





# **FCC SAR Compliance Test Report**

Project Name.

HSDPA/HSUPA/UMTS/GSM/GPRS/EDGE
Mobile Phone with Bluetooth

Model:

U6020

FCC ID:

QISU6020

Report No.:

SYBH(Z-SAR)004082012-2

	APPROVED	CHECKED	PREPARED
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DATE	2012-08-13	2012-08-13	2012-08-13

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# **Table of Contents**

1	Gen	eral Information	4
	1.1	Statement of Compliance	4
	1.2	RF exposure limits	4
	1.3	EUT Description	5
	1.3.1	1 General Description	6
	1.4	Test specification(s)	7
	1.5	Testing laboratory	
	1.6	Applicant and Manufacturer	7
	1.7	Application details	7
	1.8	Ambient Condition	7
2	SAR	R Measurement System	8
	2.1	SAR Measurement Set-up	
	2.2	Test environment	9
	2.3	Data Acquisition Electronics description	9
	2.4	Probe description	10
	2.5	Phantom description	11
	2.6	Device holder description	11
	2.7	Test Equipment List	12
3	SAR	Measurement Procedure	13
	3.1	Scanning procedure	13
	3.2	Spatial Peak SAR Evaluation	14
	3.3	Data Storage and Evaluation	15
4	Syst	em Verification Procedure	17
	4.1	Tissue Verification	17
	4.2	System Check	19
	4.3	Validation Procedure	20
5	Mea	surement Uncertainty Evaluation	
	5.1	Measurement uncertainty evaluation for SAR test	
	5.2	Measurement uncertainty evaluation for system validation	22
6	SAR	? Test Configuration	23
	6.1	GSM Test Configuration	23
	6.2	WCDMA Test Configuration	23
7	SAR	R Measurement Results	27
	7.1	Conducted power measurements	27
	7.1.1	1 Conducted power measurements GSM850	27
	7.1.2	· ·	
	7.1.3		
	7.1.4	· ·	
	7.2	SAR measurement Result	
	7.2.1		
	7.2.2		
	7.2.3		
	7.2.4		
	7.3	Multiple Transmitter Evaluation	
	7.3.1		
	7.3.2		
		endix A. System Check Plots	
	App	endix B. SAR Measurement Plots	36
		endix C. Calibration Certificate	
	App	endix D. Photo documentation	36



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REV.	DESCRIPTION	ISSUED DATE	REMARK
Rev.1.0	Initial Test Report Release	2012-08-13	Yang Hang

2012-08-13 Page 3 of 36



### 1 General Information

### 1.1 Statement of Compliance

The maximum results of Specific Absorption Rate (SAR) found during testing for U6020 are as below Table 1.

Band	Position	Measured MAX SAR <sub>1g</sub> (W/kg)	Conducted Power (dBm)	Tune-up Power(dBm)	Extraploted Result(W/kg)
GSM	Head	0.469	32.48	34.00	0.666
850	Body(15mm)	0.707	29.99	31.00	0.892
GSM	Head	0.490	29.66	31.00	0.667
1900	Body(15mm)	0.409	29.52	31.00	0.575
WCDMA	Head	1.180	22.23	23.30	1.510
AWS	Body(15mm)	0.383	22.23	23.30	0.490
WCDMA	Head	0.752	22.69	23.30	0.865
1900	Body(15mm)	0.370	22.69	23.30	0.426
Simultaneous SAR <sub>Max</sub> is 1.18W/kg					

Table 1:Summary of test result

The device is in compliance with Specific Absorption Rate (SAR) for general population/uncontraolled exposure limits of 1.6 W/Kg as averaged over any 1 g tissue according to the FCC rule §2.1093, the ANSI/IEEE C 95.1:1999, the NCRP Report Number 86 for uncontrolled environment, according to the Health Canada's Safety Code 6 and the Industry Canada Radio Standards Specification RSS-102 for General Population/Uncontrolled exposure, and had been tested in accordance with the measurement methods and procedures specified in IEEE Std 1528-2003 & IEEE Std 1528a-2005 and FCC OET Bulletin 65 Supplement C Edition 01-01.

# 1.2 RF exposure limits

Human Exposure	Uncontrolled Environment General Population	Controlled Environment Occupational
Spatial Peak SAR* (Brain/Body/Arms/Legs)	1.60 mW/g	8.00 mW/g
Spatial Average SAR** (Whole Body)	0.08 mW/g	0.40 mW/g
Spatial Peak SAR*** (Hands/Feet/Ankle/Wrist)	4.00 mW/g	20.00 mW/g

Table 2: RF exposure limits

The limit applied in this test report is shown in **bold** letters

#### Notes:

- \* The Spatial Peak value of the SAR averaged over any 1 gram of tissue (defined as a tissue volume in the shape of a cube) and over the appropriate averaging time
- \*\* The Spatial Average value of the SAR averaged over the whole body.
- The Spatial Peak value of the SAR averaged over any 10 grams of tissue (defined as a tissue volume in the shape of a cube) and over the appropriate averaging time.

**Uncontrolled Environments** are defined as locations where there is the exposure of individuals who have no knowledge or control of their exposure.

**Controlled Environments** are defined as locations where there is exposure that may be incurred by persons who are aware of the potential for exposure, (i.e. as a result of employment or occupation.

2012-08-13 Page 4 of 36



# 1.3 EUT Description

Device Information:				
DUT Name: HSDPA/HSUPA/UMTS/GSM/GPRS/EDGE Mobile Pho				
	with Bluetooth			
Type Identification:	U6020			
FCC ID:	QISU6020			
SN No.:	A9L4CA9271700593	}		
Device Type :	portable device			
Exposure Category:	uncontrolled environ	ment / general popu	lation	
Hardware Version :	Ver.B			
Software Version :	HUAWEI U6020 V10	0R001CCUB301		
Antenna Type :	internal antenna			
Battery Options :	Huawei Technologie	s Co., Ltd.		
	Rechargeable Li-ion			
	Battery Model: HB5/			
	Rated capacity: 1000			
	Nominal Voltage: ==	+3.7V		
	Charging Voltage: =	<b>==</b> +4.2V		
	1# SN:GAGB711XC	27C3510		
	2# SN:BDAC312D10	0655405		
Others Accessories				
Device Operating Configurations:				
Supporting Mode(s)	GSM850/1900,WCDMA Band IV/Band II (tested)			
Test Modulation	GSM(GMSK), WCDM	MA(QPSK)		
Device Class	В			
	Band	Tx (MHz)	Rx (MHz)	
	GSM850	824-849	869-894	
Operating Frequency Range(s)	GSM1900	1850-1910	1930-1990	
	WCDMA Band IV	1710-1755	2110-2155	
	WCDMA Band II	1850-1910	1930-1990	
	Max Number of Time		2	
GPRS Multislot Class(10)	Max Number of Time	eslots in Downlink:	4	
	Max Total Timeslot:		5	
	Max Number of Timeslots in Uplink:		2	
EGPRS Multislot Class(10)	Max Number of Timeslots in Downlink:		4	
	Max Total Timeslot: 5			
HSDPA UE Category	8			
HSUPA UE Category:	6			
	4,tested with power level 5(GSM850)			
Power Class:	1,tested with power level 0(GSM1900)			
3, tested with power control "all 1"(WCDMA BAND IV				
	3, tested with power control "all 1"(WCDMA Band II)			
128-190-251 (GSM850)				
Test Channels (low-mid-high):	512-661-810 (GSM1900)			
i i i i i i i i i i i i i i i i i i i	1312-1413-1513 (WCDMA BAND IV)			
	9262-9400-9538 (WCDMA Band II)			

Table 3:Device information and operating configuration

2012-08-13 Page 5 of 36



#### 1.3.1 General Description

HSDPA/HSUPA/UMTS/GSM/GPRS/EDGE Mobile Phone with Bluetooth U6020 is subscriber equipment in the WCDMA/GSM system. The UMTS frequency band includes Band I and Band II and Band IV, but only Band II and Band IV test data included in this report. The GSM/GPRS/EDGE frequency band includes GSM850 and GSM900 and DCS1800 and PCS1900, but only GSM850 and PCS1900 band test data included in this report. The Mobile Phone implements such functions as RF signal receiving/ transmitting, UMTS and GSM/GPRS/EDGE protocol processing, voice, MMS service, AGPS etc. Externally it provides micro SD card interface, earphone port (to provide voice service) and USIM card interface. It also provides Bluetooth module to synchronize data between a PC and the phone, or to use the built-in modem of the phone to access the Internet with a PC, or to exchange data with other Bluetooth devices.

2012-08-13 Page 6 of 36



# 1.4 Test specification(s)

IEEE Std C95.1 – 1999	IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz – 300 GHz.
Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireles Communications Devices: Measurement Techniques	
IEEE Std 1528a-2005	IEEE Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques Amendment 1: CAD File for Human Head Model (SAM Phantom)
OET Bulletin No. 65, Supplement C Edition 01-01– 2001  Evaluating Compliance with FCC Guidelines for Human Exposure Radiofrequency Electromagnetic FieldsAdditional Information for Evaluating Compliance of Mobile and Portable Devices with FCC for Human Exposure to Radiofrequency Emissions	
Canada's Safety Code 6	Limits of Human Exposure to Radiofrequency Electromagnetic Fields in the Frequency Range from 3 kHz to 300 GHz (99-EHD-237)
RSS-102	Radio Frequency Exposure Compliance of Radiocommunication Apparatus (All Frequency Bands (Issue 4 of March 2010)
KDB941225 D01	SAR test for 3G devices v02
KDB941225 D03	SAR Test Reduction GSM GPRS EDGE vo1
KDB648474 D01	SAR Handsets Multi Xmiter and Ant v01r05

# 1.5 Testing laboratory

Test Site	The Reliability Laboratory of Huawei Technologies Co., Ltd.
Test Location	Zone K3, Huawei Industrial Base, Bantian Industry Area, Longgang District, Shenzhen, Guangdong, China
Telephone	+86 755 28780808
Fax	+86 755 89652518
State of accreditation	The Test laboratory (area of testing) is accredited according to ISO/IEC 17025.  CNAS Registration number: L0310  A2LA TESTING CERT #2174.0

# 1.6 Applicant and Manufacturer

Company Name	HUAWEI TECHNOLOGIES CO., LTD
Address	Administration Building, Headquarters of Huawei Technologies Co., Ltd., Bantian, Longgang District, Shenzhen, 518129, P.R.C

# 1.7 Application details

Start Date of test	2012/08/07
End Date of test	2012/08/10

# 1.8 Ambient Condition

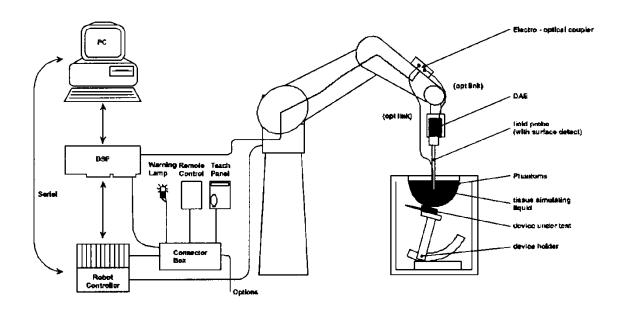
Ambient temperature	20°C – 24°C
Relative Humidity	30% – 70%

2012-08-13 Page 7 of 36



# 2 SAR Measurement System

#### 2.1 SAR Measurement Set-up



The DASY5 system for performing compliance tests consists of the following items:

- A standard high precision 6-axis robot (Stäubli RX family) with controller and software. An arm extension for accommodating the data acquisition electronics (DAE).
- A dosimetric probe, i.e. an isotropic E-field probe optimized and calibrated for usage in tissue simulating liquid. The probe is equipped with an optical surface detector system.
- A data acquisition electronic (DAE) which performs the signal amplification, signal multiplexing, ADconversion, offset measurements, mechanical surface detection, collision detection, etc. The unit is battery powered with standard or rechargeable batteries. The signal is optically transmitted to the EOC.
- A unit to operate the optical surface detector which is connected to the EOC.
- The <u>Electro-Optical Coupler</u> (EOC) performs the conversion from the optical into a digital electric signal of the DAE. The EOC is connected to the DASY5 measurement server.
- The DASY5 measurement server, which performs all real-time data evaluation for field measurements and surface detection, controls robot movements and handles safety operation. A computer operating Windows XP.
- DASY5 software and SEMCAD data evaluation software.
- Remote control with teach panel and additional circuitry for robot safety such as warning lamps, etc.
- The generic twin phantom enabling the testing of left-hand and right-hand usage.
- The device holder for handheld mobile phones.
- Tissue simulating liquid mixed according to the given recipes.
- System validation dipoles allowing to validate the proper functioning of the system.

2012-08-13 Page 8 of 36



#### 2.2 Test environment

The DASY4 measurement system is placed at the head end of a room with dimensions:  $5 \times 2.5 \times 3 \text{ m}^3$ , the SAM phantom is placed in a distance of 75 cm from the side walls and 1.1m from the rear wall. Above the test system a 1.5 x 1.5 m<sup>2</sup> array of pyramid absorbers is installed to reduce reflections from the ceiling.

Picture 1 of the photo documentation shows a complete view of the test environment.

The system allows the measurement of SAR values larger than 0.005 mW/g.

#### 2.3 Data Acquisition Electronics description

The data acquisition electronics (DAE) consist of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converte and a command decoder with a control logic unit. Transmission to the measurement server is accomplished through an optical downlink for data and status information, as well as an optical uplink for commands and the clock.

The mechanical probe mounting device includes two different sensor systems for frontal and sideways probe contacts. They are used for mechanical surface detection and probe collision detection.

### DAE4

Input Impedance	200MOhm	Schmid & Parker Exponency AQ
The Inputs	symmetrical and floating	TYPE: DAE 4  PART Nr.: 50 000 DOW BJ.  SERIAL Nr.: 851
Common mode rejection	above 80 dB	DATE: 03/08

2012-08-13 Page 9 of 36

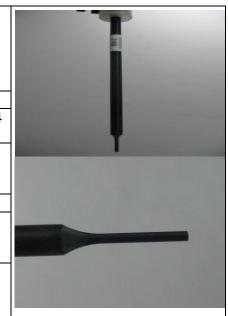


# 2.4 Probe description

These probes are specially designed and calibrated for use in liquids with high permittivities. They should not be used in air, since the spherical isotropy in air is poor (±2 dB). The dosimetric probes have special calibrations in various liquids at different frequencies.

Isotropic E-Field Probe ES3DV3 for Dosimetric Measurements

ISUITOPIC E-FIEIU FI	TODE ESSENS TO POSITIETIC MEasurements	
	Symmetrical design with triangular core	
	Interleaved sensors	
Construction	Built-in shielding against static charges	
	PEEK enclosure material (resistant to organic	
	solvents, e.g., DGBE)	
Calibration	ISO/IEC 17025 calibration service available.	
Fraguenov	10 MHz to 4 GHz; Linearity: ± 0.2 dB (30 MHz to 4	
Frequency	GHz)	
	± 0.2 dB in HSL (rotation around probe axis)	
Directivity	± 0.3 dB in tissue material (rotation normal to	
	probe axis)	i
Dynamic range	5 μW/g to > 100 mW/g; Linearity: ± 0.2 dB	
	Overall length: 337 mm (Tip: 20 mm)	
Dimensions	Tip diameter: 3.9 mm (Body: 12 mm)	100
	Distance from probe tip to dipole centers: 2.0 mm	
	General dosimetry up to 4 GHz	
Application	Dosimetry in strong gradient fields	
	Compliance tests of mobile phones	



Isotropic E-Field Probe EX3DV4 for Dosimetric Measurements

Construction	Symmetrical design with triangular core Built-in shielding against static charges PEEK enclosure material (resistant to organic solvents, e.g., DGBE)
Calibration	ISO/IEC 17025 calibration service available.
Frequency	10 MHz to >6 GHz; Linearity: ± 0.2 dB (30 MHz to 6 GHz)
Directivity	± 0.3 dB in HSL (rotation around probe axis) ± 0.5 dB in tissue material (rotation normal to probe axis)
Dynamic range	10 μW/g to > 100 mW/g; Linearity: ± 0.2 dB(noise:typically<1μW/g)
Dimensions	Overall length: 337 mm (Tip:20 mm) Tip diameter:2.5 mm (Body:12 mm) Typical distance from probe tip to dipole centers: 1mm
Application	High precision dosimetric measurements in any exposure scenario(e.g.,very strong gradient fields). Only probe which enables compliance testing for frequencies up to 6 GHz with precision of better 30%



2012-08-13 Page 10 of 36



### 2.5 Phantom description

#### SAM Twin Phantom

Shell Thickness	2mm +/- 0.2 mm; The ear region: 6mm	
Filling Volume	Approximately 30 liters	
Dimensions	Length:1000mm; Width:500mm; Height: adjustable feet	
Measurement Areas	Left hand Right hand Flat phantom	



The bottom plate contains three pairs of bolts for locking the device holder. The device holder positions are adjusted to the standard measurement positions in the three sections. A white cover is provided to cover the phantom during off-periods to prevent water evaporation and changes in the liquid parameters. Free space scans of devices on top of this phantom cover are possible. Three reference marks are provided on the phantom counter. These reference marks are used to teach the absolute phantom position relative to the robot.

#### ELI4 Phantom

Shell Thickness	2mm +/- 0.2 mm
Filling Volume	Approximately 30 liters
Dimensions	Length:1000mm; Width:500mm; Height: adjustable feet
Measurement Areas	Flat phantom



The ELI4 phantom is intended for compliance testing of handheld and body-mounted wireless devices in the frequency range of 30MHz to 6GHz. ELI4 is fully compatible with the latest draft of the standard IEC 62209-2 and all known tissue simulating liquids.

#### 2.6 Device holder description

The DASY5 device holder has two scales for device rotation (with respect to the body axis) and the device inclination (with respect to the line between the ear openings). The plane between the ear openings and the mouth tip has a rotation angle of 65°. The bottom plate contains three pair of bolts for locking the device holder. The device holder positions are adjusted to the standard measurement positions in the three sections. This device holder is used for standard mobile phones or PDA's only. If necessary an additional support of polystyrene material is used.



Larger DUT's (e.g. notebooks) cannot be tested using this device holder. Instead a support of bigger polystyrene cubes and thin polystyrene plates is used to position the DUT in all relevant positions to find and measure spots with maximum SAR values.

Therefore those devices are normally only tested at the flat part of the SAM.

2012-08-13 Page 11 of 36



## 2.7 Test Equipment List

This table gives a complete overview of the SAR measurement equipment

Devices used during the test described are marked ⊠

	Manufacturer	Device	Туре	Serial number	Date of last calibration )*
$\boxtimes$	SPEAG	Dosimetric E-Field Probe	EX3DV4	3736	2012-04-26
	SPEAG	Dosimetric E-Field Probe	ES3DV3	3168	2011-09-27
$\boxtimes$	SPEAG	835 MHz Validation Dipole	D835V2	4d126	2011-11-07
$\boxtimes$	SPEAG	1800 MHz Validation Dipole	D1800V2	2d184	2011-03-08
$\boxtimes$	SPEAG	1900 MHz Validation Dipole	D1900V2	5d143	2011-09-26
	SPEAG	2000 MHz Validation Dipole	D2000V2	1052	2011-03-10
	SPEAG	2300 MHz Validation Dipole	D2300V2	1016	2011-11-22
	SPEAG	2450 MHz Validation Dipole	D2450V2	860	2011-03-08
	SPEAG	2600 MHz Validation Dipole	D2600V2	1021	2011-11-21
$\boxtimes$	SPEAG	Data acquisition electronics	DAE4	852	2011-11-16
	SPEAG	Data acquisition electronics	DAE4	914	2011-12-08
$\boxtimes$	SPEAG	Software	DASY 5	N/A	N/A
	SPEAG	Twin Phantom	SAM1	TP-1475	N/A
	SPEAG	Twin Phantom	SAM2	TP-1474	N/A
$\boxtimes$	SPEAG	Twin Phantom	SAM3	TP-1597	N/A
$\boxtimes$	SPEAG	Twin Phantom	SAM4	TP-1620	N/A
	SPEAG	Flat Phantom	ELI 4.0	TP-1038	N/A
	SPEAG	Flat Phantom	ELI 4.0	TP-1111	N/A
$\boxtimes$	R&S	Universal Radio Communication Tester	CMU 200	113989	2012-06-07
$\boxtimes$	Agilent)*	Network Analyser	E5071B	MY42404956	2012-02-14
$\boxtimes$	Agilent	Dielectric Probe Kit	85070E	2484	N/A
$\boxtimes$	Agilent	Signal Generator	N5181A	MY47420989	2012-02-14
$\boxtimes$	MINI-CIRCUITS	Amplifier	ZHL-42W	QA0746001	N/A
$\boxtimes$	Agilent	Power Meter	E4417A	MY45101339	2012-02-14
$\boxtimes$	Agilent	Power Meter Sensor	E9321A	MY44420359	2012-02-14

Note: All the test equipments are calibrated once a year, except the dipoles, which are calibrated every three years. Moreover, we have self-calibration every year to the dipoles.

- 1) Per KDB 450824 D02 requirements for dipole calibration, Huawei SAR lab has adopted three years calibration interval. But each measured dipole is expected to evaluate with the following criteria at least on annual interval in Appendix C.
- a) There is no physical damage on the dipole;
- b) System validation with specific dipole is within 10% of calibrated value;
- c) Return-loss is within 10% of calibrated measurement:
- d) Impedance is within  $5\Omega$  from the previous measurement.
- 2) Network analyzer probe calibration against air, distilled water and a shorting block performed before measuring liquid parameters.

2012-08-13 Page 12 of 36



### 3 SAR Measurement Procedure

### 3.1 Scanning procedure

The DASY5 installation includes predefined files with recommended procedures for measurements and validation. They are read-only document files and destined as fully defined but unmeasured masks. All test positions (head or body-worn) are tested with the same configuration of test steps differing only in the grid definition for the different test positions.

- The "reference" and "drift" measurements are located at the beginning and end of the batch process. They measure the field drift at one single point in the liquid over the complete procedure. The indicated drift is mainly the variation of the DUT's output power and should vary max. +/- 5 %.
- The "surface check" measurement tests the optical surface detection system of the DASY5 system by repeatedly detecting the surface with the optical and mechanical surface detector and comparing the results. The output gives the detecting heights of both systems, the difference between the two systems and the standard deviation of the detection repeatability. Air bubbles or refraction in the liquid due to separation of the sugar-water mixture gives poor repeatability (above ± 0.1mm). To prevent wrong results tests are only executed when the liquid is free of air bubbles. The difference between the optical surface detection and the actual surface depends on the probe and is specified with each probe. (It does not depend on the surface reflectivity or the probe angle to the surface within ± 30°.)
- The "area scan" measures the SAR above the DUT or verification dipole on a parallel plane to the surface. It is used to locate the approximate location of the peak SAR with 2D spline interpolation. The robot performs a stepped movement along one grid axis while the local electrical field strength is measured by the probe. The probe is touching the surface of the SAM during acquisition of measurement values. The standard scan uses large grid spacing for faster measurement. Standard grid spacing for head measurements is 15 mm in x- and y- dimension. If a finer resolution is needed, the grid spacing can be reduced. Grid spacing and orientation have no influence on the SAR result. For special applications where the standard scan method does not find the peak SAR within the grid, e.g. mobile phones with flip cover, the grid can be adapted in orientation. Results of this coarse scan are shown in Appendix B.
- A "7x7x7 zoom scan" measures the field in a volume around the 2D peak SAR value acquired in the previous "coarse" scan. This is a fine 7x7 grid where the robot additionally moves the probe in 7 steps along the z-axis away from the bottom of the Phantom. Grid spacing for the cube measurement is 5 mm in x and y-direction and 5 mm in z-direction. DASY5 is also able to perform repeated zoom scans if more than 1 peak is found during area scan. In this document, the evaluated peak 1g and 10g averaged SAR values are shown in the 2D-graphics in Appendix B. Test results relevant for the specified standard (see chapter 1.4.) are shown in table form in chapter 7.2.
- A Z-axis scan measures the total SAR value at the x-and y-position of the maximum SAR value found during the cube 7x7x7 scan. The probe is moved away in z-direction from the bottom of the SAM phantom in 2mm steps. This measurement shows the continuity of the liquid and can depending in the field strength – also show the liquid depth. A z-axis scan of the measurement with maximum SAR value is shown in Appendix B.

2012-08-13 Page 13 of 36



#### 3.2 Spatial Peak SAR Evaluation

The spatial peak SAR - value for 1 and 10 g is evaluated after the Cube measurements have been done. The basis of the evaluation are the SAR values measured at the points of the fine cube grid consisting of  $7 \times 7 \times 7$  points. The algorithm that finds the maximal averaged volume is separated into three different stages.

- The data between the dipole center of the probe and the surface of the phantom are extrapolated. This data cannot be measured since the center of the dipole is 2.7 mm away from the tip of the probe and the distance between the surface and the lowest measuring point is about 1 mm (see probe calibration sheet). The extrapolated data from a cube measurement can be visualized by selecting 'Graph Evaluated'.
- The maximum interpolated value is searched with a straight-forward algorithm. Around this maximum
  the SAR values averaged over the spatial volumes (1g or 10 g) are computed using the 3d-spline
  interpolation algorithm. If the volume cannot be evaluated (i.e., if a part of the grid was cut off by the
  boundary of the measurement area) the evaluation will be started on the corners of the bottom plane
  of the cube.
- All neighboring volumes are evaluated until no neighboring volume with a higher average value is found.

#### **Extrapolation**

The extrapolation is based on a least square algorithm [W. Gander, Computermathematik, p.168-180]. Through the points in the first 3 cm along the z-axis, polynomials of order four are calculated. These polynomials are then used to evaluate the points between the surface and the probe tip. The points, calculated from the surface, have a distance of 1 mm from each other.

#### Interpolation

The interpolation of the points is done with a 3d-Spline. The 3d-Spline is composed of three one-dimensional splines with the "Not a knot"-condition [W. Gander, Computermathematik, p.141-150] (x, y and z -direction) [Numerical Recipes in C, Second Edition, p.123ff].

#### **Volume Averaging**

At First the size of the cube is calculated. Then the volume is integrated with the trapezoidal algorithm. 8000 points (20x20x20) are interpolated to calculate the average.

#### **Advanced Extrapolation**

DASY5 uses the advanced extrapolation option which is able to compansate boundary effects on E-field probes.

2012-08-13 Page 14 of 36



### 3.3 Data Storage and Evaluation

#### **Data Storage**

The DASY5 software stores the acquired data from the data acquisition electronics as raw data (in microvolt readings from the probe sensors), together with all necessary software parameters for the data evaluation (probe calibration data, liquid parameters and device frequency and modulation data) in measurement files with the extension "DAE4". The software evaluates the desired unit and format for output each time the data is visualized or exported. This allows verification of the complete software setup even after the measurement and allows correction of incorrect parameter settings. For example, if a measurement has been performed with a wrong crest factor parameter in the device setup, the parameter can be corrected afterwards and the data can be re-evaluated.

The measured data can be visualized or exported in different units or formats, depending on the selected probe type ([V/m], [A/m], [°C], [mW/g], [mW/cm²], [dBrel], etc.). Some of these units are not available in certain situations or show meaningless results, e.g., a SAR output in a lossless media will always be zero. Raw data can also be exported to perform the evaluation with other software packages.

#### Data Evaluation by SEMCAD

The SEMCAD software automatically executes the following procedures to calculate the field units from the microvolt readings at the probe connector. The parameters used in the evaluation are stored in the configuration modules of the software:

Probe parameters: - Sensitivity Norm<sub>i,</sub> a<sub>i0</sub>, a<sub>i1</sub>, a<sub>i2</sub>

Conversion factor
 Diode compression point
 ConvF<sub>i</sub>
 Dcpi

Device parameters: - Frequency f

- Density ρ

These parameters must be set correctly in the software. They can be found in the component documents or they can be imported into the software from the configuration files issued for the DASY5 components. In the direct measuring mode of the multimeter option, the parameters of the actual system setup are used. In the scan visualization and export modes, the parameters stored in the corresponding document files are used.

The first step of the evaluation is a linearization of the filtered input signal to account for the compression characteristics of the detector diode. The compensation depends on the input signal, the diode type and the DC-transmission factor from the diode to the evaluation electronics.

If the exciting field is pulsed, the crest factor of the signal must be known to correctly compensate for peak power. The formula for each channel can be given as:

$$V_i = U_i + U_i^2 \circ cf/dcp_i$$

with  $V_i$  = compensated signal of channel i (i = x, y, z)  $U_i$  = input signal of channel i (i = x, y, z) cf = crest factor of exciting field (DASY parameter)

dcp<sub>i</sub> = diode compression point (DASY parameter)

From the compensated input signals the primary field data for each channel can be evaluated:

E-field probes:  $E_i = (V_i / Norm_i \cdot ConvF)^{1/2}$ 

2012-08-13 Page 15 of 36



H-field probes:  $H_i = (V_i)^{1/2} \cdot (a_{i0} + a_{i1}f + a_{i2}f^2)/f$ 

with  $V_i$  = compensated signal of channel i (i = x, y, z)

Norm<sub>i</sub> = sensor sensitivity of channel i (i = x, y, z)

[mV/(V/m)<sup>2</sup>] for E-field Probes

ConvF = sensitivity enhancement in solution

a<sub>ij</sub> = sensor sensitivity factors for H-field probes
 f = carrier frequency [GHz]

E<sub>i</sub> = electric field strength of channel i in V/m H<sub>i</sub> = magnetic field strength of channel i in A/m

The RSS value of the field components gives the total field strength (Hermitian magnitude):

$$E_{tot} = (E_x^2 + E_y^2 + E_z^2)^{1/2}$$

The primary field data are used to calculate the derived field units.

SAR = 
$$(E_{tot}^{2} \cdot \sigma) / (\rho \cdot 1000)$$

with SAR = local specific absorption rate in mW/g

 $E_{tot}$  = total field strength in V/m

 $\sigma$  = conductivity in [mho/m] or [Siemens/m]  $\rho$  = equivalent tissue density in g/cm<sup>3</sup>

Note that the density is normally set to 1 (or 1.06), to account for actual brain density rather than the density of the simulation liquid. The power flow density is calculated assuming the excitation field to be a free space field.

$$P_{\text{pwe}} = E_{tot}^2 / 3770$$
 or  $P_{\text{pwe}} = H_{tot}^2 \cdot 37.7$ 

with  $P_{pwe}$  = equivalent power density of a plane wave in mW/cm<sup>2</sup>

E<sub>tot</sub> = total electric field strength in V/m H<sub>tot</sub> = total magnetic field strength in A/m

2012-08-13 Page 16 of 36



# 4 System Verification Procedure

#### 4.1 Tissue Verification

The simulating liquids should be checked at the beginning of a series of SAR measurements to determine of the dielectic parameter are within the tolerances of the specified target values. The measured conductivity and relative permittivity should be within  $\pm$  5% of the target values.

The following materials are used for producing the tissue-equivalent materials.

Ingredients (% of weight)			Head Tis	sue		
Frequency Band (MHz)	450	835	900	1800	1900	2450
Water	38.56	41.45	40.92	52.64	55.242	62.7
Salt (NaCl)	3.95	1.45	1.48	0.36	0.306	0.5
Sugar	56.32	56.0	56.5	0.0	0.0	0.0
HEC	0.98	1.0	1.0	0.0	0.0	0.0
Bactericide	0.19	0.1	0.1	0.0	0.0	0.0
Triton X-100	0.0	0.0	0.0	0.0	0.0	0.0
DGBE	0.0	0.0	0.0	47.0	44.542	36.8
Ingredients (% of weight)			Body Tis	sue		
Frequency Band (MHz)	450	835	900	1800	1900	2450
Water	51.16	52.4	56.0	69.91	69.91	73.2
Salt (NaCl)	1.49	1.40	0.76	0.13	0.13	0.04
Sugar	46.78	45.0	41.76	0.0	0.0	0.0
HEC	0.52	1.0	1.21	0.0	0.0	0.0
Bactericide	0.05	0.1	0.27	0.0	0.0	0.0
Triton X-100	0.0	0.0	0.0	0.0	0.0	0.0
DGBE	0.0	0.0	0.0	29.96	29.96	26.7

Table 4: Tissue Dielectric Properties

Salt: 99+% Pure Sodium Chloride; Sugar: 98+% Pure Sucrose; Water: De-ionized,  $16M\Omega$ + resistivity HEC: Hydroxyethyl Cellulose; DGBE: 99+% Di(ethylene glycol) butyl ether, [2-(2-butoxyethoxy)ethanol] Triton X-100(ultra pure): Polyethylene glycol mono [4-(1,1,3,3-tetramethylbutyl)phenyl]ether

Tissue	Measured	Target	Tissue	Measure	ed Tissue	Liquid	
Type	Frequency (MHz)	εr (+/-5%)	σ (S/m) (+/-5%)	εr	σ (S/m)	Temp.	Test Date
	825	41.60 (39.52~43.68)	0.90 (0.86~0.95)	40.53	0.874		
835H	835	41.50 (39.43~43.58)	0.90 (0.86~0.95)	40.11	0.895	21.4°C	2012/8/9
	850	41.50 (39.43~43.58)	0.92 (0.87~0.96)	40.08	0.908		
	825	55.20 (52.44~57.96)	0.97 (0.92~1.02)	55.12	0.981		
835B	835	55.20 (52.44~57.96)	0.97 (0.92~1.02)	55.05	0.983	21.4°C	2012/8/7
	850	55.20 (52.44~57.96)	0.99 (0.94~1.04)	55.03	0.993		
	1710	40.10 (38.10~42.10)	1.35 (1.28~1.42)	40.68	1.393		
1800H	1730	40.10 (38.10~42.10)	1.36 (1.29~1.43)	40.62	1.415	21.4°C	2012/8/8
1000П	1750	40.10 (38.10~42.10)	1.37 (1.31~1.43)	40.38	1.427	21.4 C	2012/0/0
	1800	40.00 (38.00~42.00)	1.40 (1.33~1.47)	40.11	1.456		

2012-08-13 Page 17 of 36



	1710	53.50 (50.83~56.18)	1.46 (1.39~1.53)	51.40	1.467		
40000	1730	53.50 (50.83~56.18)	1.48 (1.41~1.55)	51.35	1.478	24.490	2042/0/0
1800B	1750	53.40 (50.73~56.07)	1.49 (1.42~1.56)	51.19	1.496	21.4°C	2012/8/8
	1800	53.30 (50.64~55.97)	1.52 (1.44~1.60)	51.31	1.530		
	1850	40.00 (38.00~42.00)	1.40 (1.33~1.47)	39.96	1.404		
1900H	1880	40.00 (38.00~42.00)	1.40 (1.33~1.47)	39.85	1.434	- 21.4°C	2012/8/7
190011	1900	40.00 (38.00~42.00)	1.40 (1.33~1.47)	39.75	1.449	21.4 0	2012/0/1
	1910	40.00 (38.00~42.00)	1.40 (1.33~1.47)	39.71	1.452		
	1850	53.30 (50.64~55.97)	1.52 (1.44~1.60)	53.55	1.492		
1900B	1880	53.30 (50.64~55.97)	1.52 (1.44~1.60)	53.43	1.520	- 21.4°C	2012/8/8
1900B	53.30 (50.64~55.97)	1.52 (1.44~1.60)	53.47			2012/6/6	
1910	1910	53.30 (50.64~55.97)	1.52 (1.44~1.60)	53.46	1.557		
		ε <sub>r</sub> = Relati	ve permittivity, σ=	Conductiv	rity		

Table 5:Measured Tissue Parameter

Note: 1) The dielectric parameters of the tissue-equivalent liquid should be measured under similar ambient conditions and within 2 °C of the conditions expected during the SAR evaluation to satisfy protocol requirements.

- 2) KDB 450824 was ensured to be applied for probe calibration frequencies greater than or equal to 50MHz of the EUT frequencies.
- 3) The above measured tissue parameters were used in the DASY software to perform interpolation via the DASY software to determine actual dielectric parameters at the test frequencies. The SAR test plots may slightly differ from the table above since the DASY rounds to three significant digits.
- 4) For WCDMA measurements in Band IV band, and for 1800 MHz system verification the same TSL and 1750 MHz SAR probe calibration point have been used.

2012-08-13 Page 18 of 36



# 4.2 System Check

The system check is performed for verifying the accuracy of the complete measurement system and performance of the software. The system validation is performed with tissue equivalent material according to IEEE P1528 (described above). The following table shows validation results for all

frequency bands and tissue liquids used during the tests (Graphic Plot(s) see Appendix A).

System		AR (1W) 0%)		red SAR zed to 1W)	Liquid	Test Date
Check	1-g (mW/g)	10-g (mW/g)	1-g (mW/g)	10-g (mW/g)	Temp.	Test Date
D835V2 Head	9.40 (8.46~10.34)	6.16 (5.54~6.78)	9.68	6.36	21.4°C	2012/8/9
D1800V2 Head	39.10 (35.19~43.01)	20.30 (18.27~22.38)	38.28	20.08	21.4°C	2012/8/8
D1900V2 Head	40.60 (36.54~44.66)	21.20 (19.08~23.32)	39.94	20.24	21.4°C	2012/8/7
D835V2 Body	9.54 (8.59~10.49)	6.29 (5.66~6.92)	10.44	6.84	21.4°C	2012/8/7
D1800V2 Body	38.80 (34.92~42.68)	20.40 (18.36~22.44)	39.20	20.52	21.4°C	2012/8/8
D1900V2 Body	41.40 (37.26~45.54)	21.80 (19.62~23.98)	41.20	21.44	21.4°C	2012/8/8

Table 6:System Check Results

2012-08-13 Page 19 of 36

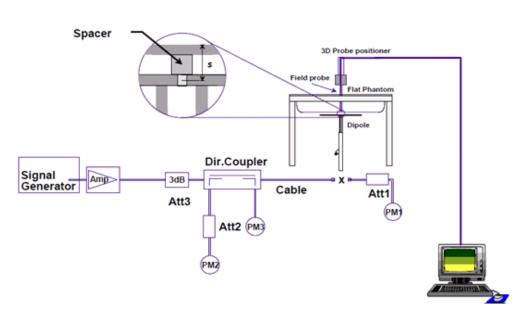


#### 4.3 Validation Procedure

The validation is performed by using a validation dipole which is positioned parallel to the planar part of the SAM phantom at the reference point. The distance of the dipole to the SAM phantom is determined by a plexiglass spacer. The dipole is connected to the signal source consisting of signal generator and amplifier via a directional coupler, N-connector cable and adaption to SMA. It is fed with a power of 250 mW. To adjust this power a power meter is used. The power sensor is connected to the cable before the validation to measure the power at this point and do adjustments at the signal generator. At the outputs of the directional coupler both return loss as well as forward power are controlled during the validation to make sure that emitted power at the dipole is kept constant. This can also be checked by the power drift measurement after the test (result on plot).

Validation results have to be equal or near the values determined during dipole calibration (target SAR in table above) with the relevant liquids and test system.





2012-08-13 Page 20 of 36



# 5 Measurement Uncertainty Evaluation

# 5.1 Measurement uncertainty evaluation for SAR test

The overall combined measurement uncertainty of the measurement system is  $\pm$  10.9% (K=1). The expanded uncertainty (k=2) is assessed to be  $\pm$  21.9%

This measurement uncertainty budget is suggested by IEEE P1528 and determined by Schmid &

Partner Engineering AG. The breakdown of the individual uncertainties is as follows:

Partner Engineering AG. The								2
Error Sources	Uncert ainty Value	Probability Distribution	Divi -sor	c <sub>i</sub> 1g	c <sub>i</sub> 10g	Standard Uncertai nty 1g	Standard Uncertai nty10g	v <sub>i</sub> <sup>2</sup> or v <sub>eff</sub>
Measurement System								
Probe calibration	± 6.0%	Normal	1	1	1	± 6.0%	± 6.0%	8
Axial isotropy	± 4.7%	Rectangular	√3	0.7	0.7	± 1.9%	± 1.9%	8
Hemispherical isotropy	± 9.6%	Rectangular	√3	0.7	0.7	± 3.9%	± 3.9%	8
Spatial resolution	± 0.0%	Rectangular	√3	1	1	± 0.0%	± 0.0%	8
Boundary effects	± 1.0%	Rectangular	√3	1	1	± 0.6%	± 0.6%	8
Probe linearity	± 4.7%	Rectangular	√3	1	1	± 2.7%	± 2.7%	8
System detection limits	± 1.0%	Rectangular	√3	1	1	± 0.6%	± 0.6%	8
Readout electronics	± 0.3%	Normal	1	1	1	± 0.3%	± 0.3%	8
Response time	± 0.8%	Rectangular	√3	1	1	± 0.5%	± 0.5%	8
Integration time	± 2.6%	Rectangular	√3	1	1	± 1.5%	± 1.5%	8
RF ambient conditions	± 3.0%	Rectangular	√3	1	1	± 1.7%	± 1.7%	8
Probe positioner	± 0.4%	Rectangular	√3	1	1	± 0.2%	± 0.2%	8
Probe positioning	± 2.9%	Rectangular	√3	1	1	± 1.7%	± 1.7%	8
Max. SAR evaluation	± 1.0%	Rectangular	√3	1	1	± 0.6%	± 0.6%	8
Test Sample Related								
Device positioning	± 2.9%	Normal	1	1	1	± 2.9%	± 2.9%	145
Device holder uncertainty	± 3.6%	Normal	1	1	1	± 3.6%	± 3.6%	5
Power drift	± 5.0%	Rectangular	√3	1	1	± 2.9%	± 2.9%	8
Phantom and Set-up								
Phantom uncertainty	± 4.0%	Rectangular	√3	1	1	± 2.3%	± 2.3%	8
Liquid conductivity (target)	± 5.0%	Rectangular	√3	0.64	0.43	± 1.8%	± 1.2%	8
Liquid conductivity (meas.)	± 2.5%	Normal	1	0.64	0.43	± 1.6%	± 1.1%	8
Liquid permittivity (target)	± 5.0%	Rectangular	√3	0.6	0.49	± 1.7%	± 1.4%	8
Liquid permittivity (meas.)	± 2.5%	Normal	1	0.6	0.49	± 1.5%	± 1.2%	8
Combined Uncertainty						± 10.9%	± 10.7%	387
Expanded Std. Uncertainty						± 21.9%	± 21.4%	

Table 7:Measurement uncertainties

2012-08-13 Page 21 of 36



# 5.2 Measurement uncertainty evaluation for system validation

The overall combined measurement uncertainty of the measurement system is  $\pm$  9.5% (K=1). The expanded uncertainty (k=2) is assessed to be  $\pm$  18.9%

This measurement uncertainty budget is suggested by IEEE P1528 and determined by Schmid & Partner Engineering AG. The breakdown of the individual uncertainties is as follows:

Error Sources	Uncerta inty Value	Probability Distribution	Divi- sor	c <sub>i</sub> 1g	c <sub>i</sub> 10g	Standard Uncertain ty 1g	Standard Uncertain ty10g	v <sub>i</sub> <sup>2</sup> or v <sub>eff</sub>
Measurement System								
Probe calibration	± 6.0%	Normal	1	1	1	± 6.0%	± 6.0%	8
Axial isotropy	± 4.7%	Rectangular	√3	1	1	± 2.7%	± 2.7%	8
Hemispherical isotropy	± 9.6%	Rectangular	√3	0.7	0.7	± 0.0%	± 0.0%	8
Boundary effects	± 1.0%	Rectangular	√3	1	1	± 0.6%	± 0.6%	8
Probe linearity	± 4.7%	Rectangular	√3	1	1	± 2.7%	± 2.7%	8
System detection limits	± 1.0%	Rectangular	√3	1	1	± 0.6%	± 0.6%	8
Readout electronics	± 0.3%	Normal	1	1	1	± 0.3%	± 0.3%	8
Response time	± 0.0%	Rectangular	√3	1	1	± 0.0%	± 0.0%	8
Integration time	± 0.0%	Rectangular	√3	1	1	± 0.0%	± 0.0%	∞
RF ambient conditions	± 1.0%	Rectangular	√3	1	1	± 0.6%	± 0.6%	∞
Probe positioner	± 0.4%	Rectangular	√3	1	1	± 0.2%	± 0.2%	8
Probe positioning	± 2.9%	Rectangular	√3	1	1	± 1.7%	± 1.7%	8
Max. SAR evaluation	± 1.0%	Rectangular	√3	1	1	± 0.6%	± 0.6%	8
Dipole								
Deviation of experimental dipole	± 5.5%	Rectangular	√3	1	1	± 3.2%	± 3.2%	∞
Dipole axis to liquid distance	± 2.0%	Rectangular	1	1	1	± 1.2%	± 1.2%	∞
Power drift	± 4.7%	Rectangular	√3	1	1	± 2.7%	± 2.7%	8
Phantom and Set-up								
Phantom uncertainty	± 4.0%	Rectangular	√3	1	1	± 2.3%	± 2.3%	8
Liquid conductivity (target)	± 5.0%	Rectangular	√3	0.64	0.43	± 1.8%	± 1.2%	8
Liquid conductivity (meas.)	± 2.5%	Normal	1	0.64	0.43	± 1.6%	± 1.1%	8
Liquid permittivity (target)	± 5.0%	Rectangular	√3	0.6	0.49	± 1.7%	± 1.4%	∞
Liquid permittivity (meas.)	± 2.5%	Normal	1	0.6	0.49	± 1.5%	± 1.2%	∞
Combined Uncertainty						± 9.5%	± 9.2%	
Expanded Std. Uncertainty Table 8:Measurement unce						± 18.9%	± 18.4%	

Table 8:Measurement uncertainties

2012-08-13 Page 22 of 36



# 6 SAR Test Configuration

# 6.1 **GSM Test Configuration**

SAR tests for GSM850 and GSM1900, a communication link is set up with a base station by air link. Using CMU200 the power lever is set to "5" and "0" in SAR of GSM850 and GSM1900. The tests in the band of GSM850 and GSM1900 are performed in the mode of GPRS/EGPRS function. Since the GPRS class is 10 for this EUT, it has at most 2 timeslots in uplink and at most 4 timeslots in downlink, the maximum total timeslot is 5. The EGPRS class is 10 for this EUT, it has at most 2 timeslots in uplink, and at most 4 timeslots in downlink, the maximum total timeslot is 5.

When SAR tests for EGPRS mode is necessary, GMSK modulation should be used to minimize SAR measurement error due to higher peak-to-average power (PAR) ratios inherent in 8-PSK.

According to specification 3GPP TS 51.010, the maximum power of the GSM can do the power reduction for the multi-slot.

The allowed power reduction in the multi-slot configuration is as following:

Number of time assigni	•	Reduction of maximum output power,(dB)					
Band	Time Slots	GPRS (GMSK)	EGPRS (GMSK)	EGPRS (8PSK)			
GSM850	1 TX slot	0	0	0			
GSIVIOSU	2 TX slots	3	3	3			
GSM1900	1 TX slot	0	0	0			
G3W1900	2 TX slots	0	0	3			

Table 9: The allowed power reduction in the multi-slot configuration of GSM

### 6.2 WCDMA Test Configuration

#### 1) RMC

As the SAR body tests for WCDMA BAND IV and WCDMA Band II, we established the radio link through call processing. The maximum output power were verified on high, middle and low channels for each test band according to 3GPP TS 34.121 with the following configuration:

- 1) 12.2kbps RMC, 64,144,384 kbps RMC with TPC set to 'all 1'.
- 2) Test loop Mode 1.

For the output power, the configurations for the DPCCH and DPDCH₁ are as followed (EUT do not support the DPDCH₂-n)

	2 11/				
	Channel Bit Rate (kbps)	Channel Symbol Rate (ksps)	Spreading Factor	Spreading Code Number	Bits/Slot
DPCCH	15	15	256	0	10
	15	15	256	64	10
	30	30	128	32	20
	60	60	64	16	40
DPDCH₁	120	120	32	8	80
	240	240	16	4	160
	480	480	8	2	320
	960	960	4	1	640
DPDCH <sub>n</sub>	960	960	4	1, 2, 3	640

2012-08-13 Page 23 of 36



SAR for body exposure configurations is measured using the 12.2 kbps RMC with the TPC bits configured to all "1s". SAR for other spreading codes and multiple DPDCHn, when supported by the EUT, are not required when the maximum average outputs of each RF channel, for each spreading code and DPDCHn configuration, are less than ¼ dB higher than those measured in 12.2 kbps RMC.

### 2) HSDPA

SAR for body exposure configurations is measured according to the "Body SAR Measurements" procedures of 3G device. In addition, body SAR is also measured for HSDPA when the maximum average outputs of each RF channel with HSDPA active is at ¼ dB higher than that measured without HSDPA using 12.2kbps RMC or the maximum SAR 12.2kbps RMC is above 75% of the SAR limit. Body SAR for HSDPA is measured using an FRC with H-Set 1 in Sub-test 1 and a 12.2kbps RMC configured in Test Loop Mode 1, using the highest body SAR configuration in 12.2 kbps RMC without HSDPA.

HSDPA should be configured according to UE category of a test device. The number of HS-DSCH/HS-PDSCHs, HAPRQ processes, minimum inter-TTI interval, transport block sizes and RV coding sequence are defined by the H-set. To maintain a consistent test configuration and stable transmission condition, QPSK is used in the H-set for SAR testing. HS-DPCCH should be configured with a CQI feedback cycle of 4ms with a CQI repetition factor of 2 to maintain a constant rate of active CQI slots. The  $\beta_c$  and  $\beta_d$  gain factors for DPCCH and DPDCH were set according to the values in the below table,  $\beta_{hs}$  for HS-DPCCH is set automatically to the correct value when  $\Delta$ ACK,  $\Delta$ NACK,  $\Delta$ CQI = 8. The variation of the  $\beta_c$  / $\beta_d$  ratio causes a power reduction at sub-tests 2 - 4.

Sub-test₽	βe⊷	β <sub>d</sub> ⇔	β <sub>d</sub> (SF)₽	$\beta_c/\beta_{d}$	β <sub>hs</sub> (1)	CM(dB)(2)	MPR (dB)₽
1₽	2/15₽	15/15₽	64₽	2/15₽	4/15₽	0.0₽	0₽
2₽	12/15(3)	15/15(3)₽	64₽	12/15(3)	24/15₽	1.0₽	0₽
3₽	15/15₽	8/15₽	64₽	15/8₽	30/15₽	1.5₽	0.5₽
4₽	15/15₽	4/15₽	64₽	15/4₽	30/15₽	1.5₽	0.5₽

Note 1:  $\triangle$  ACK,  $\triangle$  NACK and  $\triangle$  CQI = 8  $A_{hs} = \beta_{hs}/\beta_c = 30/15$   $\beta_{hs} = 30/15 * \beta_c \neq 0$ 

Note 2 : CM=1 for  $\beta_c/\beta_{d=}$  12/15,  $\beta_{hs}/\beta_c=24/15$ . For all other combinations of DPDCH,DPCCH and HS-DPCCH the MPR is based on the relative CM difference. This is applicable for only UEs that support HSDPA in release 6 and later releases. Note 3 : For subtest 2 the  $\beta_c/\beta_d$  ratio of 12/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1,TF1) to  $\beta_c=11/15$  and  $\beta_d=15/15$ .

Table 10: Sub-tests for UMTS Release 5 HSDPA

The measurements were performed with a Fixed Reference Channel (FRC) and H-Set 1 QPSK.

Parameter	Value
Nominal average inf. bit rate	534 kbit/s
Inter-TTI Distance	3 TTI's
Number of HARQ Processes	2 Processes
Information Bit Payload	3202 Bits
MAC-d PDU size	336 Bits
Number Code Blocks	1 Block
Binary Channel Bits Per TTI	4800 Bits
Total Available SMLs in UE	19200 SMLs
Number of SMLs per HARQ Process	9600 SMLs
Coding Rate	0.67
Number of Physical Channel Codes	5

Table 11:settings of required H-Set 1 QPSK acc. to 3GPP 34.121

2012-08-13 Page 24 of 36



HS-DSCH Category	Maximum HS-DSCH Codes Received	Minimum Inter-TTI Interval	Maximum HS-DSCH Transport Block Bits/HS- DSCH TTI	Total Soft Channel Bits
1	5	3	7298	19200
2	5	3	7298	28800
3	5	2	7298	28800
4	5	2	7298	38400
5	5	1	7298	57600
6	5	1	7298	67200
7	10	1	14411	115200
8	10	1	14411	134400
9	15	1	25251	172800
10	15	1	27952	172800
11	5	2	3630	14400
12	5	1	3630	28800
13	15	1	34800	259200
14	15	1	42196	259200
15	15	1	23370	345600
16	15	1	27952	345600

Table 12:HSDPA UE category

### 3) HSUPA

Body SAR is also measured for HSDPA when the maximum average outputs of each RF channel with HSDPA active is at ¼ dB higher than that measured without HSDPA using 12.2kbps RMC or the maximum SAR 12.2kbps RMC is above 75% of the SAR limit. Body SAR for HSPA is measured with E-DCH Sub-test 5, using H-set 1 and QPSK for FRC and 12.2kbps RMC configured in Test Loop Mode 1 with power control algorithm 2, according to the highest body SAR configuration in 12.2 kbps RMC without HSPA.

Due to inner loop power control requirements in HSDPA, a commercial communication test set should be used for the output power and SAR tests. The 12.2 kbps RMC, FRC H-set 1 and E-DCH configurations for HSDPA should be configured according to the values indicated below as well as other applicable procedures described in the 'WCDMA Handset' and 'Release 5 HSDPA Data Device' sections of 3G device.

2012-08-13 Page 25 of 36



Sub -test₽	βω	$\beta_{de^2}$	β <sub>d</sub> (SF	βℯ∕βⅆℴ	β <sub>hs</sub> (1 )+	β <sub>ec</sub>	β <sub>ed</sub> ₊∍	β <sub>e</sub> c↔ (SF	β <sub>ed</sub> ↓ (code )↓	CM <sup>(</sup> 2)+- (dB )	MP R↓ (dB)↓	AG <sup>(4</sup> )↔ Inde X↔	E- TFC I <sub>e</sub>
1₽	11/15 <sup>(3)</sup>	15/15 <sup>(3)</sup>	64₽	11/15(3)	22/15₽	209/22 5₽	1039/225	4₽	1₽	1.0₽	0.0₽	20₽	75₽
2₽	6/15₽	15/15₽	64₽	6/15₽	12/15₽	12/15₽	94/75₽	4₽	1₽	3.0₽	2.0₽	12₽	67₽
3₽	15/150	9/15₽	64₽	15/94	30/15	30/15₽	β <sub>ed1</sub> :47/1 5 <sub>4</sub> β <sub>ed2:47/1</sub> 5 <sub>4</sub>	4₽	2₽	2.0₽	1.0₽	15₽	92₽
4.	2/15₽	15/15₽	64₽	2/15₽	4/15₽	2/15₽	56/75₽	4₽	1₽	3.0₽	2.0₽	17₽	71₽
5₽	15/15 <sup>(4)</sup>	15/15 <sup>(4)</sup>	64₽	15/15 <sup>(4)</sup>	30/15₽	24/15₽	134/15₽	4₽	1₽	1.0₽	0.0₽	210	81₽

Note 1:  $\triangle$  ACK,  $\triangle$  NACK and  $\triangle$  CQI = 8  $A_{hs} = \beta_{hs}/\beta_c = 30/15$   $\beta_{hs} = 30/15 * \beta_{cv}$ 

Note 2: CM = 1 for  $\beta_c/\beta_d$  = 12/15,  $\beta_{hs}/\beta_c$  = 24/15. For all other combinations of DPDCH, DPCCH, HS-DPCCH, E-DPDCH and E-DPCCH the MPR is based on the relative CM difference.

Note 3: For subtest 1 the  $\beta_c/\beta_d$  ratio of 11/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1,TF1) to  $\beta_c = 10/15$  and  $\beta_d = 15/15$ .

Note 4 : For subtest 5 the  $\beta_c/\beta_d$  ratio of 15/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1,TF1) to  $\beta_c=14/15$  and  $\beta_d=15/15$ 

Note 5: Testing UE using E-DPDCH Physical Layer category 1 Sub-test 3 is not required according to TS 25.306 Table 5.1g<sub>e</sub>

Note 6: β<sub>ed</sub> can not be set directly; it is set by Absolute Grant Value.

Table 13:Subtests for UMTS Release 6 HSUPA

UE E-DCH Category	Maximum E-DCH Codes Transmitted	Number of HARQ Processes	E-DCH TTI(ms)	Minimum Speading Factor	Maximum E-DCH Transport Block Bits	Max Rate (Mbps)
1	1	4	10	4	7110	0.7296
2	2	8	2	4	2798	1 4500
2	2	4	10	4	14484	1.4592
3	2	4	10	4	14484	1.4592
4	2	8	2	2	5772	2.9185
4	2	4	10	2	20000	2.00
5	2	4	10	2	20000	2.00
6	4	8	10	2SF2&2SF	11484	5.76
(No DPDCH)	4	4	2	4	20000	2.00
7	4	8	2	2SF2&2SF	22996	?
(No DPDCH)	4	4	10	4	20000	?

NOTE: When 4 codes are transmitted in parallel, two codes shall be transmitted with SF2 and two with SF4.UE categories 1 to 6 support QPSK only.UE category 7 supports QPSK.(TS25.306-7.3.0).

Table 14:HSUPA UE category

2012-08-13 Page 26 of 36



# 7 SAR Measurement Results

# 7.1 Conducted power measurements

For the measurements a Rohde & Schwarz Radio Communication Tester CMU 200 was used. SAR drift measured at the same position in liquid before and after each SAR test as below 7.2 chapter.

Note: CMU200 measures GSM peak and average output power for active timeslots.For SAR the timebased average power is relevant. The difference in between depends on the duty cycle of the TDMA signal:

No. of timeslots	1	2	3	4
Duty Cycle	1:8	1:4	1:2.66	1:2
timebased avg. power compared to slotted avg. power	-9dB	-6dB	-4.25dB	-3dB

The signalling modes differ as follows:

mode	coding scheme	modulation
GPRS	CS1 to CS4	GMSK
EDGE	MCS1 to MCS4	GMSK
EDGE	MCS5 to MCS9	8PSK

Apart from modulation change (GMSK/8PSK) coding schemes differ in code rate without influence on the RF signal. Therefore one coding scheme per mode was selected for conducted power measurements.

#### 7.1.1 Conducted power measurements GSM850

GSM850		Burst-Ave	eraged outp (dBm)	out Power	Division Factors		-Averaged ower (dBm	•
		128CH	190CH	251CH	raciois	128CH	190CH	251CH
GSM	I (CS)	32.48	32.48	32.67	-9	23.48	23.48	23.67
GPRS	1 Tx Slot	32.63	32.61	32.85	-9	23.63	23.61	23.85
(GMSK)	2 Tx Slots	29.98	29.98	29.94	-6	23.98	23.98	23.94
EDGE	1 Tx Slot	32.66	32.63	32.87	-9	23.66	23.63	23.87
(GMSK)	2 Tx Slots	29.98	29.99	29.89	-6	23.98	23.99	23.89
EDGE	1 Tx Slot	26.76	26.64	26.28	-9	17.76	17.64	17.28
(8PSK)	2 Tx Slots	24.57	24.43	24.43	-6	18.57	18.43	18.43

Table 15:Test results conducted power measurement GSM 850MHz

Note: 1. The conducted power of GSM850 is measured with RMS detector.

2. Frame-averaged output power was calculated from the measured burst-averaged output power by converting the slot powers into linear units and calculating the energy over 8 timesolts.

2012-08-13 Page 27 of 36



# 7.1.2 Conducted power measurements GSM1900

GSM1900		Burst-Averaged output Power (dBm)			Division		-Averaged Power (dBm	•
		512CH	661CH	810CH	Factors	512CH	661CH	810CH
GSM	I (CS)	29.63	29.66	29.36	-9	20.63	20.66	20.36
GPRS	1 Tx Slot	29.69	29.68	29.36	-9	20.69	20.68	20.36
(GMSK)	2 Tx Slots	29.52	29.52	29.51	-6	23.52	23.52	23.51
EDGE	1 Tx Slot	29.71	29.73	29.41	-9	20.71	20.73	20.41
(GMSK)	2 Tx Slots	29.54	29.54	29.46	-6	23.54	23.54	23.46
EDGE	1 Tx Slot	24.84	24.79	25.69	-9	15.84	15.79	16.69
(8PSK)	2 Tx Slots	24.01	24.00	23.99	-6	18.01	18.00	17.99

Table 16:Test results conducted power measurement GSM 1900MHz

Note: 1. The conducted power of GSM1900 is measured with RMS detector.

2. Frame-averaged output power was calculated from the measured burst-averaged output power by converting the slot powers into linear units and calculating the energy over 8 timesolts.

# 7.1.3 Conducted power measurements WCDMA Band IV

UMTS	S1700	Co	onducted Power (de	3m)
(Ban	d IV)	1312CH	1413CH	1513CH
	12.2kbps RMC	22.36	22.23	22.31
WCDMA	64kbps RMC	22.41	22.22	22.32
VVCDIVIA	144kbps RMC	22.34	22.21	22.34
	384kbps RMC	22.40	22.25	22.34
	Subtest 1	22.55	22.32	22.45
HSDPA	Subtest 2	22.37	22.20	22.32
ПООРА	Subtest 3	21.98	21.82	21.83
	Subtest 4	21.91	21.85	21.83
	Subtest 1	22.29	22.20	22.18
	Subtest 2	20.38	20.54	20.76
HSUPA	Subtest 3	21.09	20.83	20.91
	Subtest 4	20.71	20.82	20.90
	Subtest 5	22.30	21.63	21.52

Table 17:Test results conducted power measurement WCDMA 1700MHz

Note: The conducted power of WCDMA BAND IV is measured with RMS detector.

2012-08-13 Page 28 of 36



# 7.1.4 Conducted power measurements WCDMA Band II

UMTS	S1900	Conducted Power (dBm)				
(Bar	nd II)	9262CH	9400CH	9538CH		
	12.2kbps RMC	22.87	22.69	22.52		
WCDMA	64kbps RMC	22.86	22.64	22.56		
VVCDIVIA	144kbps RMC	22.77	22.69	22.55		
	384kbps RMC	22.76	22.69	22.54		
	Subtest 1	23.08	22.94	22.77		
HSDPA	Subtest 2	22.92	22.74	22.66		
ПОДРА	Subtest 3	22.46	22.27	22.23		
	Subtest 4	22.44	22.25	22.16		
	Subtest 1	21.73	21.61	21.86		
	Subtest 2	21.11	20.98	20.96		
HSUPA	Subtest 3	21.11	21.11	21.01		
	Subtest 4	20.97	21.12	21.09		
	Subtest 5	21.75	21.68	21.85		

Table 18:Test results conducted power measurement WCDMA 1900MHz

Note: The conducted power of WCDMA Band II is measured with RMS detector.

2012-08-13 Page 29 of 36



#### 7.2 SAR measurement Result

#### 7.2.1 SAR measurement Result of GSM850

Test Position of Head	Test channel	Test		Value /kg)	Powe r Drift	Conducted Power	Liquid
	/Frequency	Mode	1-g	10-g	(dB)	(dBm)	Temp.
	Test	ed data with t	the batte	ry 1#			
Left Hand Touched	190/836.6	GSM	0.458	0.335	-0.170	32.48	21.4°C
Left Hand Tilted 15°	190/836.6	GSM	0.233	0.176	-0.140	32.48	21.4°C
Right Hand Touched	190/836.6	GSM	0.469	0.335	-0.120	32.48	21.4°C
Right Hand Tilted 15°	190/836.6	GSM	0.300	0.223	-0.160	32.48	21.4°C
Tested data with the battery 2#							
Right Hand Touched	190/836.6	GSM	0.456	0.328	0.050	32.48	21.4°C

Table 19: Test results head SAR GSM 850MHz

Test Position of Body with 15mm	Test channel /Frequency	Test Mode	_	Value 'kg) 10-g	Power Drift (dB)	Conducted Power (dBm)	Liquid Temp.
	Te	sted data with	the batte	ry 1#			
Towards Phantom	190/836.6	GPRS 1TS	0.376	0.270	-0.100	32.61	21.4°C
Towards Phantom	190/836.6	GPRS 2TS	0.394	0.283	-0.050	29.98	21.4°C
Towards Ground	190/836.6	GPRS 2TS	0.705	0.502	0.010	29.98	21.4°C
Towards Ground	190/836.6	EDGE 1TS	0.621	0.441	0.100	32.63	21.4°C
Towards Ground	190/836.6	EDGE 2TS	0.707	0.504	-0.120	29.99	21.4°C
Towards Ground with Headset	190/836.6	GSM	0.294	0.203	0.000	32.48	21.4°C
Tested data with the battery 2#							
Towards Ground	190/836.6	EDGE 2TS	0.701	0.500	-0.100	29.99	21.4°C

Table 20:Test results Body SAR GSM 850MHz

Note: 1) The maximum SAR value of each test band is shown in **bold** letters.

2) Per KDB447498 D01,the SAR test shall be performed at the high, middle and low frequency channels of each operating mode. If the SAR measured at mid-band channel for each test configuration is at least 3.0 dB lower than the SAR limit (< 0.8 W/kg), testing at the high and low channels is optional.

2012-08-13 Page 30 of 36



#### 7.2.2 SAR measurement Result of GSM1900

Test Position of Head	Test channel	Test	_	Value 'kg)	Powe r Drift	Conducted Power	Liquid
	/Frequency	Mode	1-g	10-g	(dB)	(dBm)	Temp.
	Test	ed data with t	the batte	ry 1#			
Left Hand Touched	661/1880	GSM	0.336	0.211	-0.130	29.66	21.4°C
Left Hand Tilted 15°	661/1880	GSM	0.095	0.057	0.140	29.66	21.4°C
Right Hand Touched	661/1880	GSM	0.490	0.290	0.140	29.66	21.4°C
Right Hand Tilted 15°	661/1880	GSM	0.089	0.054	-0.050	29.66	21.4°C
Tested data with the battery 2#							
Right Hand Touched	661/1880	GSM	0.477	0.282	0.060	29.66	21.4°C

Table 21:Test results head SAR GSM 1900MHz

Test Position of Body with 15mm	Test channel	Test Mode	_	Value 'kg)	Power Drift	Conducted Power	Liquid
with famili	/Frequency		1-g	10-g	(dB)	(dBm)	Temp.
	Te	sted data with	the batte	ry 1#			
Towards Phantom	661/1880	GPRS 1TS	0.170	0.107	0.130	29.68	21.4°C
Towards Phantom	661/1880	GPRS 2TS	0.293	0.182	0.020	29.52	21.4°C
Towards Ground	661/1880	GPRS 2TS	0.394	0.236	-0.020	29.52	21.4°C
Towards Ground	661/1880	EDGE 1TS	0.221	0.132	0.180	29.73	21.4°C
Towards Ground	661/1880	EDGE 2TS	0.392	0.232	-0.050	29.54	21.4°C
Towards Ground with Headset	661/1880	GSM	0.226	0.135	0.030	29.66	21.4°C
Tested data with the battery 2#							
Towards Ground	661/1880	GPRS 2TS	0.409	0.243	-0.070	29.52	21.4°C

Table 22:Test results Body SAR GSM 1900MHz

Note: 1) The maximum SAR value of each test band is shown in **bold** letters.

2) Per KDB447498 D01,the SAR test shall be performed at the high, middle and low frequency channels of each operating mode. If the SAR measured at mid-band channel for each test configuration is at least 3.0 dB lower than the SAR limit (< 0.8 W/kg), testing at the high and low channels is optional.

2012-08-13 Page 31 of 36



#### 7.2.3 SAR measurement Result of WCDMA Band IV

Test Position of Head	Test channel	Test		Value 'kg)	Powe r Drift	Conducted Power	Liquid
	/Frequency	Mode	1-g	<b>10-</b> g	(dB)	(dBm)	Temp.
	Test	ed data with	the batte	ry 1#			
Left Hand Touched	1413/1732.6	RMC	0.707	0.433	0.100	22.23	21.4°C
Left Hand Tilted 15°	1413/1732.6	RMC	0.247	0.148	0.130	22.23	21.4°C
Right Hand Touched	1513/1752.6	RMC	1.060	0.609	-0.050	22.31	21.4°C
Right Hand Touched	1413/1732.6	RMC	1.130	0.655	0.060	22.23	21.4°C
Right Hand Touched	1312/1712.4	RMC	1.030	0.596	0.080	22.36	21.4°C
Right Hand Tilted 15°	1413/1732.6	RMC	0.217	0.139	0.020	22.23	21.4°C
Tested data with the battery 2#							
Right Hand Touched	1413/1732.6	RMC	1.180	0.695	0.020	22.23	21.4°C

Table 23: Test results head SAR WCDMA 1700MHz

Test Position of Body	Test channel	Test Mode	_	Value /kg)	Power Drift	Conducted Power	Liquid
with 15mm	/Frequency		1-g	10-g	(dB)	(dBm)	Temp.
	Te	sted data with	the batte	ry 1#			
Towards Phantom	1413/1732.6	RMC	0.358	0.218	-0.120	22.23	21.4°C
Towards Ground	1413/1732.6	RMC	0.375	0.230	0.070	22.23	21.4°C
Towards Ground with Headset	1413/1732.6	RMC	0.355	0.219	0.010	22.23	21.4°C
Tested data with the battery 2#							
Towards Ground	1413/1732.6	RMC	0.383	0.234	0.000	22.23	21.4°C

Table 24:Test results Body SAR WCDMA 1700MHz

Note: 1) The maximum SAR value of each test band is shown in **bold** letters.

- 2) Per KDB447498 D01,the SAR test shall be performed at the high, middle and low frequency channels of each operating mode. If the SAR measured at mid-band channel for each test configuration is at least 3.0 dB lower than the SAR limit (< 0.8 W/kg), testing at the high and low channels is optional.
- 3) Per KDB941225 D01,Body SAR is not required for handset with HSPA when the maximum average output power of HSPA active is less than 0.25dBm higher than that measured without HSPA using 12.2kbps RMC and maximum SAR for 12.2 kbps RMC is  $\leq$ 75% of the SAR limit.

2012-08-13 Page 32 of 36



#### 7.2.4 SAR measurement Result of WCDMA Band II

Test Position of Head	Test channel	Test	_	Value (kg)	Powe r Drift	Conducted Power	Liquid
	/Frequency	Mode	1-g	10-g	(dB)	(dBm)	Temp.
	Test	ed data with t	the batte	ry 1#			
Left Hand Touched	9400/1880	RMC	0.495	0.313	-0.160	22.69	21.4°C
Left Hand Tilted 15°	9400/1880	RMC	0.173	0.102	0.100	22.69	21.4°C
Right Hand Touched	9400/1880	RMC	0.738	0.434	-0.100	22.69	21.4°C
Right Hand Tilted 15°	9400/1880	RMC	0.147	0.089	0.010	22.69	21.4°C
Tested data with the battery 2#							
Right Hand Touched	9400/1880	RMC	0.752	0.441	0.000	22.69	21.4°C

Table 25:Test results head SAR WCDMA 1900MHz

Test Position of Body	Test channel	Test Mode	_	Value 'kg)	Power Drift	Conducted Power	Liquid
with 15mm	/Frequency		1-g	10-g	(dB)	(dBm)	Temp.
	Te	sted data with	the batte	ry 1#			
Towards Phantom	9400/1880	RMC	0.248	0.154	0.050	22.69	21.4°C
Towards Ground	9400/1880	RMC	0.355	0.211	0.150	22.69	21.4°C
Towards Ground with Headset	9400/1880	RMC	0.370	0.219	0.190	22.69	21.4°C
Tested data with the battery 2#							
Towards Ground with Headset	9400/1880	RMC	0.336	0.201	-0.040	22.69	21.4°C

Table 26:Test results Body SAR WCDMA 1900MHz

Note: 1) The maximum SAR value of each test band is shown in **bold** letters.

- 2) Per KDB447498 D01,the SAR test shall be performed at the high, middle and low frequency channels of each operating mode. If the SAR measured at mid-band channel for each test configuration is at least 3.0 dB lower than the SAR limit (< 0.8 W/kg), testing at the high and low channels is optional.
- 3) Per KDB941225 D01,Body SAR is not required for handset with HSPA when the maximum average output power of HSPA active is less than 0.25dBm higher than that measured without HSPA using 12.2kbps RMC and maximum SAR for 12.2 kbps RMC is  $\leq$ 75% of the SAR limit.

2012-08-13 Page 33 of 36



# 7.3 Multiple Transmitter Evaluation

These procedures were followed according to FCC "KDB648474 D01 SAR Handsets Multi Xmiter and Ant, v01r05", Sept 2008. The procedures are applicable to phones with built-in unlicensed transmitters, such as 802.11 a/b/g and Bluetooth devices.

	2.45	5.15 - 5.35	5.47 - 5.85	GHz	
$P_{\mathrm{Ref}}$	12	6	5	mW	
Device output power should be rounded to the nearest mW to compare with values specified in this table.					

Table 27: Output Power Thresholds for Unlicensed Transmitters

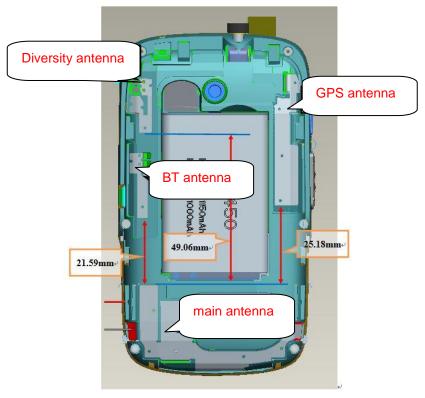
	Individual Transmitter	Simultaneous Transmission
Licensed Transmitters	Routine evaluation required	SAR not required: Unlicensed only
Unlicensed Transmitters	When there is no simultaneous transmission —  o output ≤ 60/f: SAR not required  o output > 60/f: stand-alone SAR required  When there is simultaneous transmission —  Stand-alone SAR not required when  o output ≤ 2·P <sub>Ref</sub> and antenna is ≥ 5.0 cm from other antennas  o output ≤ P <sub>Ref</sub> and antenna is ≥ 2.5 cm from other antennas  o output ≤ P <sub>Ref</sub> and antenna is < 2.5 cm from other antennas, each with either output power ≤ P <sub>Ref</sub> or 1-g SAR < 1.2 W/kg  Otherwise stand-alone SAR is required  When stand-alone SAR is required  o test SAR on highest output channel for each wireless mode and exposure condition  o if SAR for highest output channel is > 50% of SAR limit, evaluate all channels according to normal procedures	<ul> <li>when stand-alone 1-g SAR is not required and antenna is ≥ 5 cm from other antennas</li> <li>Licensed &amp; Unlicensed</li> <li>when the sum of the 1-g SAR is &lt; 1.6 W/kg for all simultaneous transmitting antennas</li> <li>when SAR to peak location separation ratio of simultaneous transmitting antenna pair is &lt; 0.3</li> <li>SAR required:</li> <li>Licensed &amp; Unlicensed antenna pairs with SAR to peak location separation ratio ≥ 0.3; test is only required for the configuration that results in the highest SAR in stand-alone configuration for each wireless mode and exposure condition</li> <li>Note: simultaneous transmission exposure conditions for head and body can be different for different style phones; therefore, different test requirements may apply</li> </ul>

Table 28: Summary of SAR Evaluation Requirements for a Cell Phone with Multiple Transmitters

2012-08-13 Page 34 of 36



The closest distance between BT antenna and main antenna is 2.159cm<2.5cm, and the location of the antennas inside mobile phone is shown as below picture:



The output power of BT antenna is as following:

	Ave	erage Conducted Power (	dBm)
BT 2450MHz	0CH	39CH	78CH
	7.10	7.19	7.16

Table 29:Test results conducted power measurement BT.

Note: The conducted power of BT is measured with RMS detector.

#### 7.3.1 Stand-alone SAR

According to the output power measurement results and the distance between BT antenna and GSM/WCDMA antenna we can draw the conclusion that:

Stand-alone SAR evaluation is not required for BT, because the Max output power of BT unlicensed transmitter is 7.19dBm<12mW (10.8dBm), and its antenna(s) is 2.159 cm<2.5 cm from main antenna, and the Max 1-g SAR of main antenna is 1.18W/Kg<1.2W/kg.

#### 7.3.2 Simultaneous SAR

Simultaneous Transmission SAR evaluation is not required for BT and GSM&WCDMA, because standalone SAR evaluation is not required for BT.

2012-08-13 Page 35 of 36



Appendix A. System Check Plots (Pls See Appendix A.)

Appendix B. SAR Measurement Plots (Pls See Appendix B.)

Appendix C. Calibration Certificate (Pls See Appendix C.)

Appendix D. Photo documentation (Pls See Appendix D.)

**End** 

2012-08-13 Page 36 of 36