

# Variant FCC SAR Test Report

APPLICANT : ZTE CORPORATION  
EQUIPMENT : LTE/WCDMA/CDMA/GSM Multi-Mode  
Digital Mobile Phone  
BRAND NAME : ZTE  
MODEL NAME : Z986U  
FCC ID : SRQ-Z986DL  
STANDARD : FCC 47 CFR Part 2 (2.1093)  
ANSI/IEEE C95.1-1992  
IEEE 1528-2013

We, SPORTON INTERNATIONAL (KUNSHAN) INC., would like to declare that the tested sample has been evaluated in accordance with the procedures and had been in compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of SPORTON INTERNATIONAL (KUNSHAN) INC., the test report shall not be reproduced except in full.



Prepared by: Mark Qu / Manager



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## Revision History

REPORT NO.	VERSION	DESCRIPTION	ISSUED DATE
FA692805-01	Rev. 01	This is a variant report for Z986U. The product equality declaration could be referred to Appendix E. The device added LTE Band 25 via software control. LTE Band 25 full SAR testing and others were performed in original report which can be referred to Sporton Report Number FA692805.	Jan. 19, 2017

## 1. Statement of Compliance

The maximum results of Specific Absorption Rate (SAR) found during testing for **ZTE CORPORATION, LTE/WCDMA/CDMA/GSM Multi-Mode Digital Mobile Phone, Z986U** are as follows.

Equipment Class	Frequency Band		Highest SAR Summary			Highest Simultaneous Transmission 1g SAR (W/kg)
			Head (Separation 0mm)	Body-worn (Separation 10mm)	Hotspot (Separation 10mm)	
			1g SAR (W/kg)			
Licensed	GSM	GSM850	0.49	1.18	1.18	1.55
		GSM1900	0.53	0.75	0.75	
	WCDMA	Band V	0.37	0.77	0.77	
		Band IV	0.48	0.77	0.77	
		Band II	0.68	1.12	1.12	
	CDMA	CDMA2000 BC0	0.42	0.64	0.71	
		CDMA2000 BC1	0.52	1.09	1.15	
	LTE	Band 12	0.27	0.59	0.59	
		Band 13	0.33	0.64	0.64	
		Band 5	0.33	0.72	0.72	
		Band 4	0.45	0.72	0.72	
		Band 2	0.59	1.19	1.19	
		Band 25	0.51	1.01	1.01	
DTS	WLAN	2.4GHz WLAN	0.36	0.14	0.14	1.34
NII		5GHz WLAN	0.26	0.54		1.55
Date of Testing:			2017/01/04			

Frequency Band	Highest SAR Summary
	Product Specific 10g SAR (W/kg) (Gap 0mm)
5GHz WLAN	<b>0.60</b>

This device is in compliance with Specific Absorption Rate (SAR) for general population/uncontrolled exposure limits (1.6W/kg as averaged over any 1 gram of tissue; 10-gram SAR for Product Specific 10g SAR, limit: 4.0W/kg) specified in FCC 47 CFR part 2 (2.1093) and ANSI/IEEE C95.1-1992, and had been tested in accordance with the measurement methods and procedures specified in IEEE 1528-2013 and FCC KDB publications.

## **2. Administration Data**

Testing Site	
Test Site	SPORTON INTERNATIONAL (KUNSHAN) INC.
Test Site Location	No. 3-2, PingXiang Road, Kunshan, Jiangsu Province, P. R. China TEL: +86-0512-5790-0158 FAX: +86-0512-5790-0958

Applicant	
Company Name	ZTE CORPORATION
Address	ZTE Plaza, Keji Road South, Hi-Tech Industrial Park, Nanshan District, Shenzhen, Guangdong, 518057, P. R. China

Manufacturer	
Company Name	ZTE CORPORATION
Address	ZTE Plaza, Keji Road South, Hi-Tech Industrial Park, Nanshan District, Shenzhen, Guangdong, 518057, P. R. China

## **3. Guidance Applied**

The Specific Absorption Rate (SAR) testing specification, method, and procedure for this device is in accordance with the following standards:

- FCC 47 CFR Part 2 (2.1093)
- ANSI/IEEE C95.1-1992
- IEEE 1528-2013
- FCC KDB 865664 D01 SAR Measurement 100 MHz to 6 GHz v01r04
- FCC KDB 865664 D02 SAR Reporting v01r02
- FCC KDB 447498 D01 General RF Exposure Guidance v06
- FCC KDB 648474 D04 SAR Evaluation Considerations for Wireless Handsets v01r03
- FCC KDB 248227 D01 802.11 Wi-Fi SAR v02r02
- FCC KDB 941225 D01 3G SAR Procedures v03r01
- FCC KDB 941225 D05 SAR for LTE Devices v02r05
- FCC KDB 941225 D06 Hotspot Mode SAR v02r01

## **4. Equipment Under Test (EUT) Information**

### **4.1 General Information**

<b>Product Feature &amp; Specification</b>	
<b>Equipment Name</b>	LTE/WCDMA/CDMA/GSM Multi-Mode Digital Mobile Phone
<b>Brand Name</b>	ZTE
<b>Model Name</b>	Z986U
<b>FCC ID</b>	SRQ-Z986DL
<b>IMEI Code</b>	990008840001015
<b>Wireless Technology and Frequency Range</b>	GSM850: 824.2 MHz ~ 848.8 MHz GSM1900: 1850.2 MHz ~ 1909.8 MHz WCDMA Band II: 1852.4 MHz ~ 1907.6 MHz WCDMA Band IV: 1712.4 MHz ~ 1752.6 MHz WCDMA Band V: 826.4 MHz ~ 846.6 MHz CDMA2000 BC0: 824.7 MHz ~ 848.31 MHz CDMA 2000 BC1: 1851.25 MHz ~ 1908.75 MHz LTE Band 2: 1850 MHz ~ 1910 MHz LTE Band 4: 1710 MHz ~ 1755 MHz LTE Band 5: 824 MHz ~ 849 MHz LTE Band 12: 699 MHz ~ 716 MHz LTE Band 13: 777 MHz ~ 787 MHz LTE Band 25: 1850 MHz ~ 1915 MHz WLAN 2.4GHz Band: 2412 MHz ~ 2462 MHz WLAN 5.2GHz Band: 5180 MHz ~ 5240 MHz WLAN 5.8GHz Band: 5745 MHz ~ 5825 MHz Bluetooth: 2402 MHz ~ 2480 MHz
<b>Mode</b>	<ul style="list-style-type: none"> <li>· GSM/GPRS/EGPRS</li> <li>· RMC/AMR 12.2Kbps</li> <li>· HSDPA</li> <li>· HSUPA</li> <li>· HSPA+(16QAM uplink is not supported)</li> <li>· CDMA2000 : 1xRTT/1xEv-Do(Rev.0)/1xEv-Do(Rev.A)</li> <li>· LTE: QPSK, 16QAM</li> <li>· 802.11b/g/n HT20/HT40</li> <li>· 802.11a/n HT20/HT40</li> <li>· 802.11ac VHT20/VHT40</li> <li>· Bluetooth v3.0 + EDR, Bluetooth v4.0 LE, Bluetooth v4.2 LE</li> </ul>
<b>HW Version</b>	Z986UHWV1.0
<b>SW Version</b>	Z986UV1.0.0B01
<b>GSM / (E)GPRS Transfer mode</b>	Class B – EUT cannot support Packet Switched and Circuit Switched Network simultaneously but can automatically switch between Packet and Circuit Switched Network.
<b>EUT Stage</b>	Identical Prototype
<b>Remark:</b> <ol style="list-style-type: none"> <li>1. This device supports VoIP in GPRS, EGPRS, CDMA, WCDMA and LTE (e.g. for 3rd-party VoIP), and LTE supports VoLTE operation.</li> <li>2. This device 2.4GHz WLAN supports hotspot operation, and 5.2GHz WLAN/5.8GHz WLAN has no hotspot function. 5.2GHz WLAN/5.8GHz WLAN supports WiFi Direct (GC/GO).</li> <li>3. This device does not support DTM operation.</li> <li>4. This device supports GRPS/EGRPS mode up to multi-slot class 10.</li> </ol>	

## 4.2 General LTE SAR Test and Reporting Considerations

Summarized necessary items addressed in KDB 941225 D05 v02r05																																																					
FCC ID	SRQ-Z986DL																																																				
Equipment Name	LTE/WCDMA/CDMA/GSM Multi-Mode Digital Mobile Phone																																																				
Operating Frequency Range of each LTE transmission band	LTE Band 2: 1850 MHz ~ 1910 MHz LTE Band 4: 1710 MHz ~ 1755 MHz LTE Band 5: 824 MHz ~ 849 MHz LTE Band 12: 699 MHz ~ 716 MHz LTE Band 13: 777 MHz ~ 787 MHz LTE Band 25: 1850 MHz ~ 1915 MHz																																																				
Channel Bandwidth	LTE Band 2:1.4MHz, 3MHz, 5MHz, 10MHz, 15MHz, 20MHz LTE Band 4:1.4MHz, 3MHz, 5MHz, 10MHz, 15MHz, 20MHz LTE Band 5:1.4MHz, 3MHz, 5MHz, 10MHz LTE Band 12:1.4MHz, 3MHz, 5MHz, 10MHz LTE Band 13: 5MHz, 10MHz LTE Band 25:1.4MHz, 3MHz, 5MHz, 10MHz, 15MHz, 20MHz																																																				
uplink modulations used	QPSK, and 16QAM																																																				
LTE Voice / Data requirements	Voice and Data																																																				
LTE MPR permanently built-in by design	<table><tr><th colspan="8">Table 6.2.3-1: Maximum Power Reduction (MPR) for Power Class 3</th></tr><tr><th rowspan="2">Modulation</th><th colspan="6">Channel bandwidth / Transmission bandwidth (RB)</th><th rowspan="2">MPR (dB)</th></tr><tr><th>1.4 MHz</th><th>3.0 MHz</th><th>5 MHz</th><th>10 MHz</th><th>15 MHz</th><th>20 MHz</th></tr><tr><td>QPSK</td><td>&gt; 5</td><td>&gt; 4</td><td>&gt; 8</td><td>&gt; 12</td><td>&gt; 16</td><td>&gt; 18</td><td>≤ 1</td></tr><tr><td>16 QAM</td><td>≤ 5</td><td>≤ 4</td><td>≤ 8</td><td>≤ 12</td><td>≤ 16</td><td>≤ 18</td><td>≤ 1</td></tr><tr><td>16 QAM</td><td>&gt; 5</td><td>&gt; 4</td><td>&gt; 8</td><td>&gt; 12</td><td>&gt; 16</td><td>&gt; 18</td><td>≤ 2</td></tr></table>							Table 6.2.3-1: Maximum Power Reduction (MPR) for Power Class 3								Modulation	Channel bandwidth / Transmission bandwidth (RB)						MPR (dB)	1.4 MHz	3.0 MHz	5 MHz	10 MHz	15 MHz	20 MHz	QPSK	> 5	> 4	> 8	> 12	> 16	> 18	≤ 1	16 QAM	≤ 5	≤ 4	≤ 8	≤ 12	≤ 16	≤ 18	≤ 1	16 QAM	> 5	> 4	> 8	> 12	> 16	> 18	≤ 2
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QPSK	> 5	> 4	> 8	> 12	> 16	> 18	≤ 1																																														
16 QAM	≤ 5	≤ 4	≤ 8	≤ 12	≤ 16	≤ 18	≤ 1																																														
16 QAM	> 5	> 4	> 8	> 12	> 16	> 18	≤ 2																																														
LTE A-MPR	In the base station simulator configuration, Network Setting value is set to NS_01 to disable A-MPR during SAR testing and the LTE SAR tests was transmitting on all TTI frames (Maximum TTI)																																																				
Spectrum plots for RB configuration	A properly configured base station simulator was used for the SAR and power measurement; therefore, spectrum plots for each RB allocation and offset configuration are not included in the SAR report.																																																				
LTE Release Version	R10, Cat 4																																																				
CA Support	NO																																																				



Transmission (H, M, L) channel numbers and frequencies in each LTE band												
LTE Band 2												
	Bandwidth 1.4 MHz		Bandwidth 3 MHz		Bandwidth 5 MHz		Bandwidth 10 MHz		Bandwidth 15 MHz		Bandwidth 20 MHz	
	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)
L	18607	1850.7	18615	1851.5	18625	1852.5	18650	1855	18675	1857.5	18700	1860
M	18900	1880	18900	1880	18900	1880	18900	1880	18900	1880	18900	1880
H	19193	1909.3	19185	1908.5	19175	1907.5	19150	1905	19125	1902.5	19100	1900
LTE Band 4												
	Bandwidth 1.4 MHz		Bandwidth 3 MHz		Bandwidth 5 MHz		Bandwidth 10 MHz		Bandwidth 15 MHz		Bandwidth 20 MHz	
	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)
L	19957	1710.7	19965	1711.5	19975	1712.5	20000	1715	20025	1717.5	20050	1720
M	20175	1732.5	20175	1732.5	20175	1732.5	20175	1732.5	20175	1732.5	20175	1732.5
H	20393	1754.3	20385	1753.5	20375	1752.5	20350	1750	20325	1747.5	20300	1745
LTE Band 5												
	Bandwidth 1.4 MHz		Bandwidth 3 MHz		Bandwidth 5 MHz		Bandwidth 10 MHz					
	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)
L	20407	824.7	20415	825.5	20425	826.5	20450	829				
M	20525	836.5	20525	836.5	20525	836.5	20525	836.5				
H	20643	848.3	20635	847.5	20625	846.5	20600	844				
LTE Band 12												
	Bandwidth 1.4 MHz		Bandwidth 3 MHz		Bandwidth 5 MHz		Bandwidth 10 MHz					
	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)
L	23017	699.7	23025	700.5	23035	701.5	23060	704				
M	23095	707.5	23095	707.5	23095	707.5	23095	707.5				
H	23173	715.3	23165	714.5	23155	713.5	23130	711				
LTE Band 13												
	Bandwidth 5 MHz				Bandwidth 10 MHz							
	Channel #		Freq.(MHz)		Channel #		Freq.(MHz)					
L	23205		779.5		23230		782					
M	23230		782									
H	23255		784.5									
LTE Band 25												
	Bandwidth 1.4 MHz		Bandwidth 3 MHz		Bandwidth 5 MHz		Bandwidth 10 MHz		Bandwidth 15 MHz		Bandwidth 20 MHz	
	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)
L	26047	1850.7	26055	1851.5	26065	1852.5	26090	1855	26115	1857.5	26140	1860
M	26340	1880	26340	1880	26340	1880	26340	1880	26340	1880	26340	1880
H	26683	1914.3	26675	1913.5	26665	1912.5	26640	1910	26615	1907.5	26590	1905



## **5. RF Exposure Limits**

### **5.1 Uncontrolled Environment**

Uncontrolled Environments are defined as locations where there is the exposure of individuals who have no knowledge or control of their exposure. The general population/uncontrolled exposure limits are applicable to situations in which the general public may be exposed or in which persons who are exposed as a consequence of their employment may not be made fully aware of the potential for exposure or cannot exercise control over their exposure. Members of the general public would come under this category when exposure is not employment-related; for example, in the case of a wireless transmitter that exposes persons in its vicinity.

### **5.2 Controlled Environment**

Controlled Environments are defined as locations where there is exposure that may be incurred by persons who are aware of the potential for exposure, (i.e. as a result of employment or occupation). In general, occupational/controlled exposure limits are applicable to situations in which persons are exposed as a consequence of their employment, who have been made fully aware of the potential for exposure and can exercise control over their exposure. The exposure category is also applicable when the exposure is of a transient nature due to incidental passage through a location where the exposure levels may be higher than the general population/uncontrolled limits, but the exposed person is fully aware of the potential for exposure and can exercise control over his or her exposure by leaving the area or by some other appropriate means.

**Limits for Occupational/Controlled Exposure (W/kg)**

Whole-Body	Partial-Body	Hands, Wrists, Feet and Ankles
0.4	8.0	20.0

**Limits for General Population/Uncontrolled Exposure (W/kg)**

Whole-Body	Partial-Body	Hands, Wrists, Feet and Ankles
0.08	1.6	4.0

1. Whole-Body SAR is averaged over the entire body, partial-body SAR is averaged over any 1gram of tissue defined as a tissue volume in the shape of a cube. SAR for hands, wrists, feet and ankles is averaged over any 10 grams of tissue defined as a tissue volume in the shape of a cube.

## **6. Specific Absorption Rate (SAR)**

### **6.1 Introduction**

SAR is related to the rate at which energy is absorbed per unit mass in an object exposed to a radio field. The SAR distribution in a biological body is complicated and is usually carried out by experimental techniques or numerical modeling. The standard recommends limits for two tiers of groups, occupational/controlled and general population/uncontrolled, based on a person's awareness and ability to exercise control over his or her exposure. In general, occupational/controlled exposure limits are higher than the limits for general population/uncontrolled.

### **6.2 SAR Definition**

The SAR definition is the time derivative (rate) of the incremental energy (dW) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dv) of a given density ( $\rho$ ). The equation description is as below:

$$SAR = \frac{d}{dt} \left( \frac{dW}{dm} \right) = \frac{d}{dt} \left( \frac{dW}{\rho dv} \right)$$

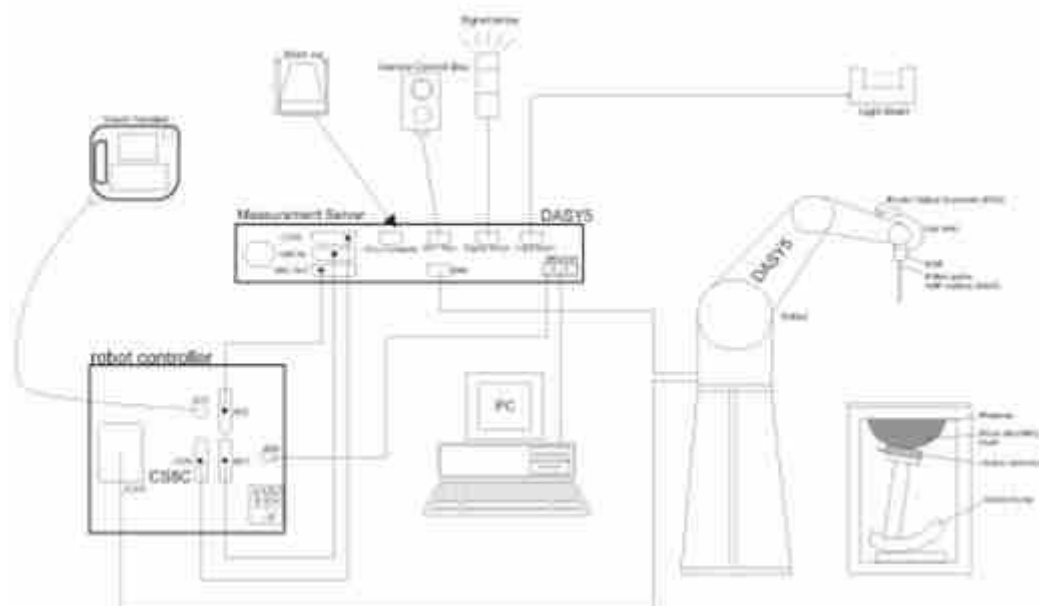
SAR is expressed in units of Watts per kilogram (W/kg)

$$SAR = \frac{\sigma |E|^2}{\rho}$$

Where:  $\sigma$  is the conductivity of the tissue,  $\rho$  is the mass density of the tissue and E is the RMS electrical field strength.

## **7. System Description and Setup**

The DASY system used for performing compliance tests consists of the following items:




- A standard high precision 6-axis robot with controller, teach pendant and software. An arm extension for accommodating the data acquisition electronics (DAE).
- An isotropic Field probe optimized and calibrated for the targeted measurement.
- A data acquisition electronics (DAE) which performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. The unit is battery powered with standard or rechargeable batteries. The signal is optically transmitted to the EOC.
- The Electro-optical converter (EOC) performs the conversion from optical to electrical signals for the digital communication to the DAE. To use optical surface detection, a special version of the EOC is required. The EOC signal is transmitted to the measurement server.
- The function of the measurement server is to perform the time critical tasks such as signal filtering, control of the robot operation and fast movement interrupts.
- The Light Beam used is for probe alignment. This improves the (absolute) accuracy of the probe positioning.
- A computer running WinXP or Win7 and the DASY5 software.
- Remote control and teach pendant as well as additional circuitry for robot safety such as warning lamps, etc.
- The phantom, the device holder and other accessories according to the targeted measurement.

## 7.1 E-Field Probe

The SAR measurement is conducted with the dosimetric probe (manufactured by SPEAG). The probe is specially designed and calibrated for use in liquid with high permittivity. The dosimetric probe has special calibration in liquid at different frequency. This probe has a built in optical surface detection system to prevent from collision with phantom.

### <EX3DV4 Probe>

<b>Construction</b>	Symmetric design with triangular core Built-in shielding against static charges PEEK enclosure material (resistant to organic solvents, e.g., DGBE)	
<b>Frequency</b>	10 MHz – >6 GHz Linearity: $\pm 0.2$ dB (30 MHz – 6 GHz)	
<b>Directivity</b>	$\pm 0.3$ dB in TSL (rotation around probe axis) $\pm 0.5$ dB in TSL (rotation normal to probe axis)	
<b>Dynamic Range</b>	10 $\mu$ W/g – >100 mW/g Linearity: $\pm 0.2$ dB (noise: typically <1 $\mu$ W/g)	
<b>Dimensions</b>	Overall length: 337 mm (tip: 20 mm) Tip diameter: 2.5 mm (body: 12 mm) Typical distance from probe tip to dipole centers: 1 mm	

## 7.2 Data Acquisition Electronics (DAE)

The data acquisition electronics (DAE) consists of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder and control logic unit. Transmission to the measurement server is accomplished through an optical downlink for data and status information as well as an optical uplink for commands and the clock.


The input impedance of the DAE is 200 MOhm; the inputs are symmetrical and floating. Common mode rejection is above 80 dB.



**Fig 5.1 Photo of DAE**


### 7.3 Phantom

#### <SAM Twin Phantom>

Shell Thickness	2 ± 0.2 mm; Center ear point: 6 ± 0.2 mm	
Filling Volume	Approx. 25 liters	
Dimensions	Length: 1000 mm; Width: 500 mm; Height: adjustable feet	
Measurement Areas	Left Hand, Right Hand, Flat Phantom	

The bottom plate contains three pair of bolts for locking the device holder. The device holder positions are adjusted to the standard measurement positions in the three sections. A white cover is provided to tap the phantom during off-periods to prevent water evaporation and changes in the liquid parameters. On the phantom top, three reference markers are provided to identify the phantom position with respect to the robot.

#### <ELI Phantom>

Shell Thickness	2 ± 0.2 mm (sagging: <1%)	
Filling Volume	Approx. 30 liters	
Dimensions	Major ellipse axis: 600 mm Minor axis: 400 mm	

The ELI phantom is intended for compliance testing of handheld and body-mounted wireless devices in the frequency range of 30 MHz to 6 GHz. ELI4 is fully compatible with standard and all known tissue simulating liquids.

## 7.4 Device Holder

### <Mounting Device for Hand-Held Transmitter>

In combination with the Twin SAM V5.0/V5.0c or ELI phantoms, the Mounting Device for Hand-Held Transmitters enables rotation of the mounted transmitter device to specified spherical coordinates. At the heads, the rotation axis is at the ear opening. Transmitter devices can be easily and accurately positioned according to IEC 62209-1, IEEE 1528, FCC, or other specifications. The device holder can be locked for positioning at different phantom sections (left head, right head, flat). And upgrade kit to Mounting Device to enable easy mounting of wider devices like big smart-phones, e-books, small tablets, etc. It holds devices with width up to 140 mm.



Mounting Device for Hand-Held Transmitters



Mounting Device Adaptor for Wide-Phones

### <Mounting Device for Laptops and other Body-Worn Transmitters>

The extension is lightweight and made of POM, acrylic glass and foam. It fits easily on the upper part of the mounting device in place of the phone positioned. The extension is fully compatible with the SAM Twin and ELI phantoms.



Mounting Device for Laptops

## **8. Measurement Procedures**

The measurement procedures are as follows:

### **<Conducted power measurement>**

- (a) For WWAN power measurement, use base station simulator to configure EUT WWAN transmission in conducted connection with RF cable, at maximum power in each supported wireless interface and frequency band.
- (b) Read the WWAN RF power level from the base station simulator.
- (c) For WLAN/BT power measurement, use engineering software to configure EUT WLAN/BT continuously transmission, at maximum RF power in each supported wireless interface and frequency band
- (d) Connect EUT RF port through RF cable to the power meter, and measure WLAN/BT output power

### **<SAR measurement>**

- (a) Use base station simulator to configure EUT WWAN transmission in radiated connection, and engineering software to configure EUT WLAN/BT continuously transmission, at maximum RF power, in the highest power channel.
- (b) Place the EUT in the positions as Appendix D demonstrates.
- (c) Set scan area, grid size and other setting on the DASY software.
- (d) Measure SAR results for the highest power channel on each testing position.
- (e) Find out the largest SAR result on these testing positions of each band
- (f) Measure SAR results for other channels in worst SAR testing position if the reported SAR of highest power channel is larger than 0.8 W/kg

According to the test standard, the recommended procedure for assessing the peak spatial-average SAR value consists of the following steps:

- (a) Power reference measurement
- (b) Area scan
- (c) Zoom scan
- (d) Power drift measurement

### **8.1 Spatial Peak SAR Evaluation**

The procedure for spatial peak SAR evaluation has been implemented according to the test standard. It can be conducted for 1g and 10g, as well as for user-specific masses. The DASY software includes all numerical procedures necessary to evaluate the spatial peak SAR value.

The base for the evaluation is a "cube" measurement. The measured volume must include the 1g and 10g cubes with the highest averaged SAR values. For that purpose, the center of the measured volume is aligned to the interpolated peak SAR value of a previously performed area scan.

The entire evaluation of the spatial peak values is performed within the post-processing engine (SEMCAD). The system always gives the maximum values for the 1g and 10g cubes. The algorithm to find the cube with highest averaged SAR is divided into the following stages:

- (a) Extraction of the measured data (grid and values) from the Zoom Scan
- (b) Calculation of the SAR value at every measurement point based on all stored data (A/D values and measurement parameters)
- (c) Generation of a high-resolution mesh within the measured volume
- (d) Interpolation of all measured values from the measurement grid to the high-resolution grid
- (e) Extrapolation of the entire 3-D field distribution to the phantom surface over the distance from sensor to surface
- (f) Calculation of the averaged SAR within masses of 1g and 10g

## **8.2 Power Reference Measurement**

The Power Reference Measurement and Power Drift Measurements are for monitoring the power drift of the device under test in the batch process. The minimum distance of probe sensors to surface determines the closest measurement point to phantom surface. This distance cannot be smaller than the distance of sensor calibration points to probe tip as defined in the probe properties.

## **8.3 Area Scan**

The area scan is used as a fast scan in two dimensions to find the area of high field values, before doing a fine measurement around the hot spot. The sophisticated interpolation routines implemented in DASY software can find the maximum found in the scanned area, within a range of the global maximum. The range (in dB) is specified in the standards for compliance testing. For example, a 2 dB range is required in IEEE standard 1528 and IEC 62209 standards, whereby 3 dB is a requirement when compliance is assessed in accordance with the ARIB standard (Japan), if only one zoom scan follows the area scan, then only the absolute maximum will be taken as reference. For cases where multiple maximums are detected, the number of zoom scans has to be increased accordingly.

Area scan parameters extracted from FCC KDB 865664 D01v01r04 SAR measurement 100 MHz to 6 GHz.

	$\leq 3$ GHz	$> 3$ GHz
Maximum distance from closest measurement point (geometric center of probe sensors) to phantom surface	$5 \pm 1$ mm	$\frac{1}{2} \cdot \delta \cdot \ln(2) \pm 0.5$ mm
Maximum probe angle from probe axis to phantom surface normal at the measurement location	$30^\circ \pm 1^\circ$	$20^\circ \pm 1^\circ$
Maximum area scan spatial resolution: $\Delta x_{Area}$ , $\Delta y_{Area}$	$\leq 2$ GHz: $\leq 15$ mm $2 - 3$ GHz: $\leq 12$ mm	$3 - 4$ GHz: $\leq 12$ mm $4 - 6$ GHz: $\leq 10$ mm
	When the x or y dimension of the test device, in the measurement plane orientation, is smaller than the above, the measurement resolution must be $\leq$ the corresponding x or y dimension of the test device with at least one measurement point on the test device.	



### 8.4 Zoom Scan

Zoom scans are used to assess the peak spatial SAR values within a cubic averaging volume containing 1 gram and 10 gram of simulated tissue. The zoom scan measures points (refer to table below) within a cube whose base faces are centered on the maxima found in a preceding area scan job within the same procedure. When the measurement is done, the zoom scan evaluates the averaged SAR for 1 gram and 10 gram and displays these values next to the job's label.

Zoom scan parameters extracted from FCC KDB 865664 D01v01r04 SAR measurement 100 MHz to 6 GHz.

			$\leq 3$ GHz	$> 3$ GHz
Maximum zoom scan spatial resolution: $\Delta x_{\text{Zoom}}, \Delta y_{\text{Zoom}}$			$\leq 2$ GHz: $\leq 8$ mm 2 – 3 GHz: $\leq 5$ mm*	3 – 4 GHz: $\leq 5$ mm* 4 – 6 GHz: $\leq 4$ mm*
Maximum zoom scan spatial resolution, normal to phantom surface	uniform grid: $\Delta z_{\text{Zoom}}(n)$		$\leq 5$ mm	3 – 4 GHz: $\leq 4$ mm 4 – 5 GHz: $\leq 3$ mm 5 – 6 GHz: $\leq 2$ mm
	graded grid	$\Delta z_{\text{Zoom}}(1)$ : between 1 <sup>st</sup> two points closest to phantom surface	$\leq 4$ mm	3 – 4 GHz: $\leq 3$ mm 4 – 5 GHz: $\leq 2.5$ mm 5 – 6 GHz: $\leq 2$ mm
		$\Delta z_{\text{Zoom}}(n>1)$ : between subsequent points	$\leq 1.5 \cdot \Delta z_{\text{Zoom}}(n-1)$	
Minimum zoom scan volume	x, y, z		$\geq 30$ mm	3 – 4 GHz: $\geq 28$ mm 4 – 5 GHz: $\geq 25$ mm 5 – 6 GHz: $\geq 22$ mm
Note: $\delta$ is the penetration depth of a plane-wave at normal incidence to the tissue medium; see draft standard IEEE P1528-2011 for details.				
* When zoom scan is required and the <i>reported</i> SAR from the <i>area scan based 1-g SAR estimation</i> procedures of KDB 447498 is $\leq 1.4$ W/kg, $\leq 8$ mm, $\leq 7$ mm and $\leq 5$ mm zoom scan resolution may be applied, respectively, for 2 GHz to 3 GHz, 3 GHz to 4 GHz and 4 GHz to 6 GHz.				

### 8.5 Volume Scan Procedures

The volume scan is used to assess overlapping SAR distributions for antennas transmitting in different frequency bands. It is equivalent to an oversized zoom scan used in standalone measurements. The measurement volume will be used to enclose all the simultaneous transmitting antennas. For antennas transmitting simultaneously in different frequency bands, the volume scan is measured separately in each frequency band. In order to sum correctly to compute the 1g aggregate SAR, the EUT remain in the same test position for all measurements and all volume scan use the same spatial resolution and grid spacing. When all volume scan were completed, the software, SEMCAD postprocessor can combine and subsequently superpose these measurement data to calculating the multiband SAR.

### 8.6 Power Drift Monitoring

All SAR testing is under the EUT install full charged battery and transmit maximum output power. In DASYS measurement software, the power reference measurement and power drift measurement procedures are used for monitoring the power drift of EUT during SAR test. Both these procedures measure the field at a specified reference position before and after the SAR testing. The software will calculate the field difference in dB. If the power drifts more than 5%, the SAR will be retested.

## 9. Test Equipment List

Manufacturer	Name of Equipment	Type/Model	Serial Number	Calibration	
				Last Cal.	Due Date
SPEAG	1900MHz System Validation Kit	D1900V2	5d118	2016/11/24	2017/11/23
SPEAG	Data Acquisition Electronics	DAE4	1210	2016/5/18	2017/5/17
SPEAG	Dosimetric E-Field Probe	EX3DV4	3857	2016/5/25	2017/5/24
SPEAG	Phone Positioner	N/A	N/A	NCR	NCR
SPEAG	SAM Twin Phantom	QD 000 P40 CB	TP-1477	NCR	NCR
SPEAG	SAM Twin Phantom	QD 000 P40 CB	TP-1479	NCR	NCR
Anritsu	Radio communication analyzer	MT8820C	6201563814	2016/3/21	2017/3/20
Agilent	ENA Series Network Analyzer	E5071C	MY46111157	2016/4/22	2017/4/21
SPEAG	DAK Kit	DAK3.5	1144	2016/11/23	2017/11/22
R&S	Signal Generator	SMBV100A	258305	2016/1/20	2017/1/19
Anritsu	Power Sensor	MA2411B	0917070	2016/1/20	2017/1/19
Anritsu	Power Meter	ML2495A	1005002	2016/1/20	2017/1/19
Anritsu	Power Sensor	MA2411B	1339163	2016/1/20	2017/1/19
Anritsu	Power Meter	ML2495A	1435004	2016/1/20	2017/1/19
R&S	Spectrum Analyzer	FSV7	101631	2016/8/8	2017/8/7
ARRA	Power Divider	A3200-2	NA	Note1	
Agilent	Dual Directional Coupler	778D	50422	Note1	
PASTERNAK	Dual Directional Coupler	PE2214-10	N/A	Note1	
AR	Amplifier	5S1G4	333096	Note1	
mini-circuits	Amplifier	ZVE-3W-83+	162601250	Note1	
MCL	Attenuation1	BW-S10W5+	N/A	Note1	
MCL	Attenuation2	BW-S10W5+	N/A	Note1	
MCL	Attenuation3	BW-S10W5+	N/A	Note1	

**General Note:**

1. Prior to system verification and validation, the path loss from the signal generator to the system check source and the power meter, which includes the amplifier, cable, attenuator and directional coupler, was measured by the network analyzer. The reading of the power meter was offset by the path loss difference between the path to the power meter and the path to the system check source to monitor the actual power level fed to the system check source.

## **10. System Verification**

### **10.1 Tissue Simulating Liquids**

For the measurement of the field distribution inside the SAM phantom with DASY, the phantom must be filled with around 25 liters of homogeneous body tissue simulating liquid. For head SAR testing, the liquid height from the ear reference point (ERP) of the phantom to the liquid top surface is larger than 15 cm, which is shown in Fig. 10.1. For body SAR testing, the liquid height from the center of the flat phantom to the liquid top surface is larger than 15 cm, which is shown in Fig. 10.2.



**Fig 10.1 Photo of Liquid Height for Head SAR**



**Fig 10.2 Photo of Liquid Height for Body SAR**

The following tissue formulations are provided for reference only as some of the parameters have not been thoroughly verified. The composition of ingredients may be modified accordingly to achieve the desired target tissue parameters required for routine SAR evaluation.

### <Tissue Dielectric Parameter Check Results>

### 10.3 System Performance Check Results

Comparing to the original SAR value provided by SPEAG, the verification data should be within its specification of 10 %. Below table shows the target SAR and measured SAR after normalized to 1W input power. The table below indicates the system performance check can meet the variation criterion and the plots can be referred to Appendix A of this report.

**Fig 8.3.2 Setup Photo**

## 11. RF Exposure Positions

### 11.1 Ear and handset reference point

Figure 9.1.1 shows the front, back, and side views of the SAM phantom. The center-of-mouth reference point is labeled "M," the left ear reference point (ERP) is marked "LE," and the right ERP is marked "RE." Each ERP is 15 mm along the B-M (back-mouth) line behind the entrance-to-ear-canal (EEC) point, as shown in Figure 9.1.2 The Reference Plane is defined as passing through the two ear reference points and point M. The line N-F (neck-front), also called the reference pivoting line, is normal to the Reference Plane and perpendicular to both a line passing through RE and LE and the B-M line (see Figure 9.1.3). Both N-F and B-M lines should be marked on the exterior of the phantom shell to facilitate handset positioning. Posterior to the N-F line the ear shape is a flat surface with 6 mm thickness at each ERP, and forward of the N-F line the ear is truncated, as illustrated in Figure 9.1.2. The ear truncation is introduced to preclude the ear lobe from interfering with handset tilt, which could lead to unstable positioning at the cheek.

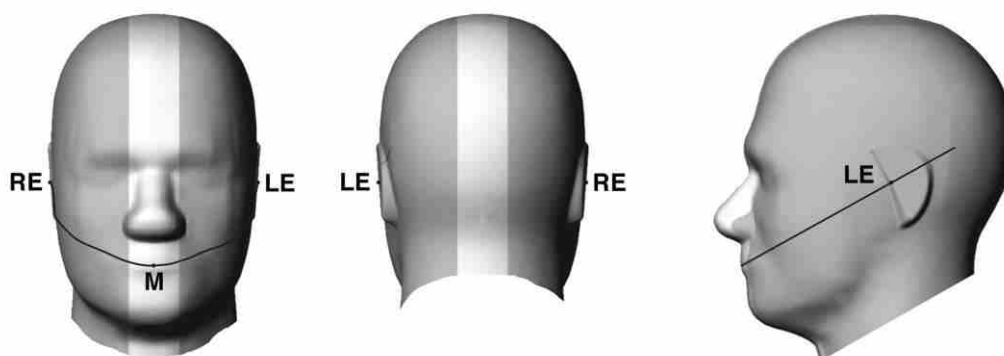


Fig 9.1.1 Front, back, and side views of SAM twin phantom

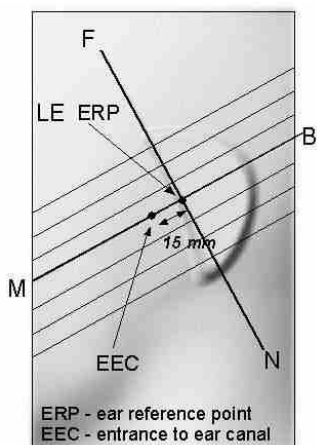


Fig 9.1.2 Close-up side view of phantom showing the ear region.

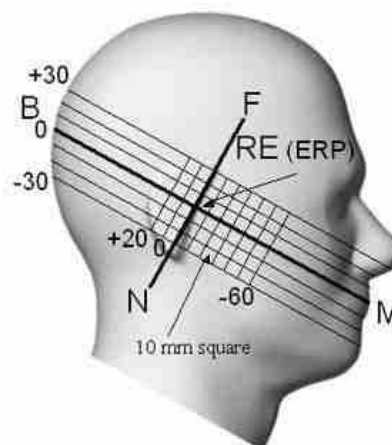


Fig 9.1.3 Side view of the phantom showing relevant markings and seven cross-sectional plane locations

## 11.2 Definition of the cheek position

1. Ready the handset for talk operation, if necessary. For example, for handsets with a cover piece (flip cover), open the cover. If the handset can transmit with the cover closed, both configurations must be tested.
2. Define two imaginary lines on the handset—the vertical centerline and the horizontal line. The vertical centerline passes through two points on the front side of the handset—the midpoint of the width  $w_t$  of the handset at the level of the acoustic output (point A in Figure 9.2.1 and Figure 9.2.2), and the midpoint of the width  $w_b$  of the bottom of the handset (point B). The horizontal line is perpendicular to the vertical centerline and passes through the center of the acoustic output (see Figure 9.2.1). The two lines intersect at point A. Note that for many handsets, point A coincides with the center of the acoustic output; however, the acoustic output may be located elsewhere on the horizontal line. Also note that the vertical centerline is not necessarily parallel to the front face of the handset (see Figure 9.2.2), especially for clamshell handsets, handsets with flip covers, and other irregularly-shaped handsets.
3. Position the handset close to the surface of the phantom such that point A is on the (virtual) extension of the line passing through points RE and LE on the phantom (see Figure 9.2.3), such that the plane defined by the vertical centerline and the horizontal line of the handset is approximately parallel to the sagittal plane of the phantom.
4. Translate the handset towards the phantom along the line passing through RE and LE until handset point A touches the pinna at the ERP.
5. While maintaining the handset in this plane, rotate it around the LE-RE line until the vertical centerline is in the plane normal to the plane containing B-M and N-F lines, i.e., the Reference Plane.
6. Rotate the handset around the vertical centerline until the handset (horizontal line) is parallel to the N-F line.
7. While maintaining the vertical centerline in the Reference Plane, keeping point A on the line passing through RE and LE, and maintaining the handset contact with the pinna, rotate the handset about the N-F line until any point on the handset is in contact with a phantom point below the pinna on the cheek. See Figure 9.2.3. The actual rotation angles should be documented in the test report.

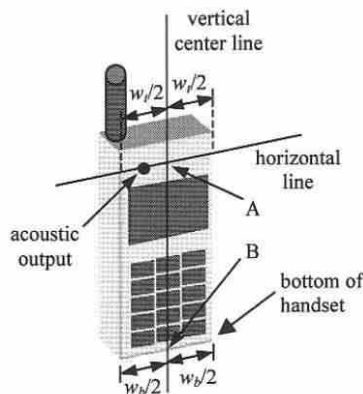


Fig 9.2.1 Handset vertical and horizontal reference lines—"fixed case"

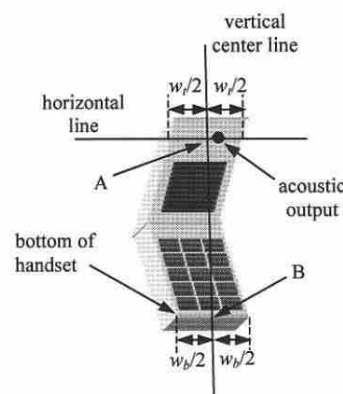


Fig 9.2.2 Handset vertical and horizontal reference lines—"clam-shell case"

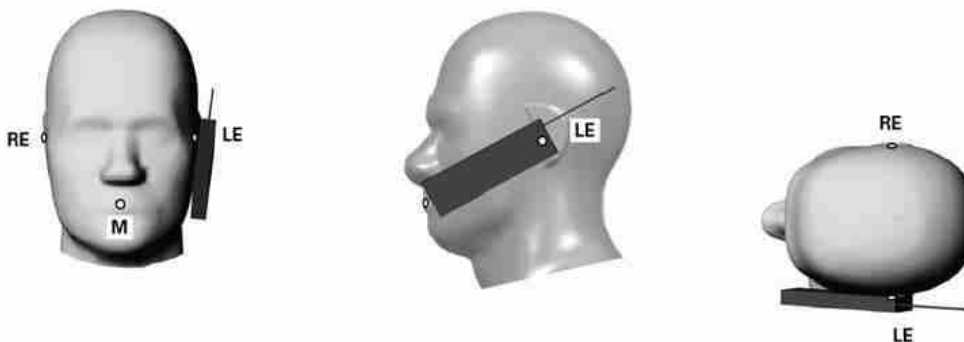
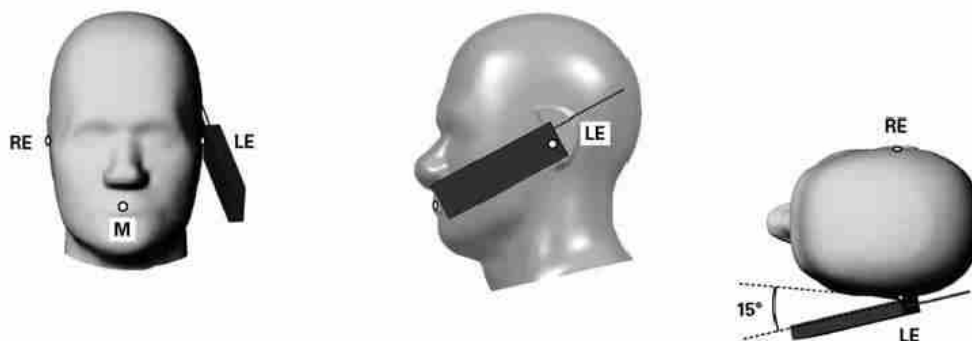


Fig 9.2.3 cheek or touch position. The reference points for the right ear (RE), left ear (LE), and mouth (M), which establish the Reference Plane for handset positioning, are indicated.

### **11.3 Definition of the tilt position**

1. Ready the handset for talk operation, if necessary. For example, for handsets with a cover piece (flip cover), open the cover. If the handset can transmit with the cover closed, both configurations must be tested.
2. While maintaining the orientation of the handset, move the handset away from the pinna along the line passing through RE and LE far enough to allow a rotation of the handset away from the cheek by 15°.
3. Rotate the handset around the horizontal line by 15°.
4. While maintaining the orientation of the handset, move the handset towards the phantom on the line passing through RE and LE until any part of the handset touches the ear. The tilt position is obtained when the contact point is on the pinna. See Figure 9.3.1. If contact occurs at any location other than the pinna, e.g., the antenna at the back of the phantom head, the angle of the handset should be reduced. In this case, the tilt position is obtained if any point on the handset is in contact with the pinna and a second point



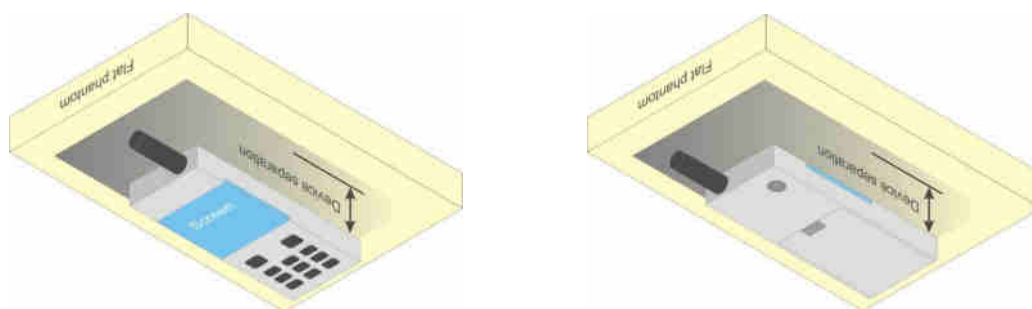
**Fig 9.3.1 Tilt position. The reference points for the right ear (RE), left ear (LE), and mouth (M), which define the Reference Plane for handset positioning, are indicated.**



### **11.4 Body Worn Accessory**

Body-worn operating configurations are tested with the belt-clips and holsters attached to the device and positioned against a flat phantom in a normal use configuration (see Figure 9.4). Per KDB648474 D04v01r03, body-worn accessory exposure is typically related to voice mode operations when handsets are carried in body-worn accessories. The body-worn accessory procedures in FCC KDB 447498 D01v06 should be used to test for body-worn accessory SAR compliance, without a headset connected to it. This enables the test results for such configuration to be compatible with that required for hotspot mode when the body-worn accessory test separation distance is greater than or equal to that required for hotspot mode, when applicable. When the reported SAR for body-worn accessory, measured without a headset connected to the handset is  $> 1.2 \text{ W/kg}$ , the highest reported SAR configuration for that wireless mode and frequency band should be repeated for that body-worn accessory with a handset attached to the handset.

Accessories for body-worn operation configurations are divided into two categories: those that do not contain metallic components and those that do contain metallic components. When multiple accessories that do not contain metallic components are supplied with the device, the device is tested with only the accessory that dictates the closest spacing to the body. Then multiple accessories that contain metallic components are test with the device with each accessory. If multiple accessories share an identical metallic component (i.e. the same metallic belt-clip used with different holsters with no other metallic components) only the accessory that dictates the closest spacing to the body is tested.



**Fig 9.4 Body Worn Position**

### **11.5 Product Specific 10g SAR Exposure**

For smart phones with a display diagonal dimension  $> 15.0 \text{ cm}$  or an overall diagonal dimension  $> 16.0 \text{ cm}$  that provide similar mobile web access and multimedia support found in mini-tablets or UMPC mini-tablets that support voice calls next to the ear, According to KDB648474 D04v01r03, the following phablet procedures should be applied to evaluate SAR compliance for each applicable wireless modes and frequency band. Devices marketed as phablets, regardless of form factors and operating characteristics must be tested as a phablet to determine SAR compliance

1. The normally required head and body-worn accessory SAR test procedures for handsets, including hotspot mode, must be applied.

2. The UMPC mini-tablet procedures must also be applied to test the SAR of all surfaces and edges with an antenna located at  $\leq 25 \text{ mm}$  from that surface or edge, in direct contact with a flat phantom, for Product specific 10g SAR according to the body-equivalent tissue dielectric parameters in KDB 865664 to address interactive hand use exposure conditions.<sup>6</sup> The UMPC mini-tablet 1-g SAR at 5 mm is not required. When hotspot mode applies, 10-g Product specific 10g SAR is required only for the surfaces and edges with hotspot mode 1-g reported SAR  $> 1.2 \text{ W/kg}$ .





### **11.6 Wireless Router**

Some battery-operated handsets have the capability to transmit and receive user through simultaneous transmission of WIFI simultaneously with a separate licensed transmitter. The FCC has provided guidance in FCC KDB Publication 941225 D06 v02r01 where SAR test considerations for handsets ( $L \times W \geq 9 \text{ cm} \times 5 \text{ cm}$ ) are based on a composite test separation distance of 10mm from the front, back and edges of the device containing transmitting antennas within 2.5cm of their edges, determined from general mixed use conditions for this type of devices. Since the hotspot SAR results may overlap with the body-worn accessory SAR requirements, the more conservative configurations can be considered, thus excluding some body-worn accessory SAR tests.

When the user enables the personal wireless router functions for the handset, actual operations include simultaneous transmission of both the WIFI transmitter and another licensed transmitter. Both transmitters often do not transmit at the same transmitting frequency and thus cannot be evaluated for SAR under actual use conditions due to the limitations of the SAR assessment probes. Therefore, SAR must be evaluated for each frequency transmission and mode separately and spatially summed with the WIFI transmitter according to FCC KDB Publication 447498 D01v06 publication procedures. The "Portable Hotspot" feature on the handset was NOT activated during SAR assessments, to ensure the SAR measurements were evaluated for a single transmission frequency RF signal at a time.



## **12. Conducted RF Output Power (Unit: dBm)**

### **<LTE Conducted Power>**

#### **General Note:**

1. Anritsu MT8820C base station simulator was used to setup the connection with EUT; the frequency band, channel bandwidth, RB allocation configuration, modulation type are set in the base station simulator to configure EUT transmitting at maximum power and at different configurations which are requested to be reported to FCC, for conducted power measurement and SAR testing.
2. Per KDB 941225 D05v02r05, when a properly configured base station simulator is used for the SAR and power measurements, spectrum plots for each RB allocation and offset configuration is not required.
3. Per KDB 941225 D05v02r05, start with the largest channel bandwidth and measure SAR for QPSK with 1 RB allocation, using the RB offset and required test channel combination with the highest maximum output power for RB offsets at the upper edge, middle and lower edge of each required test channel.
4. Per KDB 941225 D05v02r05, 50% RB allocation for QPSK SAR testing follows 1RB QPSK allocation procedure.
5. Per KDB 941225 D05v02r05, For QPSK with 100% RB allocation, SAR is not required when the highest maximum output power for 100 % RB allocation is less than the highest maximum output power in 50% and 1 RB allocations and the highest reported SAR for 1 RB and 50% RB allocation are  $\leq 0.8$  W/kg. Otherwise, SAR is measured for the highest output power channel; and if the reported SAR is  $> 1.45$  W/kg, the remaining required test channels must also be tested.
6. Per KDB 941225 D05v02r05, 16QAM output power for each RB allocation configuration is  $>$  not  $\frac{1}{2}$  dB higher than the same configuration in QPSK and the reported SAR for the QPSK configuration is  $\leq 1.45$  W/kg; Per KDB 941225 D05v02r05, 16QAM SAR testing is not required.
7. Per KDB 941225 D05v02r05, Smaller bandwidth output power for each RB allocation configuration is  $>$  not  $\frac{1}{2}$  dB higher than the same configuration in the largest supported bandwidth, and the reported SAR for the largest supported bandwidth is  $\leq 1.45$  W/kg; Per KDB 941225 D05v02r05, smaller bandwidth SAR testing is not required.

**<LTE Band 25>**

BW [MHz]	Modulation	RB Size	RB Offset	Power Low Ch. / Freq.	Power Middle Ch. / Freq.		Tune-up limit (dBm)	MPR (dB)
Channel				26140	26340	26590		
Frequency (MHz)				1860	1880	1905		
20	QPSK	1	0	24.51	24.70	24.33	25.00	0
20	QPSK	1	49	24.79	24.85	24.66		
20	QPSK	1	99	24.31	24.39	24.16		
20	QPSK	50	0	23.91	23.92	23.79	24.00	1
20	QPSK	50	24	23.76	23.77	23.75		
20	QPSK	50	50	23.81	23.82	23.74		
20	QPSK	100	0	23.87	23.89	23.76	24.00	1
20	16QAM	1	0	23.69	23.57	23.46		
20	16QAM	1	49	23.63	23.76	23.35		
20	16QAM	1	99	23.42	23.55	23.47	23.00	2
20	16QAM	50	0	22.89	22.91	23.00		
20	16QAM	50	24	22.92	22.98	22.98		
20	16QAM	50	50	23.00	22.94	22.95	23.00	2
20	16QAM	100	0	23.00	22.89	22.96		
Channel				26115	26340	26615	Tune-up limit (dBm)	MPR (dB)
Frequency (MHz)				1857.5	1880	1907.5		
15	QPSK	1	0	24.50	24.79	24.64	25.00	0
15	QPSK	1	37	24.75	24.73	24.97		
15	QPSK	1	74	24.69	24.67	23.81		
15	QPSK	36	0	23.77	23.81	23.76	24.00	1
15	QPSK	36	20	23.84	23.76	23.71		
15	QPSK	36	39	23.94	23.80	23.71		
15	QPSK	75	0	23.80	23.75	23.74	24.00	1
15	16QAM	1	0	23.74	23.65	23.40		
15	16QAM	1	37	23.58	23.53	23.56		
15	16QAM	1	74	23.49	23.49	23.17	23.00	2
15	16QAM	36	0	22.81	22.91	22.86		
15	16QAM	36	20	22.96	22.89	22.90		
15	16QAM	36	39	22.89	22.92	22.66	23.00	2
15	16QAM	75	0	22.91	22.98	22.85		

Channel				26090	26340	26640	Tune-up limit (dBm)	MPR (dB)
Frequency (MHz)				1855	1880	1910		
10	QPSK	1	0	24.69	24.36	24.70	25.00	0
10	QPSK	1	25	24.82	24.85	24.62		
10	QPSK	1	49	24.65	24.52	23.96		
10	QPSK	25	0	23.69	23.72	23.75	24.00	1
10	QPSK	25	12	23.63	23.74	23.64		
10	QPSK	25	25	23.84	23.68	23.62		
10	QPSK	50	0	23.81	23.65	23.68	24.00	1
10	16QAM	1	0	23.66	23.52	23.52		
10	16QAM	1	25	23.46	23.41	23.43		
10	16QAM	1	49	23.56	23.42	23.28	23.00	2
10	16QAM	25	0	22.89	22.88	22.86		
10	16QAM	25	12	22.93	22.93	22.74		
10	16QAM	25	25	22.93	22.86	22.77	23.00	2
10	16QAM	50	0	22.86	22.87	22.72		
Channel				26065	26340	26665	Tune-up limit (dBm)	MPR (dB)
Frequency (MHz)				1852.5	1880	1912.5		
5	QPSK	1	0	24.36	24.33	24.12	25.00	0
5	QPSK	1	12	24.73	24.70	24.44		
5	QPSK	1	24	24.27	24.22	24.02		
5	QPSK	12	0	23.51	23.54	23.57	24.00	1
5	QPSK	12	7	23.52	23.54	23.57		
5	QPSK	12	13	23.52	23.62	23.64		
5	QPSK	25	0	23.54	23.60	23.56	24.00	1
5	16QAM	1	0	23.47	23.41	23.35		
5	16QAM	1	12	23.32	23.19	23.03		
5	16QAM	1	24	23.28	23.30	23.35	23.00	2
5	16QAM	12	0	22.57	22.76	22.63		
5	16QAM	12	7	22.65	22.68	22.66		
5	16QAM	12	13	22.69	22.54	22.74	23.00	2
5	16QAM	25	0	22.61	22.77	22.74		

Channel				26055	26340	26675	Tune-up limit (dBm)	MPR (dB)
Frequency (MHz)				1851.5	1880	1913.5		
3	QPSK	1	0	24.38	24.26	24.24	25.00	0
3	QPSK	1	8	24.48	24.33	24.27		
3	QPSK	1	14	24.40	24.27	24.26		
3	QPSK	8	0	23.49	23.50	23.53	24.00	1
3	QPSK	8	4	23.59	23.59	23.48		
3	QPSK	8	7	23.53	23.55	23.54		
3	QPSK	15	0	23.47	23.48	23.49	24.00	1
3	16QAM	1	0	23.45	23.13	23.13		
3	16QAM	1	8	23.30	23.00	23.00		
3	16QAM	1	14	23.37	23.31	23.22	23.00	2
3	16QAM	8	0	22.62	22.30	22.73		
3	16QAM	8	4	22.55	22.37	22.68		
3	16QAM	8	7	22.67	22.72	22.43	23.00	2
3	16QAM	15	0	22.47	22.67	22.44		
Channel				26047	26340	26683	Tune-up limit (dBm)	MPR (dB)
Frequency (MHz)				1850.7	1880	1914.3		
1.4	QPSK	1	0	24.21	24.35	24.18	25.00	0
1.4	QPSK	1	3	24.23	24.38	24.24		
1.4	QPSK	1	5	24.13	24.27	24.17		
1.4	QPSK	3	0	24.28	24.54	24.37		
1.4	QPSK	3	1	24.41	24.48	24.38		
1.4	QPSK	3	3	24.34	24.37	24.28		
1.4	QPSK	6	0	23.45	23.32	23.34	24.00	1
1.4	16QAM	1	0	23.47	23.24	23.29	24.00	1
1.4	16QAM	1	3	23.43	23.17	23.27		
1.4	16QAM	1	5	23.40	23.19	23.36		
1.4	16QAM	3	0	23.41	23.47	23.41		
1.4	16QAM	3	1	23.39	23.65	23.01		
1.4	16QAM	3	3	23.48	23.66	23.55		
1.4	16QAM	6	0	22.55	22.52	22.53	23.00	2

### **13. Bluetooth Exclusions Applied**

Mode Band	Average power(dBm)	
	Bluetooth v3.0+EDR	Bluetooth v4.0/4.2 LE
2.4GHz Bluetooth	10.0	10.0

**Note:**

- Per KDB 447498 D01v06, the 1-g and 10-g SAR test exclusion thresholds for 100 MHz to 6 GHz at *test separation distances*  $\leq 50$  mm are determined by:  

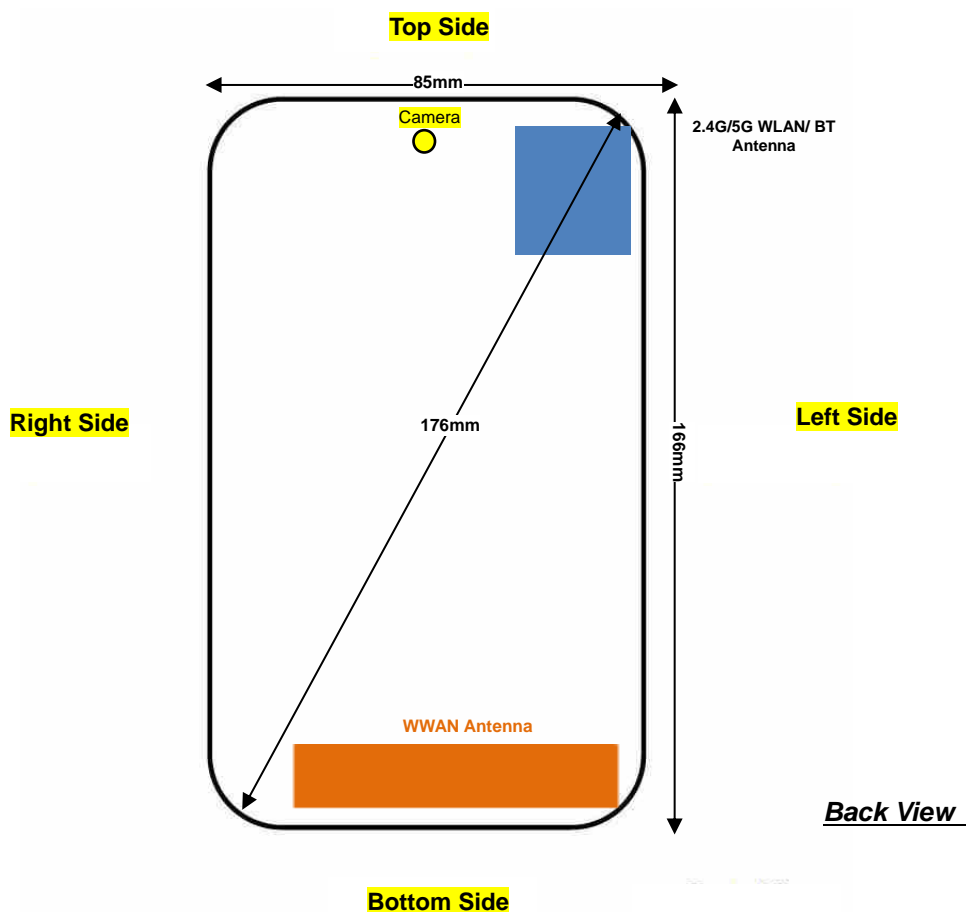
$$[(\text{max. power of channel, including tune-up tolerance, mW}) / (\text{min. test separation distance, mm})] \cdot [\sqrt{f(\text{GHz})}] \leq 3.0$$
for 1-g SAR and  $\leq 7.5$  for 10-g extremity SAR
  - f(GHz) is the RF channel transmit frequency in GHz
  - Power and distance are rounded to the nearest mW and mm before calculation
  - The result is rounded to one decimal place for comparison

Bluetooth Max Power (dBm)	Separation Distance (mm)	Frequency (GHz)	Exclusion Thresholds
10.0	10	2.48	1.6

**Note:**

Per KDB 447498 D01v06, the test exclusion threshold is 1.6 which is  $\leq 3$ , SAR testing is not required.

## 14. Antenna Location



Distance of the Antenna to the EUT surface/edge						
Antennas	Back	Front	Top Side	Bottom Side	Right Side	Left Side
WWAN Antenna	≤ 25mm	≤ 25mm	>25mm	≤ 25mm	≤ 25mm	≤ 25mm
WLAN & BT	≤ 25mm	≤ 25mm	≤ 25mm	>25mm	>25mm	≤ 25mm

Positions for SAR tests; Hotspot mode						
Antennas	Back	Front	Top Side	Bottom Side	Right Side	Left Side
WWAN Antenna	Yes	Yes	No	Yes	Yes	Yes
WLAN & BT	Yes	Yes	Yes	No	No	Yes

### General Note:

- Referring to KDB 941225 D06 v02r01, when the overall device length and width are  $\geq 9\text{cm} \times 5\text{cm}$ , the test distance is 10 mm. SAR must be measured for all sides and surfaces with a transmitting antenna located within 25mm from that surface or edge

## 15. SAR Test Results

### General Note:

1. Per KDB 447498 D01v06, the reported SAR is the measured SAR value adjusted for maximum tune-up tolerance.
  - a. Tune-up scaling Factor = tune-up limit power (mW) / EUT RF power (mW), where tune-up limit is the maximum rated power among all production units.
  - b. Reported SAR(W/kg)= Measured SAR(W/kg)\*Tune-up Scaling Factor
2. Per KDB 447498 D01v06, for each exposure position, testing of other required channels within the operating mode of a frequency band is not required when the *reported* 1-g or 10-g SAR for the mid-band or highest output power channel is:
  - $\leq 0.8$  W/kg or 2.0 W/kg, for 1-g or 10-g respectively, when the transmission band is  $\leq 100$  MHz
  - $\leq 0.6$  W/kg or 1.5 W/kg, for 1-g or 10-g respectively, when the transmission band is between 100 MHz and 200 MHz
  - $\leq 0.4$  W/kg or 1.0 W/kg, for 1-g or 10-g respectively, when the transmission band is  $\geq 200$  MHz
3. Per KDB 865664 D01v01r04, for each frequency band, repeated SAR measurement is required only when the measured SAR is  $\geq 0.8$ W/kg.
4. Per KDB 648474 D04v01r03, when the reported SAR for a body-worn accessory measured without a headset connected to the handset is  $\leq 1.2$  W/kg, SAR testing with a headset connected to the handset is not required.
5. Per KDB648474 D04v01r03, for smart phones with a display diagonal dimension  $> 15.0$  cm or an overall diagonal dimension  $> 16.0$  cm, when hotspot mode applies, 10-g extremity SAR is required only for the surfaces and edges with hotspot mode 1-g reported SAR  $> 1.2$  W/kg, however, when power reduction applies to hotspot mode the measured SAR must be scaled to the maximum output power, including tolerance, allowed for phablet modes to compare with the 1.2 W/kg SAR test reduction threshold. For WLAN5GHz does not support hotspot function, so product specific 10g SAR full test.
6. When 10-g product specific 10g SAR is considered, SAR thresholds is specified in the procedures for SAR test reduction and exclusion should be multiplied by 2.5.



**15.1 Head SAR****<LTE SAR>**

Plot No.	Band	BW (MHz)	Modulation	RB Size	RB Offset	Test Position	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor	Power Drift (dB)	Measured 1g SAR (W/kg)	Reported 1g SAR (W/kg)
	LTE Band 25	20M	QPSK	1RB	49Offset	Right Cheek	26340	1880	24.85	25.00	1.035	0.04	0.286	0.296
	LTE Band 25	20M	QPSK	50RB	0Offset	Right Cheek	26340	1880	23.92	24.00	1.019	0.02	0.212	0.216
	LTE Band 25	20M	QPSK	1RB	49Offset	Right Tilted	26340	1880	24.85	25.00	1.035	-0.03	0.251	0.260
	LTE Band 25	20M	QPSK	50RB	0Offset	Right Tilted	26340	1880	23.92	24.00	1.019	-0.06	0.213	0.217
01	LTE Band 25	20M	QPSK	1RB	49Offset	Left Cheek	26340	1880	24.85	25.00	1.035	0.02	0.491	0.508
	LTE Band 25	20M	QPSK	50RB	0Offset	Left Cheek	26340	1880	23.92	24.00	1.019	0.03	0.408	0.416
	LTE Band 25	20M	QPSK	1RB	49Offset	Left Tilted	26340	1880	24.85	25.00	1.035	0.11	0.240	0.248
	LTE Band 25	20M	QPSK	50RB	0Offset	Left Tilted	26340	1880	23.92	24.00	1.019	0.16	0.201	0.205

**15.2 Hotspot SAR****<LTE SAR>**

Plot No.	Band	BW (MHz)	Modulation	RB Size	RB Offset	Test Position	Gap (mm)	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor	Power Drift (dB)	Measured 1g SAR (W/kg)	Reported 1g SAR (W/kg)
	LTE Band 25	20M	QPSK	1RB	49Offset	Front	10	26340	1880	24.85	25.00	1.035	0.02	0.748	0.774
	LTE Band 25	20M	QPSK	50RB	0Offset	Front	10	26340	1880	23.92	24.00	1.019	0.09	0.611	0.622
	LTE Band 25	20M	QPSK	1RB	49Offset	Back	10	26340	1880	24.85	25.00	1.035	0.01	0.888	0.919
	LTE Band 25	20M	QPSK	1RB	49Offset	Back	10	26140	1860	24.79	25.00	1.050	-0.05	0.884	0.928
02	LTE Band 25	20M	QPSK	1RB	49Offset	Back	10	26590	1905	24.66	25.00	1.081	-0.11	0.933	1.009
	LTE Band 25	20M	QPSK	50RB	0Offset	Back	10	26340	1880	23.92	24.00	1.019	-0.04	0.786	0.801
	LTE Band 25	20M	QPSK	50RB	0Offset	Back	10	26140	1860	23.91	24.00	1.021	-0.11	0.751	0.767
	LTE Band 25	20M	QPSK	50RB	0Offset	Back	10	26590	1905	23.79	24.00	1.050	-0.15	0.793	0.832
	LTE Band 25	20M	QPSK	100RB	0Offset	Back	10	26340	1880	23.89	24.00	1.026	-0.06	0.753	0.772
	LTE Band 25	20M	QPSK	1RB	49Offset	Left Side	10	26340	1880	24.85	25.00	1.035	0.04	0.722	0.747
	LTE Band 25	20M	QPSK	50RB	0Offset	Left Side	10	26340	1880	23.92	24.00	1.019	0.14	0.599	0.610
	LTE Band 25	20M	QPSK	1RB	49Offset	Right Side	10	26340	1880	24.85	25.00	1.035	0.18	0.116	0.120
	LTE Band 25	20M	QPSK	50RB	0Offset	Right Side	10	26340	1880	23.92	24.00	1.019	0.09	0.096	0.098
	LTE Band 25	20M	QPSK	1RB	49Offset	Bottom Side	10	26340	1880	24.85	25.00	1.035	0.06	0.426	0.441
	LTE Band 25	20M	QPSK	50RB	0Offset	Bottom Side	10	26340	1880	23.92	24.00	1.019	0.08	0.343	0.349

**15.3 Body Worn Accessory SAR****<LTE SAR>**

Plot No.	Band	BW (MHz)	Modulation	RB Size	RB Offset	Test Position	Gap (mm)	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor	Power Drift (dB)	Measured 1g SAR (W/kg)	Reported 1g SAR (W/kg)
	LTE Band 25	20M	QPSK	1RB	49Offset	Front	10	26340	1880	24.85	25.00	1.035	0.02	0.748	0.774
	LTE Band 25	20M	QPSK	50RB	0Offset	Front	10	26340	1880	23.92	24.00	1.019	0.09	0.611	0.622
	LTE Band 25	20M	QPSK	1RB	49Offset	Back	10	26340	1880	24.85	25.00	1.035	0.01	0.888	0.919
	LTE Band 25	20M	QPSK	1RB	49Offset	Back	10	26140	1860	24.79	25.00	1.050	-0.05	0.884	0.928
02	LTE Band 25	20M	QPSK	1RB	49Offset	Back	10	26590	1905	24.66	25.00	1.081	-0.11	0.933	1.009
	LTE Band 25	20M	QPSK	50RB	0Offset	Back	10	26340	1880	23.92	24.00	1.019	-0.04	0.786	0.801
	LTE Band 25	20M	QPSK	50RB	0Offset	Back	10	26140	1860	23.91	24.00	1.021	-0.11	0.751	0.767
	LTE Band 25	20M	QPSK	50RB	0Offset	Back	10	26590	1905	23.79	24.00	1.050	-0.15	0.793	0.832
	LTE Band 25	20M	QPSK	100RB	0Offset	Back	10	26340	1880	23.89	24.00	1.026	-0.06	0.753	0.772

**15.4 Repeated SAR Measurement**

No.	Band	BW (MHz)	Modulation	RB Size	RB offset	Test Position	Gap (mm)	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor	Power Drift (dB)	Measured 1g SAR (W/kg)	Ratio	Reported 1g SAR (W/kg)
1st	LTE Band 25	20M	QPSK	1RB	49Offset	Back	10	26590	1905	24.66	25.00	1.081	-0.11	0.933	1	1.009
2nd	LTE Band 25	20M	QPSK	1RB	49Offset	Back	10	26590	1905	24.66	25.00	1.081	-0.05	0.931	1.002	1.007

**General Note:**

1. Per KDB 865664 D01v01r04, for each frequency band, repeated SAR measurement is required only when the measured SAR is  $\geq 0.8$ W/kg.
2. Per KDB 865664 D01v01r04, if the ratio among the repeated measurement is  $\leq 1.2$  and the measured SAR  $< 1.45$ W/kg, only one repeated measurement is required.
3. Per KDB 865664 D01v01r04, if the extremity repeated SAR is necessary, the same procedures should be adapted for measurements according to extremity and occupational exposure limits by applying a factor of 2.5 for extremity exposure and a factor of 5 for occupational exposure to the corresponding SAR thresholds.
4. The ratio is the difference in percentage between original and repeated *measured* SAR.
5. All measurement SAR result is scaled-up to account for tune-up tolerance and is compliant.

## 16. Simultaneous Transmission Analysis

NO.	Simultaneous Transmission Configurations	Portable Handset			Note
		Head	Body-worn	Hotspot	
1.	GSM Voice + WLAN2.4GHz	Yes	Yes		
2.	GPRS/EDGE + WLAN2.4GHz	Yes	Yes	Yes	Hotspot
3.	WCDMA + WLAN2.4GHz	Yes	Yes	Yes	Hotspot
4.	CDMA + WLAN2.4GHz	Yes	Yes	Yes	Hotspot
5.	LTE + WLAN2.4GHz	Yes	Yes	Yes	Hotspot
6.	GSM Voice + WLAN5.2/5.8GHz	Yes	Yes		
7.	GPRS/EDGE + WLAN5.2/5.8GHz	Yes	Yes		WWAN VoIP
8.	WCDMA + WLAN5.2/5.8GHz	Yes	Yes		WWAN VoIP
9.	CDMA + WLAN5.2/5.8GHz	Yes	Yes		WWAN VoIP
10.	LTE + WLAN5.2/5.8GHz	Yes	Yes		WWAN VoIP
11.	GSM Voice + Bluetooth		Yes		
12.	GPRS/EDGE + Bluetooth		Yes		WWAN VoIP
13.	WCDMA + Bluetooth		Yes		WWAN VoIP
14.	CDMA + Bluetooth		Yes		WWAN VoIP
15.	LTE + Bluetooth		Yes		WWAN VoIP

### General Note:

- For simultaneously transmission SAR analysis, SAR values only considered LTE Band 25 which we did perform SAR testing on FA692805-01, WLAN SAR test results were leverage from the parent model which referred to the test report number FA692805.
- This device supports VoIP in GPRS, EGPRS, CDMA, WCDMA and LTE (e.g. for 3rd-party VoIP), and LTE supports VoLTE operation.
- EUT will choose each GSM, WCDMA, CDMA and LTE according to the network signal condition; therefore, they will not operate simultaneously at any moment.
- This device 2.4GHz WLAN supports hotspot operation, 5.2GHz WLAN/5.8GHz WLAN has no hotspot function, 5.2GHz WLAN/5.8GHz WLAN supports WiFi Direct (GC/GO).
- EUT will choose either WLAN 2.4GHz or WLAN 5GHz according to the network signal condition; therefore, 2.4GHz WLAN and 5GHz WLAN will not operate simultaneously at any moment though they have independent antenna.
- Chose the worse zoom scan SAR of WLAN2.4GHz / 5GHz SAR for co-located with WWAN analysis.
- WLAN 2.4GHz and Bluetooth share the same antenna, so can't transmit simultaneously.
- According to EUT character, Bluetooth cannot transmit simultaneously with WLAN 5GHz.
- The Scaled SAR summation is calculated based on the same configuration and test position.
- Per KDB 447498 D01v06, simultaneous transmission SAR is compliant if,
  - Scalar SAR summation < 1.6W/kg.
  - $SPLSR = (SAR1 + SAR2)^{1.5} / (\text{min. separation distance, mm})$ , and the peak separation distance is determined from the square root of  $[(x1-x2)^2 + (y1-y2)^2 + (z1-z2)^2]$ , where (x1, y1, z1) and (x2, y2, z2) are the coordinates of the extrapolated peak SAR locations in the zoom scan.
  - If  $SPLSR \leq 0.04$ , simultaneously transmission SAR measurement is not necessary.
  - Simultaneously transmission SAR measurement, and the reported multi-band SAR < 1.6W/kg.
- For simultaneous transmission analysis, Bluetooth SAR is estimated per KDB 447498 D01v06 based on the formula below.
  - (max. power of channel, including tune-up tolerance, mW)/(min. test separation distance, mm) ·  $[\sqrt{f(\text{GHz})}/x]$  W/kg for test separation distances  $\leq 50$  mm; where  $x = 7.5$  for 1-g SAR, and  $x = 18.75$  for 10-g SAR.
  - When the minimum separation distance is < 5mm, the distance is used 5mm to determine SAR test exclusion.
  - 0.4 W/kg for 1-g SAR and 1.0 W/kg for 10-g SAR, when the test separation distances is > 50 mm.

Bluetooth Max Power	Exposure Position	Body Worn
	Test separation	10 mm
10.0 dBm	Estimated SAR (W/kg)	0.210 W/kg

**16.1 Head Exposure Conditions**

WWAN Band		Exposure Position	1	2	1+2 Summed 1g SAR (W/kg)	SPLSR	Case No
			WWAN	2.4GHz WLAN			
			1g SAR (W/kg)	1g SAR (W/kg)			
LTE	Band 25	Right Cheek	0.296	0.355	0.65		
		Right Tilted	0.260	0.355	0.62		
		Left Cheek	0.508	0.355	0.86		
		Left Tilted	0.248	0.355	0.60		

WWAN Band		Exposure Position	1	3	1+3 Summed 1g SAR (W/kg)	SPLSR	Case No
			WWAN	5GHz WLAN			
			1g SAR (W/kg)	1g SAR (W/kg)			
LTE	Band 25	Right Cheek	0.296	0.263	0.56		
		Right Tilted	0.260	0.263	0.52		
		Left Cheek	0.508	0.263	0.77		
		Left Tilted	0.248	0.263	0.51		

**16.2 Hotspot Exposure Conditions**

WWAN Band		Exposure Position	1	2	1+2 Summed 1g SAR (W/kg)	SPLSR	Case No
			WWAN	2.4GHz WLAN			
			1g SAR (W/kg)	1g SAR (W/kg)			
LTE	Band 25	Front	0.774	0.143	0.92		
		Back	1.009	0.143	1.15		
		Left side	0.747	0.143	0.89		
		Right side	0.120		0.12		
		Top side		0.143	0.14		
		Bottom side	0.441		0.44		

**16.3 Body-Worn Accessory Exposure Conditions**

WWAN Band		Exposure Position	1	2	1+2 Summed 1g SAR (W/kg)	SPLSR	Case No
			WWAN	2.4GHz WLAN			
			1g SAR (W/kg)	1g SAR (W/kg)			
LTE	Band 25	Front	0.774	0.143	0.92		
		Back	1.009	0.143	1.15		

WWAN Band		Exposure Position	1	3	1+3 Summed 1g SAR (W/kg)	SPLSR	Case No
			WWAN	5GHz WLAN			
			1g SAR (W/kg)	1g SAR (W/kg)			
LTE	Band 25	Front	0.774	0.541	1.32		
		Back	1.009	0.541	1.55		

WWAN Band		Exposure Position	1	4	1+4 Summed 1g SAR (W/kg)	SPLSR	Case No
			WWAN	Bluetooth			
			1g SAR (W/kg)	Estimated 1g SAR (W/kg)			
LTE	Band 25	Front	0.774	0.210	0.98		
		Back	1.009	0.210	1.22		

**Test Engineer :** Nick Hu

## **17. Uncertainty Assessment**

The component of uncertainty may generally be categorized according to the methods used to evaluate them. The evaluation of uncertainty by the statistical analysis of a series of observations is termed a Type A evaluation of uncertainty. The evaluation of uncertainty by means other than the statistical analysis of a series of observation is termed a Type B evaluation of uncertainty. Each component of uncertainty, however evaluated, is represented by an estimated standard deviation, termed standard uncertainty, which is determined by the positive square root of the estimated variance.

A Type A evaluation of standard uncertainty may be based on any valid statistical method for treating data. This includes calculating the standard deviation of the mean of a series of independent observations; using the method of least squares to fit a curve to the data in order to estimate the parameter of the curve and their standard deviations; or carrying out an analysis of variance in order to identify and quantify random effects in certain kinds of measurement.

A type B evaluation of standard uncertainty is typically based on scientific judgment using all of the relevant information available. These may include previous measurement data, experience, and knowledge of the behavior and properties of relevant materials and instruments, manufacture's specification, data provided in calibration reports and uncertainties assigned to reference data taken from handbooks. Broadly speaking, the uncertainty is either obtained from an outdoor source or obtained from an assumed distribution, such as the normal distribution, rectangular or triangular distributions indicated in table below.

<b>Uncertainty Distributions</b>	<b>Normal</b>	<b>Rectangular</b>	<b>Triangular</b>	<b>U-Shape</b>
Multi-plying Factor <sup>(a)</sup>	$1/k^{(b)}$	$1/\sqrt{3}$	$1/\sqrt{6}$	$1/\sqrt{2}$

(a) standard uncertainty is determined as the product of the multiplying factor and the estimated range of variations in the measured quantity

(b)  $k$  is the coverage factor

**Table 17.1. Standard Uncertainty for Assumed Distribution**

The combined standard uncertainty of the measurement result represents the estimated standard deviation of the result. It is obtained by combining the individual standard uncertainties of both Type A and Type B evaluation using the usual "root-sum-squares" (RSS) methods of combining standard deviations by taking the positive square root of the estimated variances.

Expanded uncertainty is a measure of uncertainty that defines an interval about the measurement result within which the measured value is confidently believed to lie. It is obtained by multiplying the combined standard uncertainty by a coverage factor. Typically, the coverage factor ranges from 2 to 3. Using a coverage factor allows the true value of a measured quantity to be specified with a defined probability within the specified uncertainty range. For purpose of this document, a coverage factor two is used, which corresponds to confidence interval of about 95 %. The DASY uncertainty Budget is shown in the following tables.

Error Description	Uncertainty Value (±%)	Probability	Divisor	(Ci) 1g	(Ci) 10g	Standard Uncertainty (1g) (±%)	Standard Uncertainty (10g) (±%)
<b>Measurement System</b>							
Probe Calibration	6.0	N	1	1	1	6.0	6.0
Axial Isotropy	4.7	R	1.732	0.7	0.7	1.9	1.9
Hemispherical Isotropy	9.6	R	1.732	0.7	0.7	3.9	3.9
Boundary Effects	1.0	R	1.732	1	1	0.6	0.6
Linearity	4.7	R	1.732	1	1	2.7	2.7
System Detection Limits	1.0	R	1.732	1	1	0.6	0.6
Modulation Response	3.2	R	1.732	1	1	1.8	1.8
Readout Electronics	0.3	N	1	1	1	0.3	0.3
Response Time	0.0	R	1.732	1	1	0.0	0.0
Integration Time	2.6	R	1.732	1	1	1.5	1.5
RF Ambient Noise	3.0	R	1.732	1	1	1.7	1.7
RF Ambient Reflections	3.0	R	1.732	1	1	1.7	1.7
Probe Positioner	0.4	R	1.732	1	1	0.2	0.2
Probe Positioning	2.9	R	1.732	1	1	1.7	1.7
Max. SAR Eval.	2.0	R	1.732	1	1	1.2	1.2
<b>Test Sample Related</b>							
Device Positioning	3.0	N	1	1	1	3.0	3.0
Device Holder	3.6	N	1	1	1	3.6	3.6
Power Drift	5.0	R	1.732	1	1	2.9	2.9
Power Scaling	0.0	R	1.732	1	1	0.0	0.0
<b>Phantom and Setup</b>							
Phantom Uncertainty	6.1	R	1.732	1	1	3.5	3.5
SAR correction	0.0	R	1.732	1	0.84	0.0	0.0
Liquid Conductivity Repeatability	0.2	N	1	0.78	0.71	0.1	0.1
Liquid Conductivity (target)	5.0	R	1.732	0.78	0.71	2.3	2.0
Liquid Conductivity (mea.)	2.5	R	1.732	0.78	0.71	1.1	1.0
Temp. unc. - Conductivity	3.4	R	1.732	0.78	0.71	1.5	1.4
Liquid Permittivity Repeatability	0.15	N	1	0.23	0.26	0.0	0.0
Liquid Permittivity (target)	5.0	R	1.732	0.23	0.26	0.7	0.8
Liquid Permittivity (mea.)	2.5	R	1.732	0.23	0.26	0.3	0.4
Temp. unc. - Permittivity	0.83	R	1.732	0.23	0.26	0.1	0.1
<b>Combined Std. Uncertainty</b>						11.4%	11.4%
<b>Coverage Factor for 95 %</b>						K=2	K=2
<b>Expanded STD Uncertainty</b>						22.9%	22.7%

**Table 17.2. Uncertainty Budget for frequency range 300 MHz to 3 GHz**



Error Description	Uncertainty Value (±%)	Probability	Divisor	(Ci) 1g	(Ci) 10g	Standard Uncertainty (1g) (±%)	Standard Uncertainty (10g) (±%)
<b>Measurement System</b>							
Probe Calibration	6.55	N	1	1	1	6.6	6.6
Axial Isotropy	4.7	R	1.732	0.7	0.7	1.9	1.9
Hemispherical Isotropy	9.6	R	1.732	0.7	0.7	3.9	3.9
Boundary Effects	2.0	R	1.732	1	1	1.2	1.2
Linearity	4.7	R	1.732	1	1	2.7	2.7
System Detection Limits	1.0	R	1.732	1	1	0.6	0.6
Modulation Response	3.2	R	1.732	1	1	1.8	1.8
Readout Electronics	0.3	N	1	1	1	0.3	0.3
Response Time	0.0	R	1.732	1	1	0.0	0.0
Integration Time	2.6	R	1.732	1	1	1.5	1.5
RF Ambient Noise	3.0	R	1.732	1	1	1.7	1.7
RF Ambient Reflections	3.0	R	1.732	1	1	1.7	1.7
Probe Positioner	0.4	R	1.732	1	1	0.2	0.2
Probe Positioning	6.7	R	1.732	1	1	3.9	3.9
Max. SAR Eval.	4.0	R	1.732	1	1	2.3	2.3
<b>Test Sample Related</b>							
Device Positioning	3.0	N	1	1	1	3.0	3.0
Device Holder	3.6	N	1	1	1	3.6	3.6
Power Drift	5.0	R	1.732	1	1	2.9	2.9
Power Scaling	0.0	R	1.732	1	1	0.0	0.0
<b>Phantom and Setup</b>							
Phantom Uncertainty	6.6	R	1.732	1	1	3.8	3.8
SAR correction	0.0	R	1.732	1	0.84	0.0	0.0
Liquid Conductivity Repeatability	0.2	N	1	0.78	0.71	0.1	0.1
Liquid Conductivity (target)	5.0	R	1.732	0.78	0.71	2.3	2.0
Liquid Conductivity (mea.)	2.5	R	1.732	0.78	0.71	1.1	1.0
Temp. unc. - Conductivity	3.4	R	1.732	0.78	0.71	1.5	1.4
Liquid Permittivity Repeatability	0.15	N	1	0.23	0.26	0.0	0.0
Liquid Permittivity (target)	5.0	R	1.732	0.23	0.26	0.7	0.8
Liquid Permittivity (mea.)	2.5	R	1.732	0.23	0.26	0.3	0.4
Temp. unc. - Permittivity	0.83	R	1.732	0.23	0.26	0.1	0.1
<b>Combined Std. Uncertainty</b>						12.5%	12.5%
<b>Coverage Factor for 95 %</b>						K=2	K=2
<b>Expanded STD Uncertainty</b>						25.1%	25.0%

**Table 17.3. Uncertainty Budget for frequency range 3 GHz to 6 GHz**

## **18. References**

- [1] FCC 47 CFR Part 2 "Frequency Allocations and Radio Treaty Matters; General Rules and Regulations"
- [2] ANSI/IEEE Std. C95.1-1992, "IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz", September 1992
- [3] IEEE Std. 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", Sep 2013
- [4] SPEAG DASY System Handbook
- [5] FCC KDB 248227 D01 v02r02, "SAR Guidance for IEEE 802.11 (WiFi) Transmitters", Oct 2015.
- [6] FCC KDB 447498 D01 v06, "Mobile and Portable Device RF Exposure Procedures and Equipment Authorization Policies", Oct 2015
- [7] FCC KDB 648474 D04 v01r03, "SAR Evaluation Considerations for Wireless Handsets", Oct 2015.
- [8] FCC KDB 941225 D01 v03r01, "3G SAR MEAUREMENT PROCEDURES", Oct 2015
- [9] FCC KDB 941225 D05 v02r05, "SAR Evaluation Considerations for LTE Devices", Dec 2015
- [10] FCC KDB 941225 D06 v02r01, "SAR Evaluation Procedures for Portable Devices with Wireless Router Capabilities", Oct 2015.
- [11] FCC KDB 865664 D01 v01r04, "SAR Measurement Requirements for 100 MHz to 6 GHz", Aug 2015.
- [12] FCC KDB 865664 D02 v01r02, "RF Exposure Compliance Reporting and Documentation Considerations" Oct 2015.



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## ***Appendix A. Plots of System Performance Check***

The plots are shown as follows.

**System Check\_Head\_1900MHz****DUT: D1900V2 - SN:5d118**

Communication System: UID 0, CW; Frequency: 1900 MHz; Duty Cycle: 1:1

Medium: HSL\_1900 Medium parameters used:  $f = 1900$  MHz;  $\sigma = 1.425$  S/m;  $\epsilon_r = 39.07$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Ambient Temperature : 23.3 °C; Liquid Temperature : 22.9 °C

**DASY5 Configuration:**

- Probe: EX3DV4 - SN3857; ConvF(7.85, 7.85, 7.85); Calibrated: 2016.5.25;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1210; Calibrated: 2016.5.18
- Phantom: SAM2; Type: SAM; Serial: TP-1477
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7331)

**Pin=250mW/Area Scan (61x61x1):** Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 14.0 W/kg

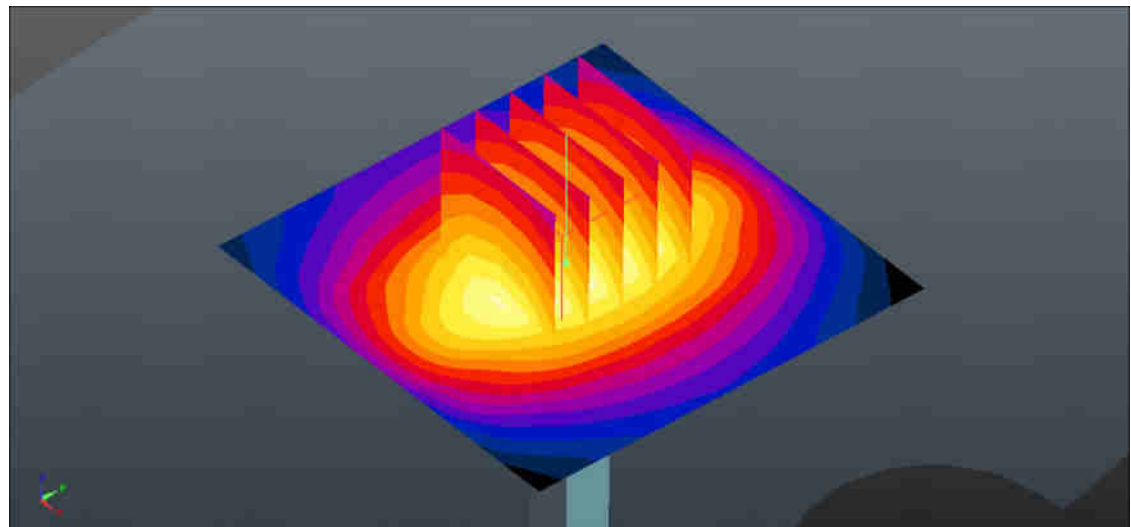
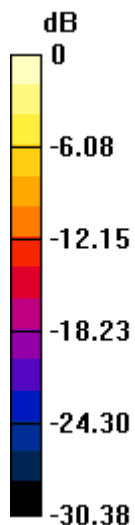
**Pin=250mW/Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 87.20 V/m; Power Drift = 0.02 dB

Peak SAR (extrapolated) = 18.0 W/kg

**SAR(1 g) = 9.64 W/kg; SAR(10 g) = 4.96 W/kg**

Maximum value of SAR (measured) = 14.0 W/kg



0 dB = 14.0 W/kg = 11.46 dBW/kg

**System Check\_Body\_1900MHz****DUT: D1900V2 - SN:5d118**

Communication System: UID 0, CW; Frequency: 1900 MHz; Duty Cycle: 1:1

Medium: MSL\_1900 Medium parameters used:  $f = 1900$  MHz;  $\sigma = 1.509$  S/m;  $\epsilon_r = 54.097$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Ambient Temperature : 23.2 °C; Liquid Temperature : 22.8 °C

**DASY5 Configuration:**

- Probe: EX3DV4 - SN3857; ConvF(7.55, 7.55, 7.55); Calibrated: 2016.5.25;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1210; Calibrated: 2016.5.18
- Phantom: SAM1; Type: SAM; Serial: TP-1479
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7331)

**Pin=250mW/Area Scan (61x61x1):** Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 14.4 W/kg

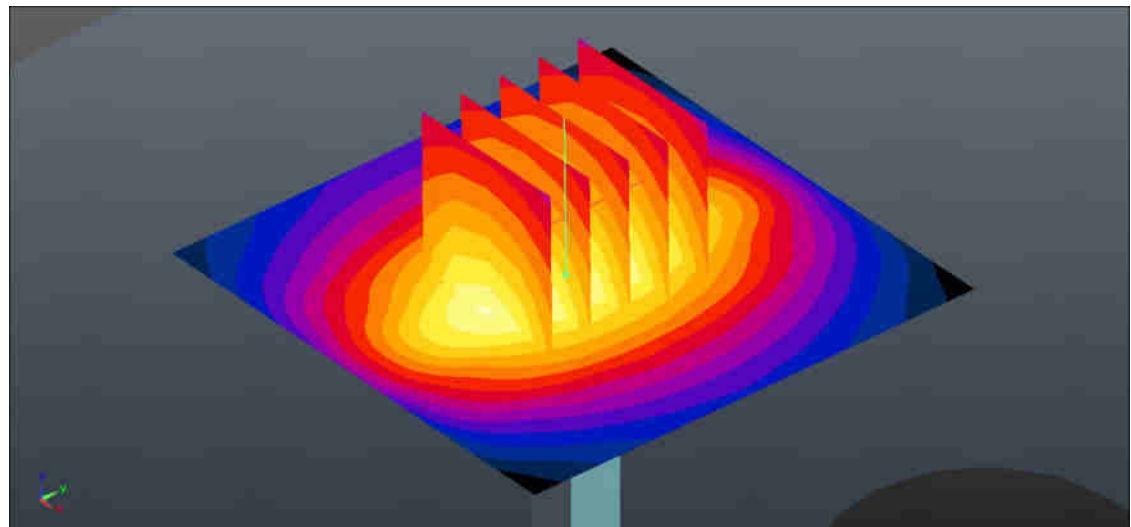
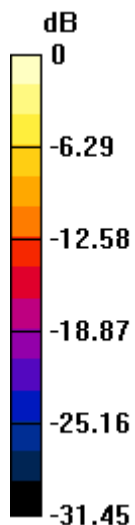
**Pin=250mW/Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 86.29 V/m; Power Drift = 0.04 dB

Peak SAR (extrapolated) = 18.1 W/kg

**SAR(1 g) = 10 W/kg; SAR(10 g) = 5.2 W/kg**

Maximum value of SAR (measured) = 14.4 W/kg



0 dB = 14.4 W/kg = 11.58 dBW/kg



---

## ***Appendix B. Plots of High SAR Measurement***

The plots are shown as follows.

**01\_LTE Band 25\_20M\_QPSK\_1RB\_49Offset\_Left Cheek\_0mm\_Ch26340**

Communication System: UID 0, FDD\_LTE (0); Frequency: 1880 MHz; Duty Cycle: 1:1

Medium: HSL\_1900 Medium parameters used:  $f = 1880$  MHz;  $\sigma = 1.405$  S/m;  $\epsilon_r = 39.156$ ;  $\rho = 1000$

kg/m<sup>3</sup>

Ambient Temperature : 23.3 °C ; Liquid Temperature : 22.9 °C

DASY5 Configuration:

- Probe: EX3DV4 - SN3857; ConvF(7.85, 7.85, 7.85); Calibrated: 2016.5.25;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1210; Calibrated: 2016.5.18
- Phantom: SAM2; Type: SAM; Serial: TP-1477
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7331)

**Ch26340/Area Scan (81x131x1):** Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 0.678 W/kg

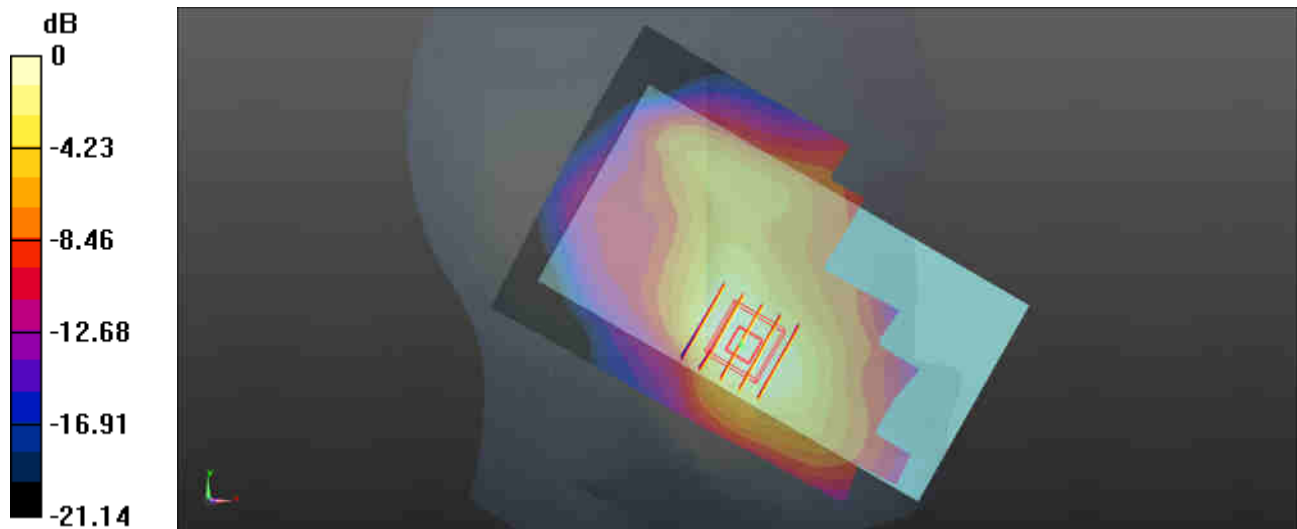
**Ch26340/Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 6.761 V/m; Power Drift = 0.02 dB

Peak SAR (extrapolated) = 0.757 W/kg

**SAR(1 g) = 0.491 W/kg; SAR(10 g) = 0.302 W/kg**

Maximum value of SAR (measured) = 0.632 W/kg



0 dB = 0.632 W/kg = -1.99 dBW/kg

**02\_LTE Band 25\_25M\_QPSK\_1RB\_49Offset\_Back\_10mm\_Ch26590**

Communication System: UID 0, FDD\_LTE (0); Frequency: 1905 MHz; Duty Cycle: 1:1

Medium: MSL\_1900 Medium parameters used:  $f = 1905$  MHz;  $\sigma = 1.514$  S/m;  $\epsilon_r = 54.082$ ;  $\rho = 1000$

kg/m<sup>3</sup>

Ambient Temperature : 23.2 °C ; Liquid Temperature : 22.8 °C

DASY5 Configuration:

- Probe: EX3DV4 - SN3857; ConvF(7.55, 7.55, 7.55); Calibrated: 2016.5.25;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1210; Calibrated: 2016.5.18
- Phantom: SAM1; Type: SAM; Serial: TP-1479
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7331)

**Ch26590/Area Scan (81x131x1):** Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 1.20 W/kg

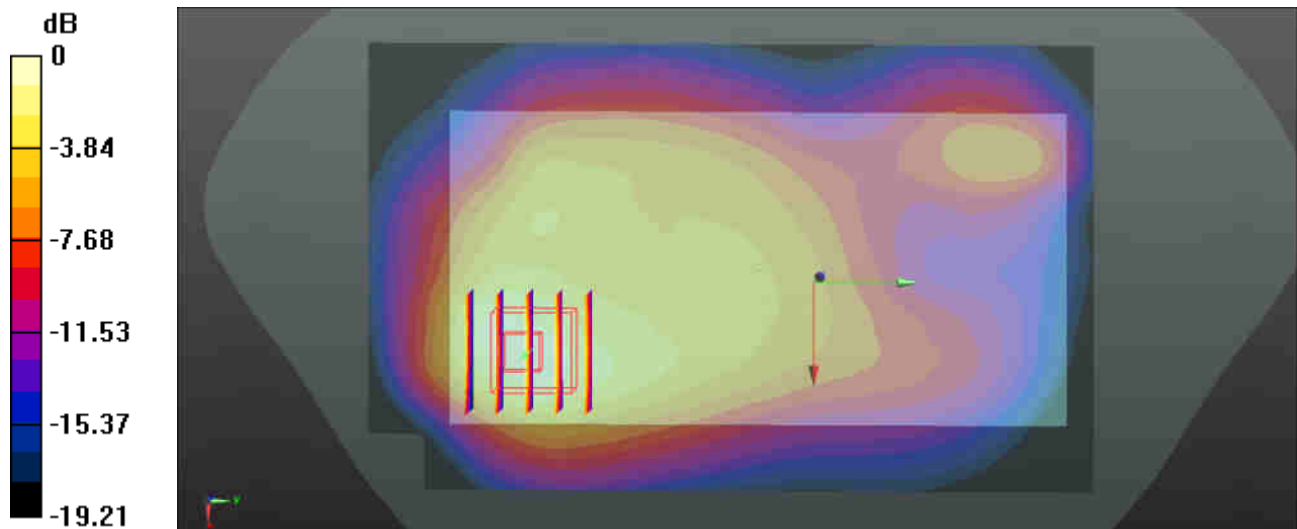
**Ch26590/Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 16.67 V/m; Power Drift = -0.11 dB

Peak SAR (extrapolated) = 1.61 W/kg

**SAR(1 g) = 0.933 W/kg; SAR(10 g) = 0.521 W/kg**

Maximum value of SAR (measured) = 1.27 W/kg







---

## **Appendix C.     DASY Calibration Certificate**

The DASY calibration certificates are shown as follows.



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国际互认  
校准  
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E-mail: cttl@chinattl.com [Http://www.chinattl.cn](http://www.chinattl.cn)

Client

**Sporton-CN**

Certificate No: **Z16-97229**

## CALIBRATION CERTIFICATE

Object **D1900V2 - SN: 5d118**

Calibration Procedure(s) **FD-Z11-003-01**  
**Calibration Procedures for dipole validation kits**

Calibration date: **November 24, 2016**

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRP2	101919	27-Jun-16 (CTTL, No.J16X04777)	Jun-17
Power sensor NRP-Z91	101547	27-Jun-16 (CTTL, No.J16X04777)	Jun-17
Reference Probe EX3DV4	SN 7433	26-Sep-16(SPEAG,No.EX3-7433_Sep16)	Sep-17
DAE4	SN 771	02-Feb-16(CTTL-SPEAG,No.Z16-97011)	Feb-17
Secondary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Signal Generator E4438C	MY49071430	01-Feb-16 (CTTL, No.J16X00893)	Jan-17
Network Analyzer E5071C	MY46110673	26-Jan-16 (CTTL, No.J16X00894)	Jan-17

	Name	Function	Signature
Calibrated by:	Zhao Jing	SAR Test Engineer	
Reviewed by:	Qi Dianyuan	SAR Project Leader	
Approved by:	Lu Bingsong	Deputy Director of the laboratory	

Issued: November 27, 2016

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



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### Glossary:

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
N/A	not applicable or not measured

### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) For hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)", February 2005
- IEC 62209-2, "Procedure to measure the Specific Absorption Rate (SAR) For wireless communication devices used in close proximity to the human body (frequency range of 30MHz to 6GHz)", March 2010
- KDB865664, SAR Measurement Requirements for 100 MHz to 6 GHz

### Additional Documentation:

- DASY4/5 System Handbook

### Methods Applied and Interpretation of Parameters:

- Measurement Conditions:** Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL:** The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss:** These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- Electrical Delay:** One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured:** SAR measured at the stated antenna input power.
- SAR normalized:** SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters:** The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution Corresponds to a coverage probability of approximately 95%.





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## Measurement Conditions

DASY system configuration, as far as not given on page 1.

DASY Version	DASY52	52.8.8.1258
Extrapolation	Advanced Extrapolation	
Phantom	Triple Flat Phantom 5.1C	
Distance Dipole Center - TSL	10 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	1900 MHz $\pm$ 1 MHz	

## Head TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	40.0	1.40 mho/m
Measured Head TSL parameters	(22.0 $\pm$ 0.2) °C	40.4 $\pm$ 6 %	1.43 mho/m $\pm$ 6 %
Head TSL temperature change during test	<1.0 °C	----	----

## SAR result with Head TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	10.2 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	40.4 mW / g $\pm$ 20.8 % (k=2)
SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL	Condition	
SAR measured	250 mW input power	5.29 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	21.0 mW / g $\pm$ 20.4 % (k=2)

## Body TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	53.3	1.52 mho/m
Measured Body TSL parameters	(22.0 $\pm$ 0.2) °C	54.6 $\pm$ 6 %	1.53 mho/m $\pm$ 6 %
Body TSL temperature change during test	<1.0 °C	----	----

## SAR result with Body TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	10.2 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	40.8 mW / g $\pm$ 20.8 % (k=2)
SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	Condition	
SAR measured	250 mW input power	5.32 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	21.3 mW / g $\pm$ 20.4 % (k=2)



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## Appendix

### Antenna Parameters with Head TSL

Impedance, transformed to feed point	$50.4\Omega + 6.22j\Omega$
Return Loss	- 24.2dB

### Antenna Parameters with Body TSL

Impedance, transformed to feed point	$47.5\Omega + 7.79j\Omega$
Return Loss	- 21.6dB

### General Antenna Parameters and Design

Electrical Delay (one direction)	1.086 ns
----------------------------------	----------

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

### Additional EUT Data

Manufactured by	SPEAG
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## DASY5 Validation Report for Head TSL

Date: 11.24.2016

Test Laboratory: CTTL, Beijing, China

**DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN: 5d118**

Communication System: UID 0, CW; Frequency: 1900 MHz; Duty Cycle: 1:1

Medium parameters used:  $f = 1900$  MHz;  $\sigma = 1.426$  S/m;  $\epsilon_r = 40.35$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Center Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

DASY5 Configuration:

- Probe: EX3DV4 - SN7433; ConvF(7.98, 7.98, 7.98); Calibrated: 9/26/2016;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn771; Calibrated: 2/2/2016
- Phantom: Triple Flat Phantom 5.1C; Type: QD 000 P51 CA; Serial: 1161/1
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7372)

**System Performance Check/Zoom Scan (7x7x7) (7x7x7)/Cube 0:** Measurement grid:

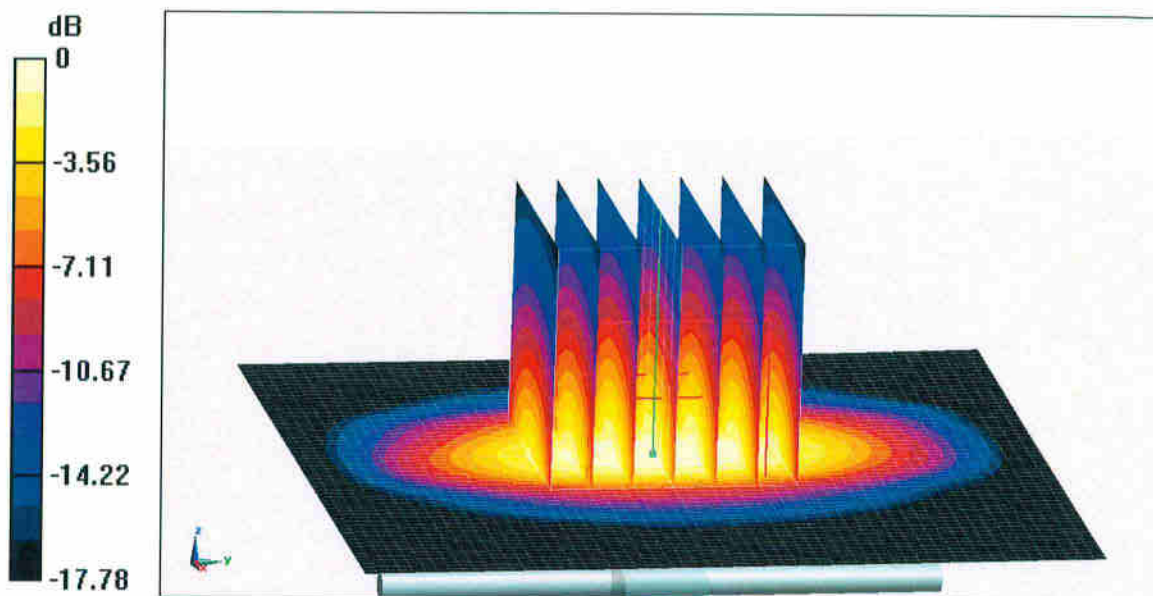
$dx=5$ mm,  $dy=5$ mm,  $dz=5$ mm

Reference Value = 103.5 V/m; Power Drift = -0.01 dB

Peak SAR (extrapolated) = 18.9 W/kg

**SAR(1 g) = 10.2 W/kg; SAR(10 g) = 5.29 W/kg**

Maximum value of SAR (measured) = 14.7 W/kg



0 dB = 14.7 W/kg = 11.67 dBW/kg





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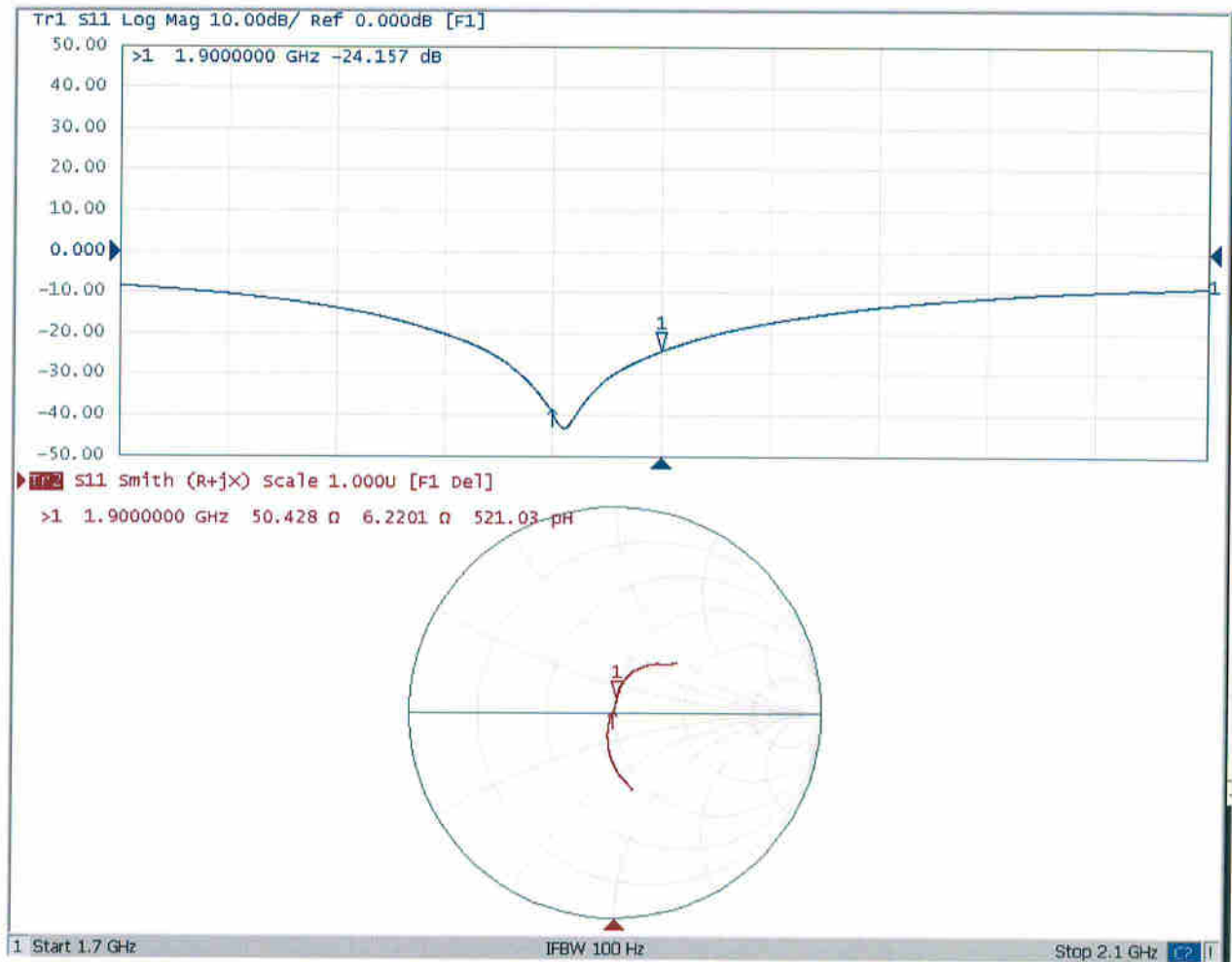
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## Impedance Measurement Plot for Head TSL





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## DASY5 Validation Report for Body TSL

Date: 11.23.2016

Test Laboratory: CTTL, Beijing, China

**DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN: 5d118**

Communication System: UID 0, CW; Frequency: 1900 MHz; Duty Cycle: 1:1

Medium parameters used:  $f = 1900$  MHz;  $\sigma = 1.531$  S/m;  $\epsilon_r = 54.57$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Right Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

DASY5 Configuration:

- Probe: EX3DV4 - SN7433; ConvF(7.7, 7.7, 7.7); Calibrated: 9/26/2016;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn771; Calibrated: 2/2/2016
- Phantom: Triple Flat Phantom 5.1C; Type: QD 000 P51 CA; Serial: 1161/1
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7372)

**System Performance Check/Zoom Scan (7x7x7) (7x7x7)/Cube 0:** Measurement grid:

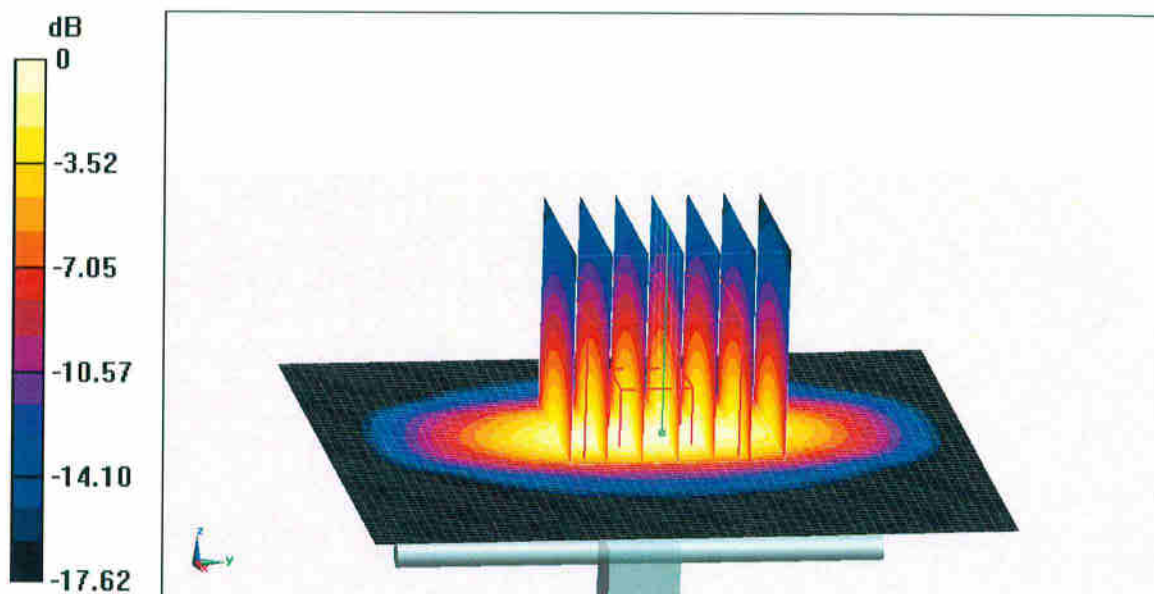
$dx=5$ mm,  $dy=5$ mm,  $dz=5$ mm

Reference Value = 98.23 V/m; Power Drift = 0.05 dB

Peak SAR (extrapolated) = 18.5 W/kg

**SAR(1 g) = 10.2 W/kg; SAR(10 g) = 5.32 W/kg**

Maximum value of SAR (measured) = 14.6 W/kg



**0 dB = 14.6 W/kg = 11.64 dBW/kg**



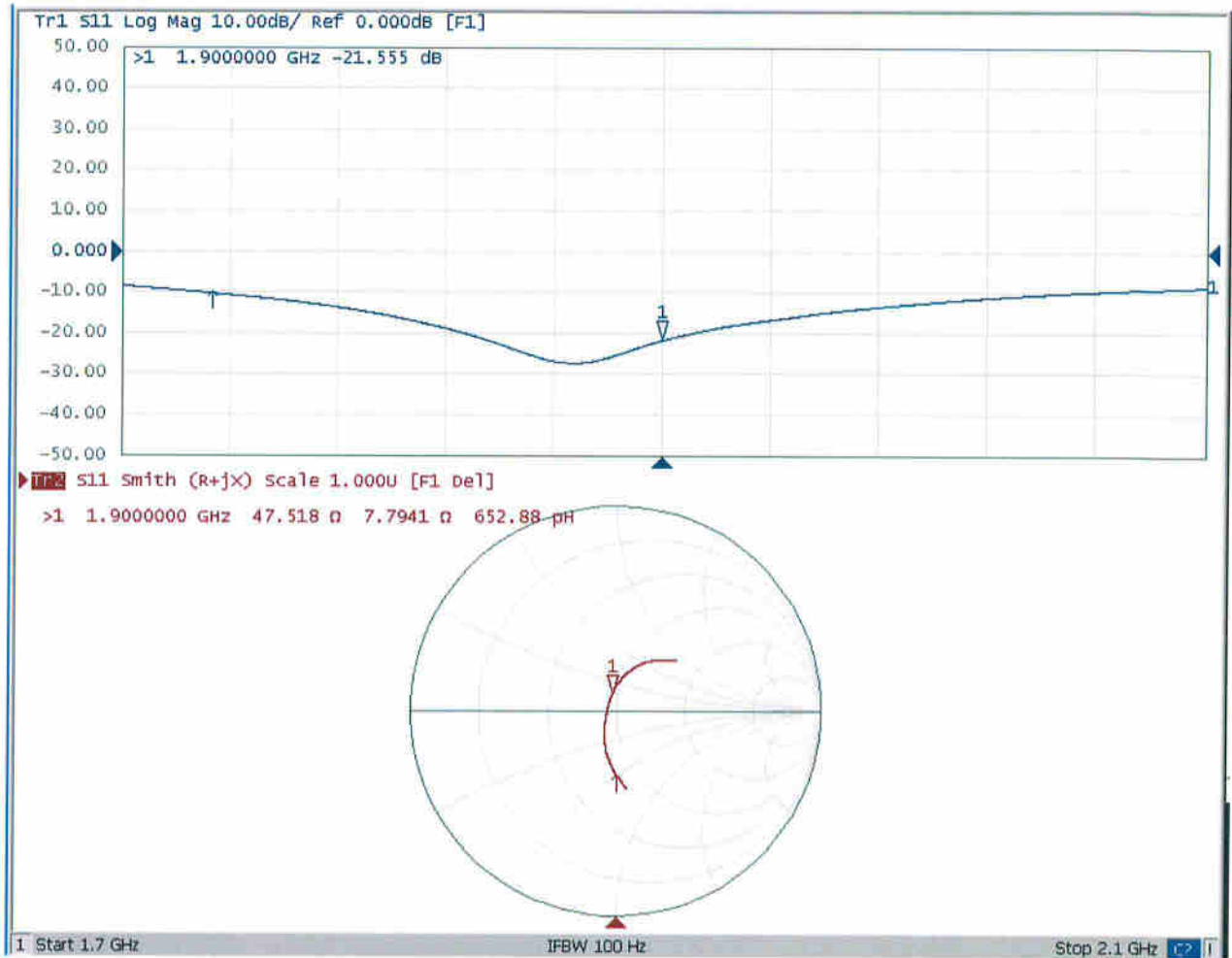


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## Impedance Measurement Plot for Body TSL





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E-mail: cttl@chinattl.com [Http://www.chinattl.cn](http://www.chinattl.cn)

Client : **Sporton\_CN**

Certificate No: **Z16-97071**

## CALIBRATION CERTIFICATE

Object **DAE4 - SN: 1210**

Calibration Procedure(s) **FD-Z11-2-002-01**  
**Calibration Procedure for the Data Acquisition Electronics (DAEx)**

Calibration date: **May 18, 2016**

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
-------------------	------	--	-----------------------

Process Calibrator 753	1971018	06-July-15 (CTTL, No:J15X04257)	July-16
------------------------	---------	---------------------------------	---------

	Name	Function	Signature
Calibrated by:	Yu Zongying	SAR Test Engineer	
Reviewed by:	Qi Dianyuan	SAR Project Leader	
Approved by:	Lu Bingsong	Deputy Director of the laboratory	

Issued: May 19, 2016

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



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### **Glossary:**

DAE

data acquisition electronics

Connector angle

information used in DASY system to align probe sensor X to the robot coordinate system.

### **Methods Applied and Interpretation of Parameters:**

- *DC Voltage Measurement:* Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- *Connector angle:* The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
- The report provide only calibration results for DAE, it does not contain other performance test results.



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## DC Voltage Measurement

A/D - Converter Resolution nominal

High Range: 1LSB =  $6.1\mu\text{V}$ , full range =  $-100\dots+300\text{ mV}$

Low Range: 1LSB =  $61\text{nV}$ , full range =  $-1\dots+3\text{mV}$

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Calibration Factors	X	Y	Z
High Range	$404.076 \pm 0.15\% (k=2)$	$404.897 \pm 0.15\% (k=2)$	$405.013 \pm 0.15\% (k=2)$
Low Range	$3.99810 \pm 0.7\% (k=2)$	$3.98220 \pm 0.7\% (k=2)$	$3.99829 \pm 0.7\% (k=2)$

## Connector Angle

Connector Angle to be used in DASY system	$58^\circ \pm 1^\circ$
---	------------------------





Accredited by the Swiss Accreditation Service (SAS)  
The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 0108**

Client **Sporton-KS (Auden)**

Certificate No: **EX3-3857\_May16**

## CALIBRATION CERTIFICATE

Object **EX3DV4 - SN:3857**

Calibration procedure(s) **QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6**  
**Calibration procedure for dosimetric E-field probes**

Calibration date: **May 25, 2016**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature  $(22 \pm 3)^{\circ}\text{C}$  and humidity  $< 70\%$ .

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	06-Apr-16 (No. 217-02288/02289)	Apr-17
Power sensor NRP-Z91	SN: 103244	06-Apr-16 (No. 217-02288)	Apr-17
Power sensor NRP-Z91	SN: 103245	06-Apr-16 (No. 217-02289)	Apr-17
Reference 20 dB Attenuator	SN: S5277 (20x)	05-Apr-16 (No. 217-02293)	Apr-17
Reference Probe ES3DV2	SN: 3013	31-Dec-15 (No. ES3-3013_Dec15)	Dec-16
DAE4	SN: 660	23-Dec-15 (No. DAE4-660_Dec15)	Dec-16
Secondary Standards	ID	Check Date (in house)	Scheduled Check
Power meter E4419B	SN: GB41293874	06-Apr-16 (No. 217-02285/02284)	In house check: Jun-16
Power sensor E4412A	SN: MY41498087	06-Apr-16 (No. 217-02285)	In house check: Jun-16
Power sensor E4412A	SN: 000110210	06-Apr-16 (No. 217-02284)	In house check: Jun-16
RF generator HP 8648C	SN: US3642U01700 *	04-Aug-99 (in house check Apr-13)	In house check: Jun-16
Network Analyzer HP 8753E	SN: US37390585	18-Oct-01 (in house check Oct-15)	In house check: Oct-16

	Name	Function	Signature
Calibrated by:	Jeton Kastrati	Laboratory Technician	
Approved by:	Katja Pokovic	Technical Manager	
			Issued: May 31, 2016
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Accredited by the Swiss Accreditation Service (SAS)

Accreditation No.: **SCS 0108**

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Multilateral Agreement for the recognition of calibration certificates

### Glossary:

TSL	tissue simulating liquid
$NORM_{x,y,z}$	sensitivity in free space
ConvF	sensitivity in TSL / $NORM_{x,y,z}$
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C, D	modulation dependent linearization parameters
Polarization $\varphi$	$\varphi$ rotation around probe axis
Polarization $\vartheta$	$\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis
Connector Angle	information used in DASY system to align probe sensor X to the robot coordinate system

### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

### Methods Applied and Interpretation of Parameters:

- $NORM_{x,y,z}$ : Assessed for E-field polarization  $\vartheta = 0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide).  $NORM_{x,y,z}$  are only intermediate values, i.e., the uncertainties of  $NORM_{x,y,z}$  does not affect the  $E^2$ -field uncertainty inside TSL (see below ConvF).
- $NORM(f)_{x,y,z} = NORM_{x,y,z} \cdot \text{frequency\_response}$  (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- $DCP_{x,y,z}$ : DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- $A_{x,y,z}$ ;  $B_{x,y,z}$ ;  $C_{x,y,z}$ ;  $D_{x,y,z}$ ;  $VR_{x,y,z}$ : A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to  $NORM_{x,y,z} \cdot \text{ConvF}$  whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the  $NORM_x$  (no uncertainty required).

# Probe EX3DV4

## SN:3857

Manufactured: January 23, 2012  
Calibrated: May 25, 2016

Calibrated for DASY/EASY Systems  
(Note: non-compatible with DASY2 system!)



## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3857

### Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup>	0.18	0.44	0.47	± 10.1 %
DCP (mV) <sup>B</sup>	97.6	97.5	98.3	

### Modulation Calibration Parameters

UID	Communication System Name		A dB	B dB $\sqrt{\mu\text{V}}$	C	D dB	VR mV	Unc <sup>E</sup> (k=2)
0	CW	X	0.0	0.0	1.0	0.00	135.6	±3.0 %
		Y	0.0	0.0	1.0		128.7	
		Z	0.0	0.0	1.0		128.6	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3857

### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>c</sup>	Relative Permittivity <sup>f</sup>	Conductivity (S/m) <sup>f</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>g</sup>	Depth <sup>g</sup> (mm)	Unc (k=2)
750	41.9	0.89	9.68	9.68	9.68	0.37	0.80	± 12.0 %
835	41.5	0.90	9.32	9.32	9.32	0.44	0.82	± 12.0 %
900	41.5	0.97	9.08	9.08	9.08	0.43	0.80	± 12.0 %
1750	40.1	1.37	8.00	8.00	8.00	0.33	0.80	± 12.0 %
1900	40.0	1.40	7.85	7.85	7.85	0.32	0.80	± 12.0 %
2000	40.0	1.40	7.83	7.83	7.83	0.27	0.80	± 12.0 %
2300	39.5	1.67	7.44	7.44	7.44	0.19	1.03	± 12.0 %
2450	39.2	1.80	7.19	7.19	7.19	0.36	0.80	± 12.0 %
2600	39.0	1.96	7.08	7.08	7.08	0.30	1.20	± 12.0 %
3500	37.9	2.91	6.87	6.87	6.87	0.30	1.30	± 13.1 %
3700	37.7	3.12	6.42	6.42	6.42	0.25	1.30	± 13.1 %
5250	35.9	4.71	5.15	5.15	5.15	0.30	1.80	± 13.1 %
5600	35.5	5.07	4.70	4.70	4.70	0.40	1.80	± 13.1 %
5750	35.4	5.22	5.00	5.00	5.00	0.40	1.80	± 13.1 %

<sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>f</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>g</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3857

### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	55.5	0.96	9.45	9.45	9.45	0.50	0.80	± 12.0 %
835	55.2	0.97	9.25	9.25	9.25	0.34	0.99	± 12.0 %
1750	53.4	1.49	7.81	7.81	7.81	0.36	0.80	± 12.0 %
1900	53.3	1.52	7.55	7.55	7.55	0.35	0.80	± 12.0 %
2300	52.9	1.81	7.31	7.31	7.31	0.38	0.87	± 12.0 %
2450	52.7	1.95	7.23	7.23	7.23	0.33	0.80	± 12.0 %
2600	52.5	2.16	7.13	7.13	7.13	0.28	0.80	± 12.0 %
3500	51.3	3.31	6.41	6.41	6.41	0.28	1.40	± 13.1 %
3700	51.0	3.55	6.26	6.26	6.26	0.30	1.40	± 13.1 %
5250	48.9	5.36	4.27	4.27	4.27	0.50	1.90	± 13.1 %
5600	48.5	5.77	3.76	3.76	3.76	0.55	1.90	± 13.1 %
5750	48.3	5.94	3.97	3.97	3.97	0.60	1.90	± 13.1 %

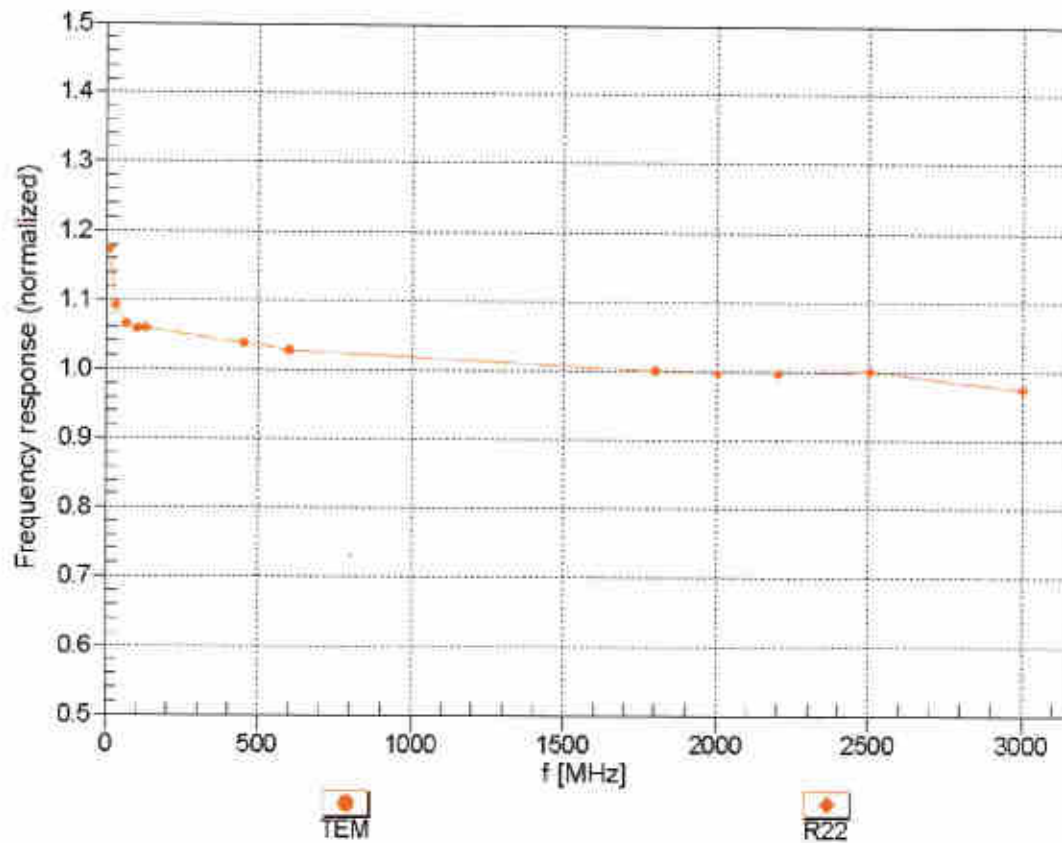
<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

## Frequency Response of E-Field

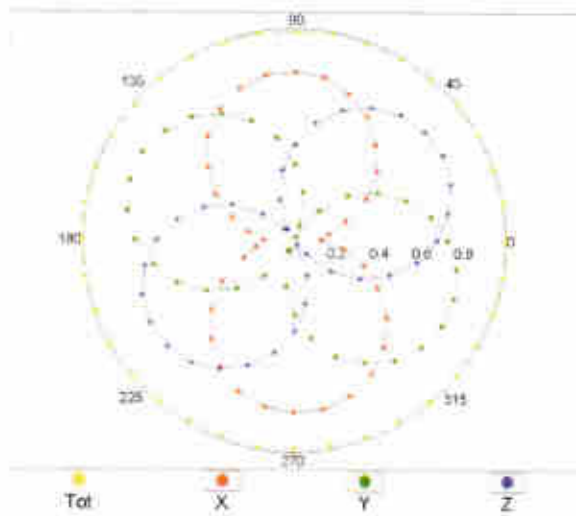
(TEM-Cell:ifi110 EXX, Waveguide: R22)



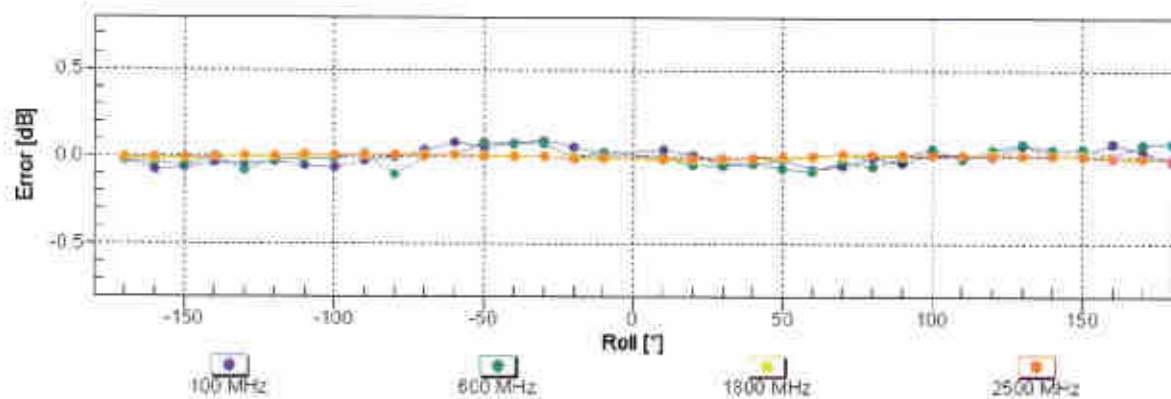
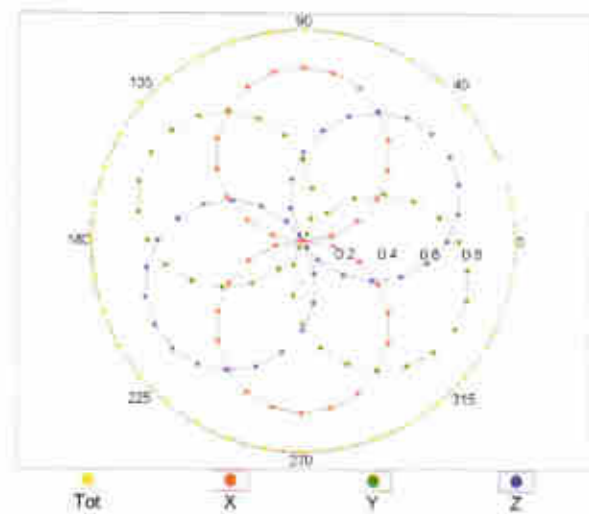
Uncertainty of Frequency Response of E-field:  $\pm 6.3\%$  ( $k=2$ )

## Receiving Pattern ( $\phi$ ), $\theta = 0^\circ$

f=600 MHz,TEM

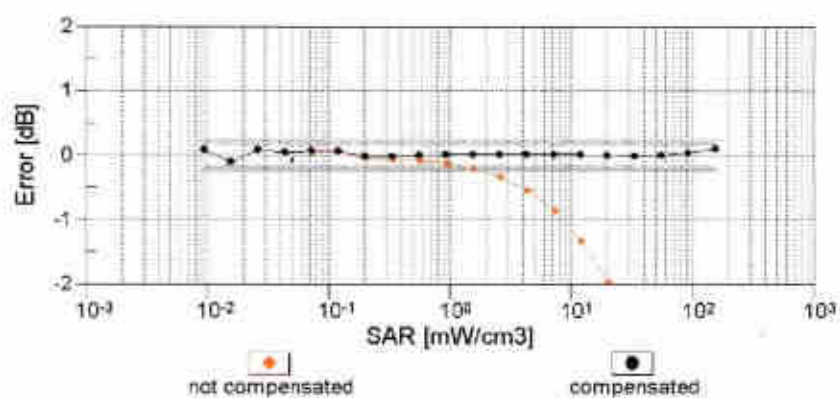
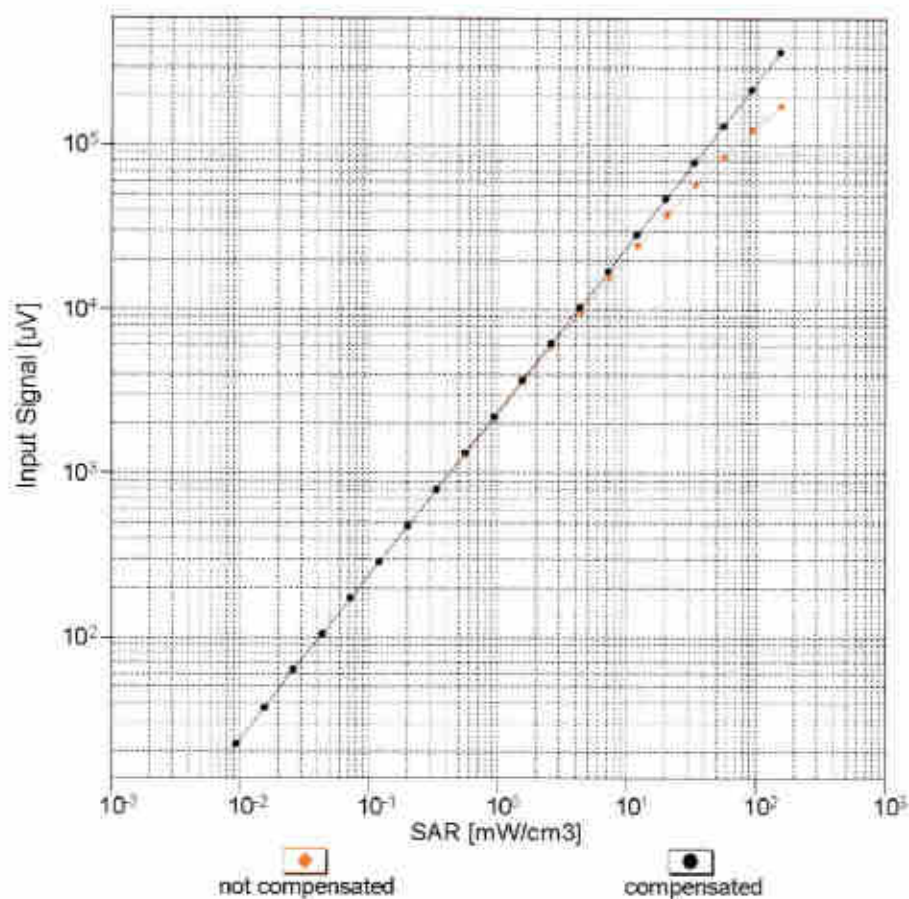


f=1800 MHz,R22



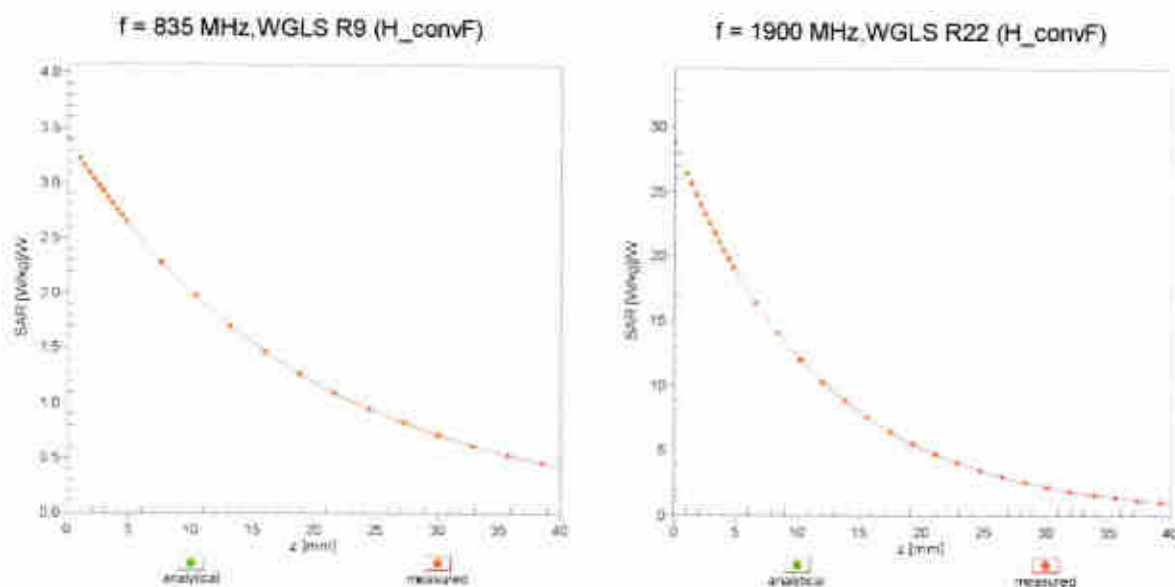
Uncertainty of Axial Isotropy Assessment:  $\pm 0.5\%$  ( $k=2$ )

# Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)



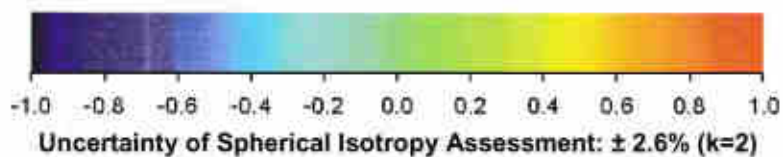
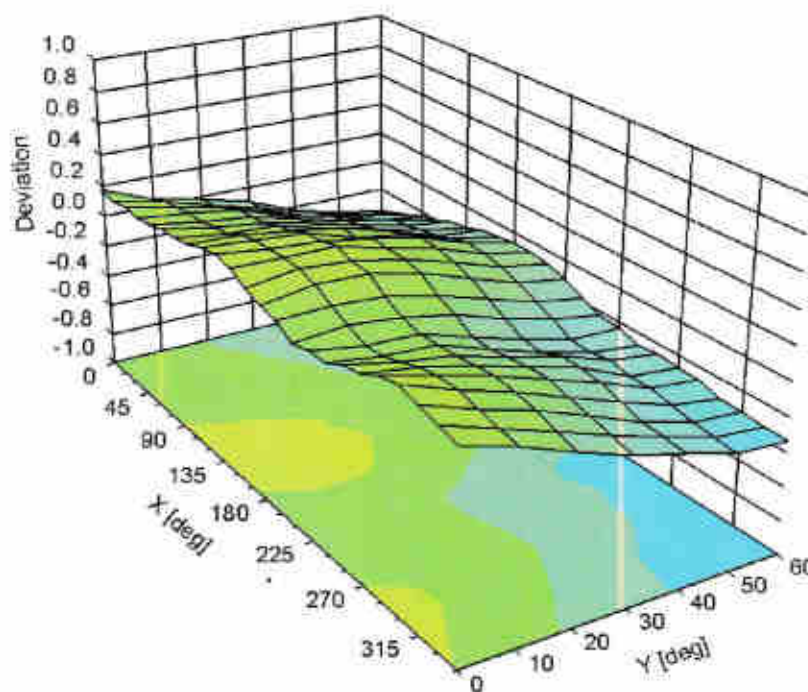
Uncertainty of Linearity Assessment:  $\pm 0.6\%$  (k=2)

## Conversion Factor Assessment



## Deviation from Isotropy in Liquid

Error ( $\phi, \theta$ ),  $f = 900 \text{ MHz}$





## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3857

### Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	140
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm



---

**Appendix E.      Product Equality Declaration**



**ZTE CORPORATION**

# Product Change Description

As the applicant of the below model, [ZTE Corporation] declares that the product,

[Z986U]

[ZTE Corporation]

is the variant of the initial certified product,

[Z986DL]

[ZTE Corporation]

[Project Number:16ZTE254]

## SOFTWARE MODIFICATIONS:

Protocol Stack changes: NO

MMS/STK changes: NO

JAVA changes: NO

Other changes detailed: Yes, Z986U now supports LTE BAND 25 via software.

## HARDWARE MODIFICATION:

Band changes: Yes, supports LTE BAND B25 via software

Power Amplifier changes: NO

Antenna changes: NO

PCB Layout changes: NO

Components on PCB changes: NO

LCD changes: NO

Speaker changes: NO

Camera changes: NO

Vibrator changes: NO

Bluetooth changes: NO

FM changes: NO  
Other changes: NO

### **MECHANICAL MODIFICATIONS:**

Use new metal front/back cover or keypad: NO  
Mechanical shell changes: NO  
Other changes detailed: NO

### **ACCESSORY MODIFICATIONS:**

Battery changes:NO  
AC Adaptor changes:NO  
Earphone changes:NO



APPROVED BY: Min Zhag

Project Manager: Zhang yanfeng

Date:2016-12-20

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