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Appendix C. Calibration Certificate

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Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: SCS 108

Client **Huawei (Auden)**

Certificate No: **EX3-3736_Nov11**

CALIBRATION CERTIFICATE

Object **EX3DV4 - SN:3736**

Calibration procedure(s) **QA CAL-01.v8, QA CAL-23.v4, QA CAL-25.v4
Calibration procedure for dosimetric E-field probes**

Calibration date: **November 23, 2011**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature: $(22 \pm 3)^\circ\text{C}$ and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293674	31-Mar-11 (No. 217-01372)	Apr-12
Power sensor E4412A	MY41496087	31-Mar-11 (No. 217-01372)	Apr-12
Reference 3 dB Attenuator	SN: S5054 (3c)	29-Mar-11 (No. 217-01369)	Apr-12
Reference 20 dB Attenuator	SN: S5086 (20b)	29-Mar-11 (No. 217-01367)	Apr-12
Reference 30 dB Attenuator	SN: S5129 (30b)	29-Mar-11 (No. 217-01370)	Apr-12
Reference Probe ES3DV2	SN: 3013	29-Dec-10 (No. ES3-3013 Dec10)	Dec-11
DAE4	SN: 654	3-May-11 (No. DAE4-654_May11)	May-12
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-11)	In house check: Apr-13
Network Analyzer HP 8753E	US37380585	16-Oct-01 (in house check Oct-11)	In house check: Oct-12

	Name	Function	Signature
Calibrated by:	Jeton Kastrioti	Laboratory Technician	
Approved by:	Katja Pokovic	Technical Manager	
			Issued: November 23, 2011
This calibration certificate shall not be reproduced except in full without written approval of the laboratory.			



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Glossary:

TSL	tissue simulating liquid
NORM _{x,y,z}	sensitivity in free space
ConvF	sensitivity in TSL / NORM _{x,y,z}
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C	modulation dependent linearization parameters
Polarization ϕ	ϕ rotation around probe axis
Polarization θ	θ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\theta = 0$ is normal to probe axis

Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

Methods Applied and Interpretation of Parameters:

- NORM_{x,y,z}:** Assessed for E-field polarization $\theta = 0$ ($f \leq 900$ MHz in TEM-cell; $f > 1800$ MHz: R22 waveguide). NORM_{x,y,z} are only intermediate values, i.e., the uncertainties of NORM_{x,y,z} does not affect the E²-field uncertainty inside TSL (see below ConvF).
- NORM(f)_{x,y,z} = NORM_{x,y,z} * frequency_response** (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCP_{x,y,z}:** DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR:** PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- A_{x,y,z}; B_{x,y,z}; C_{x,y,z}; VR_{x,y,z}:** A, B, C are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters:** Assessed in flat phantom using E-field (or Temperature Transfer Standard for $f \leq 800$ MHz) and inside waveguide using analytical field distributions based on power measurements for $f > 800$ MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM_{x,y,z} * ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical Isotropy (3D deviation from Isotropy):** in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset:** The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

Probe EX3DV4

SN:3736

Manufactured: February 15, 2010
Calibrated: November 23, 2011

Calibrated for DASY/EASY Systems
(Note: non-compatible with DASY2 system!)

DASY/EASY - Parameters of Probe: EX3DV4 - SN:3736

Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ($\mu\text{V}/(\text{V/m})^2$) ^A	0.47	0.43	0.53	± 10.1 %
DCP (mV) ^B	99.3	103.3	101.0	

Modulation Calibration Parameters

UID	Communication System Name	PAR		A dB	B dB	C dB	VR mV	Unc ^C (k=2)
10000	CW	0.00	X	0.00	0.00	1.00	107.5	±3.0 %
			Y	0.00	0.00	1.00	108.3	
			Z	0.00	0.00	1.00	115.6	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

^A The uncertainties of NormX,Y,Z do not affect the E²-field uncertainty inside TSL (see Pages 5 and 6).

^B Numerical linearization parameter: uncertainty not required.

^C Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

DASY/EASY - Parameters of Probe: EX3DV4 - SN:3736

Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) ^c	Relative Permittivity ^f	Conductivity (S/m) ^f	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
750	41.9	0.89	9.04	9.04	9.04	0.67	0.71	± 12.0 %
850	41.5	0.92	8.72	8.72	8.72	0.78	0.64	± 12.0 %
1450	40.5	1.20	8.05	8.05	8.05	0.49	0.95	± 12.0 %
1750	40.1	1.37	7.98	7.98	7.98	0.80	0.64	± 12.0 %
1900	40.0	1.40	7.69	7.69	7.69	0.80	0.64	± 12.0 %
2300	39.5	1.67	7.25	7.25	7.25	0.80	0.62	± 12.0 %
2450	39.2	1.80	6.86	6.86	6.86	0.80	0.62	± 12.0 %
2600	39.0	1.96	6.69	6.69	6.69	0.75	0.66	± 12.0 %

^c Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

^f At frequencies below 3 GHz, the validity of tissue parameters (ϵ and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ϵ and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

DASY/EASY - Parameters of Probe: EX3DV4- SN:3736

Calibration Parameter Determined in Body Tissue Simulating Media

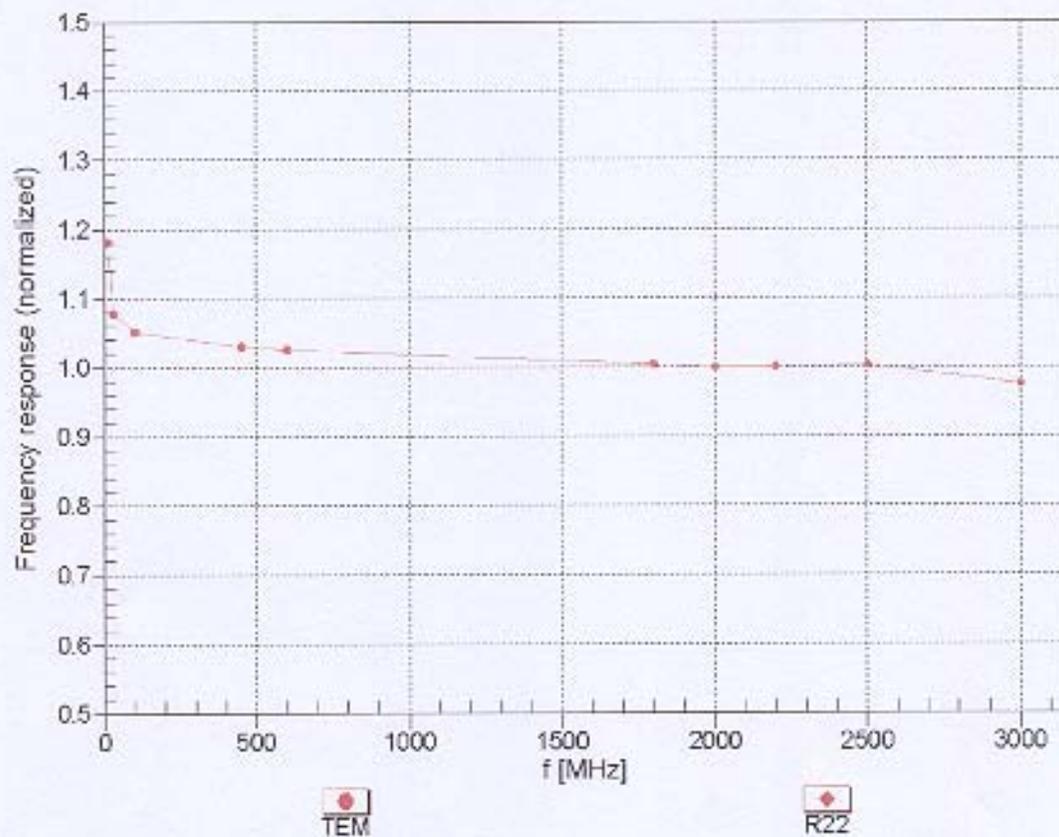
f (MHz) ^c	Relative Permittivity ^e	Conductivity (S/m) ^f	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
750	55.5	0.96	9.11	9.11	9.11	0.78	0.67	± 12.0 %
850	55.2	0.99	8.98	8.98	8.98	0.80	0.64	± 12.0 %
1450	54.0	1.30	8.26	8.26	8.26	0.80	0.66	± 12.0 %
1750	53.4	1.49	7.49	7.49	7.49	0.80	0.66	± 12.0 %
1900	53.3	1.52	7.26	7.26	7.26	0.80	0.67	± 12.0 %
2300	52.9	1.81	7.18	7.18	7.18	0.80	0.63	± 12.0 %
2450	52.7	1.95	6.98	6.98	6.98	0.80	0.50	± 12.0 %
2600	52.5	2.16	6.76	6.76	6.76	0.80	0.50	± 12.0 %

^c Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

^e At frequencies below 3 GHz, the validity of tissue parameters (ϵ and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ϵ and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

Frequency Response of E-Field

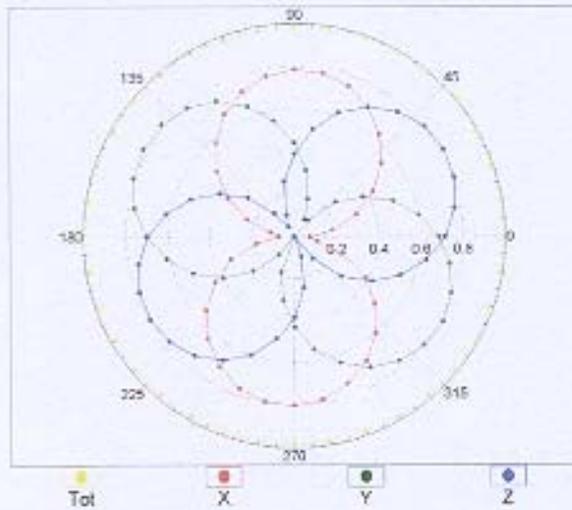
(TEM-Cell: ifi110 EXX, Waveguide: R22)



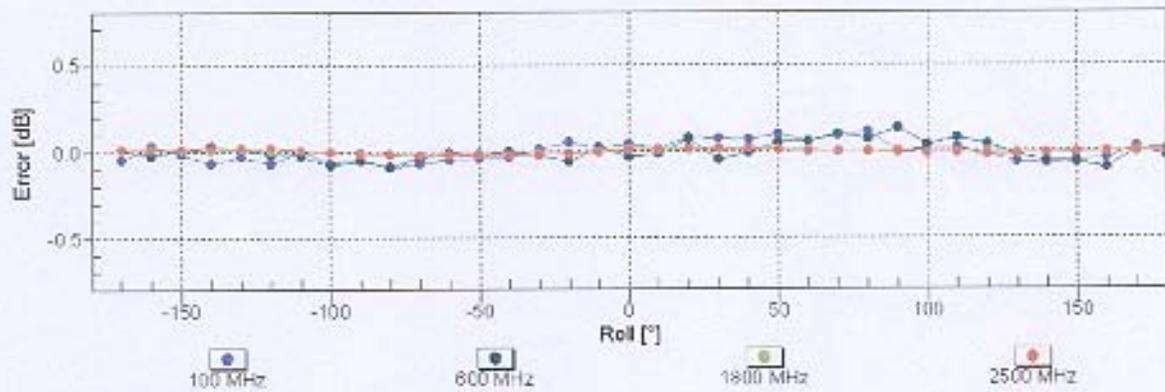
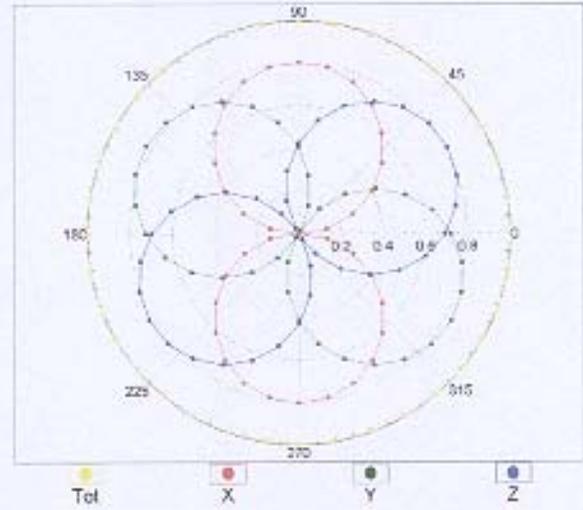
Uncertainty of Frequency Response of E-field: $\pm 6.3\%$ ($k=2$)

Receiving Pattern (ϕ), $\vartheta = 0^\circ$

f=600 MHz, TEM



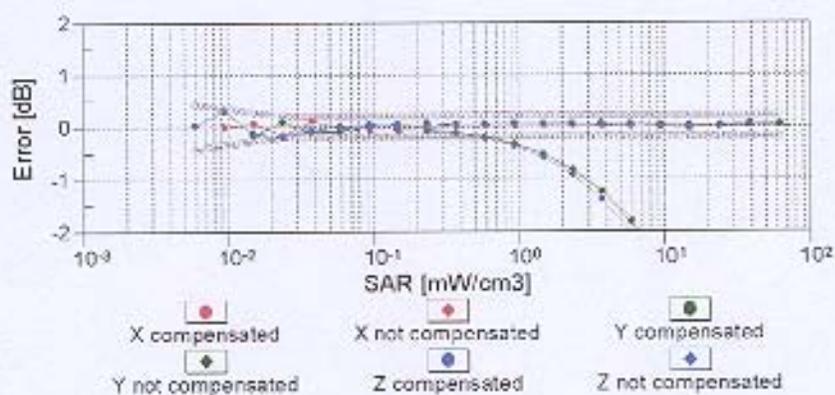
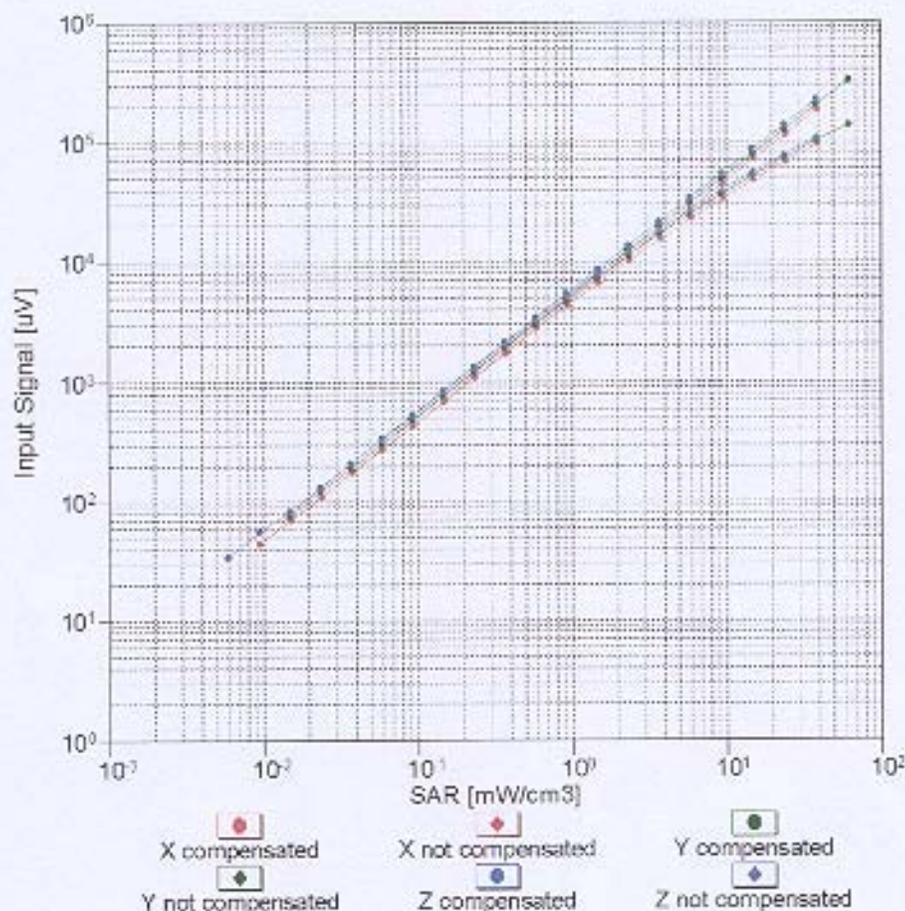
f=1800 MHz, R22



Uncertainty of Axial Isotropy Assessment: $\pm 0.5\%$ (k=2)

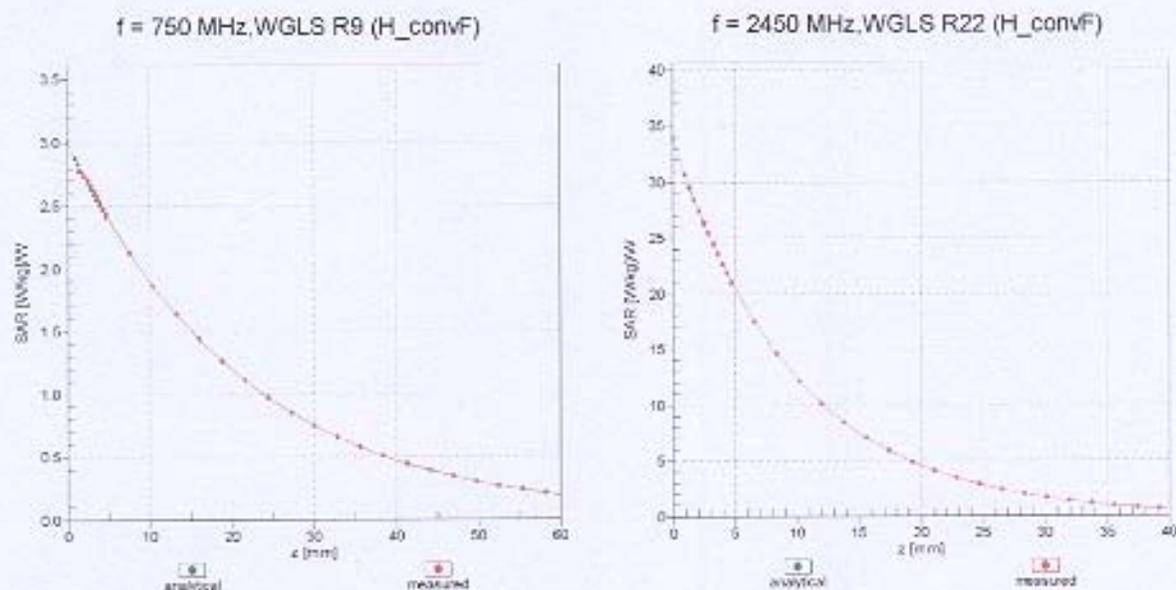
Dynamic Range f(SAR_{head})

(TEM cell, f = 900 MHz)



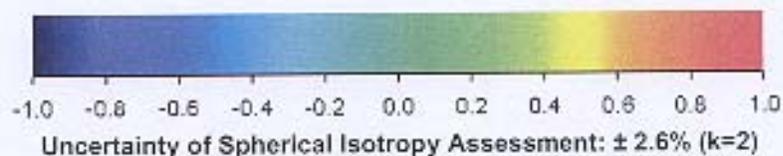
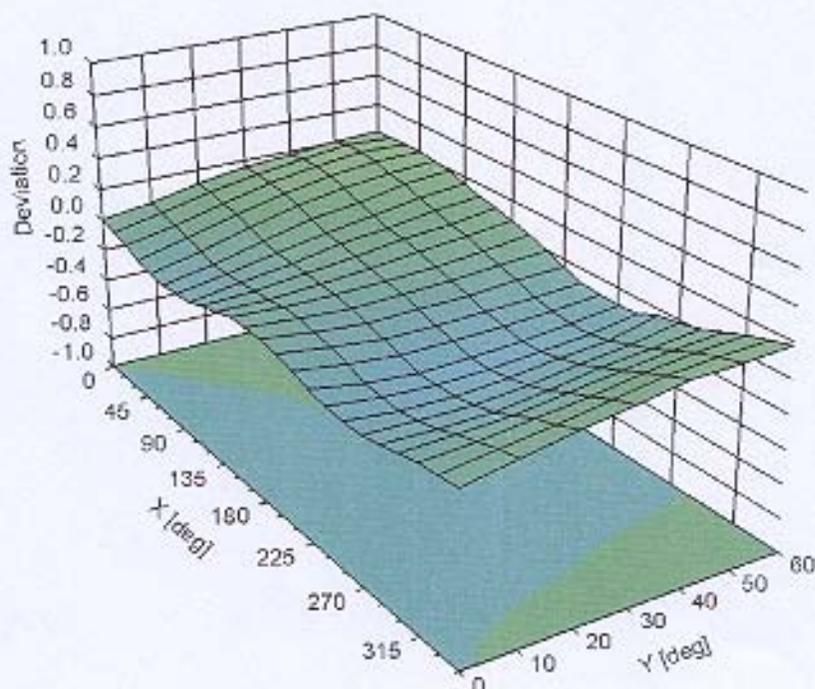
Uncertainty of Linearity Assessment: $\pm 0.6\%$ (k=2)

Conversion Factor Assessment



Deviation from Isotropy in Liquid

Error (ϕ , θ), f = 900 MHz



DASY/EASY - Parameters of Probe: EX3DV4 - SN:3736**Other Probe Parameters**

Sensor Arrangement	Triangular
Connector Angle (°)	Not applicable
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	2 mm