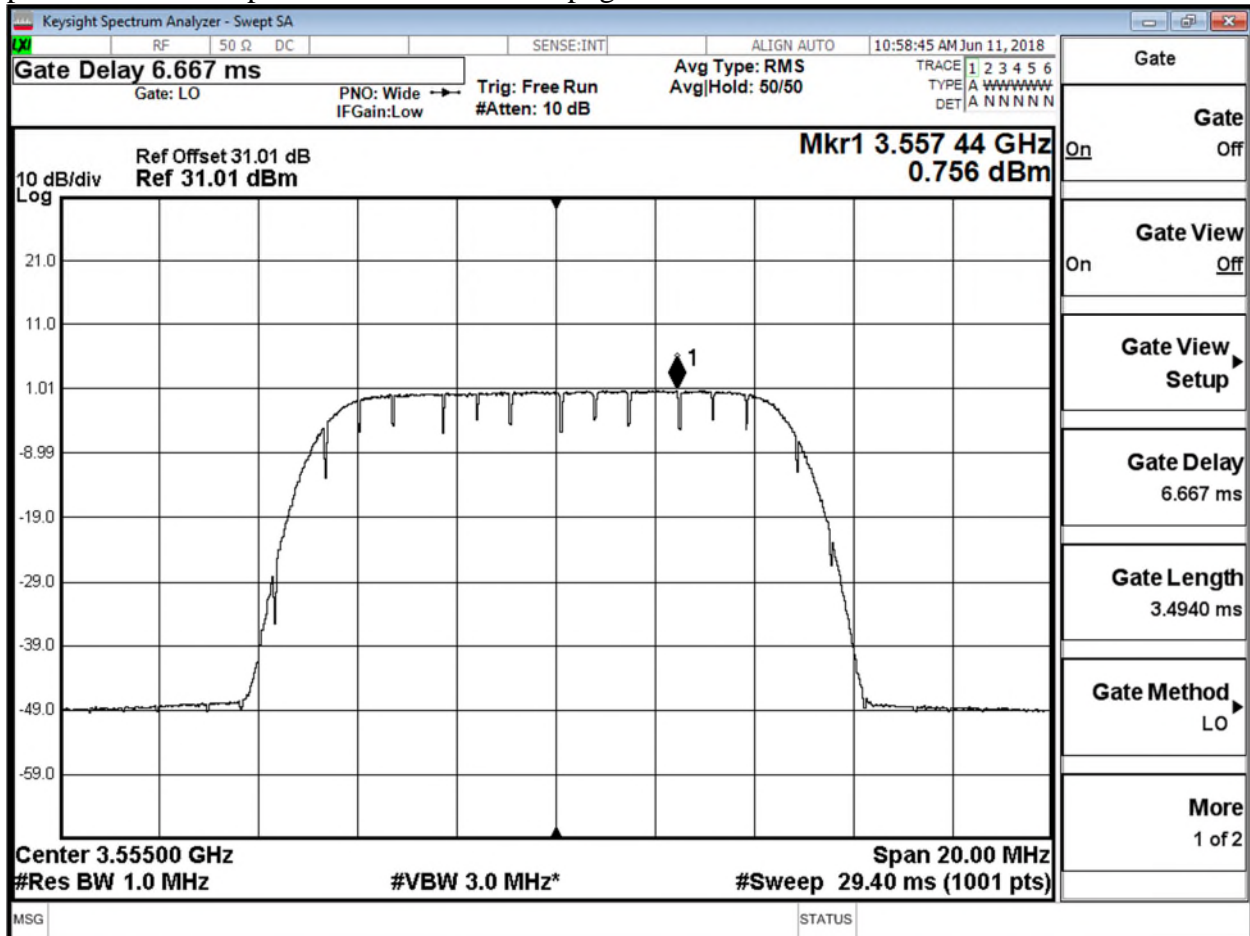
Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	


psd low chan_low power 13.7



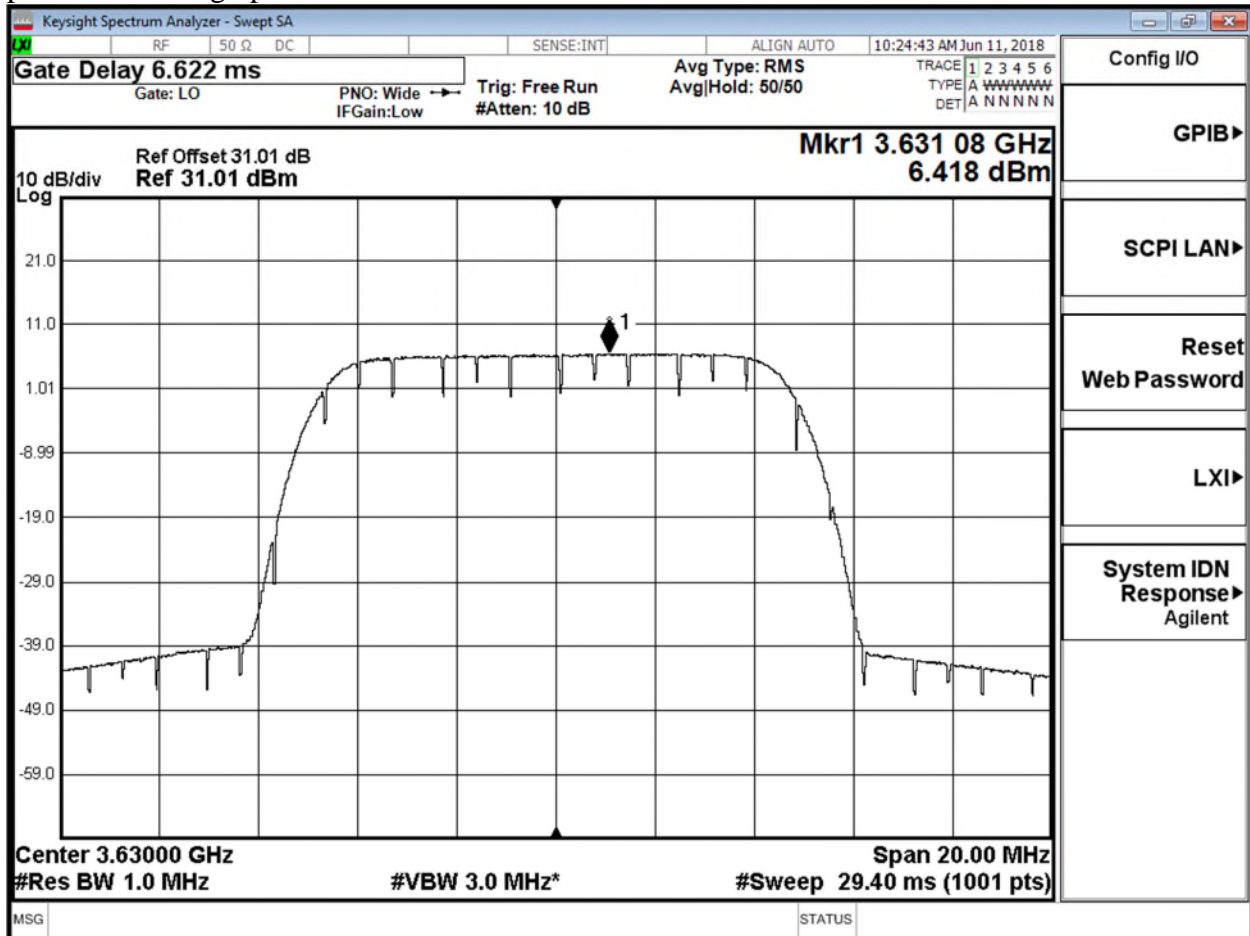
Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	


psd low chan_low power 7.7 dBm_10MHz.png



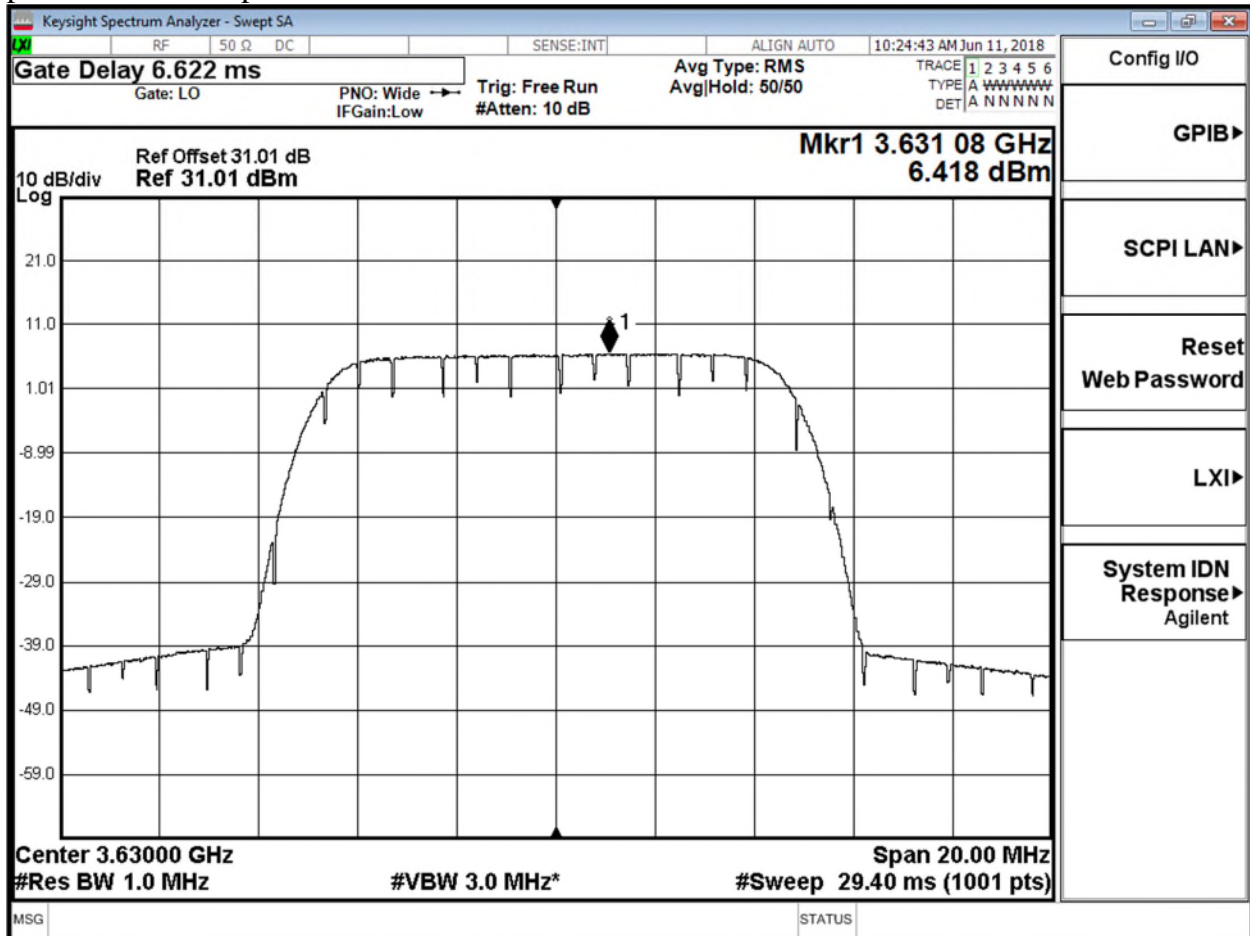
Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	


psd mid chan high power 13.7



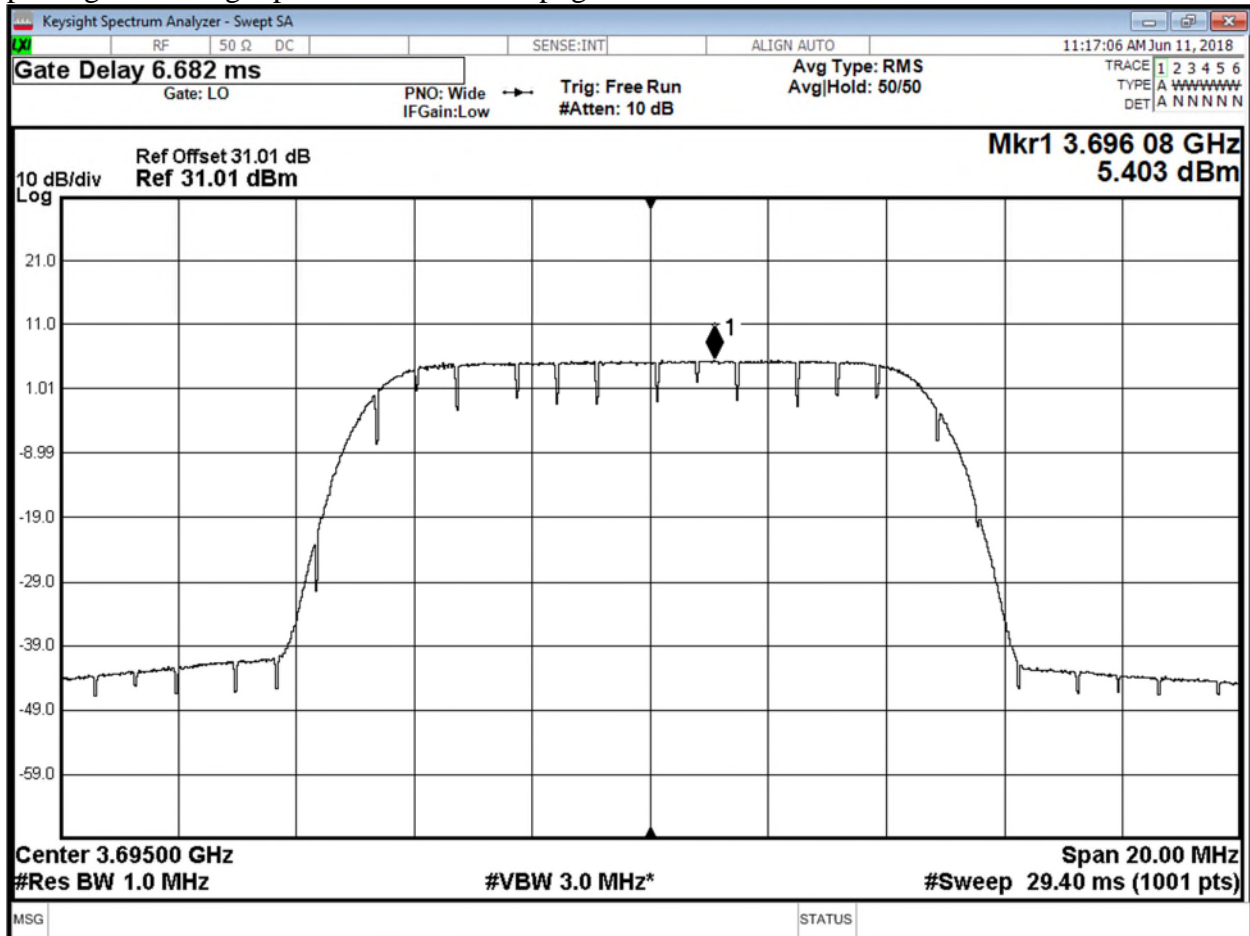
Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	


psd mid chan low power 7.7



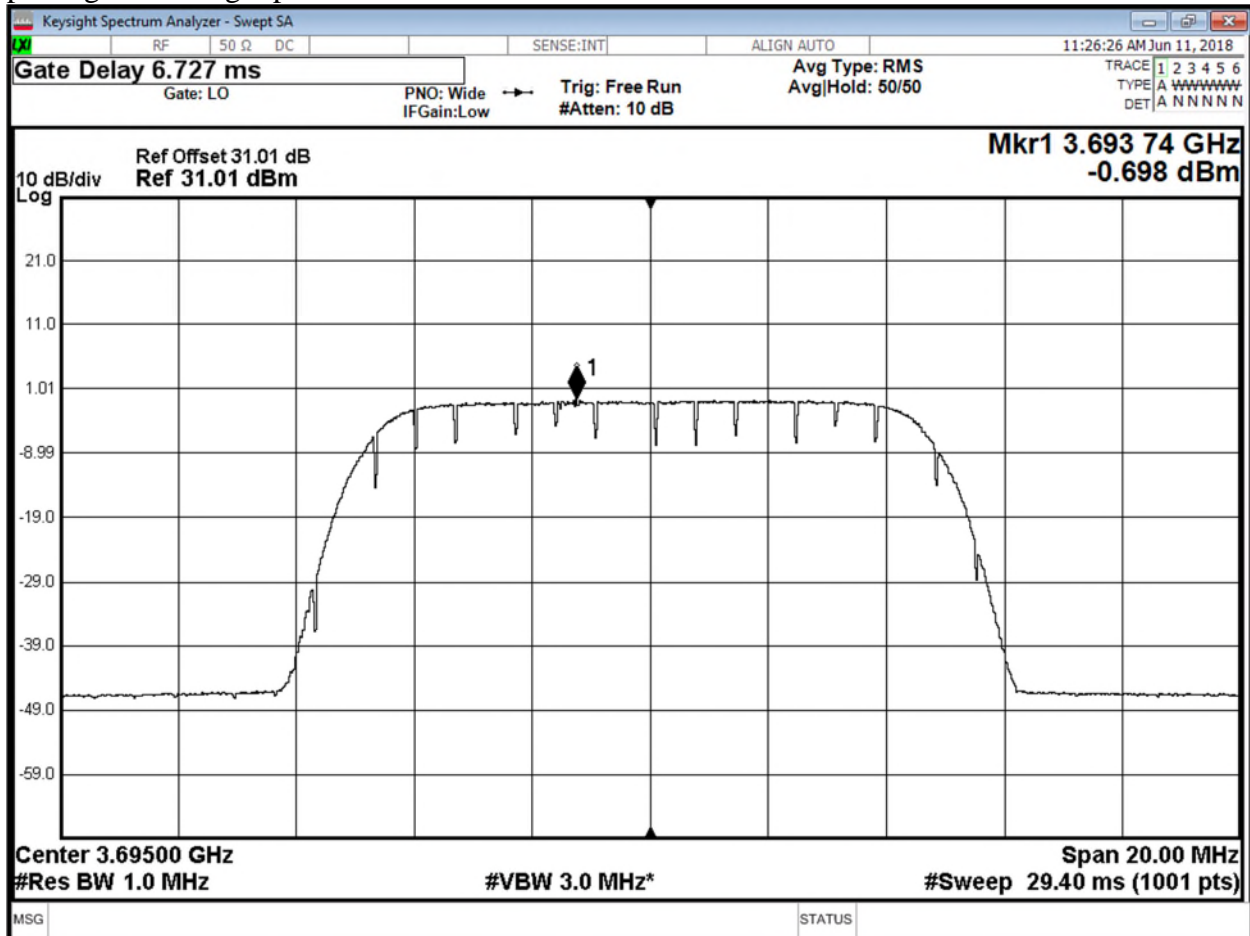
Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	


psd high chan_high_power 13.7_10MHz.png



Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

psd high chan_high_power 7.7_10MHz



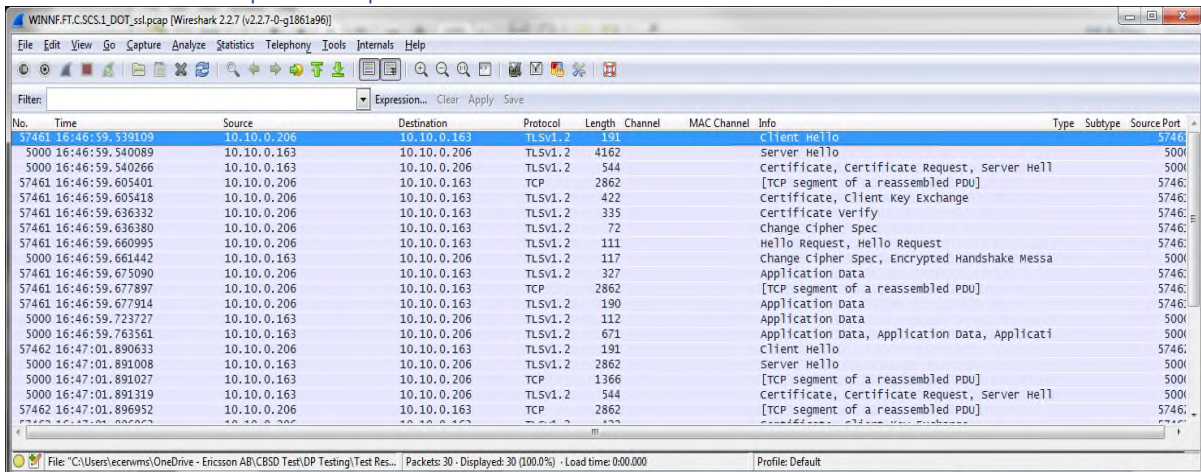
Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

DOT CBRS Radio: WINNF / Security Test Case Analysis

WINNF Security Test Case Analysis

WINNF.FT.C.SCS.1


Packet Capture Sequence



No.	Time	Source	Destination	Protocol	Length	Channel	MAC Channel	Info	Type	Subtype	Source Port
57461	16:46:59.539109	10.10.0.206	10.10.0.163	TLsv1.2	191			Client Hello	5746		5746
5000	16:46:59.540089	10.10.0.163	10.10.0.206	TLsv1.2	4162			Server Hello	5000		5000
5000	16:46:59.540266	10.10.0.163	10.10.0.206	TLsv1.2	544			Certificate, Certificate Request, Server Hell	5000		5000
57461	16:46:59.605401	10.10.0.206	10.10.0.163	TCP	2862			[TCP segment of a reassembled PDU]	5746		5746
57461	16:46:59.605418	10.10.0.206	10.10.0.163	TLsv1.2	422			Certificate, Client Key Exchange	5746		5746
57461	16:46:59.636332	10.10.0.206	10.10.0.163	TLsv1.2	335			Certificate Verify	5746		5746
57461	16:46:59.636380	10.10.0.206	10.10.0.163	TLsv1.2	72			Change Cipher Spec	5746		5746
57461	16:46:59.660995	10.10.0.206	10.10.0.163	TLsv1.2	111			Hello Request, Hello Request	5746		5746
5000	16:46:59.661442	10.10.0.163	10.10.0.206	TLsv1.2	117			Change Cipher Spec, Encrypted Handshake Messa	5000		5000
57461	16:46:59.675090	10.10.0.206	10.10.0.163	TLsv1.2	327			Application Data	5746		5746
57461	16:46:59.677897	10.10.0.206	10.10.0.163	TCP	2862			[TCP segment of a reassembled PDU]	5746		5746
57461	16:46:59.677914	10.10.0.206	10.10.0.163	TLsv1.2	190			Application Data	5746		5746
5000	16:46:59.723727	10.10.0.163	10.10.0.206	TLsv1.2	112			Application Data	5000		5000
5000	16:46:59.763561	10.10.0.163	10.10.0.206	TLsv1.2	671			Application Data, Application Data, Applicati	5000		5000
57462	16:47:01.890633	10.10.0.206	10.10.0.163	TLsv1.2	191			Client Hello	5746		5746
5000	16:47:01.891008	10.10.0.163	10.10.0.206	TLsv1.2	2862			Server Hello	5000		5000
5000	16:47:01.891027	10.10.0.163	10.10.0.206	TCP	1366			[TCP segment of a reassembled PDU]	5000		5000
5000	16:47:01.891319	10.10.0.163	10.10.0.206	TLsv1.2	544			Certificate, Certificate Request, Server Hell	5000		5000
57462	16:47:01.896952	10.10.0.206	10.10.0.163	TCP	2862			[TCP segment of a reassembled PDU]	5746		5746

WINNF test requirements:


WINNF test requirements from WINNF-TS-0122-V1.0.0 CBRS CBSD Test Specification:

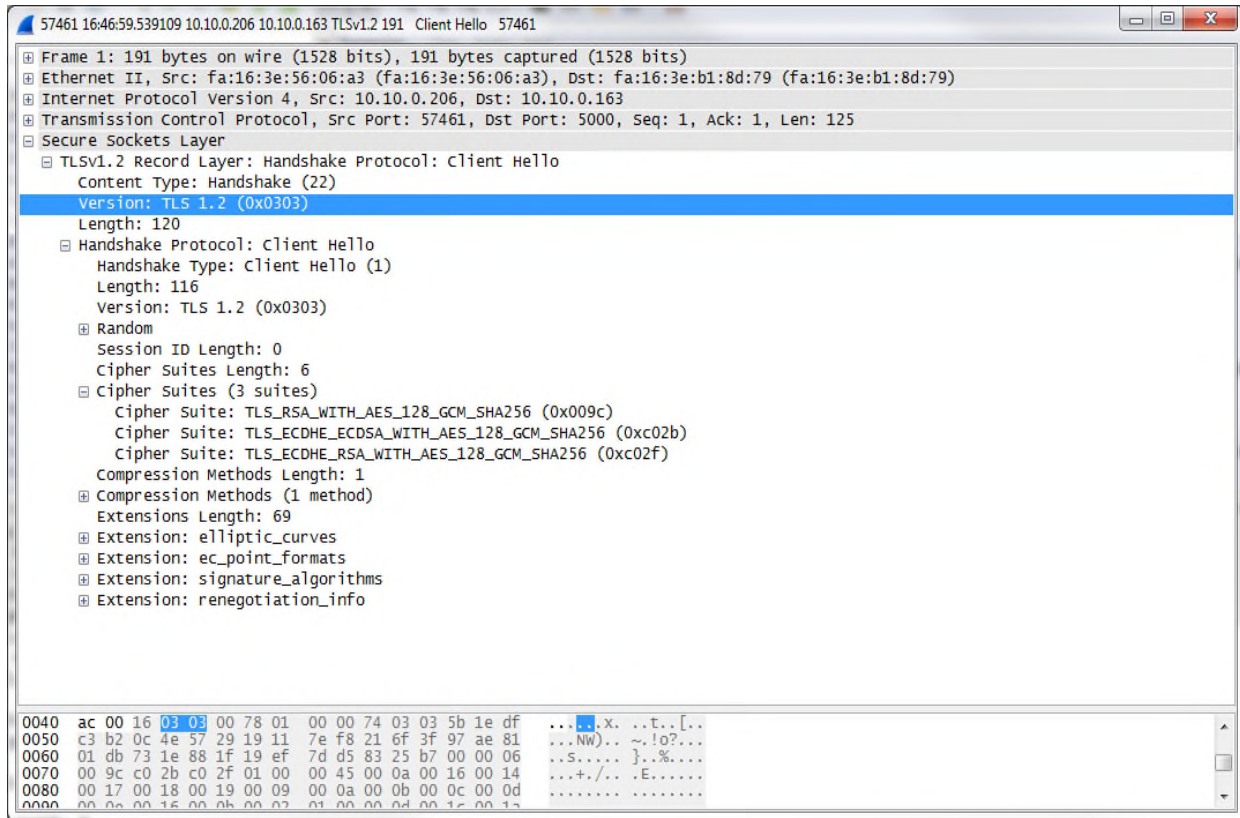
Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

2	<ul style="list-style-type: none"> • Make sure that Mutual authentication happens between UUT and the SAS Test Harness. • Make sure that UUT uses TLS v1.2 • Make sure that cipher suites from one of the following is selected, <ul style="list-style-type: none"> • TLS_RSA_WITH_AES_128_GCM_SHA256 • TLS_RSA_WITH_AES_256_GCM_SHA384 • TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256 • TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384 • TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256 	PASS	FAIL
---	--	------	------

Analysis of WINNF Test Requirements

1. From Client Hello: TLS version = TLS 1.2

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	




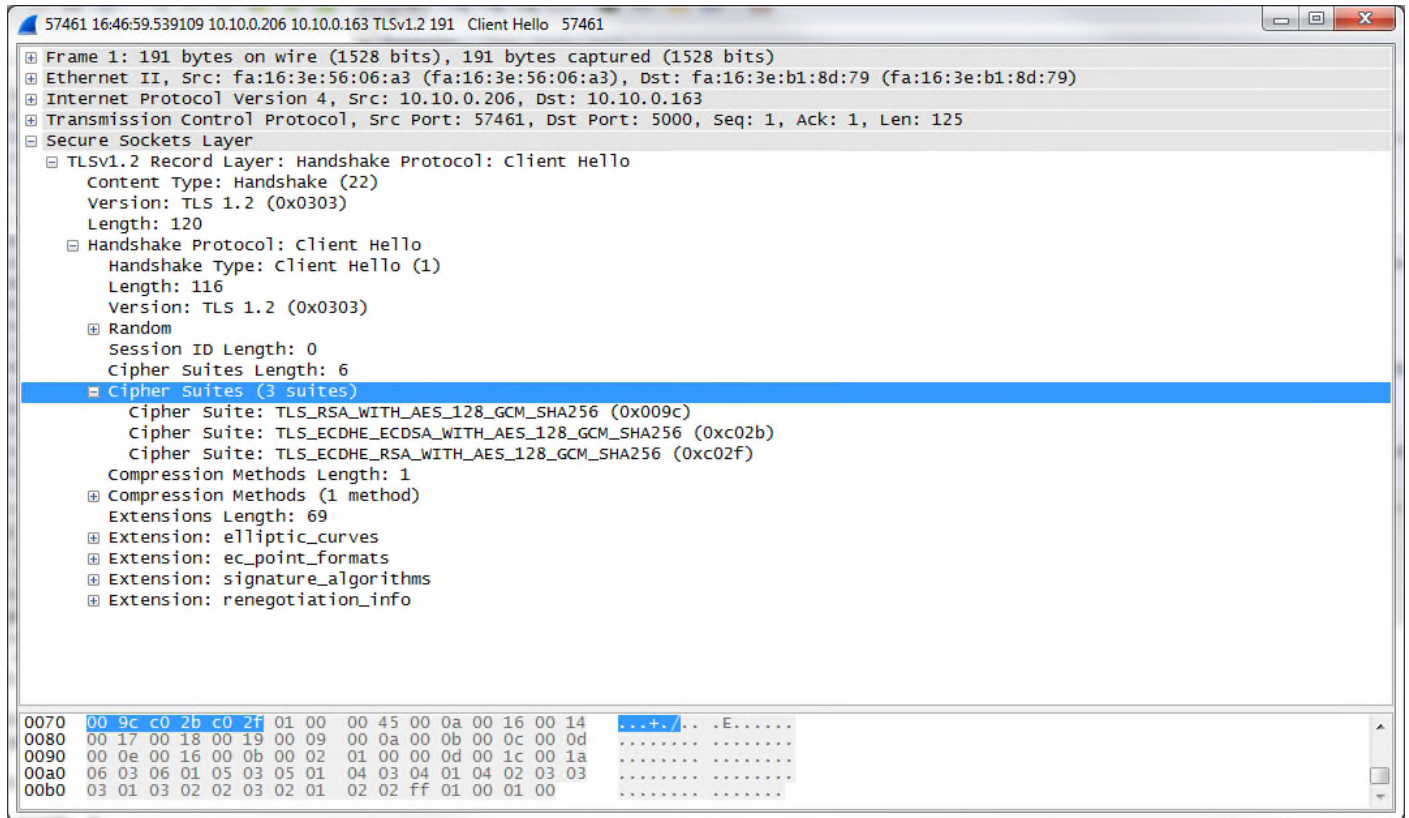
2. Cipher suite list from Client Hello is from WINNF approved list:

TLS_RSA_WITH_AES_128_GCM_SHA25


TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256

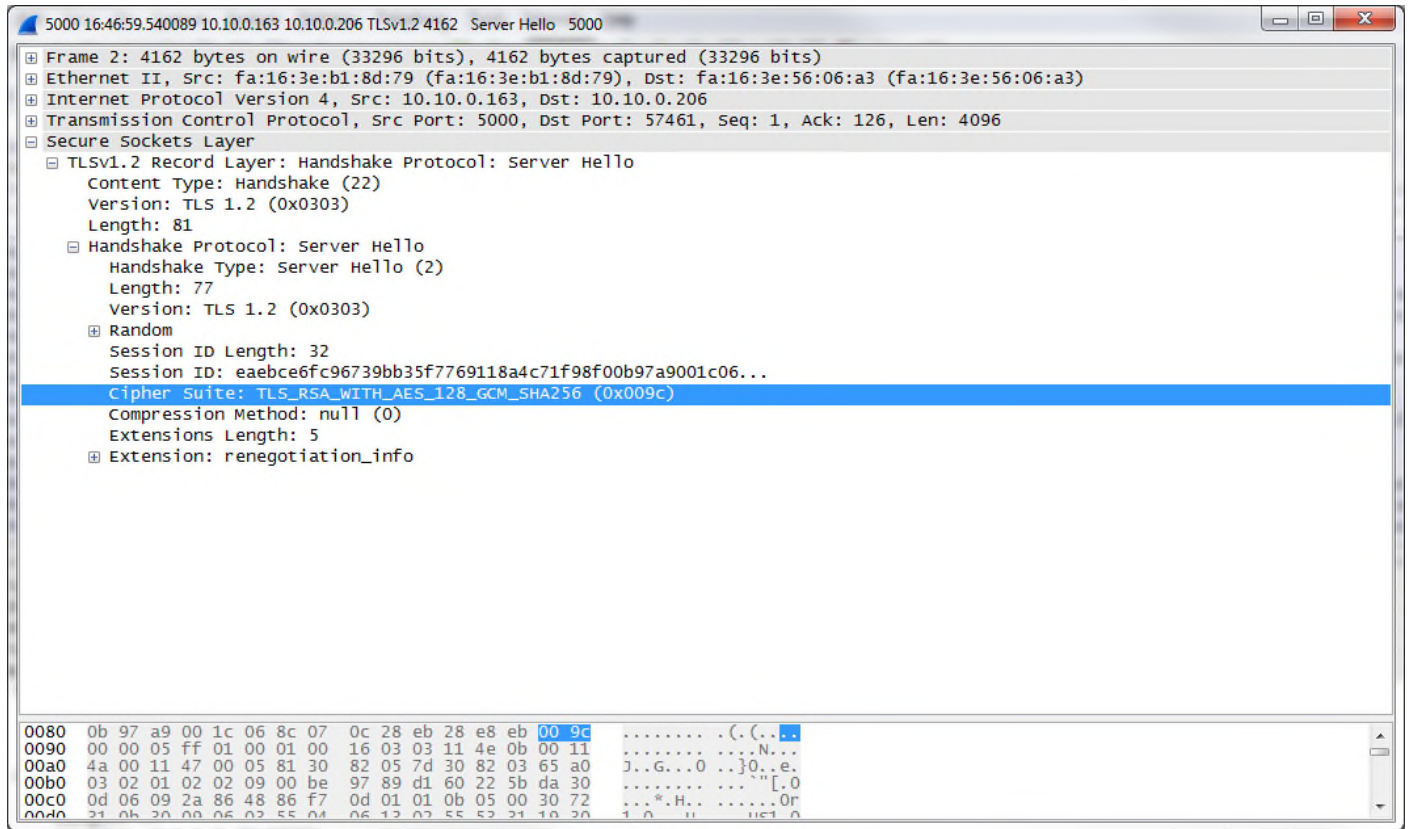
TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	




- Cipher suite chosen (from Server Hello):
TLS_RSA_WITH_AES_128_GCM_SHA256

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

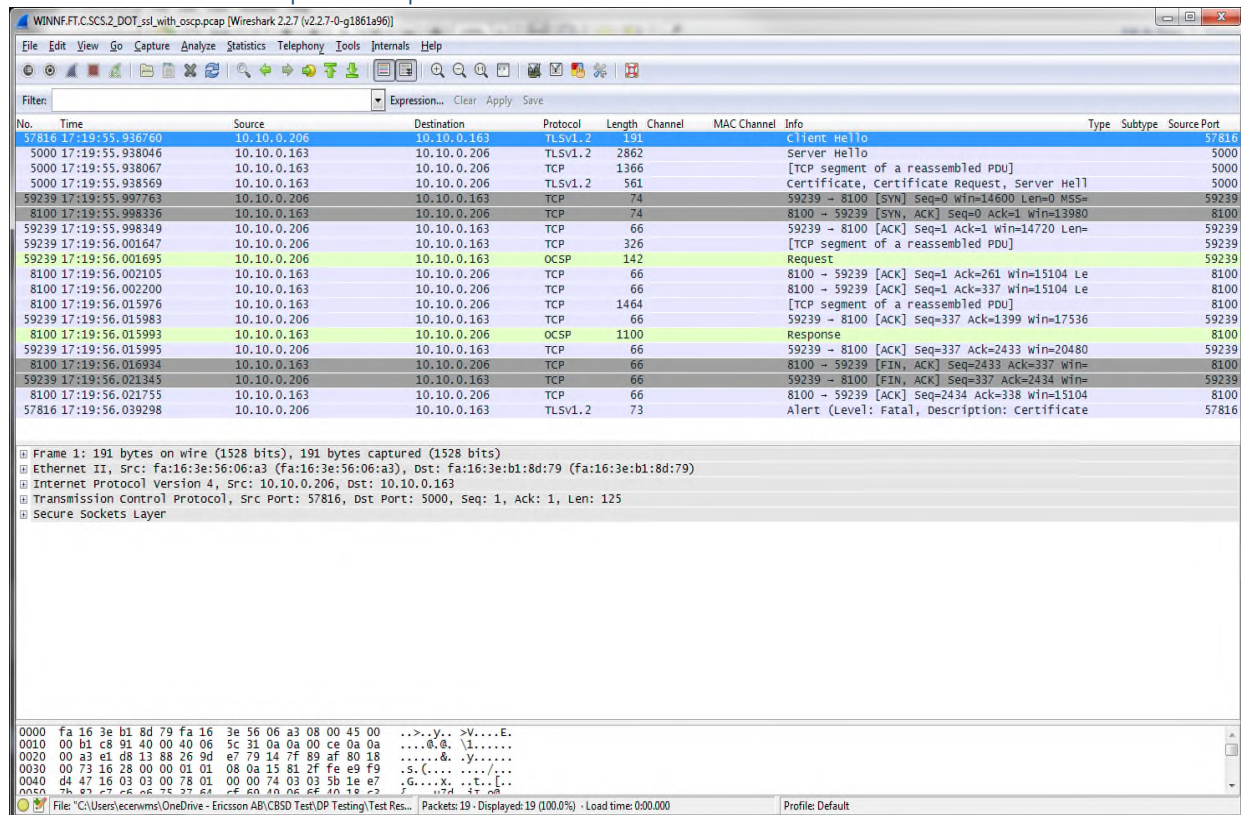


- The Registration request message arrived at the Test Harness, so authentication was completed.

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

WINNF.FT.C.SCS.2

Packet Capture Sequence



No.	Time	Source	Destination	Protocol	Length	Channel	MAC Channel	Info	Type	Subtype	Source Port
57816	17:19:55.936760	10.10.0.206	10.10.0.163	TLSv1.2	191			Client Hello			57816
5000	17:19:55.938046	10.10.0.163	10.10.0.206	TLSv1.2	2862			Server Hello			5000
5000	17:19:55.938067	10.10.0.163	10.10.0.206	TCP	1366			[TCP segment of a reassembled PDU]			5000
5000	17:19:55.938569	10.10.0.163	10.10.0.206	TLSv1.2	561			Certificate, Certificate Request, Server Hell			5000
59239	17:19:55.997763	10.10.0.206	10.10.0.163	TCP	74			59239 → 8100 [SYN] Seq=0 Win=14600 Len=0 MSS=			59239
8100	17:19:55.998336	10.10.0.163	10.10.0.206	TCP	74			8100 → 59239 [SYN, ACK] Seq=0 Ack=1 Win=13980			8100
59239	17:19:55.998349	10.10.0.206	10.10.0.163	TCP	66			59239 → 8100 [ACK] Seq=1 Ack=1 Win=14720 Len=			59239
59239	17:19:56.001647	10.10.0.206	10.10.0.163	TCP	326			[TCP segment of a reassembled PDU]			59239
59239	17:19:56.001695	10.10.0.206	10.10.0.163	OCSP	142			Request			59239
8100	17:19:56.002105	10.10.0.163	10.10.0.206	TCP	66			8100 → 59239 [ACK] Seq=1 Ack=261 Win=15104 Le			8100
8100	17:19:56.002200	10.10.0.163	10.10.0.206	TCP	66			8100 → 59239 [ACK] Seq=1 Ack=337 Win=15104 Le			8100
8100	17:19:56.015976	10.10.0.163	10.10.0.206	TCP	1464			[TCP segment of a reassembled PDU]			8100
59239	17:19:56.015983	10.10.0.206	10.10.0.163	TCP	66			59239 → 8100 [ACK] Seq=337 Ack=1399 Win=17536			59239
8100	17:19:56.015993	10.10.0.163	10.10.0.206	OCSP	1100			Response			8100
59239	17:19:56.015995	10.10.0.206	10.10.0.163	TCP	66			59239 → 8100 [ACK] Seq=337 Ack=2433 Win=20480			59239
8100	17:19:56.016934	10.10.0.163	10.10.0.206	TCP	66			8100 → 59239 [FIN, ACK] Seq=2433 Ack=337 Win=			8100
59239	17:19:56.021345	10.10.0.206	10.10.0.163	TCP	66			59239 → 8100 [FIN, ACK] Seq=337 Ack=2434 Win=			59239
8100	17:19:56.021755	10.10.0.163	10.10.0.206	TCP	66			8100 → 59239 [ACK] Seq=2434 Ack=338 Win=15104			8100
57816	17:19:56.039298	10.10.0.206	10.10.0.163	TLSv1.2	73			Alert (Level: Fatal, Description: Certificate			57816


WINNF Test Requirements:

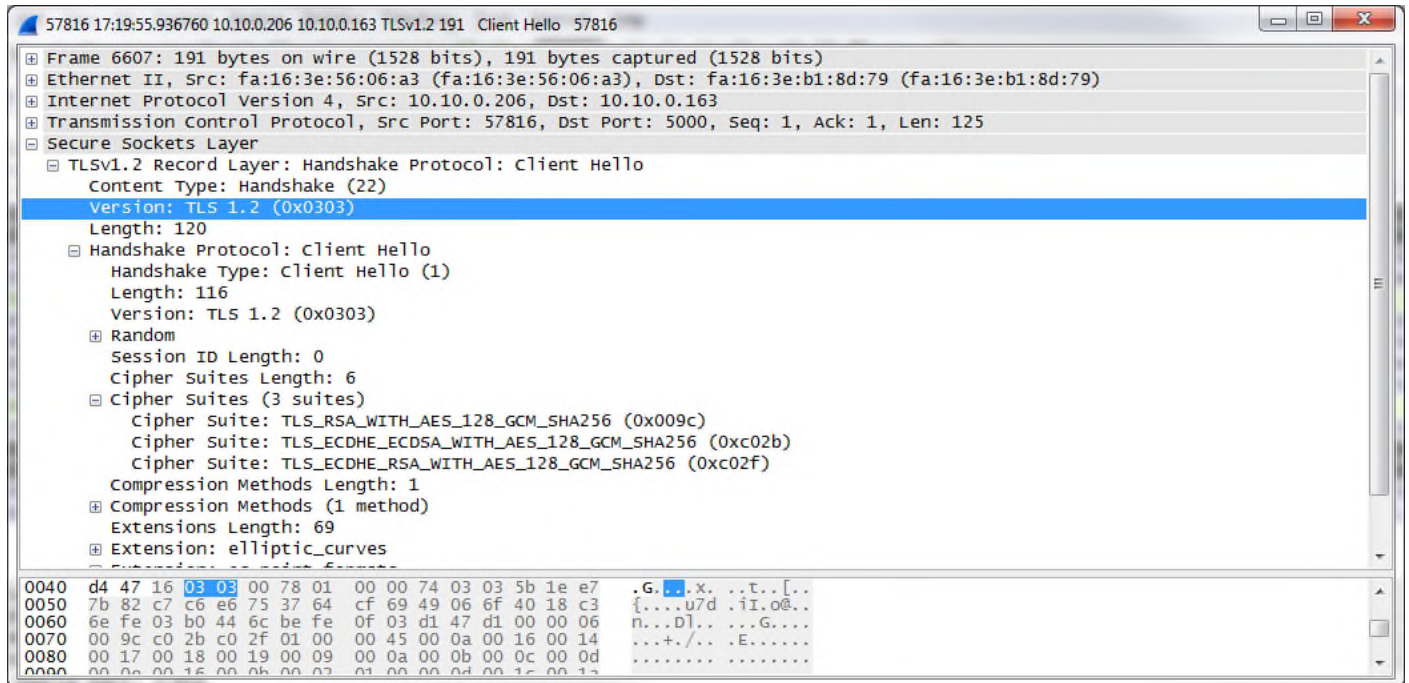
WINNF test requirements from WINNF-TS-0122-V1.0.0 CBRS CBSD Test Specification:

2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate. Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	PASS	FAIL

Analysis of WINNF Test Requirements


- From Client Hello can read: TLS version = TLS 1.2

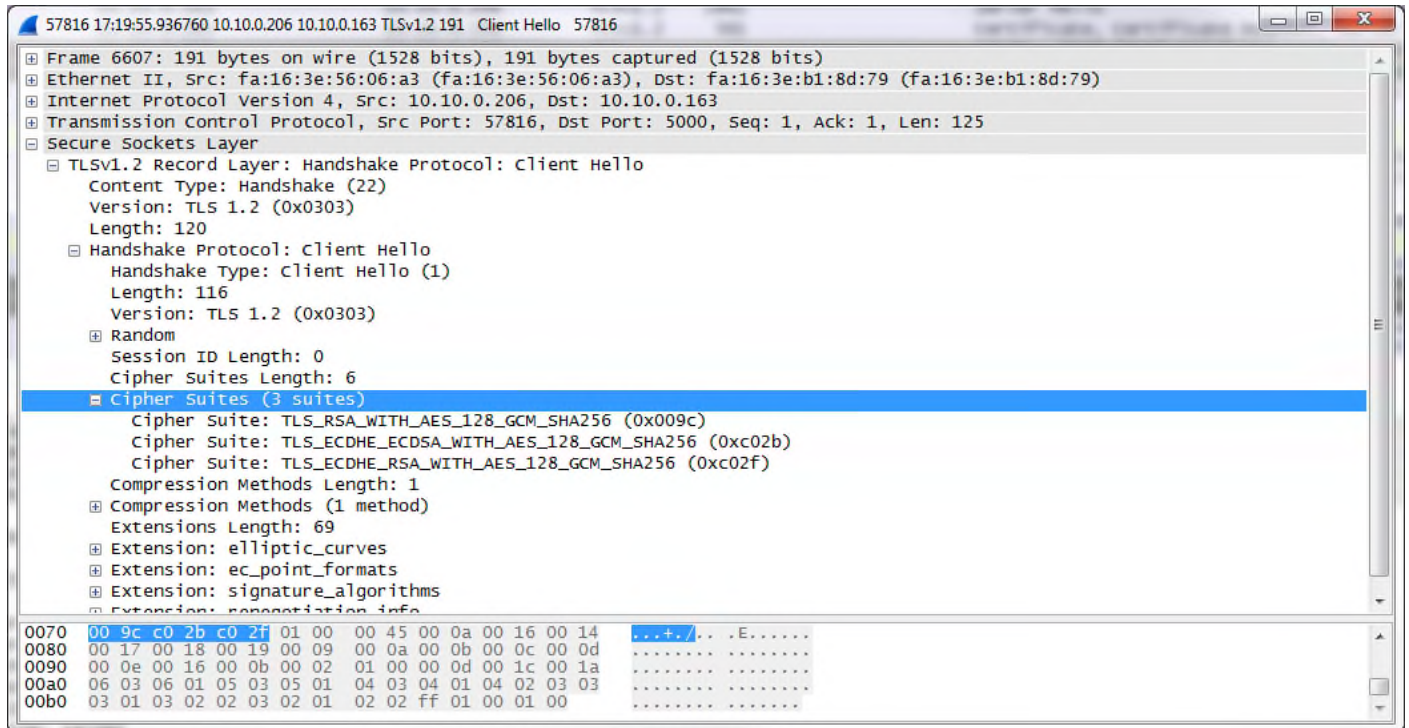
Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	




- From Client Hello, cipher suite list is from WINNF approved list:

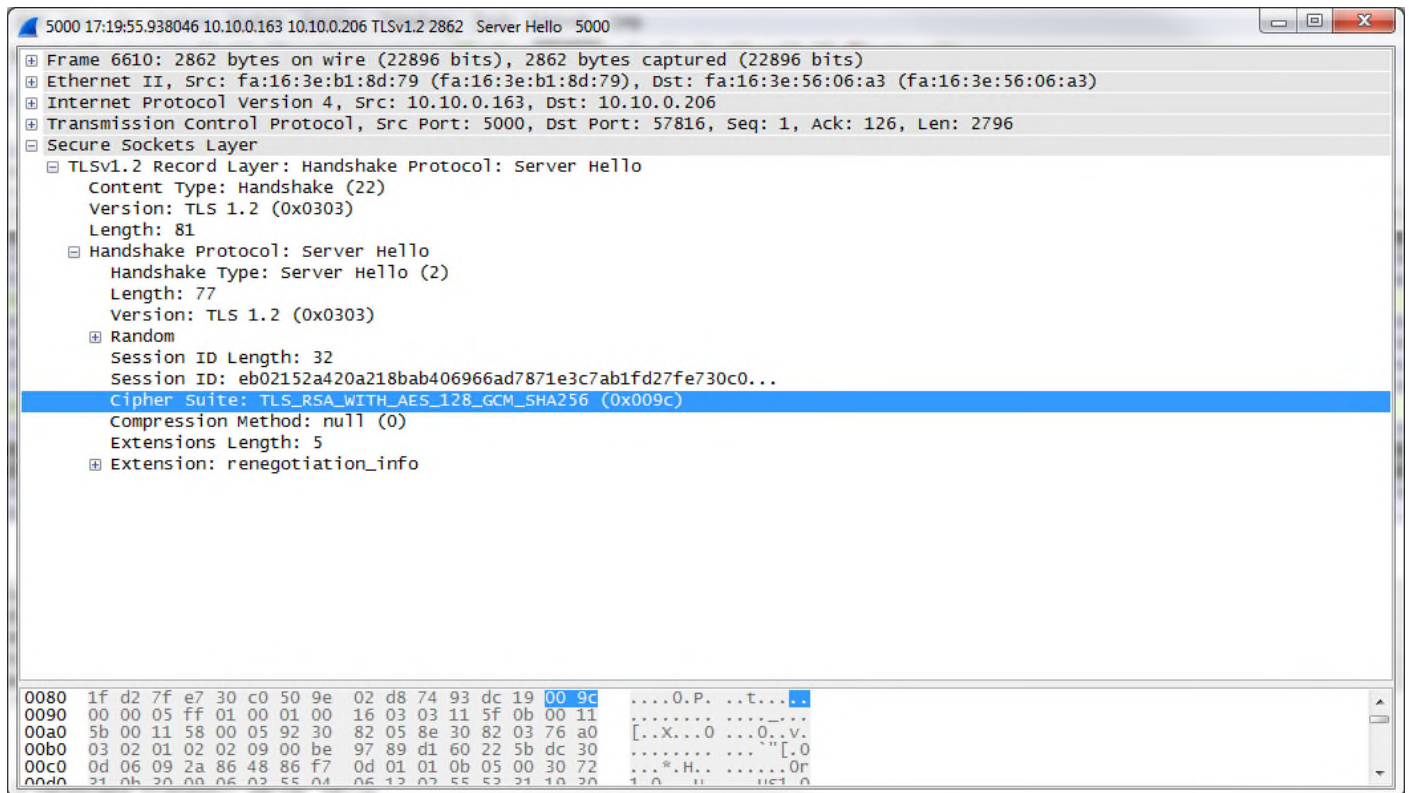
TLS_RSA_WITH_AES_128_GCM_SHA25
 TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256
 TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	




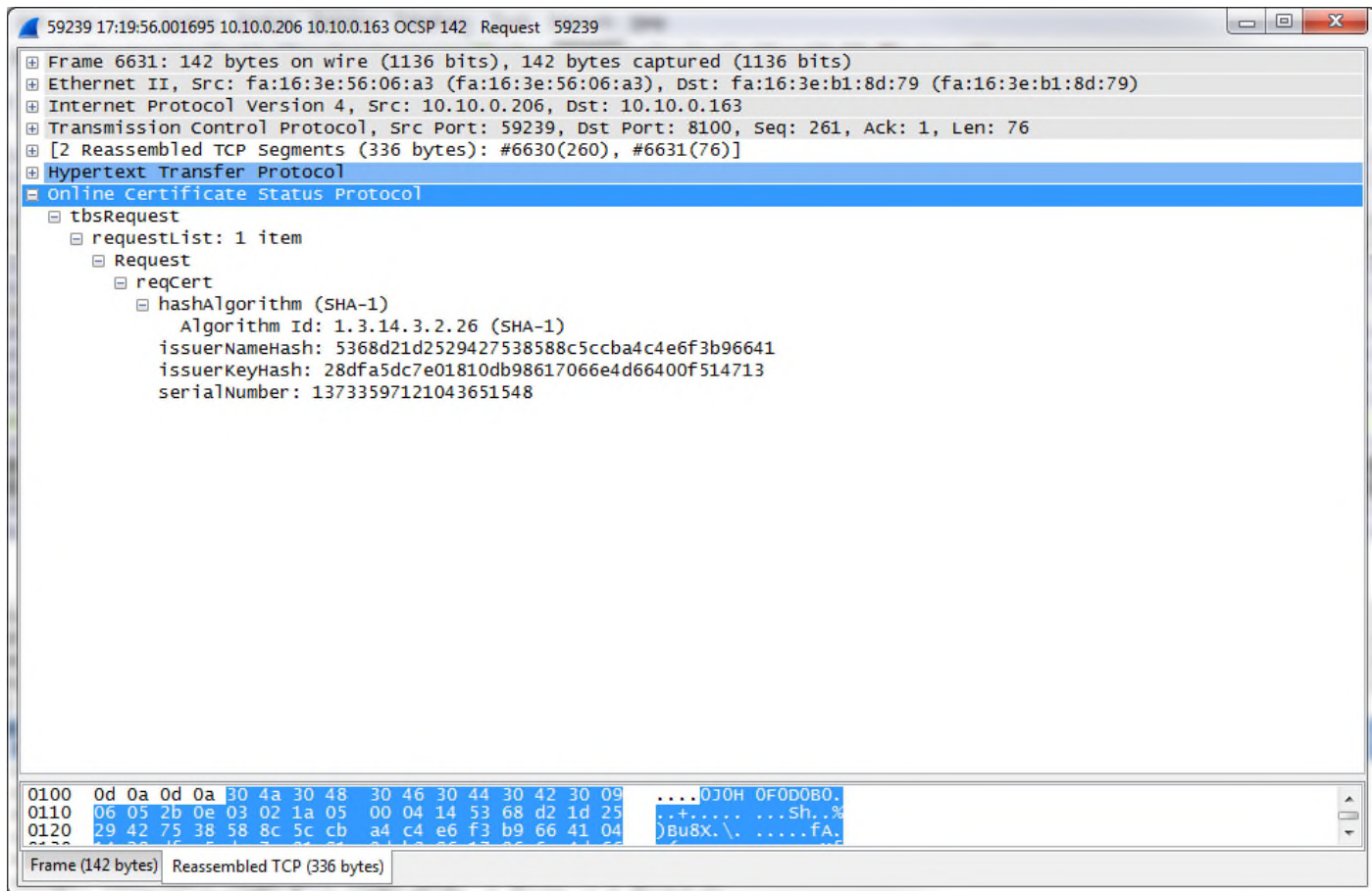
3. From Server Hello, cipher suite chosen:
TLS_RSA_WITH_AES_128_GCM_SHA256


Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

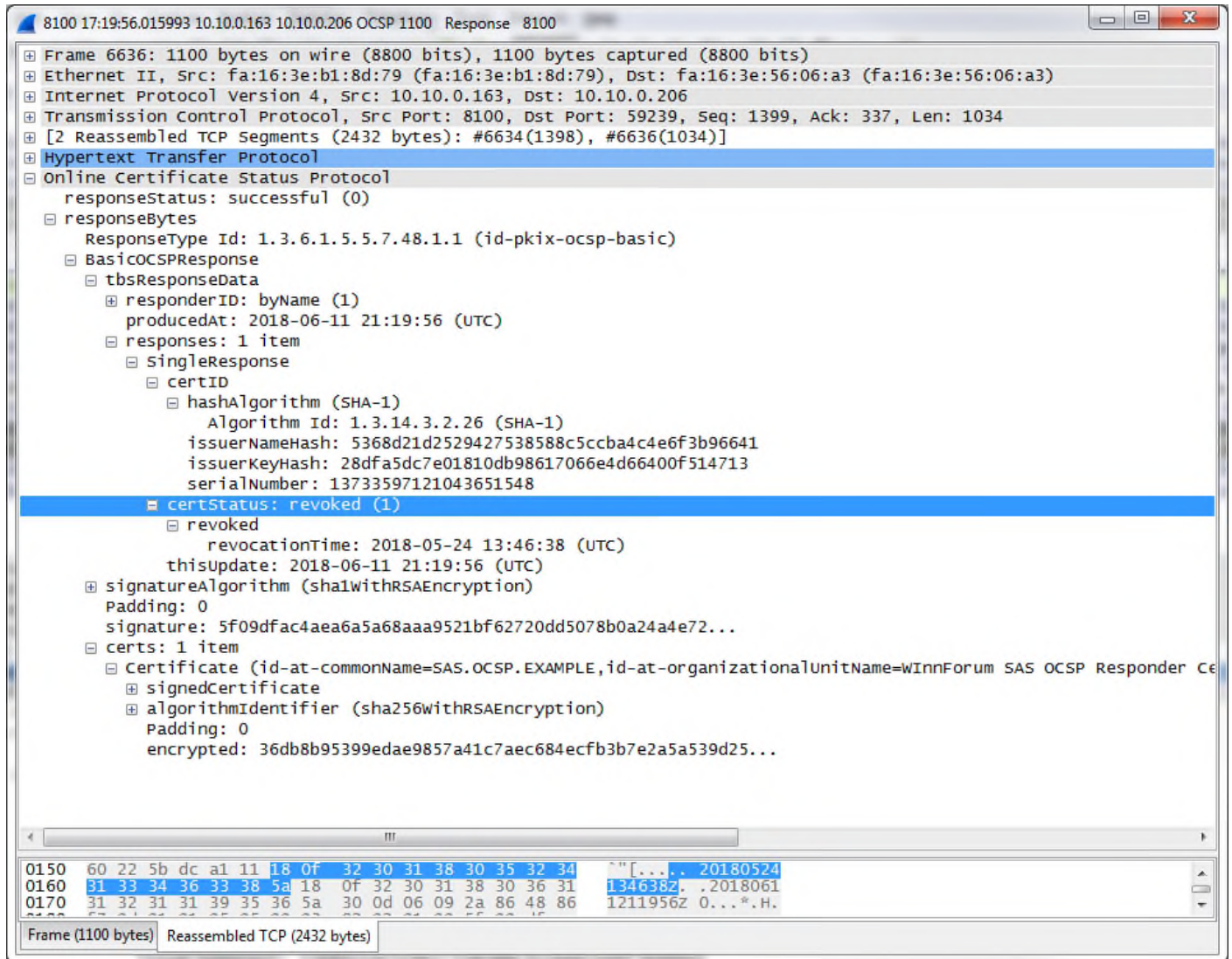


4. Read OSCP Request/Response to/from server:


Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

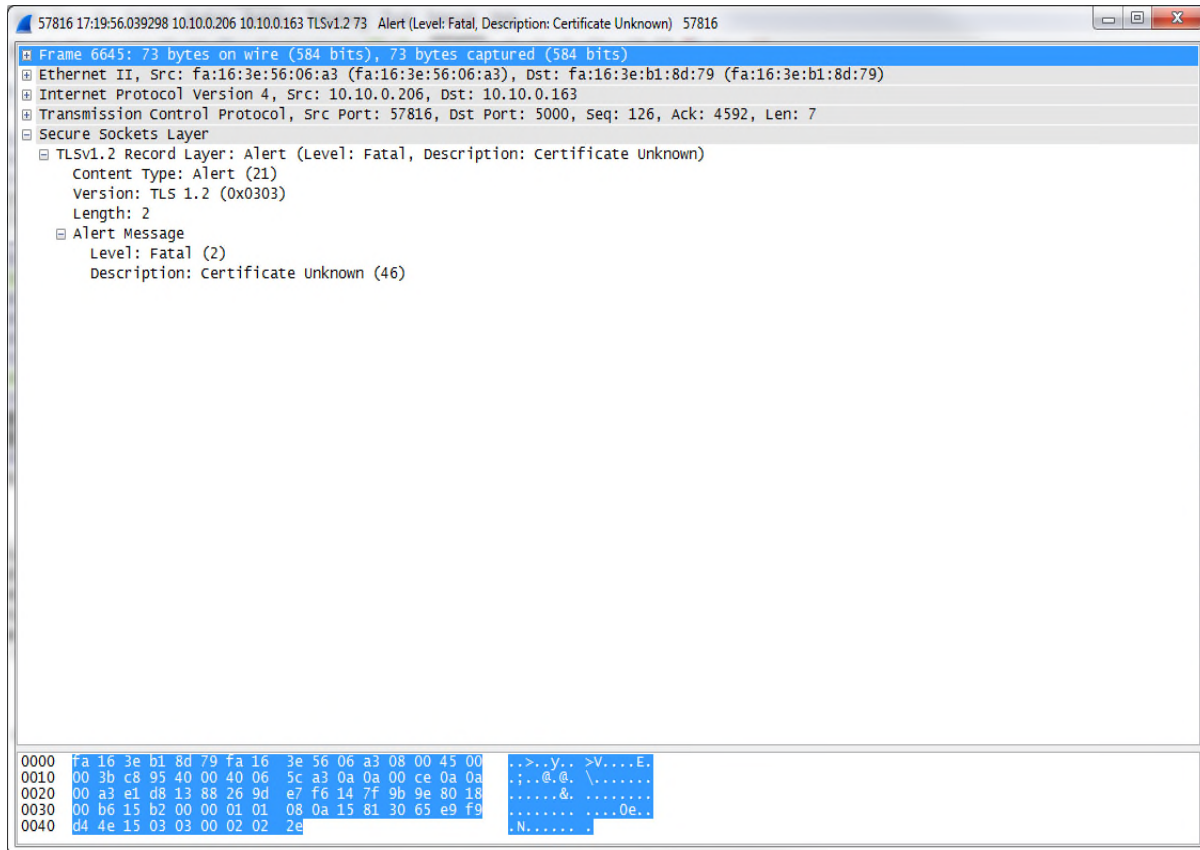


Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	




5. Authentication exchange ends with TLS Alert message (i.e. authentication fails):

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

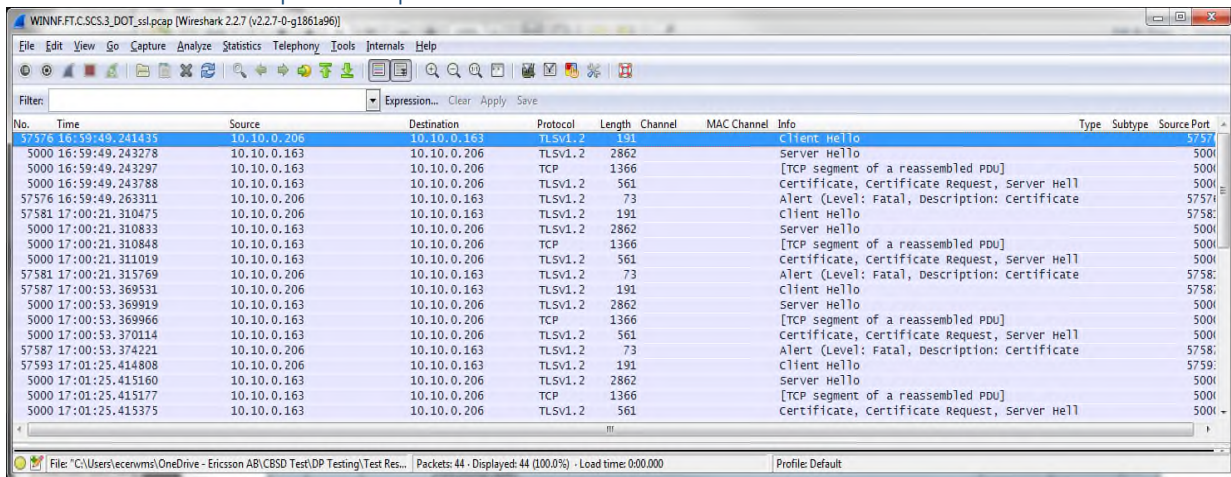


6. Registration request message is not received at Test Harness (authentication fails)

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

WINNF.FT.C.SCS.3

Packet Capture Sequence



No.	Time	Source	Destination	Protocol	Length	Channel	MAC Channel	Info	Type	Subtype	Source Port
57576	16:59:49.241435	10.10.0.206	10.10.0.163	TLSv1.2	191			Client Hello	57576		
5000	16:59:49.243278	10.10.0.163	10.10.0.206	TLSv1.2	2862			Server Hello	5000		
5000	16:59:49.243297	10.10.0.163	10.10.0.206	TCP	1366			[TCP segment of a reassembled PDU]	5000		
5000	16:59:49.243788	10.10.0.163	10.10.0.206	TLSv1.2	561			Certificate, Certificate Request, Server Hell	5000		
57576	16:59:49.263311	10.10.0.206	10.10.0.163	TLSv1.2	73			Alert (Level: Fatal, Description: Certificate	57576		
57581	17:00:21.310475	10.10.0.206	10.10.0.163	TLSv1.2	191			Client Hello	57581		
5000	17:00:21.310833	10.10.0.163	10.10.0.206	TLSv1.2	2862			Server Hello	5000		
5000	17:00:21.310848	10.10.0.163	10.10.0.206	TCP	1366			[TCP segment of a reassembled PDU]	5000		
5000	17:00:21.311019	10.10.0.163	10.10.0.206	TLSv1.2	561			Certificate, Certificate Request, Server Hell	5000		
57581	17:00:21.315769	10.10.0.206	10.10.0.163	TLSv1.2	73			Alert (Level: Fatal, Description: Certificate	57581		
57587	17:00:53.369531	10.10.0.206	10.10.0.163	TLSv1.2	191			Client Hello	57587		
5000	17:00:53.369919	10.10.0.163	10.10.0.206	TLSv1.2	2862			Server Hello	5000		
5000	17:00:53.369966	10.10.0.163	10.10.0.206	TCP	1366			[TCP segment of a reassembled PDU]	5000		
5000	17:00:53.370114	10.10.0.163	10.10.0.206	TLSv1.2	561			Certificate, Certificate Request, Server Hell	5000		
57587	17:00:53.374221	10.10.0.206	10.10.0.163	TLSv1.2	73			Alert (Level: Fatal, Description: certificate	57587		
57593	17:01:25.414808	10.10.0.206	10.10.0.163	TLSv1.2	191			Client Hello	57593		
5000	17:01:25.415160	10.10.0.163	10.10.0.206	TLSv1.2	2862			Server Hello	5000		
5000	17:01:25.415177	10.10.0.163	10.10.0.206	TCP	1366			[TCP segment of a reassembled PDU]	5000		
5000	17:01:25.415375	10.10.0.163	10.10.0.206	TLSv1.2	561			Certificate, Certificate Request, Server Hell	5000		


WINNF Test Requirements:

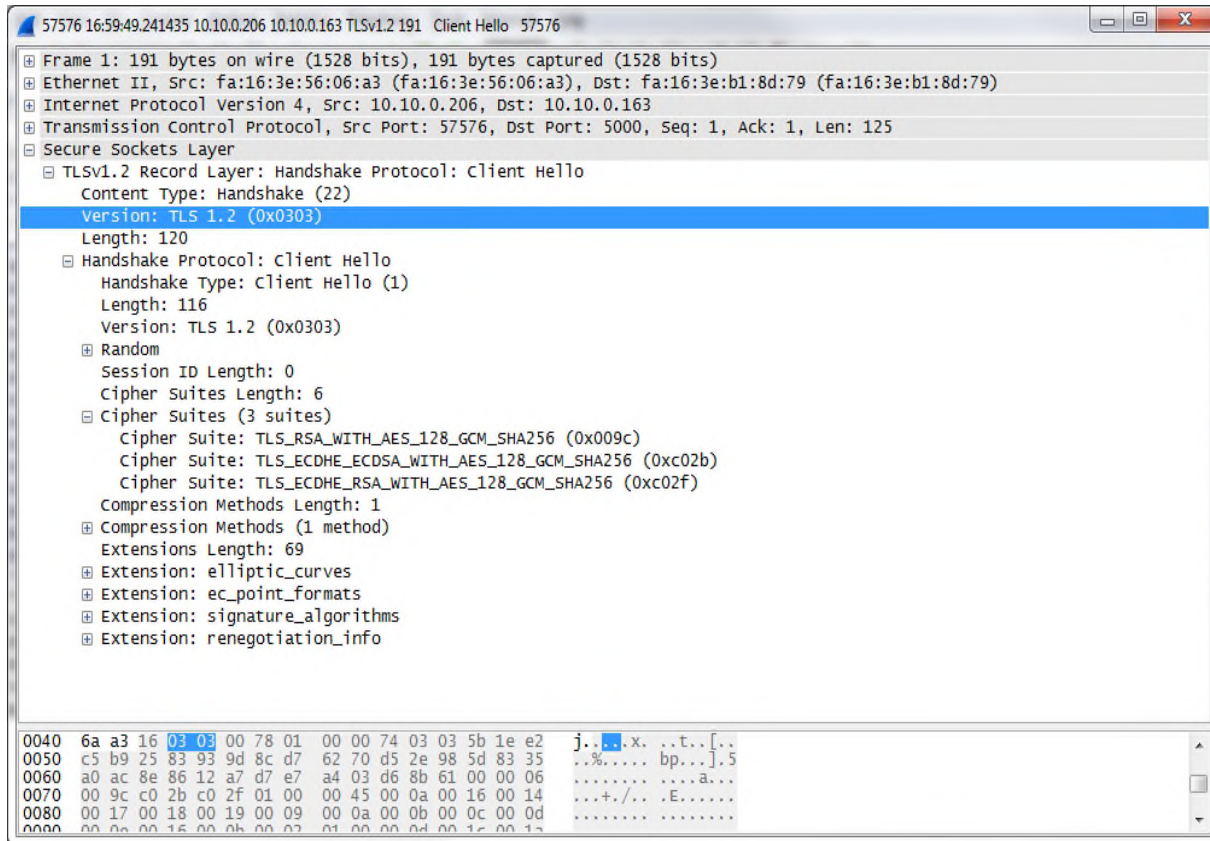
WINNF test requirements from WINNF-TS-0122-V1.0.0 CBRS CBSD Test Specification:

2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate. Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	PASS	FAIL

Analysis of WINNF Test Requirements


1. From Client Hello can read: TLS version = TLS 1.2

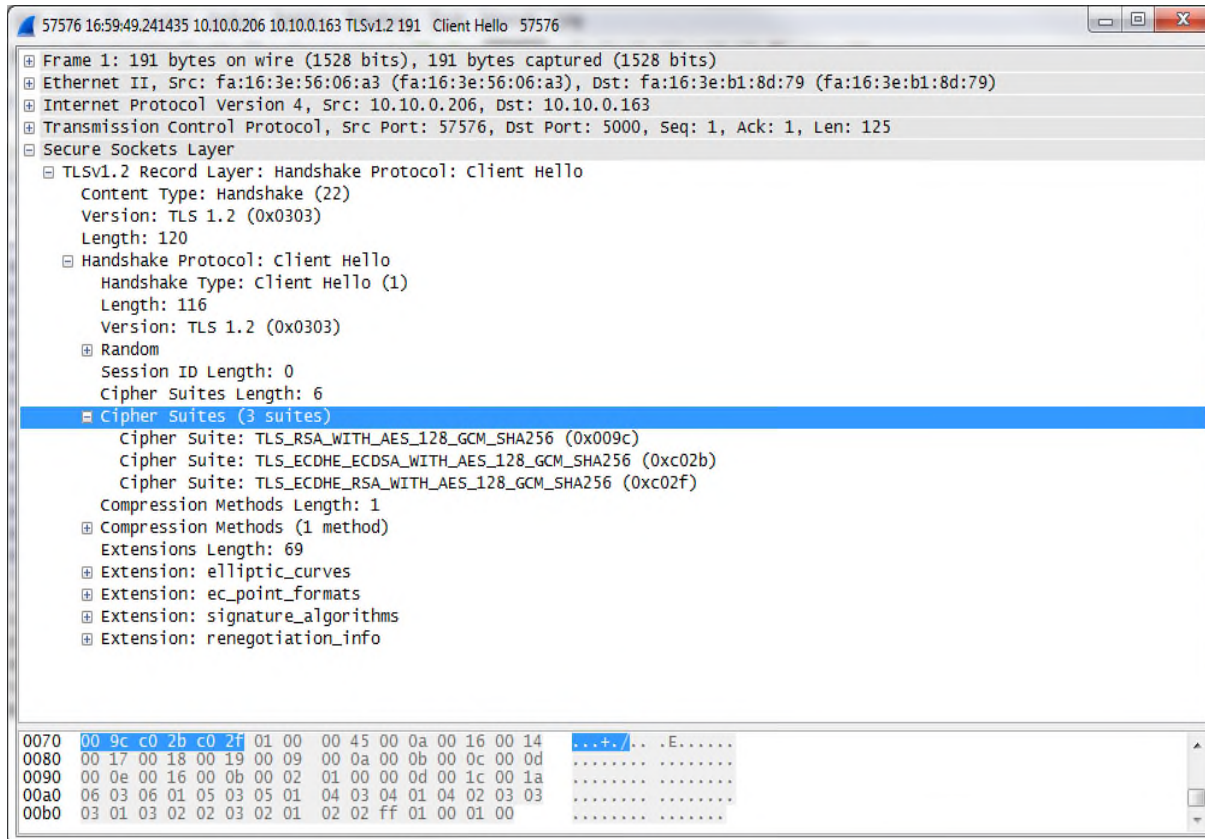
Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	




- From Client Hello, cipher suite list is from WINNF approved list:

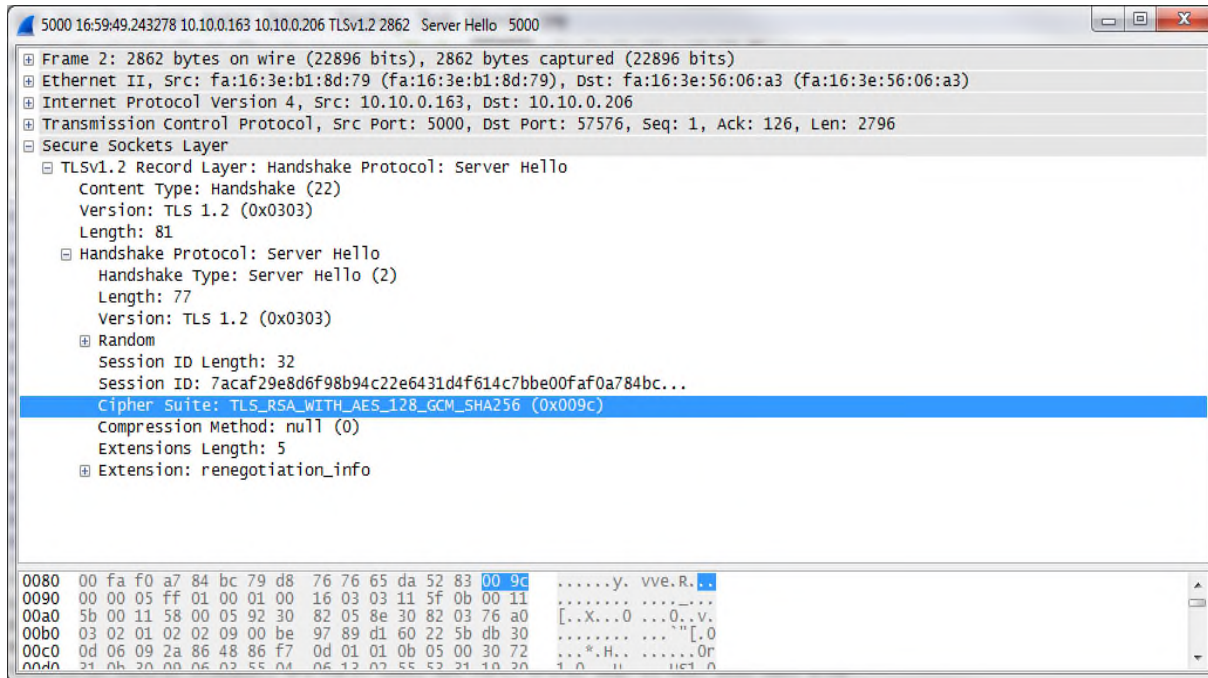
TLS_RSA_WITH_AES_128_GCM_SHA25
 TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256
 TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	




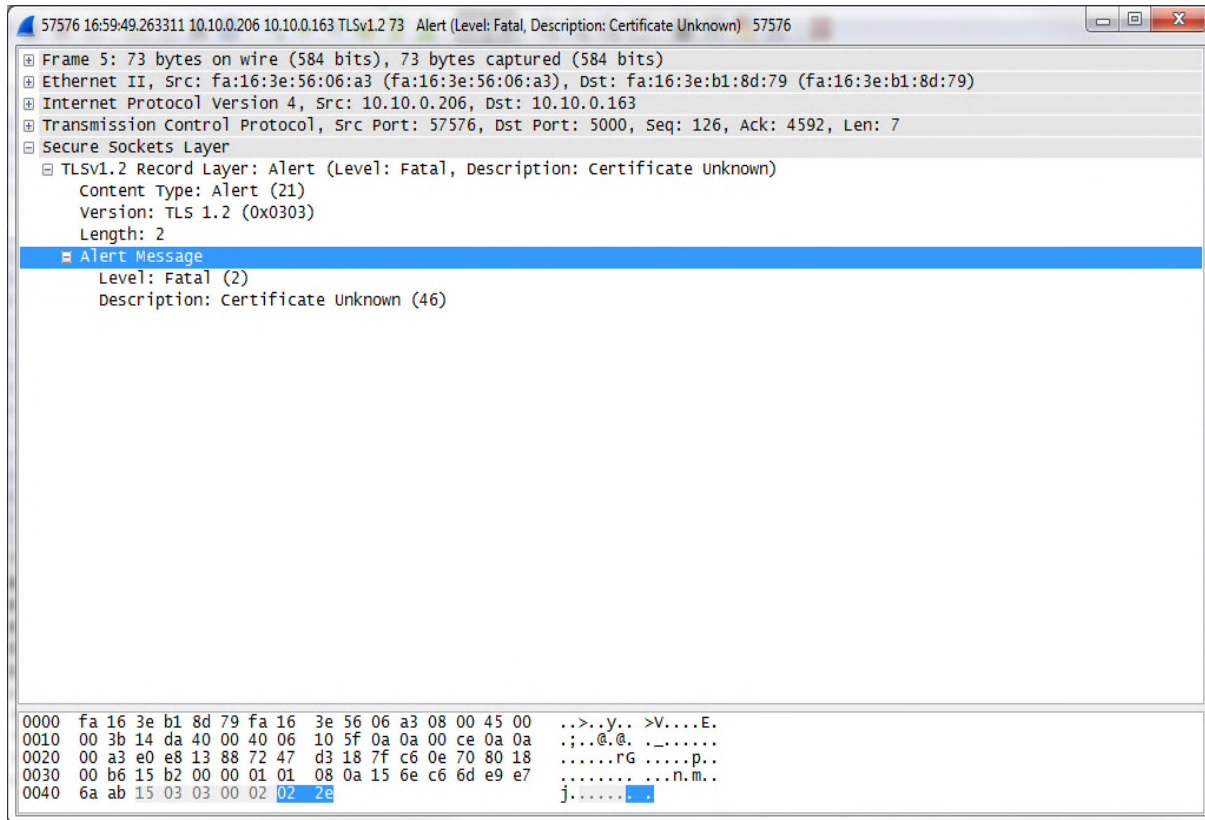
- From Server Hello, cipher suite chosen:
TLS_RSA_WITH_AES_128_GCM_SHA256

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	




- Authentication exchange ends with TLS Alert message (i.e. authentication fails):

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

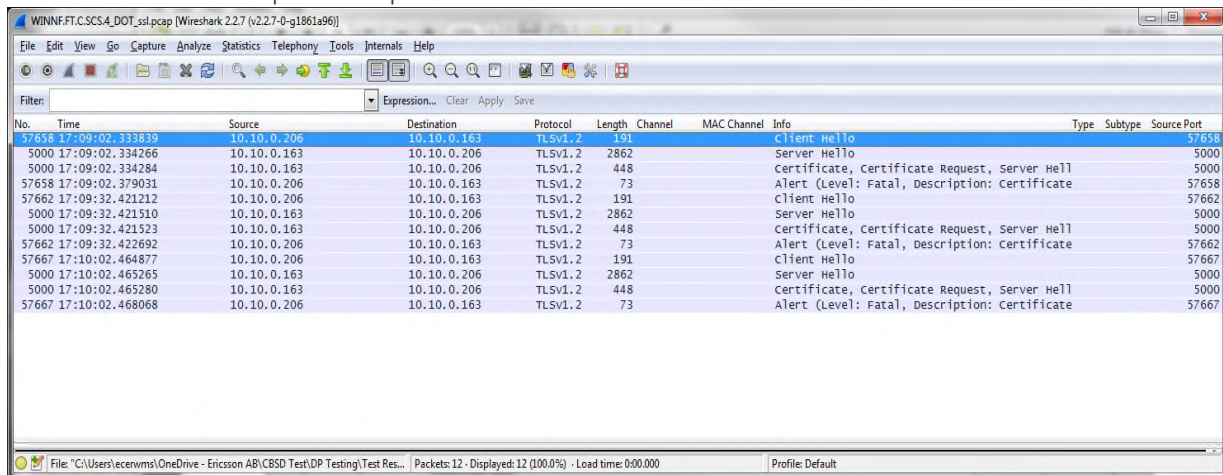


- Registration request message is not received at Test Harness (authentication fails)

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

WINNF.FT.C.SCS.4

Packet Capture Sequence



No.	Time	Source	Destination	Protocol	Length	Channel	MAC Channel	Info	Type	Subtype	Source Port
57658	17:09:02.333839	10.10.0.206	10.10.0.163	TLSv1.2	191			Client Hello	57658		
5000	17:09:02.334266	10.10.0.163	10.10.0.206	TLSv1.2	2862			Server Hello	5000		
5000	17:09:02.334284	10.10.0.163	10.10.0.206	TLSv1.2	448			Certificate, Certificate Request, Server Hell	5000		
57658	17:09:02.379031	10.10.0.206	10.10.0.163	TLSv1.2	73			Alert (Level: Fatal, Description: Certificate	57658		
57662	17:09:32.421212	10.10.0.206	10.10.0.163	TLSv1.2	191			Client Hello	57662		
5000	17:09:32.421510	10.10.0.163	10.10.0.206	TLSv1.2	2862			Server Hello	5000		
5000	17:09:32.421523	10.10.0.163	10.10.0.206	TLSv1.2	448			Certificate, Certificate Request, Server Hell	5000		
57662	17:09:32.422692	10.10.0.206	10.10.0.163	TLSv1.2	73			Alert (Level: Fatal, Description: Certificate	57662		
57667	17:10:02.464877	10.10.0.206	10.10.0.163	TLSv1.2	191			Client Hello	57667		
5000	17:10:02.465265	10.10.0.163	10.10.0.206	TLSv1.2	2862			Server Hello	5000		
5000	17:10:02.465280	10.10.0.163	10.10.0.206	TLSv1.2	448			Certificate, Certificate Request, Server Hell	5000		
57667	17:10:02.468068	10.10.0.206	10.10.0.163	TLSv1.2	73			Alert (Level: Fatal, Description: Certificate	57667		


WINNF Test Requirements:

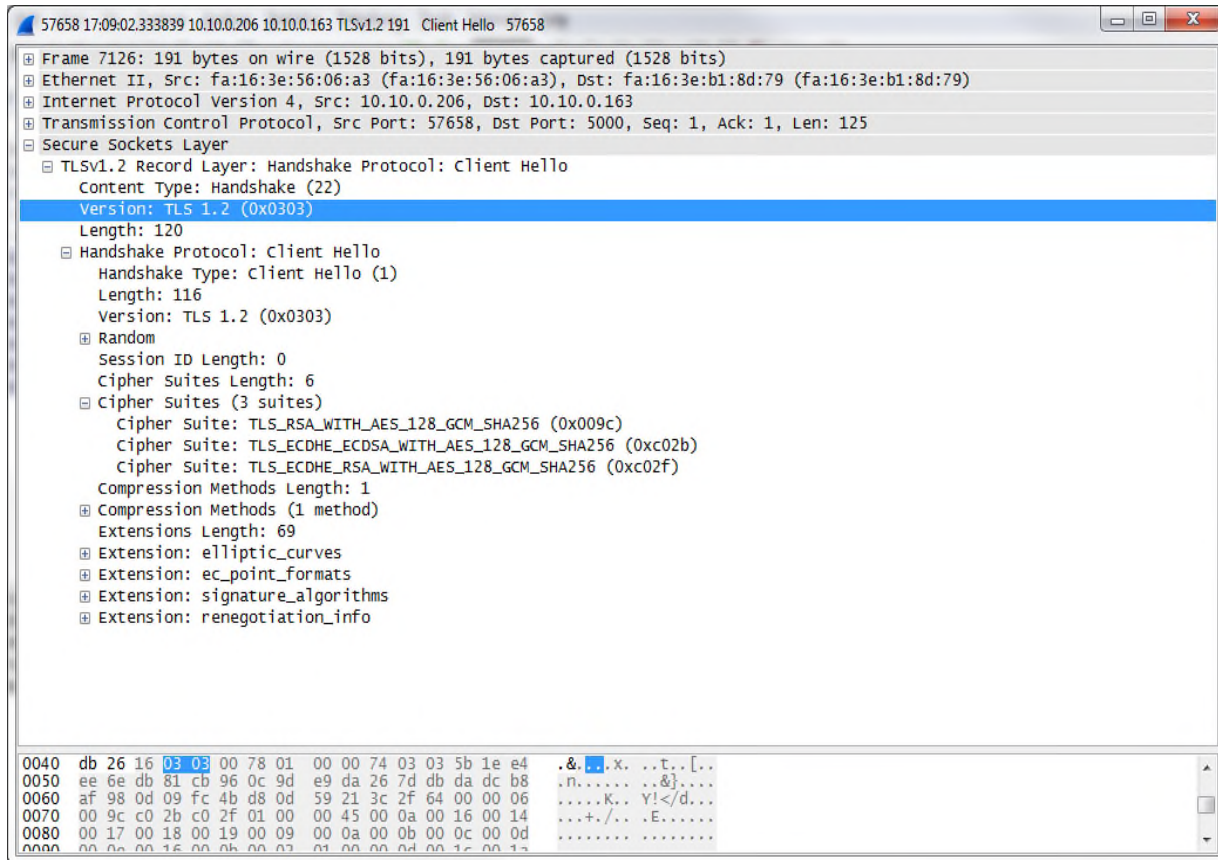
WINNF test requirements from WINNF-TS-0122-V1.0.0 CBRS CBSD Test Specification:

2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	PASS	FAIL

Analysis of WINNF Test Requirements


1. From Client Hello can read: TLS version = TLS 1.2

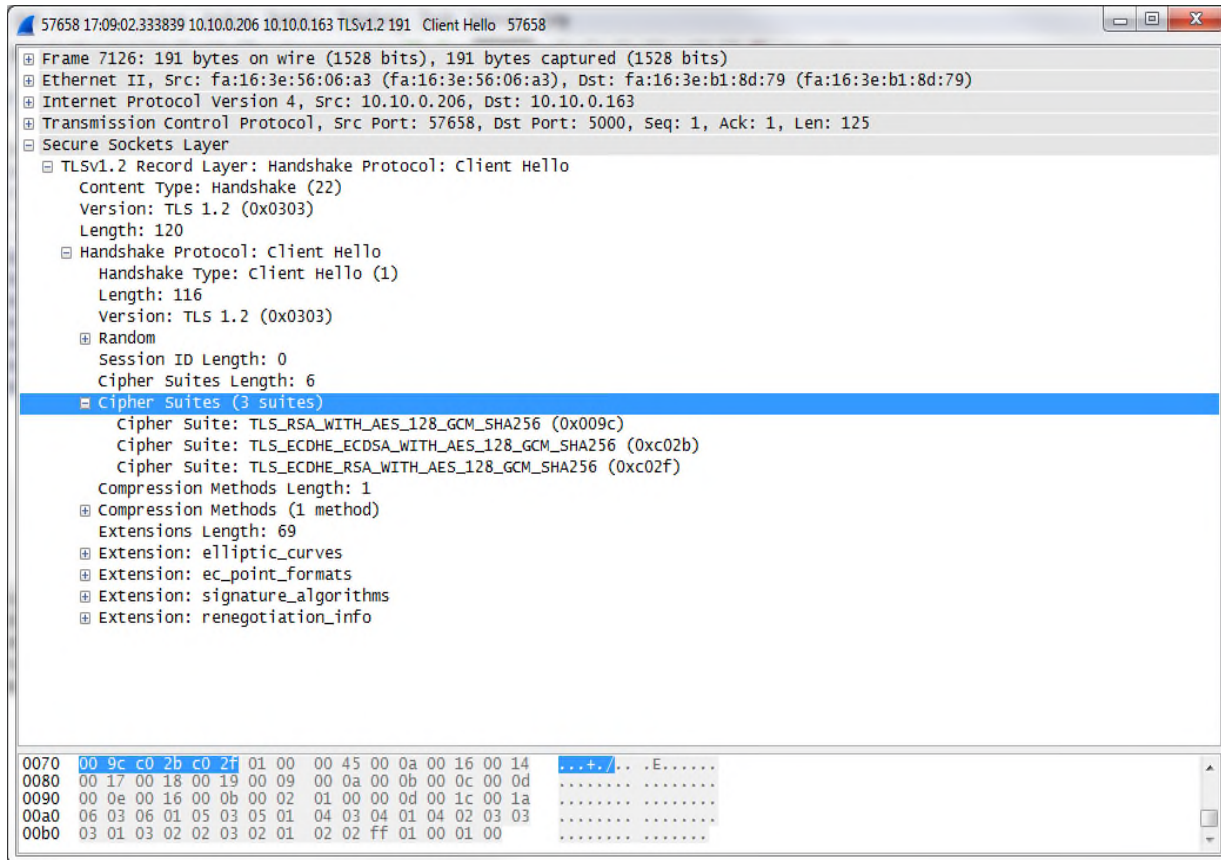
Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	




- From Client Hello, cipher suite list is from WINNF approved list:

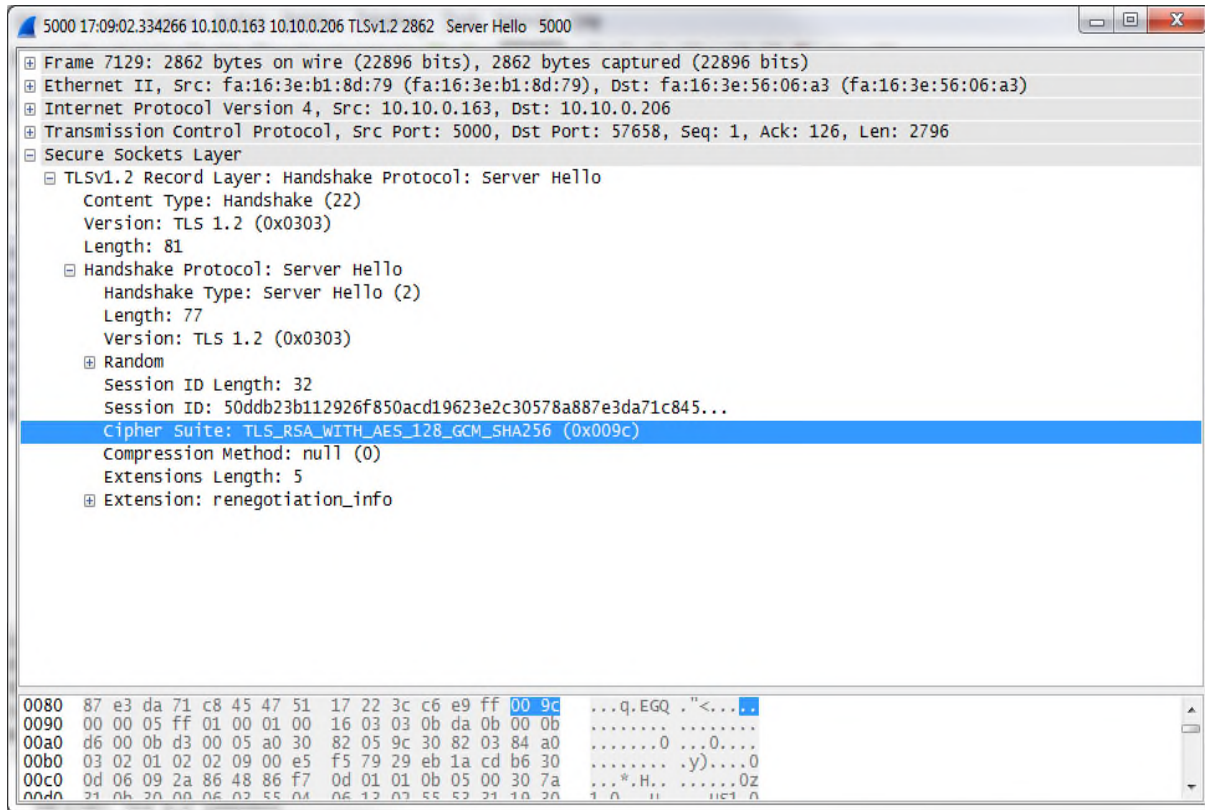
TLS_RSA_WITH_AES_128_GCM_SHA256
 TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256
 TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	




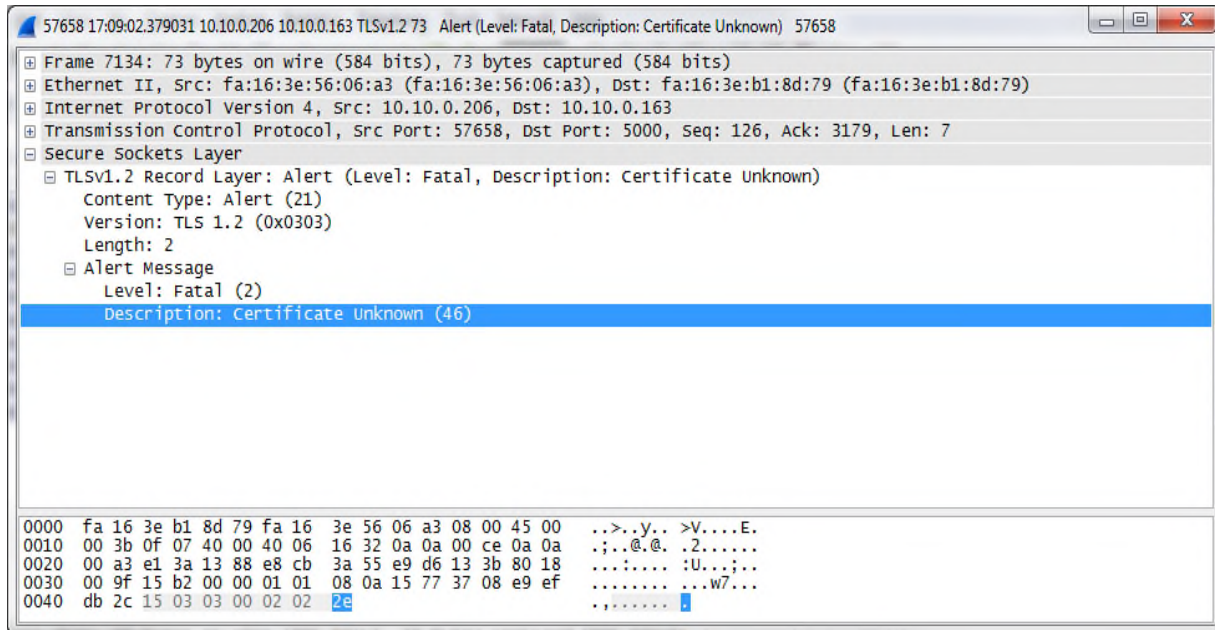
- From Server Hello, cipher suite chosen:
TLS_RSA_WITH_AES_128_GCM_SHA256

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	



4. Authentication exchange ends with TLS Alert message (i.e. authentication fails):

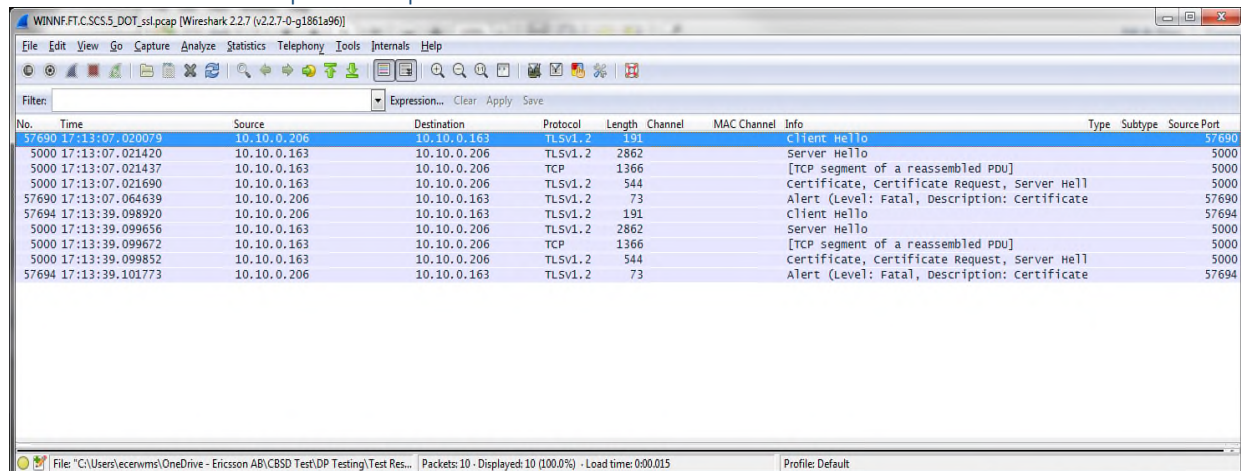
Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	




- Registration request message is not received at Test Harness (authentication fails)

WINNF.FT.C.SCS.5

Packet Capture Sequence



No.	Time	Source	Destination	Protocol	Length	Channel	MAC Channel	Info	Type	Subtype	Source Port
57690	17:13:07.020079	10.10.0.206	10.10.0.163	TLSv1.2	191			Client Hello			57690
5000	17:13:07.021420	10.10.0.163	10.10.0.206	TLSv1.2	2862			Server Hello			5000
5000	17:13:07.021437	10.10.0.163	10.10.0.206	TCP	1366			[TCP segment of a reassembled PDU]			5000
5000	17:13:07.021690	10.10.0.163	10.10.0.206	TLSv1.2	544			Certificate, Certificate Request, Server Hello			5000
57690	17:13:07.064639	10.10.0.206	10.10.0.163	TLSv1.2	73			Alert (Level: Fatal, Description: certificate Unknown)			57690
57694	17:13:07.098920	10.10.0.206	10.10.0.163	TLSv1.2	191			Client Hello			57694
5000	17:13:39.099656	10.10.0.163	10.10.0.206	TLSv1.2	2862			Server Hello			5000
5000	17:13:39.099672	10.10.0.163	10.10.0.206	TCP	1366			[TCP segment of a reassembled PDU]			5000
5000	17:13:39.099852	10.10.0.163	10.10.0.206	TLSv1.2	544			Certificate, Certificate Request, Server Hello			5000
57694	17:13:39.101773	10.10.0.206	10.10.0.163	TLSv1.2	73			Alert (Level: Fatal, Description: Certificate Unknown)			57694

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

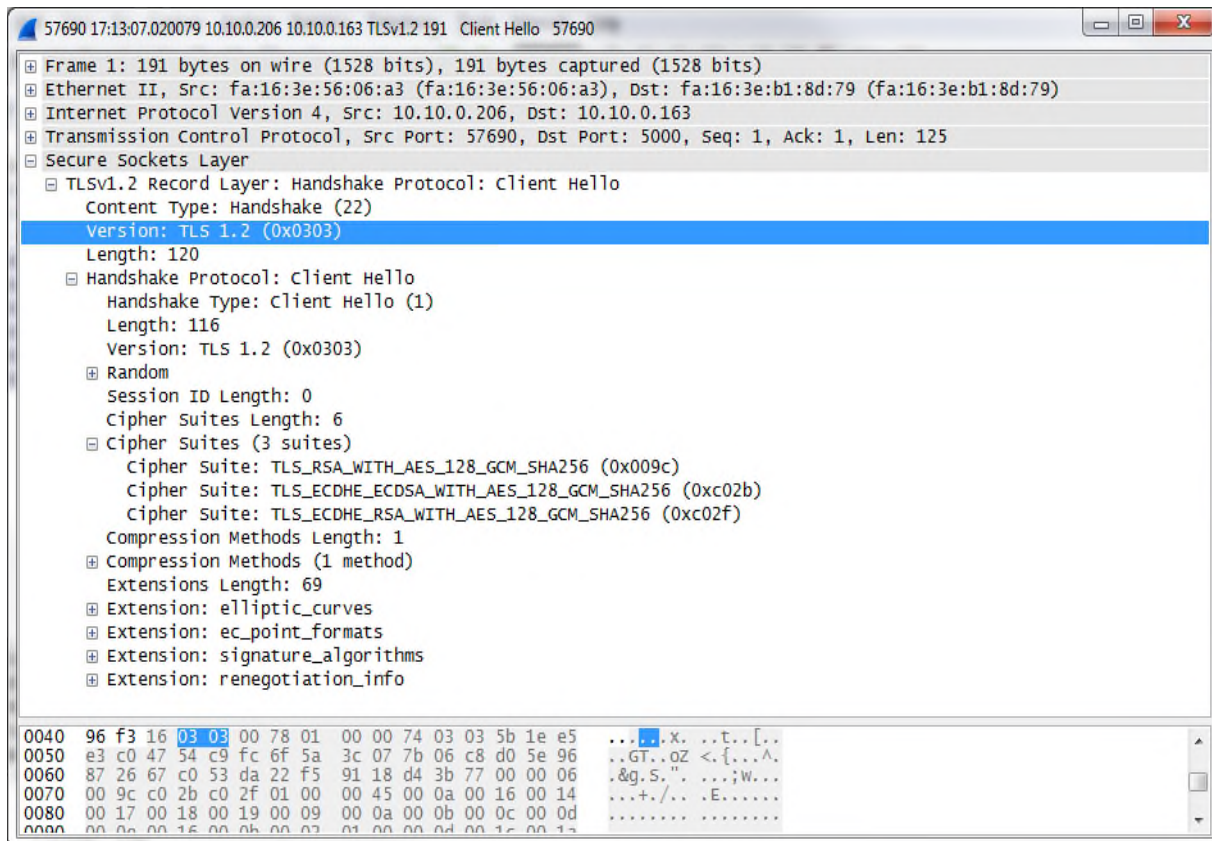
WINNF Test Requirements:


WINNF test requirements from WINNF-TS-0122-V1.0.0 CBRS CBSD Test Specification:

2	<ul style="list-style-type: none"> • Make sure that UUT uses TLS v1.2 for security establishment. • Make sure UUT selects the correct cipher suite. • UUT shall use CRL or OCSP to verify the validity of the server certificate. • Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	PASS	FAIL
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Analysis of WINNF Test Requirements

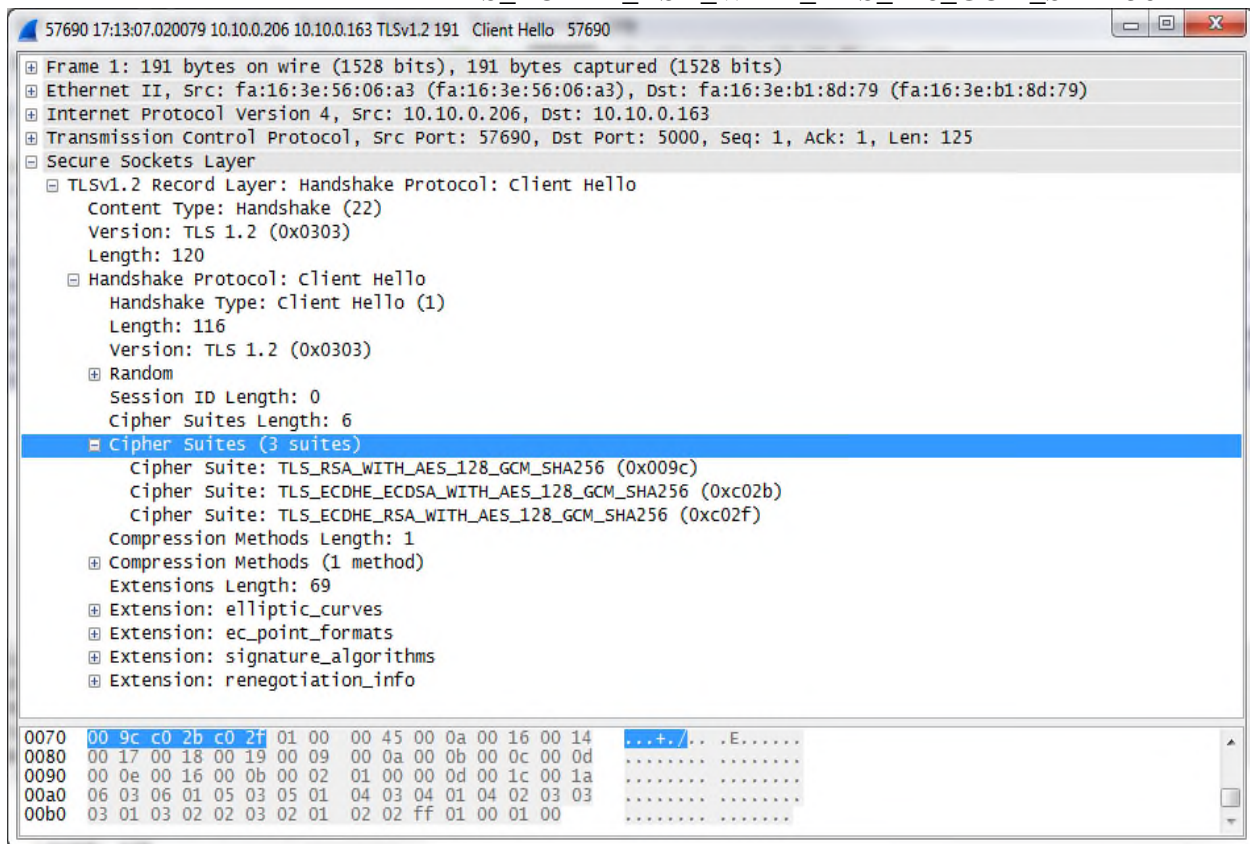
1. From Client Hello can read: TLS version = TLS 1.2




Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

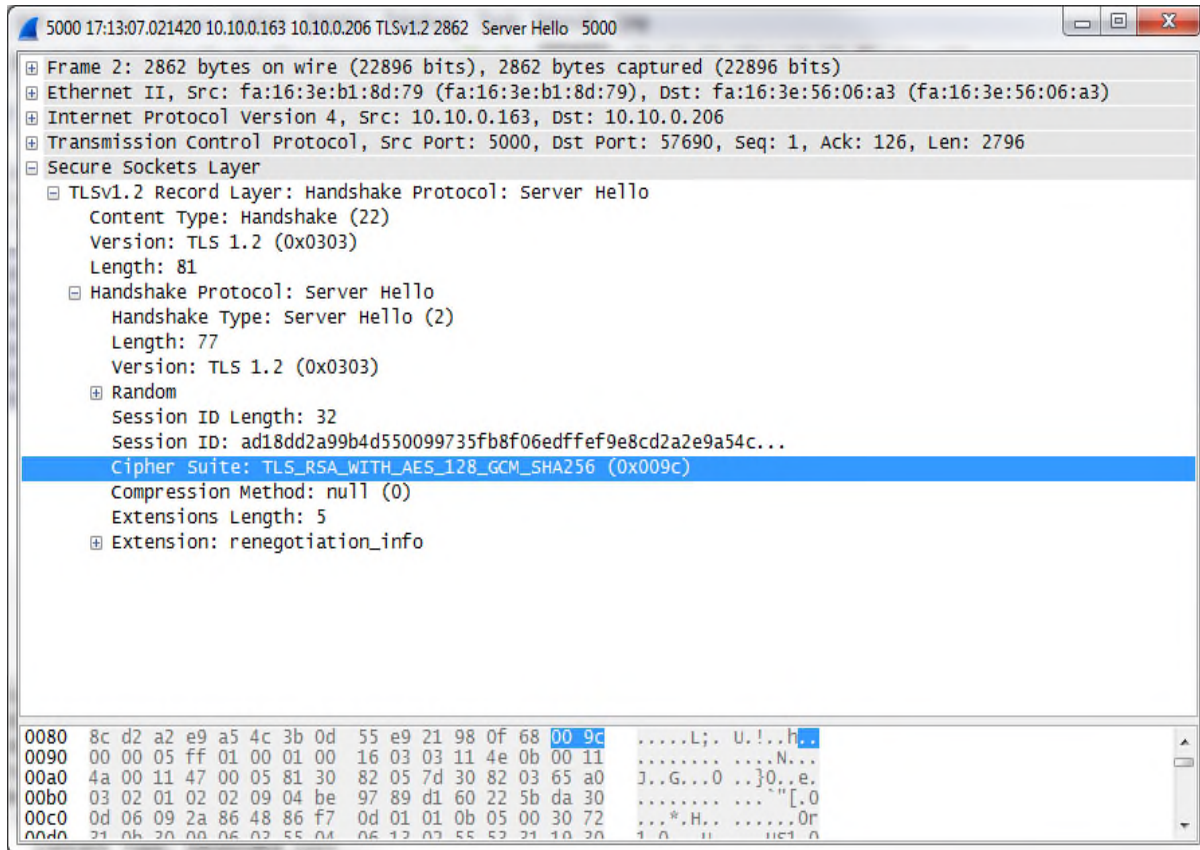
2. From Client Hello, cipher suite list is from WINNF approved list:

TLS_RSA_WITH_AES_128_GCM_SHA256
 TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256
 TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256




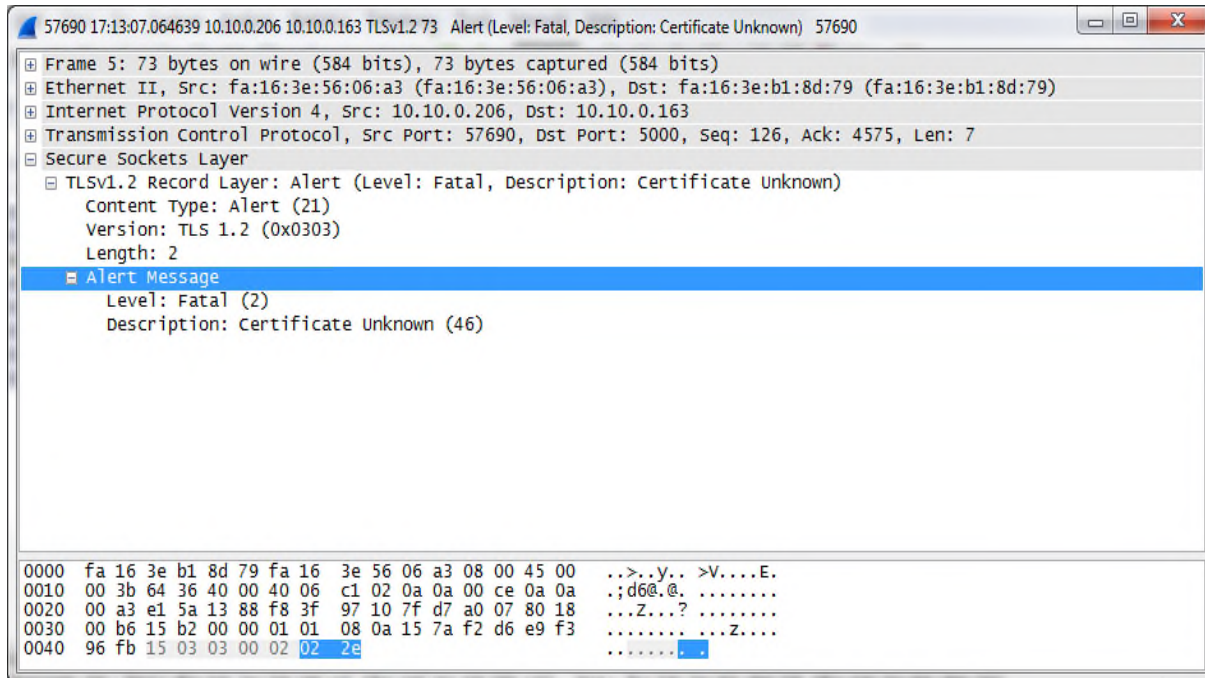
3. From Server Hello, cipher suite chosen:
 TLS_RSA_WITH_AES_128_GCM_SHA256

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	




- Authentication exchange ends with TLS Alert message (i.e. authentication fails):

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	




- Registration request message is not received at Test Harness (authentication fails)


Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

Test Equipment

Instrument	Manufacturer	Type No.	Serial No	Calibration Period (months)	Calibration Due
THG	Fluke	77 IV	34770264	12	18-Apr-2019
DVM	VWR	61161-378	170120564	24	17-Feb-2019
Power Supply	Xantrex	XKW 60-50	E00109863	O/P Mon	-
Spectrum Analyser	Keysight	N9030A	MY55410202	12	26-Sep-2019
Attenuator	Pasternack	PE7004-10	N/S	O/P Mon	-
Switching Control Unit	Hewlett Packard	11713A	3748A060876	O/P Mon	-
RF Switch Unit	Burnsco	RARFSW 4x1	001	O/P Mon	-
Power Supply	Leader	730-3D	9801135	O/P Mon	-
Receiver	Rohde & Schwarz	ESU40	1001162	24	20-Apr-2019


Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

Appendix A – EUT & Client Provided Details

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

General EUT Description

Manufacturer	Ericsson
Address	349 Terry Fox Drive Ottawa Ontario K2K 2V6 Canada
Product Name	RD 4442 B48
Product Number	KRY 901 385/1
Serial Number(s)	TD3T428815 TD3T428820
Software Version	CXP 901 3268/14: R70AK
Hardware Version	R1C
Test Specification/Issue/Date	FCC CFR 47 Part 2: 2017 FCC CFR 47 Part 96: 2017 (Winnforum test suite)
Product Name	RD 4442 B48

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

Technical Description

The Equipment Under Test (EUT) RD 4442 B48 KRY 901 385/1 is an Ericsson AB Radio Unit working in the public mobile service (3550-3700 MHz) band which provides communication connections to (Band) network. The RD 4442 B48 KRY 901 385/1 operates from a -48V DC supply.

The Equipment Under Test (EUT) is shown in the photograph below. A full technical description can be found in the Manufacturer's documentation.




Equipment Under Test


EUT Configuration

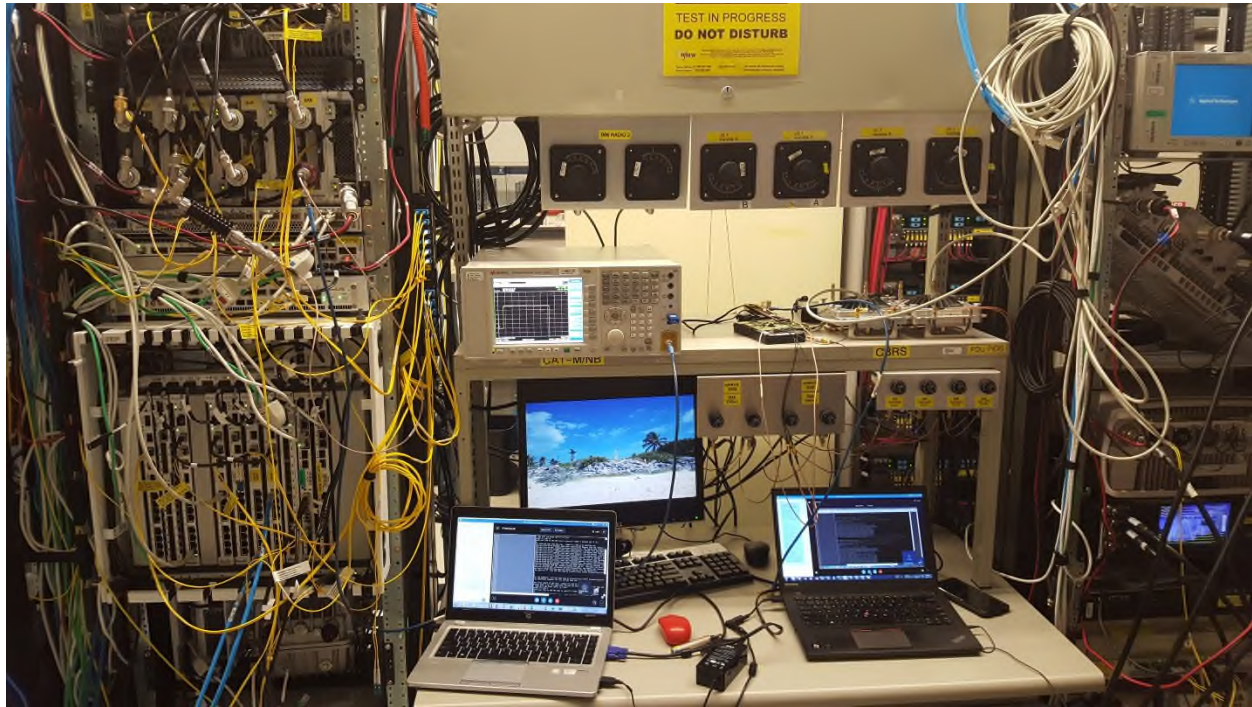
Please see Appendix B for close up pictures of the unit as configured during testing

- Cables and earthing when applicable were connected as per manufacturer's specification.

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	

Appendix B – EUT, Peripherals, and Test Setup Photos

Client	Ericsson	
Product	LTE KRY 901 385/1 RD 4442 B48 (3550-3700 MHz) Base Station	
Standard(s)	FCC Part 96 SAS requirements (CBRS Test Plan)	



Test setup