

## Appendix C. Calibration Certificate

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## IMPORTANT NOTICE

## **USAGE OF THE DAE 4**

The DAE unit is a delicate, high precision instrument and requires careful treatment by the user. There are no serviceable parts inside the DAE. Special attention shall be given to the following points:

Battery Exchange: The battery cover of the DAE4 unit is closed using a screw, over tightening the screw may cause the threads inside the DAE to wear out.

Shipping of the DAE: Before shipping the DAE to SPEAG for calibration, remove the batteries and pack the DAE in an antistatic bag. This antistatic bag shall then be packed into a larger box or container which protects the DAE from impacts during transportation. The package shall be marked to indicate that a fragile instrument is inside.

E-Stop Failures: Touch detection may be malfunctioning due to broken magnets in the E-stop. Rough handling of the E-stop may lead to damage of these magnets. Touch and collision errors are often caused by dust and dirt accumulated in the E-stop. To prevent E-stop failure, the customer shall always mount the probe to the DAE carefully and keep the DAE unit in a non-dusty environment if not used for measurements.

Repair: Minor repairs are performed at no extra cost during the annual calibration. However, SPEAG reserves the right to charge for any repair especially if rough unprofessional handling caused the defect.

DASY Configuration Files: Since the exact values of the DAE input resistances, as measured during the calibration procedure of a DAE unit, are not used by the DASY software, a nominal value of 200 MOhm is given in the corresponding configuration file.

#### Important Note:

Warranty and calibration is void if the DAE unit is disassembled partly or fully by the Customer.

#### Important Note:

Never attempt to grease or oil the E-stop assembly. Cleaning and readjusting of the Estop assembly is allowed by certified SPEAG personnel only and is part of the annual calibration procedure.

### Important Note:

To prevent damage of the DAE probe connector pins, use great care when installing the probe to the DAE. Carefully connect the probe with the connector notch oriented in the mating position. Avoid any rotational movement of the probe body versus the DAE while turning the locking nut of the connector. The same care shall be used when disconnecting the probe from the DAE.

Schmid & Partner Engineering

TN BR040315AD DAE4.doc

## Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





S Schweizerischer Kalibrierdienst
Service suisse d'étalonnage
Servizio svizzero di taratura
Swiss Calibration Service

Accreditation No.: SCS 0108

Certificate No: DAE4-851\_Jul15

Accredited by the Swiss Accreditation Service (SAS)

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Client

Object

Huawei - SZ (Auden)

**CALIBRATION CERTIFICATE** 

DAE4 - SD 000 D04 BM - SN: 851

Calibration procedure(s) QA CAL-06.v29

Calibration procedure for the data acquisition electronics (DAE)

Calibration date:

July 20, 2015

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID#	Cal Date (Certificate No.)	Scheduled Calibration
Keithley Multimeter Type 2001	SN: 0810278	03-Oct-14 (No:15573)	Oct-15
Secondary Standards	ID#	Check Date (in house)	Scheduled Check
Auto DAE Calibration Unit	SE UWS 053 AA 1001	06-Jan-15 (in house check)	In house check: Jan-16
Calibrator Box V2.1	SE UMS 006 AA 1002	06-Jan-15 (in house check)	In house check: Jan-16

Calibrated by:

Name Eric Hainfeld Function Technician Signature

Approved by:

Fin Bomholt

Deputy Technical Manager

Issued: July 20, 2015

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Certificate No: DAE4-851\_Jul15

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Accreditation No.: SCS 0108

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Glossarv

data acquisition electronics DAE

information used in DASY system to align probe sensor X to the robot Connector angle

coordinate system.

Methods Applied and Interpretation of Parameters

DC Voltage Measurement: Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.

- Connector angle: The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
- The following parameters as documented in the Appendix contain technical information as a result from the performance test and require no uncertainty.
  - DC Voltage Measurement Linearity: Verification of the Linearity at +10% and -10% of the nominal calibration voltage. Influence of offset voltage is included in this measurement.
  - Common mode sensitivity: Influence of a positive or negative common mode voltage on the differential measurement.
  - Channel separation: Influence of a voltage on the neighbor channels not subject to an input voltage.
  - AD Converter Values with inputs shorted: Values on the internal AD converter corresponding to zero input voltage
  - Input Offset Measurement: Output voltage and statistical results over a large number of zero voltage measurements.
  - Input Offset Current: Typical value for information; Maximum channel input offset current, not considering the input resistance.
  - Input resistance: Typical value for information: DAE input resistance at the connector, during internal auto-zeroing and during measurement.
  - Low Battery Alarm Voltage: Typical value for information. Below this voltage, a battery alarm signal is generated.
  - Power consumption: Typical value for information. Supply currents in various operating modes.

## **DC Voltage Measurement**

A/D - Converter Resolution nominal

High Range:  $1LSB = 6.1 \mu V$ , full range = -100...+300 mVLow Range: 1LSB = 61 nV, full range = -1......+3 mVDASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Calibration Factors	X	Y	Z
High Range	405.423 ± 0.02% (k=2)	405.416 ± 0.02% (k=2)	404.958 ± 0.02% (k=2)
Low Range	3.95723 ± 1.50% (k=2)	3.99393 ± 1.50% (k=2)	3.99242 ± 1.50% (k=2)

## **Connector Angle**

Connector Angle to be used in DASY system	217.5 ° ± 1 °
Connector Angle to be used in DASY system	217.5

## Appendix (Additional assessments outside the scope of SCS0108)

1. DC Voltage Linearity

High Range	Reading (μV)	Difference (μV)	Error (%)
Channel X + Input	200039.12	1.86	0.00
Channel X + Input	20004.26	0.06	0.00
Channel X - Input	-20002.23	4.11	-0.02
Channel Y + Input	200041.43	4.31	0.00
Channel Y + Input	20003.77	-0.39	-0.00
Channel Y - Input	-20008.12	-1.66	0.01
Channel Z + Input	200042.52	5.74	0.00
Channel Z + Input	20002.45	-1.55	-0.01
Channel Z - Input	-20007.52	-1.16	0.01

Low Range	Reading (μV)	Difference (μV)	Error (%)
Channel X + Input	2001.03	0.61	0.03
Channel X + Input	199.84	-0.39	-0.19
Channel X - Input	-200.74	-1.13	0.57
Channel Y + Input	1999.64	-0.68	-0.03
Channel Y + Input	199.53	-0.64	-0.32
Channel Y - Input	-199.83	-0.19	0.09
Channel Z + Input	2000.86	0.60	0.03
Channel Z + Input	199.37	-0.77	-0.39
Channel Z - Input	-201.39	-1.75	0.88

2. Common mode sensitivity

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Common mode Input Voltage (mV)	High Range Average Reading (μV)	Low Range Average Reading (μV)
Channel X	200	9.72	8.46
	- 200	-7.54	-9.13
Channel Y	200	-6.11	-6.29
	- 200	4.34	4.71
Channel Z	200	11.55	11.47
	- 200	-13.59	-13.72

## 3. Channel separation

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Input Voltage (mV)	Channel X (μV)	Channel Y (μV)	Channel Z (μV)
Channel X	200	-	0.52	-4.26
Channel Y	200	8.36	-	1.96
Channel Z	200	9.88	5.72	2

## 4. AD-Converter Values with inputs shorted

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	High Range (LSB)	Low Range (LSB)
Channel X	15370	16414
Channel Y	16046	16656
Channel Z	15791	16342

## 5. Input Offset Measurement

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec Input  $10M\Omega$ 

	Average (μV)	min. Offset (μV)	max. Offset (μV)	Std. Deviation (μV)
Channel X	-1.79	-3.34	-0.37	0.67
Channel Y	-0.85	-2.37	0.66	0.63
Channel Z	2.48	-0.57	3.88	0.68

## 6. Input Offset Current

Nominal Input circuitry offset current on all channels: <25fA

7. Input Resistance (Typical values for information)

	Zeroing (kOhm)	Measuring (MOhm)
Channel X	200	200
Channel Y	200	200
Channel Z	200	200

8. Low Battery Alarm Voltage (Typical values for information)

Typical values	Alarm Level (VDC)
Supply (+ Vcc)	+7.9
Supply (- Vcc)	-7.6

9. Power Consumption (Typical values for information)

Typical values	Switched off (mA)	Stand by (mA)	Transmitting (mA)
Supply (+ Vcc)	+0.01	+6	+14
Supply (- Vcc)	-0.01	-8	-9

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### IMPORTANT NOTICE

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**E-Stop Failures:** Touch detection may be malfunctioning due to broken magnets in the E-stop. Rough handling of the E-stop may lead to damage of these magnets. Touch and collision errors are often caused by dust and dirt accumulated in the E-stop. To prevent E-stop failure, the customer shall always mount the probe to the DAE carefully and keep the DAE unit in a non-dusty environment if not used for measurements.

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#### **Important Note:**

Warranty and calibration is void if the DAE unit is disassembled partly or fully by the Customer.

#### Important Note:

Never attempt to grease or oil the E-stop assembly. Cleaning and readjusting of the E-stop assembly is allowed by certified SPEAG personnel only and is part of the annual calibration procedure.

#### **Important Note:**

To prevent damage of the DAE probe connector pins, use great care when installing the probe to the DAE. Carefully connect the probe with the connector notch oriented in the mating position. Avoid any rotational movement of the probe body versus the DAE while turning the locking nut of the connector. The same care shall be used when disconnecting the probe from the DAE.

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Client

Huawei-SZ (Auden)

Accreditation No.: SCS 0108

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Certificate No: DAE4-1236 Nov15

## CALIBRATION CERTIFICATE

Object

DAE4 - SD 000 D04 BM - SN: 1236

Calibration procedure(s)

QA CAL-06.v29

Calibration procedure for the data acquisition electronics (DAE)

Calibration date:

November 23, 2015

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

ID#	Cal Date (Certificate No.)	Scheduled Calibration
SN: 0810278	09-Sep-15 (No:17153)	Sep-16
Í		
ID#	Check Date (in house)	Scheduled Check
SE UWS 053 AA 1001	06-Jan-15 (in house check)	In house check: Jan-16
SE UMS 006 AA 1002	06-Jan-15 (in house check)	In house check: Jan-16
	SN: 0810278  ID #  SE UWS 053 AA 1001	SN: 0810278 09-Sep-15 (No:17153)

Calibrated by:

Name

Function

Approved by:

R.Mayoraz

Fin Bomholt

Technician

Deputy Technical Manager

Issued: November 23, 2015

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Certificate No: DAE4-1236\_Nov15

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Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: SCS 0108

#### Glossary

DAE

data acquisition electronics

Connector angle

information used in DASY system to align probe sensor X to the robot

coordinate system.

## **Methods Applied and Interpretation of Parameters**

- *DC Voltage Measurement:* Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- Connector angle: The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
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  - DC Voltage Measurement Linearity: Verification of the Linearity at +10% and -10% of the nominal calibration voltage. Influence of offset voltage is included in this measurement.
  - Common mode sensitivity: Influence of a positive or negative common mode voltage on the differential measurement.
  - Channel separation: Influence of a voltage on the neighbor channels not subject to an input voltage.
  - AD Converter Values with inputs shorted: Values on the internal AD converter corresponding to zero input voltage
  - Input Offset Measurement. Output voltage and statistical results over a large number of zero voltage measurements.
  - Input Offset Current: Typical value for information; Maximum channel input offset current, not considering the input resistance.
  - Input resistance: Typical value for information: DAE input resistance at the connector, during internal auto-zeroing and during measurement.
  - Low Battery Alarm Voltage: Typical value for information. Below this voltage, a battery alarm signal is generated.
  - Power consumption: Typical value for information. Supply currents in various operating modes.

## **DC Voltage Measurement**

A/D - Converter Resolution nominal

High Range: 1LSB =

 $1LSB = 6.1 \mu V$ ,

full range = -100...+300 mV

Low Range:

1LSB =

61nV ,

full range = -1.....+3mV

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Calibration Factors	Х	Υ	Z
High Range	404.966 ± 0.02% (k=2)	404.889 ± 0.02% (k=2)	405.878 ± 0.02% (k=2)
Low Range	4.00177 ± 1.50% (k=2)	3.97579 ± 1.50% (k=2)	4.00659 ± 1.50% (k=2)

## **Connector Angle**

	Connector Angle to be used in DASY system	305.5 ° ± 1 °
-	Commedia Angle to be used in BAOT System	000.0 ± 1

Certificate No: DAE4-1236\_Nov15

## Appendix (Additional assessments outside the scope of SCS0108)

1. DC Voltage Linearity

High Range		Reading (μV)	Difference (μV)	Error (%)
Channel X	+ Input	199998.97	2.85	0.00
Channel X	+ Input	20000.80	-0.36	-0.00
Channel X	- Input	-20000.56	0.42	-0.00
Channel Y	+ Input	199999.96	3.87	0.00
Channel Y	+ Input	19999.14	-2.29	-0.01
Channel Y	- Input	-20001.07	-0.29	0.00
Channel Z	+ Input	199998.63	2.90	0.00
Channel Z	+ Input	19998.77	-2.33	-0.01
Channel Z	- Input	-20002.59	-1.58	0.01

Low Range		Reading (μV)	Difference (μV)	Error (%)
Channel X	+ Input	2000.23	-0.88	-0.04
Channel X	+ Input	201.48	0.05	0.03
Channel X	- Input	-198.69	-0.24	0.12
Channel Y	+ Input	2000.58	-0.56	-0.03
Channel Y	+ Input	201.59	0.15	0.07
Channel Y	- Input	-198.94	-0.51	0.26
Channel Z	+ Input	2000.84	-0.23	-0.01
Channel Z	+ Input	200.07	-1.30	-0.65
Channel Z	- Input	-199.62	-1.07	0.54

**2. Common mode sensitivity**DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Common mode Input Voltage (mV)	High Range Average Reading (μV)	Low Range Average Reading (μV)
Channel X	200	16.34	14.61
	- 200	-15.28	-17.09
Channel Y	200	-15.39	-15.52
	- 200	15.08	14.94
Channel Z	200	-13.63	-13.71
	- 200	11.38	11.48

## 3. Channel separation

DASY measurement parameters: Auto Zero Time: 3 sec: Measuring time: 3 sec

	Input Voltage (mV)	Channel X (μV)	Channel Y (μV)	Channel Z (μV)
Channel X	200	-	4.27	-2.45
Channel Y	200	8.52	-	3.84
Channel Z	200	9.16	7.33	-

### 4. AD-Converter Values with inputs shorted

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

*	High Range (LSB)	Low Range (LSB)
Channel X	15755	17002
Channel Y	16007	15624
Channel Z	16297	17015

#### 5. Input Offset Measurement

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec Input  $10M\Omega$ 

	Average (μV)	min. Offset (μV)	max. Offset (μV)	Std. Deviation (μV)
Channel X	0.26	-1.28	2.69	0.68
Channel Y	0.15	-1.41	1.89	0.68
Channel Z	-0.85	-2.02	1.08	0.53

#### 6. Input Offset Current

Nominal Input circuitry offset current on all channels: <25fA

7. Input Resistance (Typical values for information)

	Zeroing (kOhm)	Measuring (MOhm)
Channel X	200	200
Channel Y	200	200
Channel Z	200	200

8. Low Battery Alarm Voltage (Typical values for information)

Typical values	Alarm Level (VDC)
Supply (+ Vcc)	+7.9
Supply (- Vcc)	-7.6

**9. Power Consumption** (Typical values for information)

Typical values	Switched off (mA)	Stand by (mA)	Transmitting (mA)
Supply (+ Vcc)	+0.01	+6	+14
Supply (- Vcc)	-0.01	-8	-9

## Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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Client

Huawei-SZ (Auden)

Certificate No: ES3-3168\_Sep15

## CALIBRATION CERTIFICATE

Object

ES3DV3 - SN:3168

Calibration procedure(s)

QA CAL-01.v9, QA CAL-23.v5, QA CAL-25.v6 Calibration procedure for dosimetric E-field probes

Calibration date:

September 28, 2015

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).

The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

	In	Cal Date (Certificate No.)	Scheduled Calibration
Primary Standards	ID	01-Apr-15 (No. 217-02128)	Mar-16
Power meter E4419B	GB41293874		Mar-16
Power sensor E4412A	MY41498087	01-Apr-15 (No. 217-02128)	Mar-16
Reference 3 dB Attenuator	SN: S5054 (3c)	01-Apr-15 (No. 217-02129)	1000
Reference 20 dB Attenuator	SN: S5277 (20x)	01-Apr-15 (No. 217-02132)	Mar-16
	SN: S5129 (30b)	01-Apr-15 (No. 217-02133)	Mar-16
Reference 30 dB Attenuator		30-Dec-14 (No. ES3-3013_Dec14)	Dec-15
Reference Probe ES3DV2	SN: 3013	14-Jan-15 (No. DAE4-660_Jan15)	Jan-16
DAE4	SN: 660	14-Jan-15 (No. DAE4-000_Jan15)	
	100	Check Date (in house)	Scheduled Check
Secondary Standards	ID	4-Aug-99 (in house check Apr-13)	In house check: Apr-16
RF generator HP 8648C	US3642U01700		In house check: Oct-15
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-14)	In house check. Oct-13

Calibrated by:

Name

Function

Signature

Laboratory Technician

Meur Cyriceurg

Approved by:

Katja Pokovic

Technical Manager

Issued: September 30, 2015

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Certificate No: ES3-3168\_Sep15

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Glossarv:

TSL NORMx,y,z

ConvF

DCP CF

A. B. C. D

Polarization o

Polarization 9

Connector Angle

sensitivity in TSL / NORMx,y,z diode compression point crest factor (1/duty\_cycle) of the RF signal

tissue simulating liquid

sensitivity in free space

modulation dependent linearization parameters φ rotation around probe axis

9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e.,  $\theta = 0$  is normal to probe axis

information used in DASY system to align probe sensor X to the robot coordinate system

## Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013

b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010

d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

## Methods Applied and Interpretation of Parameters:

NORMx,y,z: Assessed for E-field polarization 9 = 0 (f  $\leq 900$  MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E2-field uncertainty inside TSL (see below ConvF).

 $NORM(f)x,y,z = NORMx,y,z * frequency_response$  (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.

DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.

PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics

Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.

ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100

Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.

Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

# Probe ES3DV3

SN:3168

Manufactured:

Calibrated:

October 8, 2008

September 28, 2015

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

## DASY/EASY - Parameters of Probe: ES3DV3 - SN:3168

**Basic Calibration Parameters** 

Basic Calibration Para		s v	Sensor Z	Unc (k=2)
	Sensor X	Sensor Y	Selisoi 2	Contract Activities
Norm $(\mu V/(V/m)^2)^A$	1.13	1.07	1.02	± 10.1 %
	102.5	96.6	94.4	
DCP (mV) <sup>B</sup>	102.0			

**Modulation Calibration Parameters** 

UID	Communication System Name		A dB	B dB√μV	С	D dB	VR mV	Unc (k=2)
0	CW	X	0.0	0.0	1.0	0.00	197.0	±3.5 %
0	CVV	Y	0.0	0.0	1.0		183.0	
		7	0.0	0.0	1.0		186.8	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>&</sup>lt;sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

B Numerical linearization parameter: uncertainty not required.

E Uncertainty is determined using the max, deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

## DASY/EASY - Parameters of Probe: ES3DV3 - SN:3168

Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	41.9	0.89	6.52	6.52	6.52	0.43	1.60	± 12.0 %
850	41.5	0.92	6.32	6.32	6.32	0.38	1.62	± 12.0 %
900	41.5	0.97	6.16	6.16	6.16	0.28	1.99	± 12.0 %
1750	40.1	1.37	5.32	5.32	5.32	0.80	1.14	± 12.0 %
1900	40.0	1.40	5.13	5.13	5.13	0.80	1.13	± 12.0 %
2300	39.5	1.67	4.82	4.82	4.82	0.66	1.32	± 12.0 %
2450	39.2	1.80	4.55	4.55	4.55	0.80	1.23	± 12.0 %
2600	39.0	1.96	4.48	4.48	4.48	0.80	1.30	± 12.0 %

 $<sup>^{\</sup>text{C}}$  Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

# DASY/EASY - Parameters of Probe: ES3DV3 - SN:3168

## Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) c	Parameter De Relative Permittivity	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	55.5	0.96	6.39	6.39	6.39	0.44	1.61	± 12.0 %
850	55.2	0.99	6.24	6.24	6.24	0.38	1.77	± 12.0 %
900	55.0	1.05	6.23	6.23	6.23	0.56	1.37	± 12.0 %
1750	53.4	1.49	4.95	4.95	4.95	0.46	1.60	± 12.0 %
1900	53.3	1.52	4.74	4.74	4.74	0.60	1.41	± 12.0 %
2300	52.9	1.81	4.52	4.52	4.52	0.80	1.22	± 12.0 %
2450	52.7	1.95	4.35	4.35	4.35	0.72	1.17	± 12.0 %
2600	52.5	2.16	4.23	4.23	4.23	0.80	1.15	± 12.0 %

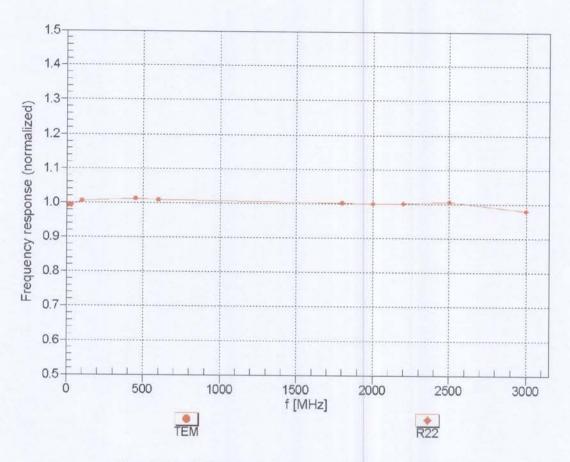
<sup>&</sup>lt;sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency

validity can be extended to ± 110 MHz. F At frequencies below 3 GHz, the validity of tissue parameters (ε and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of

the ConvF uncertainty for indicated target tissue parameters.

Galpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

# Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

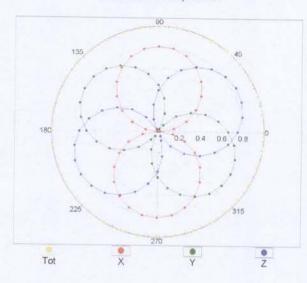


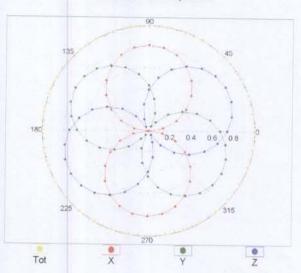
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

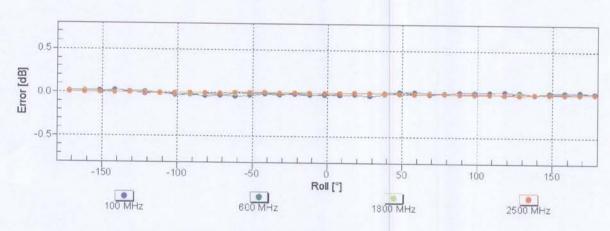
# Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

f=600 MHz,TEM

f=1800 MHz,R22



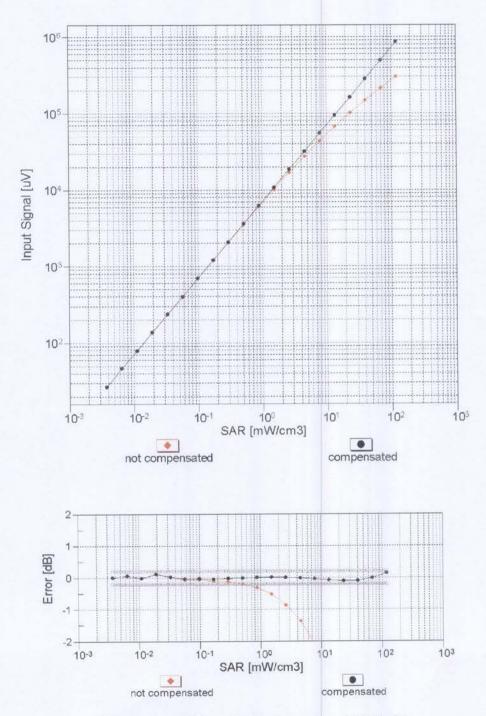




Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

September 28, 2015

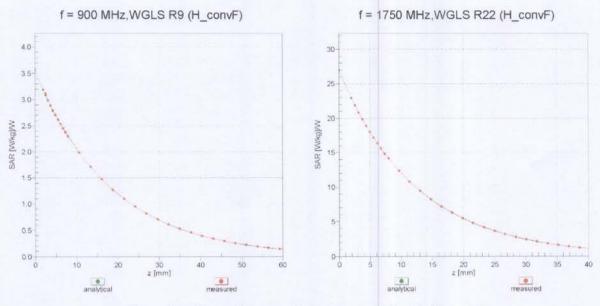
## Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)



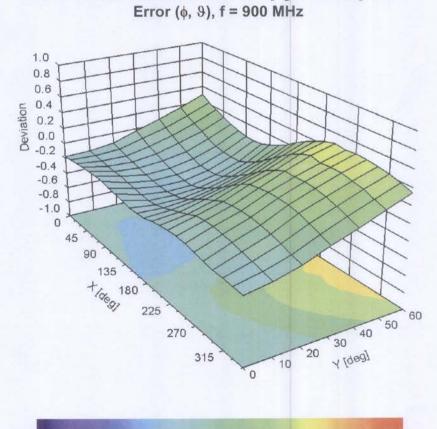
Uncertainty of Linearity Assessment: ± 0.6% (k=2)

ES3DV3-SN:3168 September 28, 2015

## **Conversion Factor Assessment**



**Deviation from Isotropy in Liquid** 



0.2

0.4

0.6

0.8

-0.2

-0.4

-0.6

September 28, 2015

## DASY/EASY - Parameters of Probe: ES3DV3 - SN:3168

## **Other Probe Parameters**

Julie 1 1000 i didilictoro	
Sensor Arrangement	Triangular
Connector Angle (°)	138.3
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	10 mm
Tip Diameter	4 mm
Probe Tip to Sensor X Calibration Point	2 mm
Probe Tip to Sensor Y Calibration Point	2 mm
Probe Tip to Sensor Z Calibration Point	2 mm
Recommended Measurement Distance from Surface	3 mn

### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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Swiss Calibration Service

Accreditation No.: SCS 0108

Accredited by the Swiss Accreditation Service (SAS)

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Client

Huawei-SZ (Auden)

Certificate No: EX3-3744\_Jul15

## CALIBRATION CERTIFICATE

Object

EX3DV4 - SN:3744

Calibration procedure(s)

QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6

Calibration procedure for dosimetric E-field probes

Calibration date:

July 24, 2015

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility; environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

72 Y 22 Y	ID.	Cal Date (Certificate No.)	Scheduled Calibration
Primary Standards	ID	01-Apr-15 (No. 217-02128)	Mar-16
Power meter E4419B	GB41293874		Mar-16
Power sensor E4412A	MY41498087	01-Apr-15 (No. 217-02128)	
Reference 3 dB Attenuator	SN: S5054 (3c)	01-Apr-15 (No. 217-02129)	Mar-16
Reference 20 dB Attenuator	SN: S5277 (20x)	01-Apr-15 (No. 217-02132)	Mar-16
	SN: S5129 (30b)	01-Apr-15 (No. 217-02133)	Mar-16
Reference 30 dB Attenuator	CONTRACTOR AND ADDRESS OF THE PARTY OF THE P	30-Dec-14 (No. ES3-3013_Dec14)	Dec-15
Reference Probe ES3DV2	SN: 3013		Jan-16
DAE4	SN: 660	14-Jan-15 (No. DAE4-660_Jan15)	Jan 10
	10	Check Date (in house)	Scheduled Check
Secondary Standards	ID	4-Aug-99 (in house check Apr-13)	In house check: Apr-16
RF generator HP 8648C	US3642U01700		In house check: Oct-15
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-14)	In house check. Oct-13

Calibrated by:

Calibrated by:

Claudio Leubler

Function

Laboratory Technician

Signature

Laboratory Technician

Katja Pokovic

Technical Manager

Issued: July 24, 2015

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Certificate No: EX3-3744\_Jul15

Page 1 of 11

#### Calibration Laboratory of

Schmid & Partner **Engineering AG** Zeughausstrasse 43, 8004 Zurich, Switzerland





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Accreditation No.: SCS 0108

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#### Glossary:

TSL NORMx,y,z tissue simulating liquid sensitivity in free space sensitivity in TSL / NORMx,y,z diode compression point

DCP CF A, B, C, D

ConvF

crest factor (1/duty\_cycle) of the RF signal modulation dependent linearization parameters

Polarization φ

o rotation around probe axis

Polarization 9

9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e.,  $\theta = 0$  is normal to probe axis

Connector Angle

information used in DASY system to align probe sensor X to the robot coordinate system

## Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013 IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close

proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010

d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

## Methods Applied and Interpretation of Parameters:

NORMx,y,z: Assessed for E-field polarization  $\vartheta = 0$  (f  $\leq 900$  MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E2-field uncertainty inside TSL (see below ConvF).

 $NORM(f)x,y,z = NORMx,y,z * frequency_response$  (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included

in the stated uncertainty of ConvF.

Certificate No: EX3-3744\_Jul15

DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.

PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal

characteristics Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.

ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.

Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.

Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

# Probe EX3DV4

SN:3744

Manufactured: Calibrated:

March 26, 2010 July 24, 2015

Calibrated for DASY/EASY Systems (Note: non-compatible with DASY2 system!)

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3744

**Basic Calibration Parameters** 

Dasic Calibration Fara	Sensor X Sensor Y		Sensor Z	Unc (k=2)
Norm $(\mu V/(V/m)^2)^A$	0.48	0.50	0.42	± 10.1 %
DCP (mV) <sup>B</sup>	99.8	100.2	100.2	

Modulation Calibration Parameters

UID	Communication System Name		A dB	B dB√μV	С	D dB	VR mV	Unc (k=2)
0	CW	X	0.0	0.0	1.0	0.00	154.2	±3.0 %
		Y	0.0	0.0	1.0		156.7	
		Z	0.0	0.0	1.0		143.2	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>&</sup>lt;sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3744

## Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>c</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	41.9	0.89	9.44	9.44	9.44	0.40	0.91	± 12.0 %
850	41.5	0.92	8.77	8.77	8.77	0.21	1.52	± 12.0 %
1750	40.1	1.37	7.84	7.84	7.84	0.46	0.80	± 12.0 %
1900	40.0	1.40	7.54	7.54	7.54	0.42	0.80	± 12.0 %
2150	39.7	1.53	7.32	7.32	7.32	0.37	0.80	± 12.0 %
2450	39.2	1.80	6.84	6.84	6.84	0.38	0.89	± 12.0 %
2600	39.0	1.96	6.68	6.68	6.68	0.46	0.85	± 12.0 %
3500	37.9	2.91	6.87	6.87	6.87	0.46	0.98	± 13.1 %

<sup>&</sup>lt;sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the CopyE uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters.

Galpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

# DASY/EASY - Parameters of Probe: EX3DV4 - SN:3744

Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>c</sup>	Parameter De Relative Permittivity F	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	55.5	0.96	9.01	9.01	9.01	0.37	0.94	± 12.0 %
850	55.2	0.99	8.82	8.82	8.82	0.34	1.08	± 12.0 %
1750	53.4	1.49	7.45	7.45	7.45	0.43	0.80	± 12.0 %
1900	53.3	1.52	7.24	7.24	7.24	0.50	0.80	± 12.0 %
2450	52.7	1.95	6.77	6.77	6.77	0.38	0.90	± 12.0 %
2600	52.5	2.16	6.65	6.65	6.65	0.30	0.95	± 12.0 %
3500	51.3	3.31	6.16	6.16	6.16	0.44	1.03	± 13.1 %

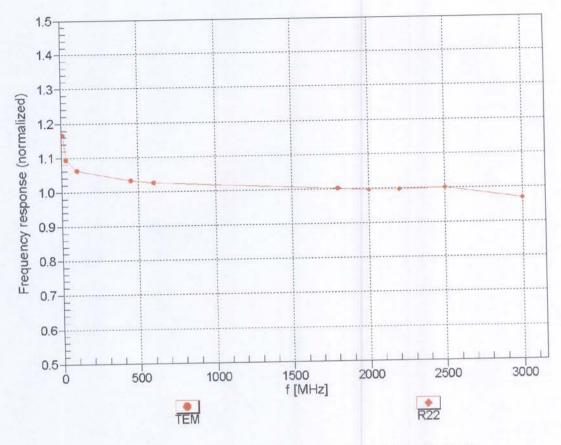
<sup>&</sup>lt;sup>c</sup> Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

Fat frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters

the ConvF uncertainty for indicated target tissue parameters.

Galpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

# Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

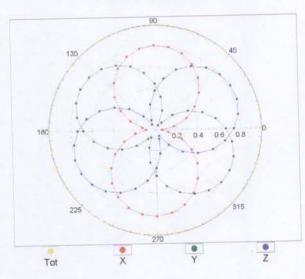


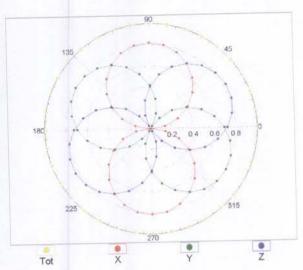
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

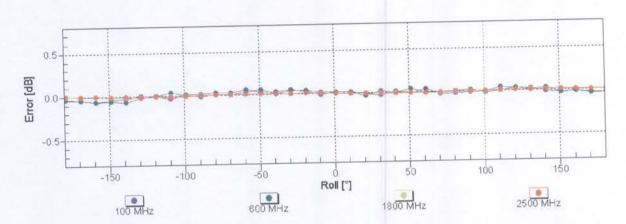
# Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

f=600 MHz,TEM

f=1800 MHz,R22

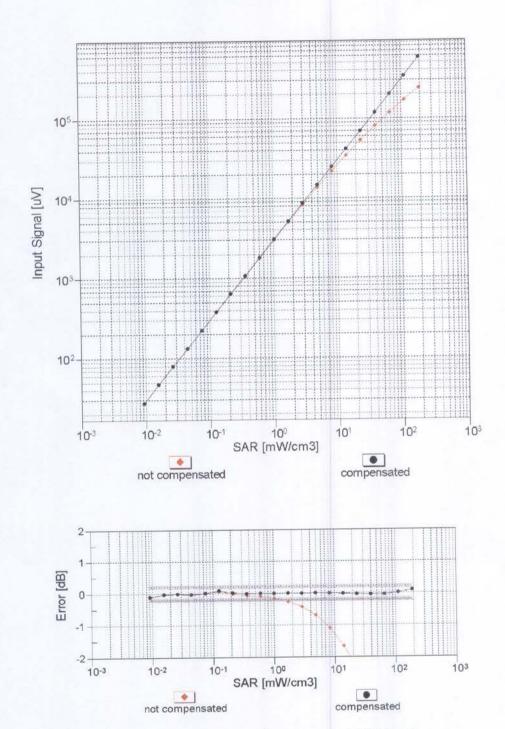






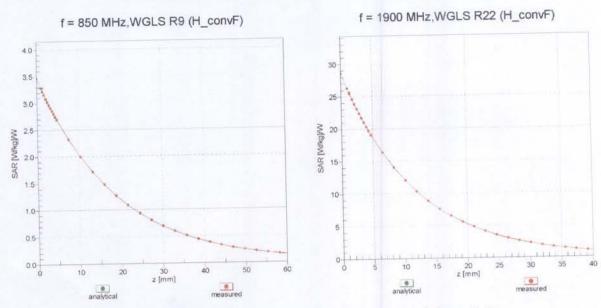
Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

## Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)

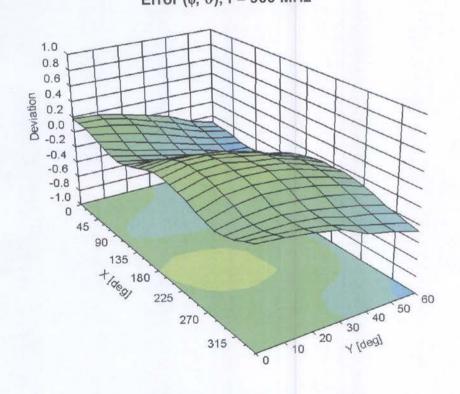


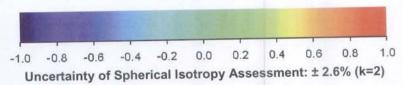
Uncertainty of Linearity Assessment: ± 0.6% (k=2)

## **Conversion Factor Assessment**



## Deviation from Isotropy in Liquid Error (φ, θ), f = 900 MHz





July 24, 2015

# DASY/EASY - Parameters of Probe: EX3DV4 - SN:3744

## **Other Probe Parameters**

	Triangular	
Sensor Arrangement		
Connector Angle (°)	71.1	
Mechanical Surface Detection Mode	enabled	
Optical Surface Detection Mode	disabled	
Probe Overall Length	337 mm	
Probe Body Diameter	10 mm	
Tip Length	9 mm	
Tip Diameter	2.5 mm	
Probe Tip to Sensor X Calibration Point	1 mm	
Probe Tip to Sensor Y Calibration Point	1 mm	
Probe Tip to Sensor Z Calibration Point	1 mm	
Recommended Measurement Distance from Surface	1.4 mm	

## Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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Swiss Calibration Service

Accreditation No.: SCS 0108

Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

Client

Huawei-SZ (Auden)

Certificate No: D750V3-1044\_Sep15

## **CALIBRATION CERTIFICATE**

Object

D750V3 - SN:1044

Calibration procedure(s)

QA CAL-05.v9

Calibration procedure for dipole validation kits above 700 MHz

Calibration date:

September 14, 2015

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature  $(22 \pm 3)$ °C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID#	Cal Date (Certificate No.)	Scheduled Calibration
Power meter EPM-442A	GB37480704	07-Oct-14 (No. 217-02020)	Oct-15
Power sensor HP 8481A	US37292783	07-Oct-14 (No. 217-02020)	Oct-15
Power sensor HP 8481A	MY41092317	07-Oct-14 (No. 217-02021)	Oct-15
Reference 20 dB Attenuator	SN: 5058 (20k)	01-Apr-15 (No. 217-02131)	Mar-16
Type-N mismatch combination	SN: 5047.2 / 06327	01-Apr-15 (No. 217-02134)	Mar-16
Reference Probe EX3DV4	SN: 7349	30-Dec-14 (No. EX3-7349_Dec14)	Dec-15
DAE4	SN: 601	17-Aug-15 (No. DAE4-601_Aug15)	Aug-16
Secondary Standards	ID#	Check Date (in house)	Scheduled Check
RF generator R&S SMT-06	100972	15-Jun-15 (in house check Jun-15)	In house check: Jun-18
Network Analyzer HP 8753E	US37390585 S4206	18-Oct-01 (in house check Oct-14)	In house check: Oct-15
Calibrated by:	Name	Function	Signature
	Jeton Kastrati	Laboratory Technician	J

Approved by:

Katja Pokovic

Technical Manager

Issued: September 17, 2015

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Certificate No: D750V3-1044\_Sep15

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