



## PART 2 RF EXPOSURE EVALUATION REPORT

**Applicant Name:**  
 Apple, Inc.  
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 Cupertino, CA 95014

**Date of Testing:**  
 01/15/21 - 02/15/21  
**Test Site/Location:**  
 PCTEST Lab, Morgan Hill, CA, USA  
**Document Serial No.:**  
 1C2101020005-20.BCG (Rev 1)

<b>FCC ID:</b>	<b>BCGA2379</b>
<b>APPLICANT:</b>	<b>APPLE, INC.</b>
<b>DUT Type:</b>	Tablet Device
<b>Application Type:</b>	Certification
<b>FCC Rule Part(s):</b>	CFR §2.1093
<b>Model:</b>	A2379
<b>Device Serial Numbers:</b>	DWWC6, 62MNQ, 64TLH, 9XH02, XPHFK, KQNHY, Y4XC2

Note: This revised Test Report supersedes and replaces the previously issued test report on the same subject device for the same type of testing as indicated. Please discard or destroy the previously issued test report(s) and dispose of it accordingly.

I attest to the accuracy of data. All measurements reported herein were performed by me or were made under my supervision and are correct to the best of my knowledge and belief. I assume full responsibility for the completeness of these measurements and vouch for the qualifications of all persons taking them. Test results reported herein relate only to the item(s) tested.



Randy Ortanez  
 President



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Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 1 of 101	

## T A B L E   O F   C O N T E N T S

1	DEVICE UNDER TEST .....	3
2	RF EXPOSURE LIMITS .....	5
3	TIME VARYING TRANSMISSION TEST CASES .....	7
4	FCC MEASUREMENT PROCEDURES (FREQ < 6 GHZ) .....	10
5	FCC MEASUREMENT PROCEDURES (FREQ > 6 GHZ) .....	21
6	MEASUREMENT TEST SETUP (FREQ < 6 GHZ) .....	28
7	MEASUREMENT TEST SETUP (FREQ > 6 GHZ) .....	32
8	TEST CONFIGURATIONS (FREQ < 6 GHZ) .....	34
9	CONDUCTED TX CASES (FREQ < 6 GHZ) .....	38
10	SYSTEM VERIFICATION (FREQ < 6 GHZ) .....	66
11	SAR TEST RESULTS (FREQ < 6 GHZ) .....	68
12	TEST CONFIGURATIONS (FREQ > 6 GHZ) .....	77
13	RADIATED POWER TX CASES (FREQ > 6 GHZ) .....	80
14	SYSTEM VERIFICATION (FREQ > 6 GHZ) .....	92
15	POWER DENSITY TEST RESULTS (FREQ > 6 GHZ) .....	93
16	EQUIPMENT LIST .....	96
17	MEASUREMENT UNCERTAINTIES .....	97
18	CONCLUSION .....	99
19	REFERENCES .....	100
APPENDIX A:      VERIFICATION PLOTS		
APPENDIX B:      SAR TISSUE SPECIFICATIONS		
APPENDIX C:      SAR SYSTEM VALIDATION		
APPENDIX D:      TEST SETUP PHOTOGRAPHS		
APPENDIX E:      TEST SEQUENCES		
APPENDIX F:      TEST PROCEDURES FOR SUB6 NR + NR RADIO		
APPENDIX G:      CALIBRATION CERTIFICATES		

FCC ID: BCGA2379	 PCTEST <sup>®</sup> Proud to be part of Element	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 2 of 101

# 1 DEVICE UNDER TEST

## 1.1 Device Overview

Band & Mode	Operating Modes	Tx Frequency
GPRS/EDGE 850	Data	824.20 - 848.80 MHz
GPRS/EDGE 1900	Data	1850.20 - 1909.80 MHz
UMTS 850	Data	826.40 - 846.60 MHz
UMTS 1750	Data	1712.4 - 1752.6 MHz
UMTS 1900	Data	1852.4 - 1907.6 MHz
LTE Band 71	Data	665.5 - 695.5 MHz
LTE Band 12	Data	699.7 - 715.3 MHz
LTE Band 17	Data	706.5 - 713.5 MHz
LTE Band 13	Data	779.5 - 784.5 MHz
LTE Band 14	Data	790.5 - 795.5 MHz
LTE Band 26 (Cell)	Data	814.7 - 848.3 MHz
LTE Band 5 (Cell)	Data	824.7 - 848.3 MHz
LTE Band 4 (AWS)	Data	1710.7 - 1754.3 MHz
LTE Band 66 (AWS)	Data	1710.7 - 1779.3 MHz
LTE Band 2 (PCS)	Data	1850.7 - 1909.3 MHz
LTE Band 25 (PCS)	Data	1850.7 - 1914.3 MHz
LTE Band 30	Data	2307.5 - 2312.5 MHz
LTE Band 7	Data	2502.5 - 2567.5 MHz
LTE Band 41	Data	2498.5 - 2687.5 MHz
LTE Band 48	Data	3552.5 - 3697.5 MHz
NR Band n71	Data	665.5 - 695.5 MHz
NR Band n12	Data	701.5 - 713.5 MHz
NR Band n5 (Cell)	Data	826.5 - 846.5 MHz
NR Band n66 (AWS)	Data	1712.5 - 1777.5 MHz
NR Band n25 (PCS)	Data	1852.5 - 1912.5 MHz
NR Band n2 (PCS)	Data	1852.5 - 1907.5 MHz
NR Band n41	Data	2510.01 - 2679.99 MHz
NR Band n77	Data	3710.01 - 3969.99 MHz
2.4 GHz WLAN	Voice/Data	2412 - 2472 MHz
U-NII-1	Voice/Data	5180 - 5240 MHz
U-NII-2A	Voice/Data	5260 - 5320 MHz
U-NII-2C	Voice/Data	5500 - 5720 MHz
U-NII-3	Voice/Data	5745 - 5825 MHz
Bluetooth	Data	2402 - 2480 MHz
NR Band n260	Data	37000 - 40000 MHz
NR Band n261	Data	27500 - 28350 MHz

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of  Vilicom	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 3 of 101

## 1.2 Time-Averaging Algorithm for RF Exposure Compliance

This device is enabled with Qualcomm® Smart Transmit feature. This feature performs time averaging algorithm in real time to control and manage transmitting power and ensure the time-averaged RF exposure is in compliance with FCC requirements all the time.

The Smart Transmit algorithm maintains the time-averaged transmit power, in turn, time-averaged RF exposure of SAR\_design\_target or PD\_design\_target, below the predefined time-averaged power limit (i.e., P<sub>limit</sub> for sub-6 radio, and input.power.limit for 5G mmW NR), for each characterized technology and band.

Smart Transmit allows the device to transmit at higher power instantaneously, as high as P<sub>max</sub>, when needed, but enforces power limiting to maintain time-averaged transmit power to P<sub>limit</sub> for frequencies < 6 GHz and input.power.limit for frequencies > 6 GHz.

Note that the device uncertainty for sub-6GHz WWAN is 1.0dB for this DUT, the device uncertainty for mmW is 2.2 dB, and the reserve power margin is 3 dB.

This purpose of the Part 2 report is to demonstrate the DUT complies with FCC RF exposure requirement under Tx varying transmission scenarios, thereby validity of Qualcomm® Smart Transmit feature implementation in this device. It serves to compliment the Part 0 and Part 1 Test Reports to justify compliance per FCC.

## 1.3 Bibliography

Report Type	Report Serial Number
Part 0 SAR Test Report	1C2101020005-34.BCG
Part 1 SAR Test Report	1C2101020005-01.BCG
Part 0 Power Density Test Report	1C2101020005-18.BCG
Part 1 Power Density Test Report	1C2101020005-19.BCG
RF Exposure Compliance Summary	1C2101020005-21.BCG

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 4 of 101

## 2 RF EXPOSURE LIMITS

### 2.1 Uncontrolled Environment

UNCONTROLLED ENVIRONMENTS are defined as locations where there is the exposure of individuals who have no knowledge or control of their exposure. The general population/uncontrolled exposure limits are applicable to situations in which the general public may be exposed or in which persons who are exposed as a consequence of their employment may not be made fully aware of the potential for exposure or cannot exercise control over their exposure. Members of the general public would come under this category when exposure is not employment-related; for example, in the case of a wireless transmitter that exposes persons in its vicinity.

### 2.2 Controlled Environment

CONTROLLED ENVIRONMENTS are defined as locations where there is exposure that may be incurred by persons who are aware of the potential for exposure, (i.e. as a result of employment or occupation). In general, occupational/controlled exposure limits are applicable to situations in which persons are exposed as a consequence of their employment, who have been made fully aware of the potential for exposure and can exercise control over their exposure. This exposure category is also applicable when the exposure is of a transient nature due to incidental passage through a location where the exposure levels may be higher than the general population/uncontrolled limits, but the exposed person is fully aware of the potential for exposure and can exercise control over his or her exposure by leaving the area or by some other appropriate means.

### 2.3 RF Exposure Limits for Frequencies Below 6 GHz

Table 2-1  
SAR Human Exposure Specified in ANSI/IEEE C95.1-1992 and Health Canada Safety Code 6

HUMAN EXPOSURE LIMITS		
	UNCONTROLLED ENVIRONMENT <i>General Population</i> (W/kg) or (mW/g)	CONTROLLED ENVIRONMENT <i>Occupational</i> (W/kg) or (mW/g)
<b>Peak Spatial Average SAR</b> Head	1.6	8.0
<b>Whole Body SAR</b>	0.08	0.4
<b>Peak Spatial Average SAR</b> Hands, Feet, Ankle, Wrists, etc.	4.0	20

1. The Spatial Peak value of the SAR averaged over any 1 gram of tissue (defined as a tissue volume in the shape of a cube) and over the appropriate averaging time.
2. The Spatial Average value of the SAR averaged over the whole body.
3. The Spatial Peak value of the SAR averaged over any 10 grams of tissue (defined as a tissue volume in the shape of a cube) and over the appropriate averaging time.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Approved by: Quality Manager Page 5 of 101

## 2.4 RF Exposure Limits for Frequencies Above 6 GHz

Per §1.1310 (d)(3), the MPE limits are applied for frequencies above 6 GHz. Power Density is expressed in units of W/m<sup>2</sup> or mW/cm<sup>2</sup>.

Peak Spatially Averaged Power Density was evaluated over a circular area of 4 cm<sup>2</sup> per interim FCC Guidance for near-field power density evaluations per October 2018 TCB Workshop notes.

**Table 2-2**  
**Human Exposure Limits Specified in FCC 47 CFR §1.1310**

Human Exposure to Radiofrequency (RF) Radiation Limits		
Frequency Range [MHz]	Power Density [mW/cm <sup>2</sup> ]	Averaging Time [Minutes]
(A) Limits for Occupational / Controlled Environments		
1,500 – 100,000	5.0	6
(B) Limits for General Population / Uncontrolled Environments		
1,500 – 100,000	1.0	30

Note: 1.0 mW/cm<sup>2</sup> is 10 W/m<sup>2</sup>

## 2.5 Time Averaging Windows for FCC Compliance

Per October 2018 TCB Workshop Notes, the below time-averaging windows can be used for assessing time-averaged exposures for devices that are capable of actively monitoring and adjusting power output over time to comply with exposure limits.

Interim Guidance	Frequency (GHz)	Maximum Averaging Time (sec)
SAR	< 3	100
	3 – 6	60
	6 - 10	30
	10 - 16	14
	16 - 24	8
MPE	24 – 42	4
	42 – 95	2

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of Element	PART 2 RF EXPOSURE EVALUATION REPORT		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 6 of 101	

### 3 TIME VARYING TRANSMISSION TEST CASES

To validate the time averaging feature and demonstrate the compliance in Tx varying transmission conditions, the following transmission scenarios are covered in the Part 2 test:

1. During a time-varying Tx power transmission: To prove that the Smart Transmit feature accounts for Tx power variations in time accurately.
2. During a call disconnect and re-establish scenario: To prove that the Smart Transmit feature accounts for history of past Tx power transmissions accurately.
3. During a technology/band handover: To prove that the Smart Transmit feature functions correctly during transitions in technology/band.
4. During a DSI (Device State Index) change: To prove that the Smart Transmit feature functions correctly during transition from one device state (DSI) to another.
5. During an antenna (or beam) switch: To prove that the Smart Transmit feature functions correctly during transitions in antenna (such as AsDiv scenario) or beams (different antenna array configurations) or beams (different antenna array configurations).
6. SAR vs. PD exposure switching during sub-6+mmW transmission: To prove that the Smart Transmit feature functions correctly and ensures total RF exposure compliance during transitions in SAR dominant exposure, SAR+PD exposure, and PD dominant exposure scenarios.
7. During time window switch: To prove that the Smart Transmit feature correctly handles the transition from one time window to another specified by FCC, and maintains the normalized time-averaged RF exposure to be less than normalized FCC limit of 1.0 at all times.
8. SAR exposure switching between two active radios (radio1 and radio2): To prove that the Smart Transmit feature functions correctly and ensures total RF exposure compliance when exposure varies among SAR\_radio1 only, SAR\_radio1 + SAR\_radio2, and SAR\_radio2 only scenarios.

As described in Part 0 report, the RF exposure is proportional to the Tx power for a SAR- and PD-characterized wireless device. Thus, feature validation in Part 2 can be effectively performed through conducted (for  $f < 6\text{GHz}$ ) and radiated (for  $f \geq 6\text{GHz}$ ) power measurement. Therefore, the compliance demonstration under dynamic transmission conditions and feature validation are done in conducted/radiated power measurement setup for transmission scenario 1 through 8.

To add confidence in the feature validation, the time-averaged SAR and PD measurements are also performed but only performed for transmission scenario 1 to avoid the complexity in SAR and PD measurement (such as, for scenario 3 requiring change in SAR probe calibration file to accommodate different bands and/or tissue simulating liquid).

The strategy for testing in Tx varying transmission condition is outlined as follows:

- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR and PD limits, through time-averaged power measurements
  - Measure conducted Tx power (for  $f < 6\text{GHz}$ ) versus time, and radiated Tx power (EIRP for  $f > 10\text{GHz}$ ) versus time.
  - Convert it into RF exposure and divide by respective FCC limits to get normalized exposure versus time.
  - Perform running time-averaging over FCC defined time windows.
  - Demonstrate that the total normalized time-averaged RF exposure is less than 1 for all transmission scenarios (i.e., transmission scenarios 1, 2, 3, 4, 5, 6, 7, and 8) at all times.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 7 of 101

Mathematical expression:

For < 6 GHz transmission only:

$$1g\_or\_10gSAR(t) = \frac{\text{conducted\_Tx\_power}(t)}{\text{conducted\_Tx\_power\_P}_{\text{limit}}} * 1g\_or\_10gSAR\_P_{\text{limit}} \quad (1a)$$

$$\frac{\frac{1}{T_{\text{SAR}}} \int_{t-T_{\text{SAR}}}^t 1g\_or\_10gSAR(t) dt}{\text{FCC SAR limit}} \leq 1 \quad (1b)$$

For sub-6+mmW transmission:

$$1g\_or\_10gSAR(t) = \frac{\text{conducted\_Tx\_power}(t)}{\text{conducted\_Tx\_power\_P}_{\text{limit}}} * 1g\_or\_10gSAR\_P_{\text{limit}} \quad (2a)$$

$$4cm^2PD(t) = \frac{\text{radiated\_Tx\_power}(t)}{\text{radiated\_Tx\_power\_input.power.limit}} * 4cm^2PD\_input.power.limit \quad (2b)$$

$$\frac{\frac{1}{T_{\text{SAR}}} \int_{t-T_{\text{SAR}}}^t 1g\_or\_10gSAR(t) dt}{\text{FCC SAR limit}} + \frac{\frac{1}{T_{\text{PD}}} \int_{t-T_{\text{PD}}}^t 4cm^2PD(t) dt}{\text{FCC } 4cm^2 PD \text{ limit}} \leq 1 \quad (2c)$$

where, *conducted\_Tx\_power(t)*, *conducted\_Tx\_power\_P<sub>limit</sub>*, and *1g\_or\_10gSAR\_P<sub>limit</sub>* correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at *P<sub>limit</sub>*, and measured 1gSAR or 10gSAR values at *P<sub>limit</sub>* corresponding to sub-6 transmission. Similarly, *radiated\_Tx\_power(t)*, *radiated\_Tx\_power\_input.power.limit*, and *4cm<sup>2</sup>PD\_input.power.limit* correspond to the measured instantaneous radiated Tx power, radiated Tx power at *input.power.limit* (i.e., radiated power limit), and 4cm<sup>2</sup>PD value at *input.power.limit* corresponding to mmW transmission. Both *P<sub>limit</sub>* and *input.power.limit* are the parameters pre-defined in Part 0 and loaded via Embedded File System (EFS) onto the EUT. *T<sub>SAR</sub>* is the FCC defined time window for sub-6 radio; *T<sub>PD</sub>* is the FCC defined time window for mmW radio.

- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR and PD limits, through time-averaged SAR and PD measurements. Note as mentioned earlier, this measurement is performed for transmission scenario 1 only.
  - For sub-6 transmission only, measure instantaneous SAR versus time; for LTE+sub6 NR transmission, request low power (or all-down bits) on LTE so that measured SAR predominantly corresponds to sub6 NR.
  - For LTE + mmW transmission, measure instantaneous E-field versus time for mmW radio and instantaneous conducted power versus time for LTE radio.
  - Convert it into RF exposure and divide by respective FCC limits to obtain normalized exposure versus time.
  - Perform time averaging over FCC defined time window.
  - Demonstrate that the total normalized time-averaged RF exposure is less than 1 for transmission scenario 1 at all times.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 8 of 101	

Mathematical expression:

- For sub-6 transmission only:

$$1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR\_P_{limit}} * 1g\_or\_10gSAR(t)\_P_{limit} \quad (3a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t)dt}{FCC\ SAR\ limit} \leq 1 \quad (3b)$$

- For LTE+mmW transmission:

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit} \quad (4a)$$

$$4cm^2PD(t) = \frac{[pointE(t)]^2}{[pointE\_input.power.limit]^2} * 4cm^2PD\_input.power.limit \quad (4b)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t)dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}} \int_{t-T_{PD}}^t 4cm^2PD(t)dt}{FCC\ 4cm^2PD\ limit} \leq 1 \quad (4c)$$

where,  $pointSAR(t)$ ,  $pointSAR\_P_{limit}$ , and  $1g\_or\_10gSAR\_P_{limit}$  correspond to the measured instantaneous point SAR, measured point SAR at  $P_{limit}$ , and measured 1gSAR or 10gSAR values at  $P_{limit}$  corresponding to sub-6 transmission. Similarly,  $pointE(t)$ ,  $pointE\_input.power.limit$ , and  $4cm^2PD\_input.power.limit$  correspond to the measured instantaneous E-field, E-field at  $input.power.limit$ , and  $4cm^2PD$  value at  $input.power.limit$  corresponding to mmW transmission.

Note: cDASY6 measurement system by Schmid & Partner Engineering AG (SPEAG) of Zurich, Switzerland measures relative E-field, and provides ratio of  $\frac{[pointE(t)]^2}{[pointE\_input.power.limit]^2}$  versus time.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 9 of 101

This chapter provides the test plan and test procedure for validating Qualcomm Smart Transmit feature for sub-6 transmission. The 100 seconds time window for operating  $f < 3\text{GHz}$  is used as an example to detail the test procedures in this chapter. The same test plan and test procedures described in this chapter apply to 60 seconds time window for operating  $f \geq 3\text{GHz}$ .

## 4.1 Test sequence determination for validation

Following the FCC recommendation, two test sequences having time-variation in Tx power are predefined for sub-6 ( $f < 6\text{ GHz}$ ) validation:

- Test sequence 1: request DUT's Tx power to be at maximum power, measured  $P_{max}^{\dagger}$ , for 80s, then requesting for half of the maximum power, i.e., measured  $P_{max}/2$ , for the rest of the time.
- Test sequence 2: request DUT's Tx power to vary with time. This sequence is generated relative to measured  $P_{max}$ , measured  $P_{limit}$  and calculated  $P_{reserve}$  (= measured  $P_{limit}$  in dBm - *Reserve\_power\_margin* in dB) of DUT based on measured  $P_{limit}$ .

The details for generating these two test sequences is described and listed in Appendix E.

**NOTE:** For test sequence generation, “measured  $P_{limit}$ ” and “measured  $P_{max}$ ” are used instead of the “ $P_{limit}$ ” specified in EFS entry and “ $P_{max}$ ” specified for the device, because the Smart Transmit feature operates against the actual power level of the “ $P_{limit}$ ” that was calibrated for the DUT. The “measured  $P_{limit}$ ” accurately reflects what the feature is referencing to, therefore, it should be used during feature validation testing. The RF tune up and device-to-device variation are already considered in Part 0 report prior to determining  $P_{limit}$ .

## 4.2 Test configuration selection criteria for validating Smart Transmit feature

For validating the Smart Transmit feature, this section provides the general guidance to select test cases.

### 4.2.1 Test configuration selection for time-varying Tx power transmission

The Smart Transmit time averaging feature operation is independent of bands, modes, and channels for a given technology. Hence, validation of Smart Transmit in one band/mode/channel per technology is sufficient. Two bands per technology are proposed and selected for this testing to provide high confidence in this validation.

The criteria for the selection are based on the  $P_{limit}$  values determined in Part 0 report. Select two bands\* in each supported technology that correspond to least\*\* and highest\*\*\*  $P_{limit}$  values that are less than  $P_{max}$  for validating Smart Transmit.

\* If one  $P_{limit}$  level applies to all the bands within a technology, then only one band needs to be tested. In this case, within the bands having the same  $P_{limit}$ , the radio configuration (e.g., # of RBs, channel#) and device position that correspond to the highest measured 1gSAR at  $P_{limit}$  shown in Part 1 report is selected.

\*\* In case of multiple bands having the same least  $P_{limit}$  within the technology, then select the band having the highest measured 1gSAR at  $P_{limit}$ .

\*\*\* The band having a higher  $P_{limit}$  needs to be properly selected so that the power limiting enforced by Smart Transmit can be validated using the pre-defined test sequences. If the highest  $P_{limit}$  in a technology is too high where the power limiting enforcement is not needed when testing with the pre-defined test sequences, then the

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 10 of 101

next highest level is checked. This process is continued within the technology until the second band for validation testing is determined.

#### 4.2.2 Test configuration selection for change in call

The criteria to select a test configuration for call-drop measurement is:

- Select technology/band with least  $P_{limit}$  among all supported technologies/bands, and select the radio configuration (e.g., # of RBs, channel#) in this technology/band that corresponds to the highest measured 1gSAR at  $P_{limit}$  listed in Part 1 report.
- In case of multiple bands having same least  $P_{limit}$ , then select the band having the highest measured 1gSAR at  $P_{limit}$  in Part 1 report.

This test is performed with the DUT's Tx power requested to be at maximum power, the above band selection will result in Tx power enforcement (i.e., DUT forced to have Tx power at  $P_{reserve}$ ) for longest duration in one FCC defined time window. The call change (call drop/reestablish) is performed during the Tx power enforcement duration (i.e., during the time when DUT is forced to have Tx power at  $P_{reserve}$ ). One test is sufficient as the feature operation is independent of technology and band.

#### 4.2.3 Test configuration selection for change in technology/band

The selection criteria for this measurement is, for a given antenna, to have DUT switch from a technology/band with lowest  $P_{limit}$  within the technology group (in case of multiple bands having the same  $P_{limit}$ , then select the band with highest measured 1gSAR at  $P_{limit}$ ) to a technology/band with highest  $P_{limit}$  within the technology group, in case of multiple bands having the same  $P_{limit}$ , then select the band with lowest measured 1gSAR at  $P_{limit}$  in Part 1 report, or vice versa.

This test is performed with the DUT's Tx power requested to be at maximum power, the technology/band switch is performed during Tx power enforcement duration (i.e., during the time when DUT is forced to have Tx power at  $P_{reserve}$ ).

#### 4.2.4 Test configuration selection for change in antenna

The criteria to select a test configuration for antenna switch measurement is:

- Whenever possible and supported by the DUT, first select antenna switch configuration within the same technology/band (i.e., same technology and band combination).
- Then, select any technology/band that supports multiple Tx antennas, and has the highest difference in  $P_{limit}$  among all supported antennas.
- In case of multiple bands having same difference in  $P_{limit}$  among supported antennas, then select the band having the highest measured 1gSAR at  $P_{limit}$  in Part 1 report.

This test is performed with the DUT's Tx power requested to be at maximum power in selected technology/band, and antenna change is conducted during Tx power enforcement duration (i.e., during the time when DUT is forced to have Tx power at  $P_{reserve}$ ).

#### 4.2.5 Test configuration selection for change in time window

FCC specifies different time window for time averaging based on operation frequency. The criteria to select a test configuration for validating Smart Transmit feature and demonstrating the compliance during the change in time window is

- Select any technology/band that has operation frequency classified in one time window defined by FCC (such as 100-seconds time window), and its corresponding  $P_{limit}$  is less than  $P_{max}$  if possible.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 11 of 101

- Select the 2<sup>nd</sup> technology/band that has operation frequency classified in a different time window defined by FCC (such as 60-seconds time window), and its corresponding  $P_{limit}$  is less than  $P_{max}$  if possible.
- Note it is preferred both  $P_{limit}$  values of two selected technology/band less than corresponding  $P_{max}$ , but if not possible, at least one of technologies/bands has its  $P_{limit}$  less than  $P_{max}$ .

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band. Test for one pair of time windows selected is sufficient as the feature operation is the same.

#### 4.2.6 Test configuration selection for SAR exposure switching

If supported, the test configuration for SAR exposure switching should cover

1. SAR exposure switch when two active radios are in the same time window
2. SAR exposure switch when two active radios are in different time windows. One test with two active radios in any two different time windows is sufficient as Smart Transmit operation is the same for RF exposure switch in any combination of two different time windows. For device supporting LTE + mmW NR, this test is covered in SAR vs PD exposure switch validation.

The Smart Transmit time averaging operation is independent of the source of SAR exposure (for example, LTE vs. Sub6 NR) and ensures total time-averaged RF exposure compliance. Hence, validation of Smart Transmit in any one simultaneous SAR transmission scenario (i.e., one combination for LTE + Sub6 NR transmission) is sufficient, where the SAR exposure varies among  $SAR_{radio1}$  only,  $SAR_{radio1} + SAR_{radio2}$ , and  $SAR_{radio2}$  only scenarios.

The criteria to select a test configuration for validating Smart Transmit feature during SAR exposure switching scenarios is

- Select any two < 6GHz technologies/bands that the EUT supports simultaneous transmission (for example, LTE+Sub6 NR).
- Among all supported simultaneous transmission configurations, the selection order is
  1. select one configuration where both  $P_{limit}$  of radio1 and radio2 is less than their corresponding  $P_{max}$ , preferably, with different  $P_{limits}$ . If this configuration is not available, then,
  2. select one configuration that has  $P_{limit}$  less than its  $P_{max}$  for at least one radio. If this can not be found, then,
  3. select one configuration that has  $P_{limit}$  of radio1 and radio2 greater than  $P_{max}$  but with least  $(P_{limit} - P_{max})$  delta.

Test for one simultaneous transmission scenario is sufficient as the feature operation is the same.

### 4.3 Test procedures for conducted power measurements

This section provides general conducted power measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 3. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

#### 4.3.1 Time-varying Tx power transmission scenario

This test is performed with the two pre-defined test sequences described in Section 4.1 for all the technologies and bands selected in Section 4.2.1. The purpose of the test is to demonstrate the effectiveness of power limiting

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 12 of 101

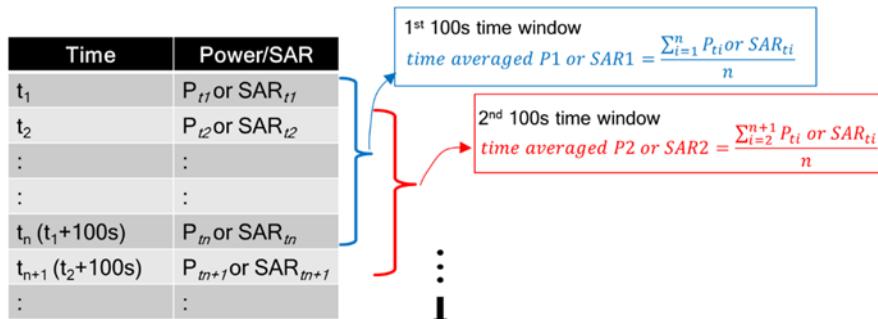
enforcement and that the time-averaged SAR (corresponding time-averaged Tx power) does not exceed the FCC limit at all times (see Eq. (1a) and (1b)).

## Test procedure

1. Measure  $P_{max}$ , measure  $P_{limit}$  and calculate  $P_{reserve}$  (= measured  $P_{limit}$  in dBm – *Reserve\_power\_margin* in dB) and follow Section 4.1 to generate the test sequences for all the technologies and bands selected in Section 4.2.1. Both test sequence 1 and test sequence 2 are created based on measured  $P_{max}$  and measured  $P_{limit}$  of the DUT. Test condition to measure  $P_{max}$  and  $P_{limit}$  is:
  - a. Measure  $P_{max}$  with Smart Transmit disabled and callbox set to request maximum power.
  - b. Measure  $P_{limit}$  with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve\_power\_margin* to actual (intended) value (3dB for this DUT based on Part 1 report) and reset power on DUT to enable Smart Transmit, establish radio link in desired radio configuration, with callbox requesting the DUT's Tx power to be at pre-defined test sequence 1, measure and record Tx power versus time, and then convert the conducted Tx power into 1gSAR or 10gSAR value (see Eq. (1a)) using measured  $P_{limit}$  from above Step 1. Perform running time average to determine time-averaged power and 1gSAR or 10gSAR versus time as illustrated in Figure 4-1 where using 100-seconds time window as an example.

Note: In Eq.(1a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at  $P_{limit}$  for the corresponding technology/band/antenna/DSI reported in Part 1 report.

Note: For an easier computation of the running time average, 0 dBm can be added at the beginning of the test sequences the length of the responding time window, for example, add 0dBm for 100-seconds so the running time average can be directly performed starting with the first 100-seconds data using excel spreadsheet. This technique applies to all tests performed in this Part 2 report for easier time-averaged computation using excel spreadsheet.



**Figure 4-1**  
**Running Average Illustration**

3. Make one plot containing:
  - a. Instantaneous Tx power versus time measured in Step 2,
  - b. Requested Tx power used in Step 2 (test sequence 1),
  - c. Computed time-averaged power versus time determined in Step 2,

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 13 of 101

d. Time-averaged power limit (corresponding to FCC SAR limit of 1.6 W/kg for 1gSAR or 4.0W/kg for 10gSAR) given by

$$\text{Time averaged power limit} = \text{meas. } P_{\text{limit}} + 10 \times \log\left(\frac{\text{FCC SAR limit}}{\text{meas. SAR\_Plimit}}\right) \quad (5a)$$

where *meas. P<sub>limit</sub>* and *meas. SAR\_Plimate* correspond to measured power at *P<sub>limit</sub>* and measured SAR at *P<sub>limit</sub>*.

4. Make another plot containing:
  - a. Computed time-averaged 1gSAR or 10gSAR versus time determined in Step 2
  - b. FCC 1gSAR<sub>limit</sub> of 1.6W/kg or FCC 10gSAR<sub>limit</sub> of 4.0W/kg.
5. Repeat Steps 2 ~ 4 for pre-defined test sequence 2 and replace the requested Tx power (test sequence 1) in Step 2 with test sequence 2.
6. Repeat Steps 2 ~ 5 for all the selected technologies and bands.
7. The validation criteria are, at all times, the time-averaged power versus time shown in Step 3 plot shall not exceed the time-averaged power limit (defined in Eq. (5a)), in turn, the time-averaged 1gSAR or 10gSAR versus time shown in Step 4 plot shall not exceed the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (1b)).

#### 4.3.2 Change in call scenario

This test is to demonstrate that Smart Transmit feature accurately accounts for the past Tx powers during time-averaging when a new call is established.

The call disconnect and re-establishment needs to be performed during power limit enforcement, i.e., when the DUT's Tx power is at *P<sub>reserve</sub>* level, to demonstrate the continuity of RF exposure management and limiting in call change scenario. In other words, the RF exposure averaged over any FCC defined time window (including the time windows containing the call change) doesn't exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

#### Test procedure

1. Measure *P<sub>limit</sub>* for the technology/band selected in Section 4.2.2. Measure *P<sub>limit</sub>* with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve\_power\_margin* to actual (intended) value and reset power on DUT to enable Smart Transmit.
3. Establish radio link with callbox in the selected technology/band.
4. Request DUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting DUT's Tx power to be at maximum power for about ~60 seconds, and then drop the call for ~10 seconds. Afterwards, re-establish another call in the same radio configuration (i.e., same technology/band/channel) and continue callbox requesting DUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time. Once the measurement is done, extract instantaneous Tx power versus time, convert the measured conducted Tx power into 1gSAR or 10gSAR value using Eq. (1a), and then perform the running time average to determine time-averaged power and 1gSAR or 10gSAR versus time.

**NOTE:** In Eq.(1a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at *P<sub>limit</sub>* for the corresponding technology/band/antenna/DSI reported in Part 1 report.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 14 of 101

5. Make one plot containing: (a) instantaneous Tx power versus time, (b) requested power, (c) computed time-averaged power, (d) time-averaged power limit calculated using Eq.(5a).
6. Make another plot containing: (a) computed time-averaged 1gSAR or 10gSAR versus time, and (b) FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

The validation criteria are, at all times, the time-averaged power versus time shall not exceed the time-averaged power limit (defined in Eq.(5a)), in turn, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (1b)).

#### 4.3.3 Change in technology and band

This test is to demonstrate the correct power control by Smart Transmit during technology switches and/or band handovers.

Similar to the change in call test in Section 4.3.2, to validate the continuity of RF exposure limiting during the transition, the technology and band handover needs to be performed when DUT's Tx power is at  $P_{\text{preserve}}$  level (i.e., during Tx power enforcement) to make sure that the DUT's Tx power from previous  $P_{\text{preserve}}$  level to the new  $P_{\text{preserve}}$  level (corresponding to new technology/band). Since the  $P_{\text{limit}}$  could vary with technology and band, Eq. (1a) can be written as follows to convert the instantaneous Tx power in 1gSAR or 10gSAR exposure for the two given radios, respectively:

$$1g\_or\_10gSAR_1(t) = \frac{\text{conducted\_Tx\_power\_1}(t)}{\text{conducted\_Tx\_power\_P}_{\text{limit}}_1} * 1g\_or\_10gSAR\_P_{\text{limit}}_1 \quad (6a)$$

$$1g\_or\_10gSAR_2(t) = \frac{\text{conducted\_Tx\_power\_2}(t)}{\text{conducted\_Tx\_power\_P}_{\text{limit}}_2} * 1g\_or\_10gSAR\_P_{\text{limit}}_2 \quad (6b)$$

$$\frac{1}{T_{\text{SAR}}} \left[ \int_{t-T_{\text{SAR}}}^{t_1} \frac{1g\_or\_10gSAR_1(t)}{\text{FCC SAR limit}} dt + \int_{t-T_{\text{SAR}}}^t \frac{1g\_or\_10gSAR_2(t)}{\text{FCC SAR limit}} dt \right] \leq 1 \quad (6c)$$

where,  $\text{conducted\_Tx\_power\_1}(t)$ ,  $\text{conducted\_Tx\_power\_P}_{\text{limit}}_1$ , and  $1g\_or\_10gSAR\_P_{\text{limit}}_1$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{\text{limit}}$ , and measured 1gSAR or 10gSAR value at  $P_{\text{limit}}$  of technology1/band1;  $\text{conducted\_Tx\_power\_2}(t)$ ,  $\text{conducted\_Tx\_power\_P}_{\text{limit}}_2$ , and  $1g\_or\_10gSAR\_P_{\text{limit}}_2$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{\text{limit}}$ , and measured 1gSAR or 10gSAR value at  $P_{\text{limit}}$  of technology2/band2. Transition from technology1/band1 to the technology2/band2 happens at time-instant ' $t_1$ '.

#### Test procedure

1. Measure  $P_{\text{limit}}$  for both the technologies and bands selected in Section 4.2.3. Measure  $P_{\text{limit}}$  with Smart Transmit enabled and Reserve\_power\_margin set to 0 dB, callbox set to request maximum power.
2. Set Reserve\_power\_margin to actual (intended) value and reset power on DUT to enable Smart Transmit
3. Establish radio link with callbox in first technology/band selected.
4. Request DUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting DUT's Tx power to be at maximum power for about ~60 seconds, and then switch to second technology/band selected. Continue with callbox requesting DUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time for the full duration of the test.
5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 10gSAR value using Eq. (6a) and (6b) and corresponding measured  $P_{\text{limit}}$  values from Step 1 of this section. Perform the running time average to determine time-averaged power and 1gSAR or 10gSAR versus time.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device		Page 15 of 101

**NOTE:** In Eq.(6a) & (6b), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at  $P_{limit}$  for the corresponding technology/band/antenna/DSI reported in Part 1 report.

6. Make one plot containing: (a) instantaneous Tx power versus time, (b) requested power, (c) computed time-averaged power, (d) time-averaged power limit calculated using Eq.(5a).
7. Make another plot containing: (a) computed time-averaged 1gSAR or 10gSAR versus time, and (b) FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

The validation criteria are, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (6c)).

#### 4.3.4 Change in antenna

This test is to demonstrate the correct power control by Smart Transmit during antenna switches from one antenna to another. The test procedure is identical to Section 4.3.3, by replacing technology/band switch operation with antenna switch. The validation criteria are, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

**NOTE:** If the DUT does not support antenna switch within the same technology/band, but has multiple antennas to support different frequency bands, then the antenna switch test is included as part of change in technology and band (Section 4.3.3) test.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device		Page 16 of 101

### 4.3.5 Change in time window

This test is to demonstrate the correct power control by Smart Transmit during the change in averaging time window when a specific band handover occurs. FCC specifies time-averaging windows of 100s for Tx frequency < 3GHz, and 60s for Tx frequency between 3GHz and 6GHz.

To validate the continuity of RF exposure limiting during the transition, the band handover test needs to be performed when EUT handovers from operation band less than 3GHz to greater than 3GHz and vice versa. The equations (3a) and (3b) in Section 2 can be written as follows for transmission scenario having change in time window,

$$1gSAR_1(t) = \frac{\text{conducted\_Tx\_power\_1}(t)}{\text{conducted\_Tx\_power\_P}_{\text{limit\_1}}} * 1g\_or\ 10g\_SAR\_P_{\text{limit\_1}} \quad (7a)$$

$$1gSAR_2(t) = \frac{\text{conducted\_Tx\_power\_2}(t)}{\text{conducted\_Tx\_power\_P}_{\text{limit\_2}}} * 1g\_or\ 10g\_SAR\_P_{\text{limit\_2}} \quad (7b)$$

$$\frac{1}{T_{1SAR}} \left[ \int_{t-T_{1SAR}}^{t_1} \frac{1g\_or\ 10g\_SAR_1(t)}{\text{FCC SAR limit}} dt \right] + \frac{1}{T_{2SAR}} \left[ \int_{t-T_{2SAR}}^t \frac{1g\_or\ 10g\_SAR_2(t)}{\text{FCC SAR limit}} dt \right] \leq 1 \quad (7c)$$

where, *conducted\_Tx\_power\_1(t)*, *conducted\_Tx\_power\_Plimit\_1(t)*, and *1g\_ or 10g\_SAR\_Plimit\_1* correspond to the instantaneous Tx power, conducted Tx power at  $P_{\text{limit}}$ , and compliance *1g\_ or 10g\_SAR* values at  $P_{\text{limit\_1}}$  of band1 with time-averaging window ' $T_{1SAR}$ '; *conducted\_Tx\_power\_2(t)*, *conducted\_Tx\_power\_Plimit\_2(t)*, and *1g\_ or 10g\_SAR\_Plimit\_2* correspond to the instantaneous Tx power, conducted Tx power at  $P_{\text{limit}}$ , and compliance *1g\_ or 10g\_SAR* values at  $P_{\text{limit\_2}}$  of band2 with time-averaging window ' $T_{2SAR}$ '. One of the two bands is less than 3GHz, another is greater than 3GHz. Transition from first band with time-averaging window ' $T_{1SAR}$ ' to the second band with time-averaging window ' $T_{2SAR}$ ' happens at time-instant ' $t_1$ '.

### Test procedure

1. Measure  $P_{\text{limit}}$  for both the technologies and bands selected in Section 4.2.6. Measure  $P_{\text{limit}}$  with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve\_power\_margin* to actual (intended) value and enable Smart Transmit

### Transition from 100s time window to 60s time window, and vice versa

3. Establish radio link with callbox in the technology/band having 100s time window selected in Section 4.2.6.
4. Request EUT's Tx power to be at 0 dBm for at least 100 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~140 seconds, and then switch to second technology/band (having 60s time window) selected in Section 4.2.6. Continue with callbox requesting EUT's Tx power to be at maximum power for about ~60s in this second technology/band, and then switch back to the first technology/band. Continue with callbox requesting EUT's Tx power to be at maximum power for at least another 100s. Measure and record Tx power versus time for the entire duration of the test.
5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 10gSAR value (see Eq. (7a) and (7b)) using corresponding technology/band Step 1 result, and then perform 100s running average to determine time-averaged 1gSAR or 10gSAR versus time. Note that in Eq.(7a) & (7b), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the worst-case 1gSAR or 10gSAR value tested in Part 1 for the selected technologies/bands at  $P_{\text{limit}}$ .
6. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 4.
7. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 5, (b) computed time-averaged 1gSAR versus time determined in Step 5, and (c) corresponding regulatory  $1gSAR_{\text{limit}}$  of 1.6W/kg or  $10gSAR_{\text{limit}}$  of 4.0W/kg.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 17 of 101

## Transition from 60s time window to 100s time window, and vice versa

8. Establish radio link with callbox in the technology/band having 60s time window selected in Section 4.2.6.
9. Request EUT's Tx power to be at 0 dBm for at least 60 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~80 seconds, and then switch to second technology/band (having 100s time window) selected in Section 4.2.6. Continue with callbox requesting EUT's Tx power to be at maximum power for about ~100s in this second technology/band, and then switch back to the first technology/band. Continue with callbox requesting EUT's Tx power to be at maximum power for the remaining time for a total test time of 500 seconds. Measure and record Tx power versus time for the entire duration of the test.
10. Repeat above Step 5~7 to generate the plots

The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory  $1gSAR_{limit}$  of 1.6W/kg or  $10gSAR_{limit}$  of 4.0W/kg.

### 4.3.6 SAR exposure switching

This test is to demonstrate that Smart Transmit feature is accurately accounts for switching in exposures among SAR from radio1 only, SAR from both radio1 and radio2, and SAR from radio2 only scenarios, and ensures total time-averaged RF exposure complies with the FCC limit. Here, radio1 represents primary radio (for example, LTE anchor in a NR non-standalone mode call) and radio2 represents secondary radio (for example, sub6 NR or mmW NR). The detailed test procedure for SAR exposure switching in the case of LTE+Sub6 NR non-standalone mode transmission scenario is provided in APPENDIX F:.

#### Test procedure:

1. Measure conducted Tx power corresponding to  $P_{limit}$  for radio1 and radio2 in selected band. Test condition to measure conducted  $P_{limit}$  is:
  - Establish device in call with the callbox for radio1 technology/band. Measure conducted Tx power corresponding to radio1  $P_{limit}$  with Smart Transmit enabled and Reserve\_power\_margin set to 0 dB, callbox set to request maximum power.
  - Repeat above step to measure conducted Tx power corresponding to radio2  $P_{limit}$ . If radio2 is dependent on radio1 (for example, non-standalone mode of Sub6 NR requiring radio1 LTE as anchor), then establish radio1 + radio2 call with callbox, and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from radio2 Sub6 NR, measured conducted Tx power corresponds to radio2  $P_{limit}$  (as radio1 LTE is at all-down bits)
2. Set Reserve\_power\_margin to actual (intended) value, with EUT setup for radio1 + radio2 call. In this description, it is assumed that radio2 has lower priority than radio1. Establish device in radio1+radio2 call, and request all-down bits or low power on radio1, with callbox requesting EUT's Tx power to be at maximum power in radio2 for at least one time window. After one time window, set callbox to request EUT's Tx power to be at maximum power on radio1, i.e., all-up bits. Continue radio1+radio2 call with both radios at maximum power for at least one time window, and drop (or request all-down bits on) radio2. Continue radio1 at maximum power for at least one time window. Record the conducted Tx power for both radio1 and radio2 for the entire duration of this test.
3. Once the measurement is done, extract instantaneous Tx power versus time for both radio1 and radio2 links. Convert the conducted Tx power for both these radios into 1gSAR or 10gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band  $P_{limit}$  measured in Step 1, and then perform the running time average to determine time-averaged 1gSAR or 10gSAR versus time.
4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 18 of 101

5. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 3, (b) computed time-averaged 1gSAR versus time determined in Step 3, and (c) corresponding regulatory  $1gSAR_{limit}$  of 1.6W/kg or  $10gSAR_{limit}$  of 4.0W/kg.

The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory  $1gSAR_{limit}$  of 1.6W/kg or  $10gSAR_{limit}$  of 4.0W/kg.

#### 4.4 Test procedure for time-varying SAR measurements

This section provides general time-varying SAR measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 3. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

To perform the validation through SAR measurement for transmission scenario 1 described in Section 3, the “path loss” between callbox antenna and DUT needs to be calibrated to ensure that the DUT Tx power reacts to the requested power from callbox in a radiated call. It should be noted that when signaling in closed loop mode, protocol-level power control is in play, resulting in DUT not solely following callbox TPC (Tx power control) commands. In other words, DUT response has many dependencies (RSSI, quality of signal, path loss variation, fading, etc.) other than just TPC commands. These dependencies have less impact in conducted setup (as it is a controlled environment and the path loss can be very well calibrated) but have significant impact on radiated testing in an uncontrolled environment, such as SAR test setup. Therefore, the deviation in DUT Tx power from callbox requested power is expected, however the time-averaged SAR should not exceed FCC SAR requirement at all times as Smart Transmit controls Tx power at DUT.

The following steps are for time averaging feature validation through SAR measurement:

1. “Path Loss” calibration: Place the DUT against the phantom in the worst-case position determined based on Section 4.2.1. For each band selected, prior to SAR measurement, perform “path loss” calibration between callbox antenna and DUT. Since the SAR test environment is not controlled and well calibrated for OTA (Over the Air) test, extreme care needs to be taken to avoid the influence from reflections. The test setup is described in Section 6.2.
2. Time averaging feature validation:
  - i For a given radio configuration (technology/band) selected in Section 4.2.1, enable Smart Transmit and set *Reserve\_power\_margin* to 0 dB, with callbox to request maximum power, perform area scan, conduct pointSAR measurement at peak location of the area scan. This point SAR value,  $pointSAR_{P_{limit}}$ , corresponds to point SAR at the measured  $P_{limit}$  (i.e., measured  $P_{limit}$  from the DUT in Step 1 of Section 4.3.1).
  - ii Set *Reserve\_power\_margin* to actual (intended) value and reset power on DUT to enable Smart Transmit. Note, if *Reserve\_power\_margin* cannot be set wirelessly, care must be taken to re-position the DUT in the exact same position relative to the SAM phantom as in above Step 2.i. Establish radio link in desired radio configuration, with callbox requesting the DUT’s Tx power at power levels described by test sequence 1 generated in Step 1 of Section 4.3.1, conduct point SAR measurement versus time at peak location of the area scan determined in Step 2.i of this section. Once the measurement is done, extract instantaneous point SAR vs time data,  $pointSAR(t)$ , and convert it into instantaneous 1gSAR or 10gSAR vs. time using Eq. (3a), re-written below:

$$1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR_{P_{limit}}} * 1g\_or\_10gSAR_{P_{limit}}$$

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of  Vilicom	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 19 of 101

where,  $pointSAR_{P_{limit}}$  is the value determined in Step 2.i, and  $pointSAR(t)$  is the instantaneous point SAR measured in Step 2.ii,  $1g\_or\_10gSAR_{P_{limit}}$  is the measured 1gSAR or 10gSAR value listed in Part 1 report.

- iii Perform 100s running average to determine time-averaged 1gSAR or 10gSAR versus time.
- iv Make one plot containing: (a) time-averaged 1gSAR or 10gSAR versus time determined in Step 2.iii of this section, (b) FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.
- v Repeat 2.ii ~ 2.iv for test sequence 2 generated in Step 1 of Section 4.3.1.
- vi Repeat 2.i ~ 2.v for all the technologies and bands selected in Section 4.2.1.

The time-averaging validation criteria for SAR measurement is that, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (3b)).

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 20 of 101

This section provides the test plan and test procedures for validating Qualcomm Smart Transmit feature for mmW transmission. For this EUT, millimeter wave (mmW) transmission is only in non-standalone mode, i.e., it requires an LTE link as anchor.

## 5.1 Test sequence for validation in mmW NR transmission

In 5G mmW NR transmission, the test sequence for validation is with the callbox requesting EUT's Tx power in 5G mmW NR at maximum power all the time.

## 5.2 Test configuration selection criteria for validating Smart Transmit feature

### 5.2.1 Test configuration selection for time-varying Tx power transmission

The Smart Transmit time averaging feature operation is independent of bands, modes, channels, and antenna configurations (beams) for a given technology. Hence, validation of Smart Transmit in any one band/mode/channel per technology is sufficient.

### 5.2.2 Test configuration selection for change in antenna configuration (beam)

The Smart Transmit time averaging feature operation is independent of bands, modes, channels, and antenna configurations (beams) for a given technology. Hence, validation of Smart Transmit with beam switch between any two beams is sufficient.

### 5.2.3 Test configuration selection for SAR vs. PD exposure switch during transmission

The Smart Transmit time averaging feature operation is independent of the nature of exposure (SAR vs. PD) and ensures total time-averaged RF exposure compliance. Hence, validation of Smart Transmit in any one band/mode/channel/beam for mmW + sub-6 (LTE) transmission is sufficient, where the exposure varies among SAR dominant scenario, SAR+PD scenario, and PD dominant scenario.

## 5.3 Test procedures for mmW radiated power measurements

Perform conducted power measurement (for  $f < 6\text{GHz}$ ) and radiated power measurement (for  $f > 6\text{GHz}$ ) for LTE + mmW transmission to validate Smart Transmit time averaging feature in the various transmission scenarios described in Section 3.

This section provides general conducted power measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 3. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

### 5.3.1 Time-varying Tx power scenario

The purpose of the test is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged Tx power when converted into RF exposure values does not exceed the FCC limit at all times (see Eq. (2a), (2b) & (2c) in Section 3).

#### Test procedure:

1. Measure conducted Tx power corresponding to  $P_{limit}$  for LTE in selected band, and measure radiated Tx power corresponding to *input.power.limit* in desired mmW band/channel/beam by following below steps:

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 21 of 101

- a. Measure radiated power corresponding to mmW *input.power.limit* by setting up the EUT's Tx power in desired band/channel/beam at *input.power.limit* in Factory Test Mode (FTM). This test is performed in a calibrated anechoic chamber. Rotate the EUT to obtain maximum radiated Tx power, keep the EUT in this position and do not disturb the position of the EUT inside the anechoic chamber for the rest of this test.
  - b. Reset EUT to place in online mode and establish radio link in LTE, measure conducted Tx power corresponding to LTE  $P_{limit}$  with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve\_power\_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit. With EUT setup for a mmW NR call in the desired/selected LTE band and mmW NR band, perform the following steps:
  - a. Establish LTE and mmW NR connection in desired band/channel/beam used in Step 1. As soon as the mmW connection is established, immediately request all-down bits on LTE link. With callbox requesting EUT's Tx power to be at maximum mmW power to test predominantly PD exposure scenario (as SAR exposure is less when LTE's Tx power is at low power).
  - b. After 120s, request LTE to go all-up bits for at least 100s. SAR exposure is dominant. There are two scenarios:
    - i. If  $P_{limit} < P_{max}$  for LTE, then the RF exposure margin (provided to mmW NR) gradually runs out (due to high SAR exposure). This results in gradual reduction in the 5G mmW NR transmission power and eventually seized 5G mmW NR transmission when LTE goes to  $P_{reserve}$  level.
    - ii. If  $P_{limit} \geq P_{max}$  for LTE, then the 5G mmW NR transmission's averaged power should gradually reduce but the mmW NR connection can sustain all the time (assuming TxAGC uncertainty = 0dB).
  - c. Record the conducted Tx power of LTE and radiated Tx power of mmW for the full duration of this test of at least 300s.
3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and mmW links. Convert the conducted Tx power for LTE into 1gSAR or 10gSAR value using Eq. (2a) and  $P_{limit}$  measured in Step 1.b, and then divide by FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR to obtain instantaneous normalized 1gSAR or 10gSAR versus time. Perform 100s running average to determine normalized 100s-averaged 1gSAR or 10gSAR versus time.

NOTE: In Eq.(2a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at  $P_{limit}$  for the corresponding technology-band/antenna/DSI reported in Part 1 report.

4. Similarly, convert the radiated Tx power for mmW into 4cm<sup>2</sup>PD value using Eq. (2b) and the radiated Tx power limit (i.e., radiated Tx power at *input.power.limit*) measured in Step 1.a, then divide by FCC 4cm<sup>2</sup>PD limit of 10W/m<sup>2</sup> to obtain instantaneous normalized 4cm<sup>2</sup>PD versus time. Perform 4s running average to determine normalized 4s-averaged 4cm<sup>2</sup>PD versus time.

NOTE: In Eq.(2b), instantaneous radiated Tx power is converted into instantaneous 4cm<sup>2</sup>PD by applying the worst-case 4cm<sup>2</sup>PD value measured at *input.power.limit* for the selected band/beam in Part 1 report.

5. Make one plot containing: (a) instantaneous conducted Tx power for LTE versus time, (b) computed 100s-averaged conducted Tx power for LTE versus time, (c) instantaneous radiated Tx power for

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 22 of 101

mmW versus time, as measured in Step 2, (d) computed 4s-averaged radiated Tx power for mmW versus time, and (e) time-averaged conducted and radiated power limits for LTE and mmW radio using Eq. (5a) & (5b), respectively:

$$\text{Time averaged LTE power limit} = \text{meas.} P_{\text{limit}} + 10 \times \log\left(\frac{\text{FCC SAR limit}}{\text{meas.} \text{SAR\_} P_{\text{limit}}}\right) \quad (5a)$$

$$\text{Time averaged mmW NR power limit} = \text{meas.} EIRP_{\text{input.power.limit}} + 10 \times \log\left(\frac{\text{FCC PD limit}}{\text{meas.} \text{PD\_} P_{\text{input.power.limit}}}\right) \quad (5b)$$

where *meas. EIRP<sub>input.power.limit</sub>* and *meas. PD\_input.power.limit* correspond to measured EIRP at *input.power.limit* and measured power density at *input.power.limit*.

6. Make another plot containing: (a) computed normalized 100s-averaged 1gSAR or 10gSAR versus time determined in Step 3, (b) computed normalized 4s-averaged 4cm<sup>2</sup>PD versus time determined in Step 4, and (c) corresponding total normalized time-averaged RF exposure (sum of steps (6.a) and (6.b)) versus time.

The validation criteria are, at all times, the total normalized time-averaged RF exposure versus time determined in Step 6.c shall not exceed the normalized limit of 1.0 of FCC requirement (i.e., Eq. (2c)).

### 5.3.2 Switch in SAR vs. PD exposure during transmission

This test is to demonstrate that Smart Transmit feature is independent of the nature of exposure (SAR vs. PD), accurately accounts for switching in exposures among SAR dominant, SAR+PD, and PD dominant scenarios, and ensures total time-averaged RF exposure compliance.

#### Test procedure:

1. Measure conducted Tx power corresponding to  $P_{\text{limit}}$  for LTE in selected band, and measure radiated Tx power corresponding to *input.power.limit* in desired mmW band/channel/beam by following below steps:
  - a. Measure radiated power corresponding to *input.power.limit* by setting up the EUT's Tx power in desired band/channel/beam at *input.power.limit* in FTM. This test is performed in a calibrated anechoic chamber. Rotate the EUT to obtain maximum radiated Tx power, keep the EUT in this position and do not disturb the position of the EUT inside the anechoic chamber for the rest of this test.
  - b. Reset EUT to place in online mode and establish radio link in LTE, measure conducted Tx power corresponding to LTE  $P_{\text{limit}}$  with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve\_power\_margin* to actual (intended) value and reset power in EUT, with EUT setup for LTE + mmW call, perform the following steps:
  - a. Establish LTE (sub-6) and mmW NR connection with callbox.
  - b. As soon as the mmW connection is established, immediately request all-down bits on LTE link. Continue LTE (all-down bits) + mmW transmission for more than 100s duration to test predominantly PD exposure scenario (as SAR exposure is negligible from all-down bits in LTE).
  - c. After 120s, request LTE to go all-up bits, mmW transmission should gradually run out of RF exposure margin if LTE's  $P_{\text{limit}} < P_{\text{max}}$  and seize mmW transmission (SAR only scenario); or mmW transmission should gradually reduce in Tx power and will sustain the connection if LTE's  $P_{\text{limit}} > P_{\text{max}}$ .
  - d. After 120s, request LTE to go all-down bits, mmW transmission should start getting back RF exposure margin and resume transmission again.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 23 of 101	

- e. Record the conducted Tx power of LTE and radiated Tx power of mmW for the entire duration of this test of at least 300s.
- 3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and mmW links. Convert the conducted Tx power for LTE into 1gSAR or 10gSAR value using Eq. (2a) and  $P_{limit}$  measured in Step 1.b, and then divide by FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR to obtain instantaneous normalized 1gSAR or 10gSAR versus time. Perform 100s running average to determine normalized 100s-averaged 1gSAR or 10gSAR versus time.

**NOTE:** In Eq.(2a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at  $P_{limit}$  for the corresponding technology-band/antenna/DSI reported in Part 1 report.

- 4. Similarly, convert the radiated Tx power for mmW into 4cm<sup>2</sup>PD value using Eq. (2b) and the radiated Tx power limit (i.e., radiated Tx power at *input.power.limit*) measured in Step 1.a, then divide this by FCC 4cm<sup>2</sup>PD limit of 10W/m<sup>2</sup> to obtain instantaneous normalized 4cm<sup>2</sup>PD versus time. Perform 4s running average to determine normalized 4s-averaged 4cm<sup>2</sup>PD versus time.

**NOTE:** In Eq.(2b), instantaneous radiated Tx power is converted into instantaneous 4cm<sup>2</sup>PD by applying the worst-case 4cm<sup>2</sup>PD value measured at *input.power.limit* for the selected band/beam in Part 1 report.

- 5. Make one plot containing: (a) instantaneous conducted Tx power for LTE versus time, (b) computed 100s-averaged conducted Tx power for LTE versus time, (c) instantaneous radiated Tx power for mmW versus time, as measured in Step 2, (d) computed 4s-averaged radiated Tx power for mmW versus time, and (e) time-averaged conducted and radiated power limits for LTE and mmW radio using Eq. (5a) & (5b), respectively.
- 6. Make another plot containing: (a) computed normalized 100s-averaged 1gSAR or 10gSAR versus time determined in Step 3, (b) computed normalized 4s-averaged 4cm<sup>2</sup>PD versus time determined in Step 4, and (c) corresponding total normalized time-averaged RF exposure (sum of steps (6.a) and (6.b)) versus time.

The validation criteria are, at all times, the total normalized time-averaged RF exposure versus time determined in Step 6.c shall not exceed the normalized limit of 1.0 of FCC requirement (i.e., Eq. (2c)).

### 5.3.3 Change in antenna configuration (beam)

This test is to demonstrate the correct power control by Smart Transmit during changes in antenna configuration (beam). Since the *input.power.limit* varies with beam, the Eq. (2a), (2b) and (2c) in Section 3 are written as below for transmission scenario having change in beam,

$$1g\_or\_10gSAR(t) = \frac{\text{conducted\_Tx\_power}(t)}{\text{conducted\_Tx\_power\_P}_{limit}} * 1g\_or\_10gSAR\_P_{limit} \quad (8a)$$

$$4cm^2PD_1(t) = \frac{\text{radiated\_Tx\_power\_1}(t)}{\text{radiated\_Tx\_power\_input.power.limit\_1}} * 4cm^2PD\_input.power.limit\_1 \quad (8b)$$

$$4cm^2PD_2(t) = \frac{\text{radiated\_Tx\_power\_2}(t)}{\text{radiated\_Tx\_power\_input.power.limit\_2}} * 4cm^2PD\_input.power.limit\_2 \quad (8c)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t)dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}} \left[ \int_{t-T_{PD}}^{t_1} 4cm^2PD_1(t)dt + \int_{t_1}^t 4cm^2PD_2(t)dt \right]}{FCC\ 4cm^2\ PD\ limit} \leq 1 \quad (8d)$$

FCC ID: BCGA2379	PCTEST® Proud to be part of Element		PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 24 of 101	
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where,  $conducted\_Tx\_power(t)$ ,  $conducted\_Tx\_power\_P_{limit}$ , and  $1g\_or\_10gSAR\_P_{limit}$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured 1gSAR or 10gSAR values at  $P_{limit}$  corresponding to LTE transmission. Similarly,  $radiated\_Tx\_power\_1(t)$ ,  $radiated\_Tx\_power\_input.power.limit\_1$ , and  $4cm^2PD\_input.power.limit\_1$  correspond to the measured instantaneous radiated Tx power, radiated Tx power at  $input.power.limit$ , and  $4cm^2PD$  value at  $input.power.limit$  of beam 1;  $radiated\_Tx\_power\_2(t)$ ,  $radiated\_Tx\_power\_input.power.limit\_2$ , and  $4cm^2PD\_input.power.limit\_2$  correspond to the measured instantaneous radiated Tx power, radiated Tx power at  $input.power.limit$ , and  $4cm^2PD$  value at  $input.power.limit$  of beam 2 corresponding to mmW transmission.

## Test procedure:

1. Measure conducted Tx power corresponding to  $P_{limit}$  for LTE in selected band, and measure radiated Tx power corresponding to  $input.power.limit$  in desired mmW band/channel/beam by following below steps:
  - a. Measure radiated power corresponding to mmW  $input.power.limit$  by setting up the EUT's Tx power in desired band/channel at  $input.power.limit$  of beam 1 in FTM. Do not disturb the position of the EUT inside the anechoic chamber for the rest of this test. Repeat this Step 1.a for beam 2.
  - b. Reset EUT to place in online mode and establish radio link in LTE, measure conducted Tx power corresponding to LTE  $P_{limit}$  with Smart Transmit enabled and  $Reserve\_power\_margin$  set to 0 dB, callbox set to request maximum power.
2. Set  $Reserve\_power\_margin$  to actual (intended) value and reset power in EUT, With EUT setup for LTE + mmW connection, perform the following steps:
  - a. Establish LTE (sub-6) and mmW NR connection in beam 1. As soon as the mmW connection is established, immediately request all-down bits on LTE link with the callbox requesting EUT's Tx power to be at maximum mmW power.
  - b. After beam 1 continues transmission for at least 20s, request the EUT to change from beam 1 to beam 2, and continue transmitting with beam 2 for at least 20s.
  - c. Record the conducted Tx power of LTE and radiated Tx power of mmW for the entire duration of this test.
3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and mmW links. Convert the conducted Tx power for LTE into 1gSAR or 10gSAR value using the similar approach described in Step 3 of Section 5.3.2. Perform 100s running average to determine normalized 100s-averaged 1gSAR versus time.
4. Similarly, convert the radiated Tx power for mmW NR into  $4cm^2PD$  value using Eq. (8b), (8c) and the radiated Tx power limits (i.e., radiated Tx power at  $input.power.limit$ ) measured in Step 1.a for beam 1 and beam 2, respectively, and then divide the resulted PD values by FCC  $4cm^2PD$  limit of  $10W/m^2$  to obtain instantaneous normalized  $4cm^2PD$  versus time for beam 1 and beam 2. Perform 4s running average to determine normalized 4s-averaged  $4cm^2PD$  versus time.

NOTE: In Eq.(8b) and (8c), instantaneous radiated Tx power of beam 1 and beam 2 is converted into instantaneous  $4cm^2PD$  by applying the worst-case  $4cm^2PD$  value measured at the  $input.power.limit$  of beam 1 and beam 2 in Part 1 report, respectively.

5. Since the measured radiated powers for beam 1 and beam 2 in Step 1.a were performed at an arbitrary rotation of EUT in anechoic chamber, repeat Step 1.a of this procedure by rotating the EUT to determine maximum radiated power at  $input.power.limit$  in FTM mode for both beams separately.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 25 of 101

Re-scale the measured instantaneous radiated power in Step 2.c by the delta in radiated power measured in Step 5 and the radiated power measured in Step 1.a for plotting purposes in next Step. In other words, this step essentially converts measured instantaneous radiated power during the measurement in Step 2 into maximum instantaneous radiated power for both beams. Perform 4s running average to compute 4s-avearged radiated Tx power. Additionally, use these EIRP values measured at *input.power.limit* at respective peak locations to determine the EIRP limits (using Eq. (5b)) for both these beams.

6. Make one plot containing: (a) instantaneous conducted Tx power for LTE versus time, (b) computed 100s-averaged conducted Tx power for LTE versus time, (c) instantaneous radiated Tx power for mmW versus time, as obtained in Step 5, (d) computed 4s-averaged radiated Tx power for mmW versus time, as obtained in Step 5, and (e) time-averaged conducted and radiated power limits for LTE and mmW radio, respectively.
7. Make another plot containing: (a) computed normalized 100s-averaged 1gSAR versus time determined in Step 3, (b) computed normalized 4s-averaged 4cm<sup>2</sup>PD versus time determined in Step 4, and (c) corresponding total normalized time-averaged RF exposure (sum of steps (6.a) and (6.b)) versus time.

The validation criteria are, at all times, the total normalized time-averaged RF exposure versus time determined in Step 6.c shall not exceed the normalized limit of 1.0 of FCC requirement (i.e., (8d)).

## 5.4 Test procedure for time-varying PD measurements

The following steps are used to perform the validation through PD measurement for transmission scenario 1 described in Section 3:

1. Place the EUT on the cDASY6 platform to perform PD measurement in the worst-case position/surface for the selected mmW band/beam. In PD measurement, the callbox is set to request maximum Tx power from EUT all the time. Hence, “path loss” calibration between callbox antenna and EUT is not needed in this test.
2. Time averaging feature validation:
  - a. Measure conducted Tx power corresponding to  $P_{limit}$  for LTE in selected band, and measure point E-field corresponding to *input.power.limit* in desired mmW band/channel/beam by following the below steps:
    - i. Measure conducted Tx power corresponding to LTE  $P_{limit}$  with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, with callbox set to request maximum power.
    - ii. Measure point E-field at peak location of fast area scan corresponding to *input.power.limit* by setting up the EUT’s Tx power in desired mmW band/channel/beam at *input.power.limit* in FTM. Do not disturb the position of EUT and mmW cDASY6 probe.
  - b. Set *Reserve\_power\_margin* to actual value (i.e., intended value) and reset power on EUT, place EUT in online mode. With EUT setup for LTE (sub-6) + mmW NR call, as soon as the mmW NR connection is established, request all-down bits on LTE link. Continue LTE (all-down bits) + mmW transmission for more than 100s duration to test predominantly PD exposure scenario. After 120s, request LTE to go all-up bits, mmW transmission should gradually reduce. Simultaneously, record the conducted Tx power of LTE transmission using power meter and point E-field (in terms of ratio of  $\frac{[pointE(t)]^2}{[pointE\_input.power.limit]^2}$ ) of mmW transmission using cDASY6 E-field probe at peak location identified in Step 2.a.ii for the entire duration of at least 300s.
  - c. Once the measurement is done, extract instantaneous conducted Tx power versus time for LTE transmission and  $\frac{[pointE(t)]^2}{[pointE\_input.power.limit]^2}$  ratio versus time from cDASY6 system for mmW

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 26 of 101

transmission. Convert the conducted Tx power for LTE into 1gSAR or 10gSAR value using Eq. (4a) and  $P_{limit}$  measured in Step 2.a.i, and then divide this by FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR to obtain instantaneous normalized 1gSAR or 10gSAR versus time. Perform 100s running average to determine normalized 100s-averaged 1gSAR or 10gSAR versus time

**NOTE:** In Eq.(4a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at  $P_{limit}$  for the corresponding technology/band reported in Part 1 report.

- d. Similarly, convert the point E-field for mmW transmission into 4cm<sup>2</sup>PD value using Eq. (4b) and radiated power limit measured in Step 2.a.ii, and then divide this by FCC 4cm<sup>2</sup>PD limit of 10W/m<sup>2</sup> to obtain instantaneous normalized 4cm<sup>2</sup>PD versus time. Perform 4s running average to determine normalized 4s-averaged 4cm<sup>2</sup>PD versus time.
- e. Make one plot containing: (i) computed normalized 100s-averaged 1gSAR or 10gSAR versus time determined in Step 2.c, (ii) computed normalized 4s-averaged 4cm<sup>2</sup>PD versus time determined in Step 2.d, and (iii) corresponding total normalized time-averaged RF exposure (sum of steps (2.e.i) and (2.e.ii)) versus time.

The validation criteria are, at all times, the total normalized time-averaged RF exposure versus time determined in Step 2.e.iii shall not exceed the normalized limit of 1.0 of FCC requirement (i.e., Eq. (4c)).

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
<b>Document S/N:</b> 1C2101020005-20.BCG (Rev 1)	<b>Test Dates:</b> 01/15/2021 - 02/15/2021	<b>DUT Type:</b> Tablet Device	Page 27 of 101

## 6 MEASUREMENT TEST SETUP (FREQ < 6 GHZ)

### 6.1 Conducted Measurement Test setup

#### Legacy Test Setup

The Rohde & Schwarz CMW500 callbox was used in this test. The test setup schematic is shown in Figure 6-1a (Appendix D – Test Setup Photo 1) for measurements with a single antenna of DUT, in Figure 6-1b (Appendix D – Test Setup Photo 2) for measurements involving antenna switch, and in Figure 6-1c (Appendix D – Test Setup Photo 3) for measurements involving interband ULCA. For single antenna measurement and technology/band switch measurement, one port (RF1 COM) of the callbox is connected to the RF port of the DUT using a directional coupler. For Antenna switch measurement, two port (RF1 COM and RF3 COM) are connected to the RF port of the DUT using directional couplers. The other end of the directional coupler is connected to a splitter to connect to the power meter. In the setups, power meter is used to tap the directional coupler for measuring the conducted output power of the DUT. For interband ULCA conducted tests, RF1 COM port and RF 3 COM port are used to communicate with the DUT, each port connected to the DUT via directional coupler.

All the path losses from RF port of DUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

#### Sub6 NR test setup:

The KeySight UXM 5G callbox was used in this test. The test setup schematic is the same as the Legacy Test Setup shown in Figure 6-1d (Appendix D – Test Setup Photo 4). One port of the callbox is connected to the RF port of the DUT using a directional coupler. In the setup, the power meter is used to tap the directional coupler for measuring the conducted output power of the DUT.

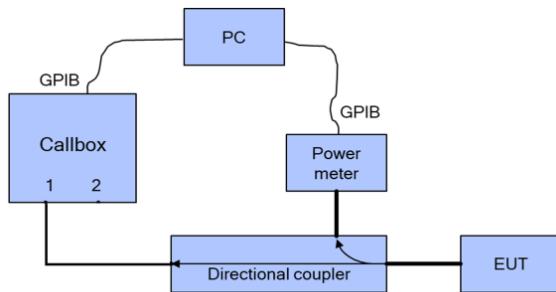
All the path losses from RF port of DUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

#### LTE+Sub6 NR test setup:

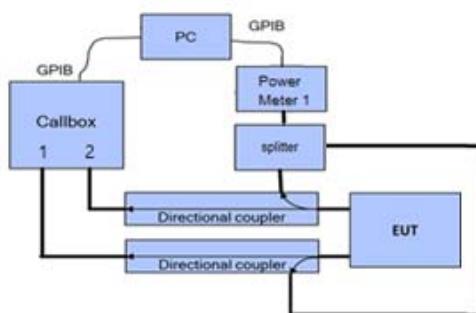
LTE conducted port and Sub6 NR conducted port are different on this EUT, therefore, the LTE and Sub6 NR signals for power meter measurement are performed on separate paths as shown below in Figure 6-1e (Appendix D – Test Setup Photo 5).

All the path losses from RF port of DUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

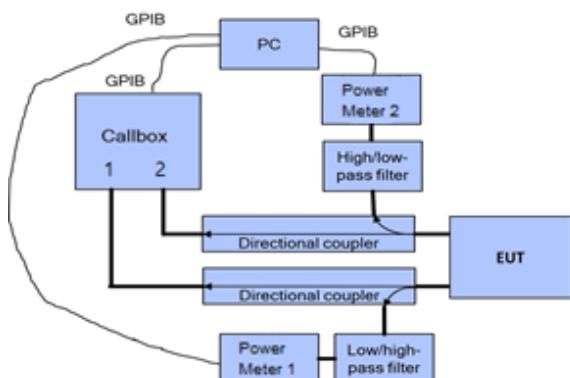
FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 28 of 101



(a) Appendix D – Test Setup Photo 1

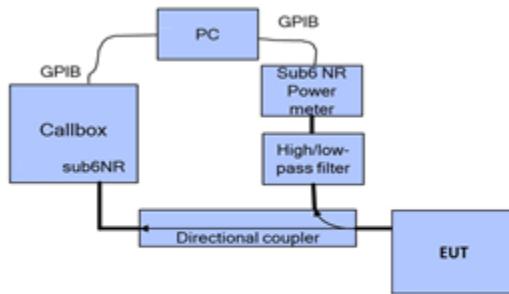


(b) Appendix D – Test Setup Photo 2

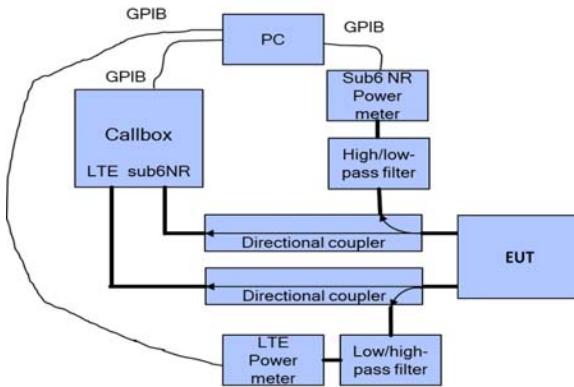


(c) Appendix D – Test Setup Photo 3

FCC ID: BCGA2379	 <b>PART 2 RF EXPOSURE EVALUATION REPORT</b>		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 29 of 101



(d) Appendix D – Test Setup Photo 4



(e) Appendix D – Test Setup Photo 5

**Figure 6-1**  
**Conducted power measurement setup**

Both the callbox and power meter are connected to the PC using GPIB cables. Two test scripts are custom made for automation, and the test duration set in the test scripts is 500 seconds.

For time-varying Tx power measurement, the PC runs the 1<sup>st</sup> test script to send GPIB commands to control the callbox's requested power versus time, while at the same time to record the conducted power measured at DUT RF port using the power meter. The commands sent to the callbox to request power are:

- 0dBm for 100 seconds
- test sequence 1 or test sequence 2 (defined in Section 4.1 and generated in Section 4.2.1), for 360 seconds
- stay at the last power level of test sequence 1 or test sequence 2 for the remaining time.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 30 of 101	

Power meter readings are periodically recorded every 100ms. A running average of this measured Tx power over 100 seconds is performed in the post-data processing to determine the 100s-time averaged power.

For call drop, technology/band/antenna switch, and DSI switch tests, after the call is established, the callbox is set to request the DUT's Tx power at 0dBm for 100 seconds while simultaneously starting the 2<sup>nd</sup> test script runs at the same time to start recording the Tx power measured at DUT RF port using the power meter. After the initial 100 seconds since starting the Tx power recording, the callbox is set to request maximum power from the DUT for the rest of the test. Note that the call drop/re-establish, or technology/band/antenna switch or DSI switch is manually performed when the Tx power of DUT is at  $P_{\text{reserve}}$  level. See Section 4.3 for detailed test procedure of call drop test, technology/band/antenna switch test and DSI switch test.

## 6.2 SAR Measurement setup

The measurement setup is similar to normal SAR measurements as described in the Part 1 Test Report. The difference in SAR measurement setup for time averaging feature validation is that the callbox is signaling in close loop power control mode (instead of requesting maximum power in open loop control mode) and callbox is connected to the PC using GPIB so that the test script executed on PC can send GPIB commands to control the callbox's requested power over time (test sequence). The same test script used in conducted setup for time-varying Tx power measurements is also used in this section for running the test sequences during SAR measurements, and the recorded values from the disconnected power meter by the test script were discarded.

As mentioned in Section 4.4, for DUT to follow TPC command sent from the callbox wirelessly, the "path loss" between callbox antenna and the DUT needs to be very well calibrated. Since the SAR chamber is in uncontrolled environment, precautions must be taken to minimize the environmental influences on "path loss". Similarly, in the case of time-varying SAR measurements in Sub6 NR (with LTE as anchor), "path loss" between callbox antenna and the EUT needs to be carefully calibrated for both LTE link as well as for Sub6 NR link.

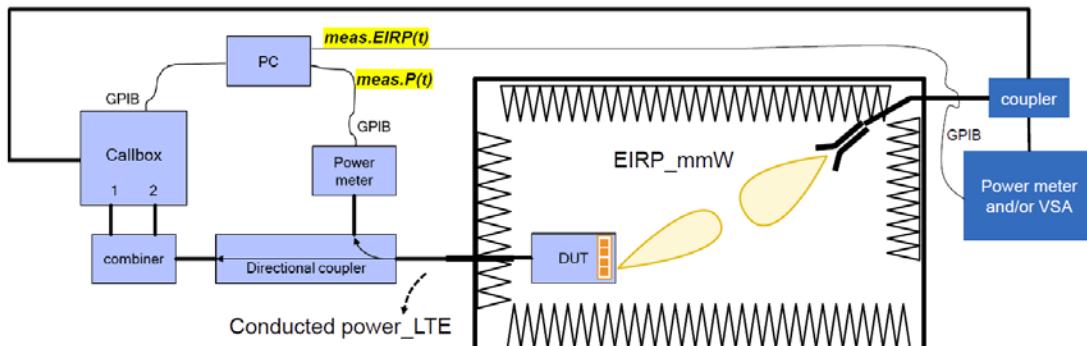
The DUT is placed in worst-case position according to Table 8-2.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 31 of 101	

## 7.1 Radiated Power Measurement Test setup

The Keysight Technologies E7515B UXM callbox is used in this test. The schematic of the setup is shown in Figure 7-1. The UXM callbox has two RF radio heads to up/down convert IF to mmW frequencies, which in turn are connected to two horn antennas for V- and H-polarizations for downlink communication. In the uplink, a directional coupler is used in the path of one of the horn antennas to measure and record radiated power using a Rohde & Schwarz NRP50S power sensor. Note here that the isolation of the directional coupler may not be sufficient to attenuate the downlink signal from the callbox, which will result in high noise floor masking the recording of radiated power from EUT. In that case, either lower the downlink signal strength emanating from the RF radio heads of callbox or add an attenuator between callbox radio heads and directional coupler. Additionally, note that since the measurements performed in this validation are all relative, measurement of EUT's radiated power in one polarization is sufficient. The EUT is placed inside an anechoic chamber with V- and H-pol horn antennas to establish the radio link as shown in Figure 7-1. The callbox's LTE port is directly connected to the EUT's RF port via a directional coupler to measure the EUT's conducted Tx power using a Rohde & Schwarz NRP8S power sensor. Additionally, EUT is connected to the PC via USB connection for sending beam switch command. Care is taken to route the USB cable and RF cable (for LTE connection) away from the EUT's mmW antenna modules.

Setup in Figure 7-1 is used for the test scenario 1, 5 and 6 described in Section 3. The test procedures described in Section 5 are followed. The path losses from the EUT to both the power meters are calibrated and used as offset in the power meter.



**Figure 7-1**  
mmW NR radiated power measurement setup – Test Setup Photo 12

Both the callbox and power meters are connected to the PC using USB cables. Test scripts are custom made for automation of establishing LTE + mmW call, conducted Tx power recording for LTE and radiated Tx power recording for mmW. These tests are manually stopped after desired time duration. Test script is programmed to set LTE Tx power to all-down bits on the callbox immediately after the mmW link is established, and programmed to set toggle between all-up and all-down bits depending on the transmission scenario being evaluated. Similarly, test script is also programmed to send beam switch command manually to the EUT via USB connection. For all the tests, the callbox is set to request maximum Tx power in mmW NR radio from EUT all the time.

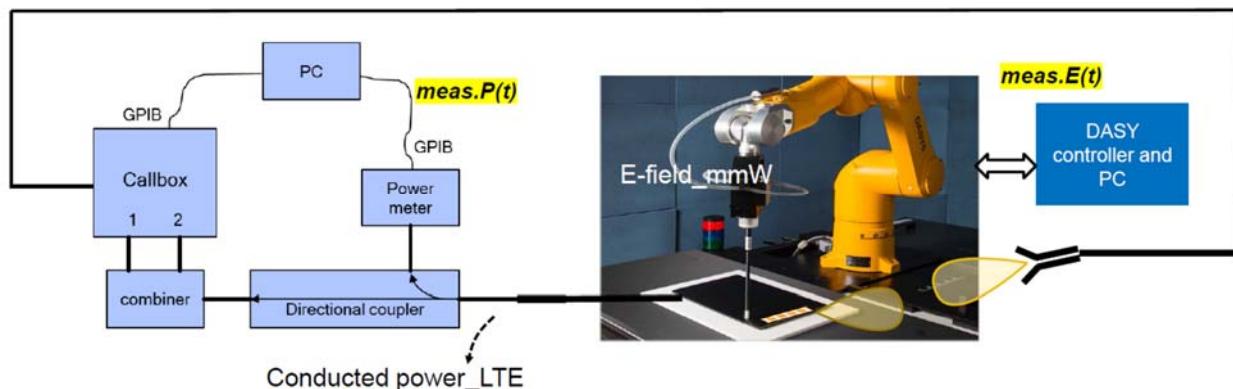
Test configurations for this validation are detailed in Section 5.2. Test procedures are listed in Section 5.3.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 32 of 101

## 7.2 Power Density Measurement Test setup

The measurement setup is similar to normal PD measurements, the EUT is positioned on cDASY6 platform, and is connected with the callbox (conducted for LTE and wirelessly for mmW). Keysight UXM callbox is set to request maximum mmW Tx power from EUT all the time. Hence, “path loss” calibration between callbox antenna and EUT is not needed in this test. The callbox’s LTE port is directly connected to the EUT’s RF port via a directional coupler to measure the EUT’s conducted Tx power using a Rohde & Schwarz NRP8S power sensor. Additionally, EUT is connected to the PC via USB connection for toggling between FTM and online mode with Smart Transmit enabled following the test procedures described Section 5.4.

Worst-surface of EUT (for the mmW beam being tested) is positioned facing up for PD measurement with cDASY6 mmW probe. Figure 7-2 shows the schematic of this measurement setup.



**Figure 7-2**  
**Power Density Measurement Setup – Test Setup Photo 11**

Both callbox and power meters are connected to the PC using USB cables. Test scripts are custom made for automation of establishing LTE + mmW call, and for conducted Tx power recording of LTE transmission. These tests are manually stopped after desired time duration. Once the mmW link is established, LTE Tx power is programmed to toggle between all-up and all-down bits on the callbox. For all the tests, the callbox is set to request maximum Tx power in mmW NR radio from EUT all the time. Therefore, the calibration for the pathloss between the EUT and the horn antenna connected to the remote radio head of the callbox is not required.

Power meter readings are periodically recorded every 10ms on NR8S power sensor for LTE conducted Tx power. Time-averaged E-field measurements are performed using EUmmWV3 mmW probe at peak location of fast area scan. The distance between EUmmWV3 mmW probe tip to EUT surface is ~0.5 mm, and the distance between EUmmWV3 mmW probe sensor to probe tip is 1.5 mm. cDASY6 records relative point E-field (i.e., ratio  $\frac{[pointE(t)]^2}{[pointE\_input.power.limit]^2}$ ) versus time for mmW NR transmission.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 33 of 101

## 8 TEST CONFIGURATIONS (FREQ < 6 GHZ)

### 8.1 WWAN (sub-6) transmission

The  $P_{limit}$  values, corresponding to 0.8 W/kg (1gSAR) of *SAR\_design\_target*, for technologies and bands supported by DUT are derived in Part 0 report and summarized in Table 8-1. Note all  $P_{limit}$  power levels entered in Table 8-1 correspond to average power levels after accounting for duty cycle in the case of TDD modulation schemes.

**Table 8-1**  
 **$P_{limit}$  for supported technologies and bands ( $P_{limit}$  in EFS file)**

Exposure Scenario:	Ant 3 Body	Ant 3 Maximum Tune-up	Ant 1 Body	Ant 1 Maximum Tune-up	Ant 4a/4b Body	Ant 4a/4b Maximum Tune-up	Ant 2a/2b Body	Ant 2a/2b Maximum Tune-up
Averaging Volume:	1g	1g	1g	1g	1g	1g	1g	1g
Spacing:	0 mm	0 mm	0 mm	0 mm	0 mm	0 mm	0 mm	0 mm
DSI:	1		1		1		1	
Technology/Band	$P_{limit}$ corresponding to 0.8mW/g	$P_{max}$	$P_{limit}$ corresponding to 0.8mW/g	$P_{max}$	$P_{limit}$ corresponding to 0.8mW/g	$P_{max}$	$P_{limit}$ corresponding to 0.8mW/g	$P_{max}$
GRPS/EDGE 850 MHz	18.01	24.81	18.61	23.31	N/A	N/A	N/A	N/A
GRPS/EDGE 1900 MHz	15.31	22.81	15.51	20.31	10.80	22.81	12.81	20.31
UMTS B5	18.20	24.70	18.00	22.90	N/A	N/A	N/A	N/A
UMTS B4	15.00	24.70	14.70	21.70	13.70	24.20	12.40	22.20
UMTS B2	14.90	24.70	15.10	21.70	11.00	24.20	12.70	22.20
LTE FDD B71	19.30	24.70	19.60	22.90	N/A	N/A	N/A	N/A
LTE FDD B12	18.60	24.70	19.20	22.90	N/A	N/A	N/A	N/A
LTE FDD B17	18.60	24.70	19.20	22.90	N/A	N/A	N/A	N/A
LTE FDD B13	18.70	24.70	19.80	22.90	N/A	N/A	N/A	N/A
LTE FDD B14	18.70	24.70	19.80	22.90	N/A	N/A	N/A	N/A
LTE FDD B26	18.20	24.70	18.00	22.90	N/A	N/A	N/A	N/A
LTE FDD B5	18.20	24.70	18.00	22.90	N/A	N/A	N/A	N/A
LTE FDD B66/4	15.00	24.70	14.70	21.70	12.70	24.20	12.40	22.20
LTE FDD B25/2	14.90	24.70	15.10	21.70	11.00	24.20	12.70	22.20
LTE FDD B30	14.30	22.70	12.80	19.20	11.50	22.20	13.30	19.70
LTE FDD n77	14.70	24.70	10.70	21.20	11.10	24.20	13.30	21.70
LTE TDD B48	9.71	17.80	10.81	15.52	10.41	16.92	10.81	17.72
LTE TDD B41 PC3	11.31	22.72	10.53	18.92	11.31	22.23	13.01	19.42
LTE TDD B41 ULCA PC3	11.31	22.72	10.53	19.22	11.31	22.23	13.01	19.72
LTE TDD B41 PC2	11.31	23.07	10.51	19.57	11.31	22.57	13.01	20.07
LTE TDD B41 ULCA PC2	11.31	23.37	10.51	19.87	11.31	22.87	13.01	20.37
NR FDD n71	19.30	24.70	20.10	22.90	N/A	N/A	N/A	N/A
NR FDD n12	18.60	24.70	19.20	22.90	N/A	N/A	N/A	N/A
NR FDD n5	18.20	24.70	18.00	22.90	N/A	N/A	N/A	N/A
NR FDD n66	15.00	24.70	14.70	21.70	12.70	24.20	12.40	22.20
NR FDD n25/n2	14.90	24.70	16.10	21.70	11.00	24.20	12.70	22.20
NR TDD n41 PC3	11.30	21.20	10.50	24.70	11.30	21.70	13.00	24.20
NR TDD n41 PC2	11.30	20.00	10.50	23.50	11.30	20.00	13.00	23.00
NR TDD n77 PC3	10.00	24.70	11.50	20.70	10.75	24.70	9.60	21.70
NR TDD n77 PC2	10.00	23.00	11.50	19.00	10.75	23.00	9.60	20.00

\* Maximum tune up target power,  $P_{max}$ , is configured in NV settings in DUT to limit maximum transmitting power. This power is converted into peak power in NV settings for TDD schemes. The DUT maximum allowed output power is equal to  $P_{max} + 1$  dB device uncertainty.

Based on selection criteria described in Section 4.2.1, the selected technologies/bands for testing time-varying test sequences are highlighted in yellow in Table 8-1. Per the manufacturer, the *Reserve\_power\_margin* (dB) is set to 3dB in EFS and is used in Part 2 test.

The radio configurations used in Part 2 test for selected technologies, bands, DSIs and antennas are listed in Table 8-2. The corresponding worst-case radio configuration 1gSAR values for selected technology/band/DSI are extracted from Part 1 report and are listed in the last column of Table 8-2.

Based on equations (1a), (2a), (3a) and (4a), it is clear that Part 2 testing outcome is normalized quantity, which implies that it can be applied to any radio configuration within a selected technology/band/DSI. Thus, as long as applying the worst-case SAR obtained from the worst radio configuration in Part 1 testing to calculate time-varying SAR exposure in equations (1a), (2a), (3a) and (4a), the accuracy in compliance demonstration remains the same. Therefore, there may be some differences between the radio configuration selected for Part 2 testing and the radio configuration associated with worst-case SAR obtained in the Part 1 evaluation.

FCC ID: BCGA2379	PART 2 RF EXPOSURE EVALUATION REPORT			Approved by: Quality Manager
Document S/N:	Test Dates:	DUT Type:		Page 34 of 101
1C2101020005-20.BCG (Rev 1)	01/15/2021 - 02/15/2021	Tablet Device		

**Table 8-2**  
**Radio configurations selected for Part 2 test**

Test Case #	Test Scenario	Tech	Band	Antenna	DSI	Channel	Frequency [MHz]	RB/RB Offset/Bandwidth [MHz]	Mode	SAR Exposure Scenario	Part 1 Worst Case Measured SAR at Plimit (W/kg)
1	Test Sequence 1	LTE	48	3	1	56640	3690	1/50/ 20 MHz BW	QPSK	Body, Back Side 0 mm	0.786
	Test Sequence 2					56640	3690	1/50/ 20 MHz BW	QPSK		
2	Test Sequence 1		13	1	1	23230	782	1/25/ 10 MHz BW	QPSK	Body, Bottom Edge 0 mm	0.707
	Test Sequence 2					23230	782	1/25/ 10 MHz BW	QPSK		
3	Test Sequence 1	WCDMA	2	4b	1	9400	1880	-	RMC	Body, Back Side 0 mm	0.673
	Test Sequence 2					9400	1880	-	RMC		
4	Test Sequence 1		5	3	1	4183	836.6	-	RMC	Body, Back Side 0 mm	0.589
	Test Sequence 2					4183	836.6	-	RMC		
5	Test Sequence 1	GSM	1900	4b	1	661	1880	-	GPRS, 2 Tx Slot	Body, Back Side 0 mm	0.536
	Test Sequence 2					661	1880	-	GPRS, 2 Tx Slot		
6	Test Sequence 1		850	3	1	190	836	-	GPRS, 2 Tx Slot	Body, Back Side 0 mm	0.569
	Test Sequence 2					190	836	-	GPRS, 2 Tx Slot		
7	Test Sequence 1	Sub6 NR	n77	2a	1	650000	3750	1/137/ 100 MHz BW	DFT-S-OFDM, QPSK	Body, Right Edge 0 mm	0.520
	Test Sequence 2					650000	3750	1/137/ 100 MHz BW	DFT-S-OFDM, QPSK		
8	Test Sequence 1		n71	1	1	136100	680.5	1/53/ 20 MHz BW	DFT-S-OFDM, QPSK	Body, Back Side 0 mm	0.480
	Test Sequence 2					136100	680.5	1/53/ 20 MHz BW	DFT-S-OFDM, QPSK		
9	Call Drop	Sub6 NR	n77	2a	1	650000	3750	1/137/ 100 MHz BW	DFT-S-OFDM, QPSK	Body, Right Edge 0mm	0.520
10	Tech/Band Switch	LTE	13	1	1	23230	782	1/25/ 10 MHz BW	QPSK	Body, Bottom Edge 0 mm	0.707
11	Antenna Switch	WCDMA	4	1	1	1732	1412	-	RMC	Body, Left Edge 0 mm	0.706
12	Time Window	LTE	4	1	1	1732	1412	-	RMC	Body, Left Edge 0 mm	0.706
13	SAR1 vs SAR2 (ENDC)	Sub6 NR	n71	1	1	136100	680.5	1/53/ 20 MHz BW	DFT-S-OFDM, QPSK	Body, Right Edge 0 mm	0.506
14	SAR1 vs SAR2 (Interband ULCA)	Interband	66	3	1	132322	1745	1/50/ 20 MHz BW	QPSK	Body, Back Side 0 mm	0.481
			5	3	1	20625	836.5	1/25/ 10 MHz BW	QPSK	Body, Back Side 0 mm	0.705
			2	1	1	18900	1880	1/50/ 20 MHz BW	QPSK	Body, Left Edge 0 mm	0.556

Note1: As Part 1 and Part 2 testing took place in parallel the selected technologies/bands were chosen based upon anticipated values encountered during pretesting before Tx powers were finalized. The above values represent the SAR levels at the final  $P_{limit}$ .

Note2: The DUT has detect mode to manage exposure in portable use conditions, which is represented with DSI = 1 is used in Smart Transmit feature for time averaging operation. Based on the selection criteria described in Section 4.2, the radio configurations for the Tx varying transmission test cases listed in Section 3 are:

1. **Technologies and bands for time-varying Tx power transmission:** The test case 1~8 listed in Table 8-2 are selected to test with the test sequences defined in Section 4.1 in both time-varying conducted power measurement and time-varying SAR measurement.
2. **Technology and band for change in call test:** NR n77, having the lowest  $P_{limit}$  among all technologies and bands based upon anticipated values encountered during pretesting before Tx powers were finalized (test case 9 in Table 8-2), is selected for performing the call drop test in conducted power setup.
3. **Technologies and bands for change in technology/band test:** Following the guidelines in Section 4.2.3, test case 10 in Table 8-2 is selected for handover test from a technology/band within one technology group (LTE Band 13, DSI=1, antenna 1), to a technology/band in the same DSI within another technology group (WCDMA B4, DSI=1, antenna 1) in conducted power setup.
4. **Technologies and bands for change in antenna:** Based on selection criteria in Section 4.2.4, for a given DSI=1, test case 11 in Table 8-2 is selected for antenna switch between one antenna (WCDMA B4, DSI=1, antenna 1) and another antenna (WCDMA B4, DSI=1, antenna 3) in conducted power setup.
5. **Technologies and bands for change in time-window:** Based on selection criteria in Section 4.2.5, for a given DSI=1, test case 12 in Table 8-2 is selected for time window switch between 60s window (LTE Band 48, Antenna 3) and 100s window (LTE Band 12, Antenna 3) in conducted power setup.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT			Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device			

6. **Technologies and bands for switch in SAR exposure (ENDC):** Based on selection criteria in Section 4.2.6 Scenario 1, test case 13 in Table 8-2 is selected for SAR exposure switching test in one of the supported simultaneous WWAN transmission scenario, i.e., LTE + Sub6 NR active in the same 100s time window, in conducted power setup. Since this device supports LTE+mmW NR, test for Section 4.2.7 Scenario 2 for RF exposure switch is covered in Sections 13.1 and 13.2 between LTE (100s window) and mmW NR (4s window).
7. **Technologies and bands for switch in SAR exposure (Interband ULCA):** Based on selection criteria in Section 4.2.6 Scenario 1, test case 14 in Table 8-2 is selected for SAR exposure switching test in one of the supported simultaneous LTE transmission scenario, i.e., LTE interband ULCA, in conducted power setup.

**Note:** This device does not support multiple DSI states corresponding to portable use conditions, therefore, no change in DSI testing was performed.

## 8.2 $P_{limit}$ and $P_{max}$ measurement results

The measured  $P_{limit}$  for all the selected radio configurations given in Table 8-2 are listed in below Table 8-3.  $P_{max}$  was also measured for radio configurations selected for testing time-varying Tx power transmission scenarios in order to generate test sequences following the test procedures in Section 4.1.

Per Qualcomm's 80-w2112-5 document Appendix I, the Part 1 and the Part 2 tests were done in parallel. The below table includes the  $P_{limit}$  and  $P_{max}$  values used in the test sample for Part 2 testing and the final  $P_{limit}$  and  $P_{max}$  values.

**Table 8-3**  
**Measured  $P_{limit}$  and  $P_{max}$  of selected radio configurations**

Test Case #	Test Scenario	Tech	Band	Antenna	DSI	Channel	Frequency [MHz]	RB/RB Offset/Bandwidth [MHz]	Mode	SAR Exposure Scenario	EFS Plimit for Part 2 Test [dBm]	Tune-up Pmax for Part 2 Test [dBm]	EFS Plimit [dBm]	Tune-up Pmax [dBm]	Measured Plimit [dBm]	Measured Pmax [dBm]
1	Test Sequence 1	LTE	48	3	1	56640	3690	1/50' 20 MHz BW	QPSK	Body	10.50	22.70	9.71	17.80	10.49	22.11
	Test Sequence 2		48	3	1	56640	3690	1/50' 20 MHz BW	QPSK		10.50	22.70	9.71	17.80	10.49	22.11
2	Test Sequence 1	LTE	13	1	1	23230	782	1/25' 10 MHz BW	QPSK	Body	19.60	23.20	19.80	22.90	19.70	23.20
	Test Sequence 2		13	1	1	23230	782	1/25' 10 MHz BW	QPSK		19.60	23.20	19.80	22.90	19.70	23.20
3	Test Sequence 1	WCDMA	2	4b	1	9400	1880	-	RMC	Body	11.40	24.50	11.00	24.20	11.70	24.80
	Test Sequence 2		2	4b	1	9400	1880	-	RMC		11.40	24.50	11.00	24.20	11.70	24.80
4	Test Sequence 1	WCDMA	5	3	1	4183	836.6	-	RMC	Body	18.70	25.00	18.20	24.70	18.60	24.90
	Test Sequence 2		5	3	1	4183	836.6	-	RMC		18.70	25.00	18.20	24.70	18.60	24.90
5	Test Sequence 1	GSM	1900	4b	1	661	1880	-	GPRS, 2 Tx Slot	Body	11.40	23.00	10.81	22.81	11.72	22.92
	Test Sequence 2		1900	4b	1	661	1880	-	GPRS, 2 Tx Slot		11.40	23.00	10.81	22.81	11.72	22.92
6	Test Sequence 1	GSM	850	3	1	190	836	-	GPRS, 2 Tx Slot	Body	18.70	25.00	18.01	24.81	19.40	25.12
	Test Sequence 2		850	3	1	190	836	-	GPRS, 2 Tx Slot		18.70	25.00	18.01	24.81	19.40	25.12
7	Test Sequence 1	Sub6 NR	n77	2a	1	650000	3750	1/137' 100 MHz BW	DFT-S-OFDM, QPSK	Body	7.60	21.70	9.60	21.70	8.22*	21.50*
	Test Sequence 2		n77	2a	1	650000	3750	1/137' 100 MHz BW	DFT-S-OFDM, QPSK		7.60	21.70	9.60	21.70	8.22*	21.50*
8	Test Sequence 1	Sub6 NR	n71	1	1	136100	680.5	1/53' 20 MHz BW	DFT-S-OFDM, QPSK	Body	19.40	23.20	20.10	22.90	19.40	23.20
	Test Sequence 2		n71	1	1	136100	680.5	1/53' 20 MHz BW	DFT-S-OFDM, QPSK		19.40	23.20	20.10	22.90	19.40	23.20
9	Call Drop	Sub6 NR	n77	2a	1	650000	3750	1/137' 100 MHz BW	DFT-S-OFDM, QPSK	Body	7.60	21.70	9.60	21.70	8.22*	21.50*
	Tech/Band Switch		LTE	13	1	1	23230	782	1/25' 10 MHz BW	QPSK	19.60	23.20	19.80	22.90	19.70	23.20
10	Antenna Switch	WCDMA	4	1	1	1732	1412	-	RMC	Body	14.30	22.00	14.70	21.70	14.30	22.00
	Antenna Switch		4	1	1	1732	1412	-	RMC		14.30	22.00	14.70	21.70	14.30	22.00
11	Time Window	LTE	48	3	1	56640	3690	1/50' 20 MHz BW	QPSK	Body	15.20	25.00	15.00	24.70	15.15	25.00
	Time Window		48	3	1	56640	3690	1/50' 20 MHz BW	QPSK		15.20	25.00	15.00	24.70	15.15	25.00
12	SAR1 vs SAR2 (ENDC)	Sub6 NR	12	3	1	23095	707.5	1/25' 10 MHz BW	QPSK	Body	18.90	25.00	18.60	24.70	18.90	25.00
	SAR1 vs SAR2 (Interband ULCA)		66	3	1	136100	680.5	1/53' 20 MHz BW	DFT-S-OFDM, QPSK		19.40	23.20	20.10	22.90	19.40	23.20
13	SAR1 vs SAR2 (Interband ULCA)	LTE	5	3	1	20525	836.5	1/25' 10 MHz BW	QPSK	Body	15.20	25.00	15.00	25.00	15.09	25.00
	SAR1 vs SAR2 (Interband ULCA)		2	1	1	18900	1880	1/50' 20 MHz BW	QPSK		18.70	25.00	18.20	24.70	18.70	25.00
14	SAR1 vs SAR2 (Interband ULCA)	LTE	5	3	1	18900	1880	1/50' 20 MHz BW	QPSK	Body	15.50	22.00	16.10	21.70	15.61	22.00

\* Due to a limitation of the available test equipment, a modified procedure was used for Sub6 NR TDD Cases. The relevant parameters are shown below. In the above table, n77 Measured  $P_{max}$  and Measured  $P_{limit}$  values represent  $P_{max\_Sequence}$  and  $P_{lim\_Sequence}$ . Section F.3 contains more details about the modified procedure used for n77 evaluation.

Note: The device uncertainty of  $P_{max}$  is +/- 1 dB as provided by manufacturer.

Note: The above  $P_{max}$  value for GPRS 850 and GPRS1900 is for 2 Tx slots

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT						Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device						

**Table 8-4**  
**Parameters for n77 Testing**

Modified Procedure Items	Power
Pmax_online_avg_dBm	20.88 dBm
Plim_ftm_dbm	2.22 dBm
Plim_online_avg_dBm	7.60 dBm
DutyCycle_dB	0.62 dBm
Pmax_Sequence	21.50 dBm
Plim_Sequence	8.22 dBm

### 8.3 EFS v14 verification

Per Qualcomm's 80-w2112-5 document, embedded file system (EFS) version 14 products are required to be verified for Smart Tx generation for relevant MCC settings. It was confirmed that this DUT contains embedded file system (EFS) version 14 configured for Smart Tx first generation (GEN1) with MCC settings for the US market.

EFS v14 Generation	MCC
GEN1	310

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 37 of 101

## 9 CONDUCTED TX CASES (FREQ < 6 GHZ)

### 9.1 Time-varying Tx Power Case

The measurement setup is shown in Figure 6-1. The purpose of the time-varying Tx power measurement is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged Tx power when represented in time-averaged 1gSAR or 10gSAR values does not exceed FCC limit as shown in Eq. (1a) and (1b), rewritten below:

$$1g\_or\_10gSAR(t) = \frac{\text{conducted\_Tx\_power}(t)}{\text{conducted\_Tx\_power\_P}_{\text{limit}}} * 1g\_or\_10gSAR\_P_{\text{limit}} \quad (1a)$$

$$\frac{\frac{1}{T_{\text{SAR}}} \int_{t-T_{\text{SAR}}}^t 1g\_or\_10gSAR(t) dt}{\text{FCC SAR limit}} \leq 1 \quad (1b)$$

where, *conducted\_Tx\_power(t)*, *conducted\_Tx\_power\_P<sub>limit</sub>*, and *1g\_or\_10gSAR\_P<sub>limit</sub>* correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at *P<sub>limit</sub>*, and measured 1gSAR and 10gSAR values at *P<sub>limit</sub>* reported in Part 1 test (listed in Table 8-2 of this report as well).

Following the test procedure in Section 4.3, the conducted Tx power measurement for all selected configurations are reported in this section. In all the conducted Tx power plots, the dotted line represents the requested power by callbox (test sequence 1 or test sequence 2), the blue curve represents the instantaneous conducted Tx power measured using power meter, the green curve represents time-averaged power and red line represents the conducted power limit that corresponds to FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

Similarly, in all the 1g or 10gSAR plots (when converted using Eq. (1a)), the green curve represents the 100s/60s-time averaged 1gSAR or 10gSAR value calculated based on instantaneous 1gSAR or 10gSAR; and the red line limit represents the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

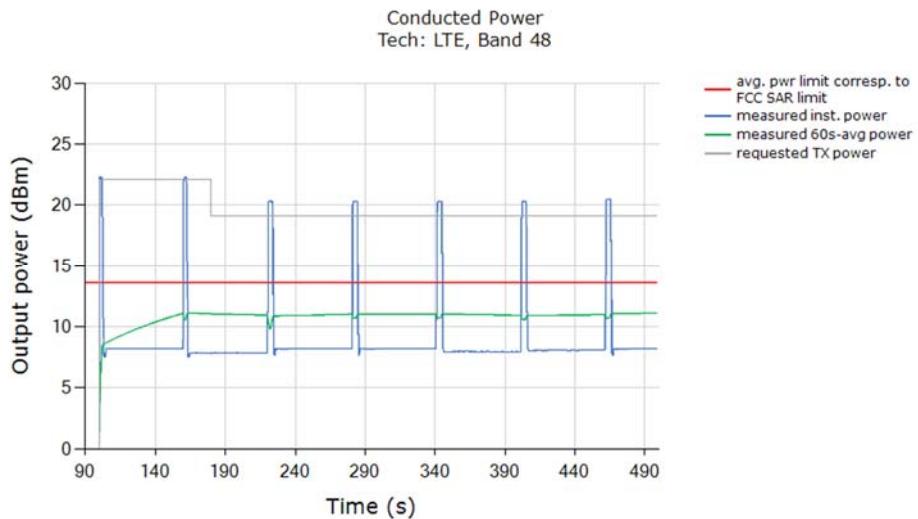
Time-varying Tx power measurements were conducted on test cases #1 ~ #8 in Table 8-2, by generating test sequence 1 and test sequence 2 given in APPENDIX E: using measured *P<sub>limit</sub>* and measured *P<sub>max</sub>* (last two columns of Table 8-3) for each of these test cases. Measurement results for test cases #1 ~ #8 are given in Sections 9.1.1-9.1.8.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 38 of 101

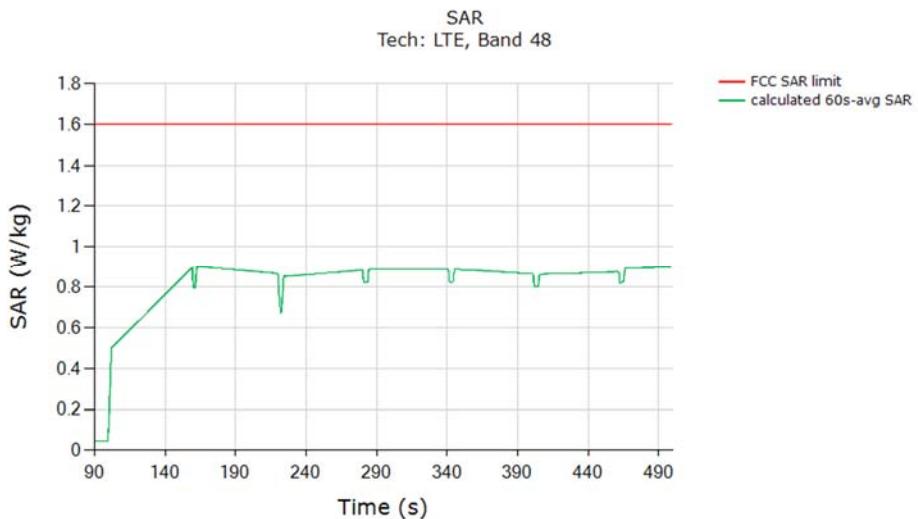
### 9.1.1

### LTE Band 48 Ant 3

#### Test result for test sequence 1:



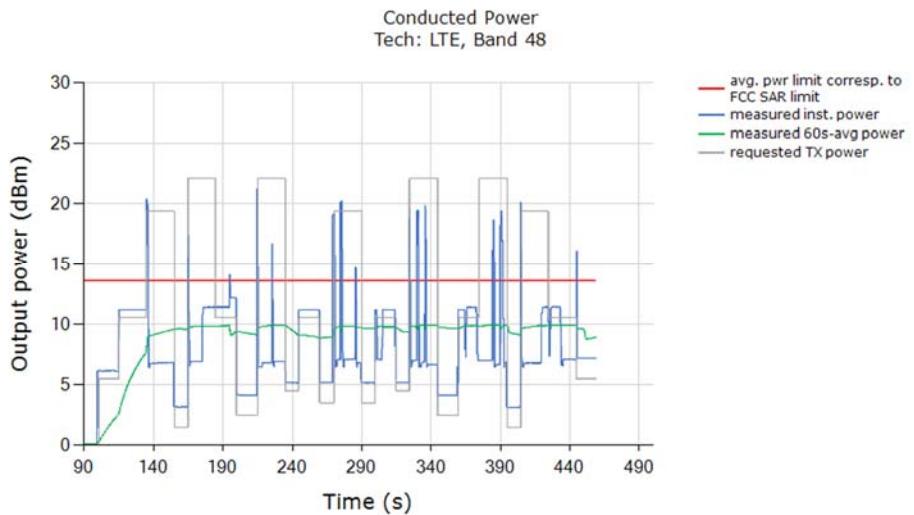
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



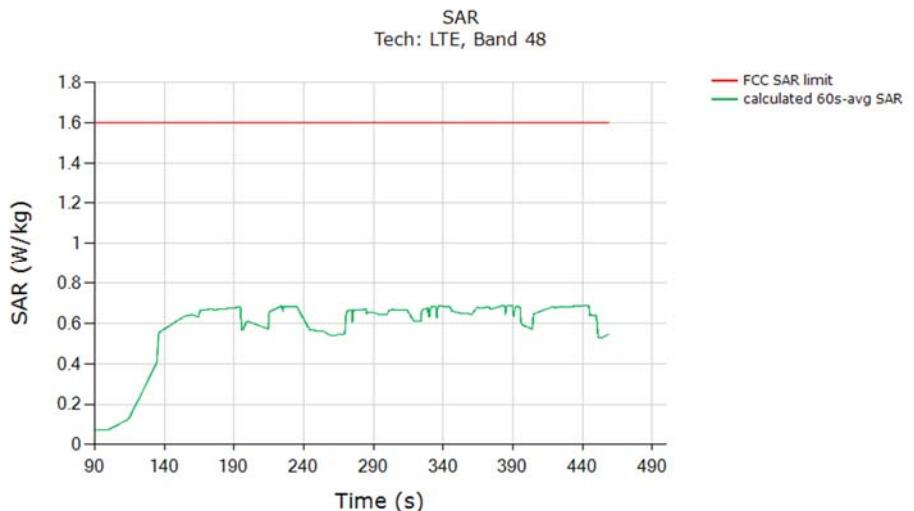
	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.899
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 39 of 101

Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



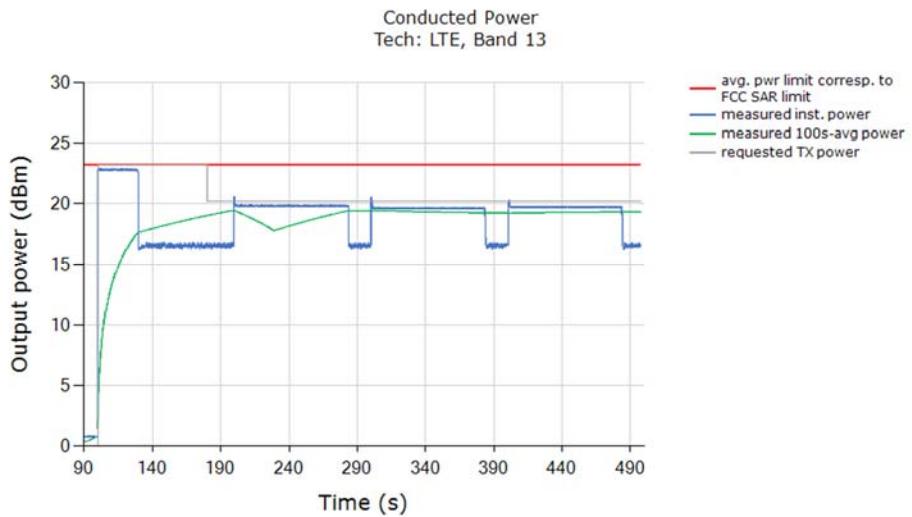
	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.689
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 40 of 101

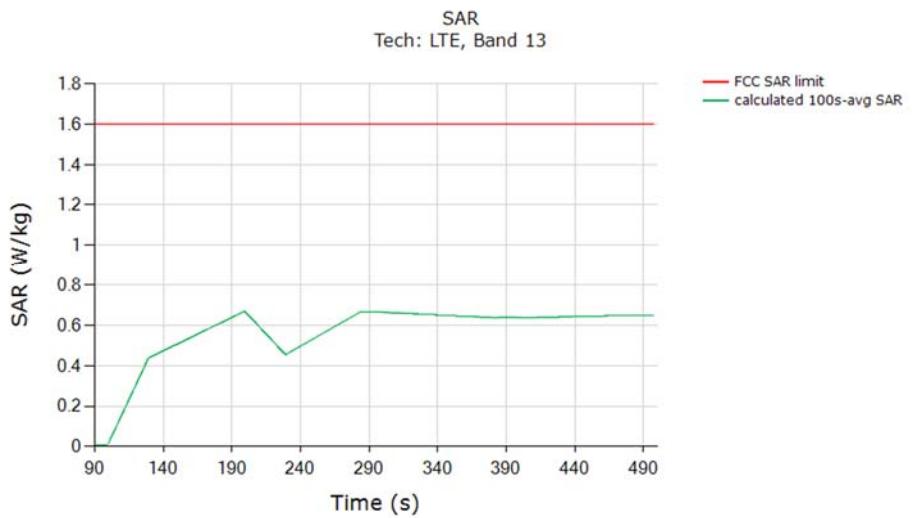
### 9.1.2

### LTE Band 13 Ant 1

#### Test result for test sequence 1:



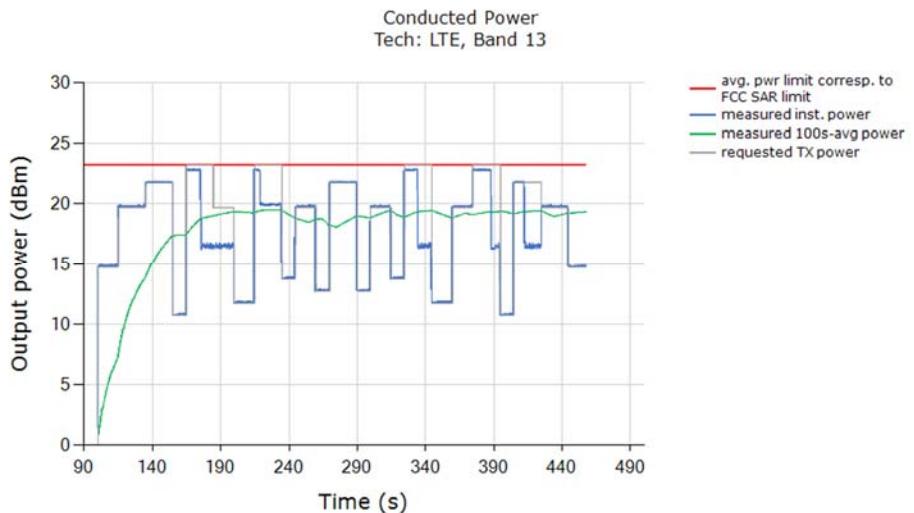
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



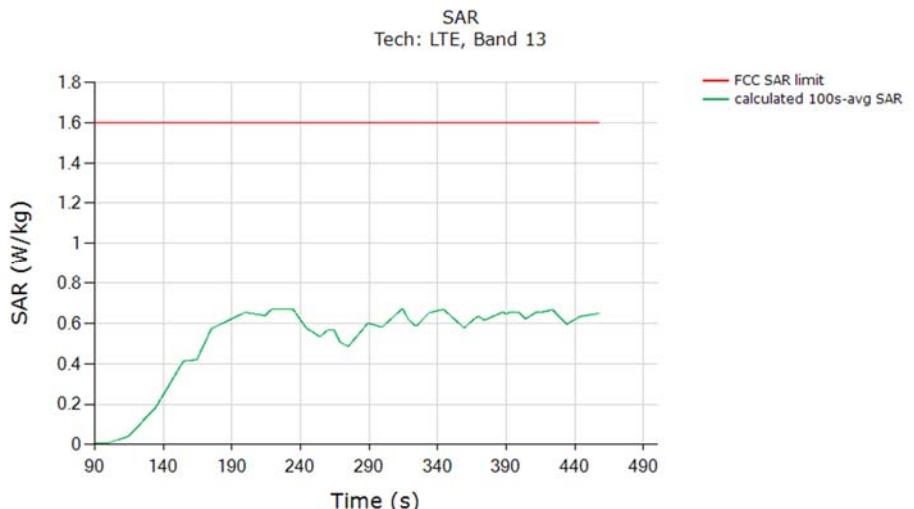
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.669
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 41 of 101

Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



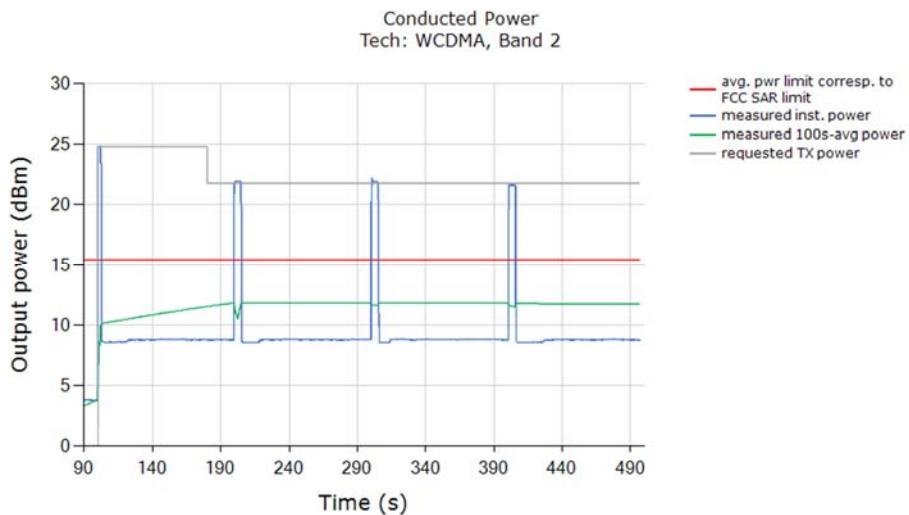
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.671
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 42 of 101

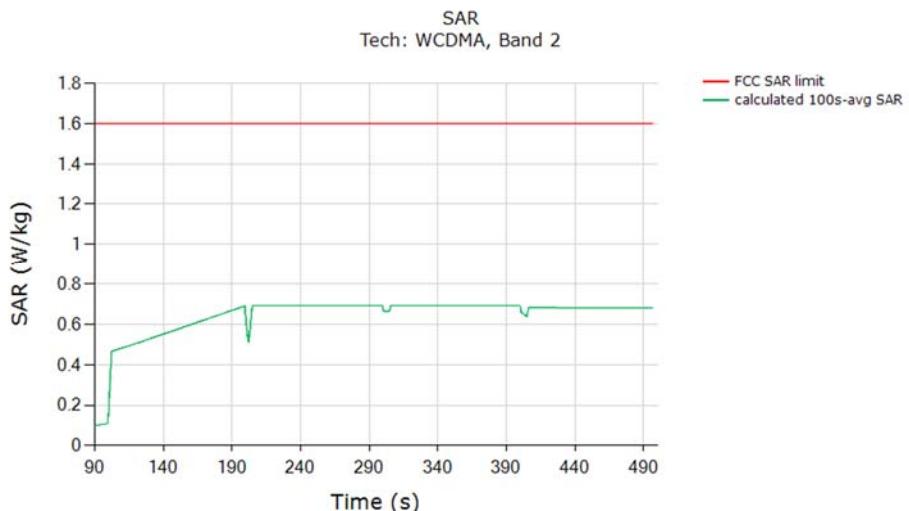
### 9.1.3

### WCDMA B2 Ant 4b

#### Test result for test sequence 1:



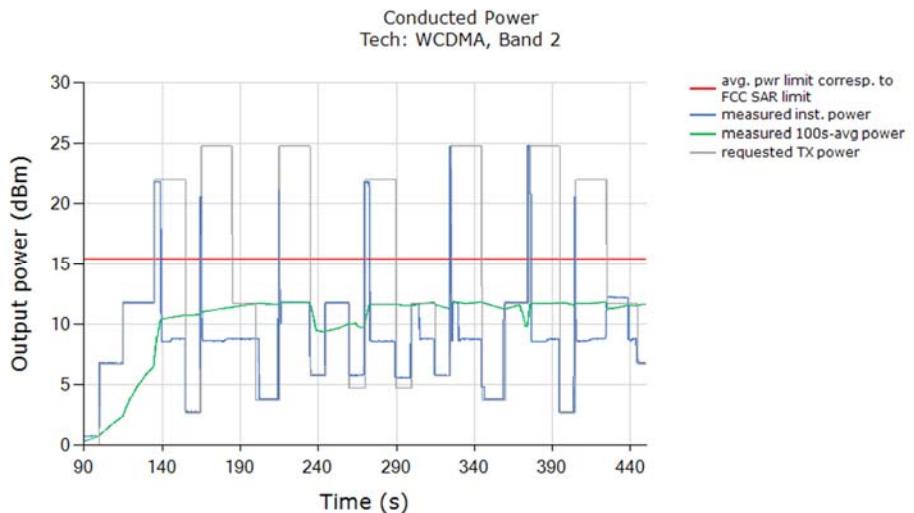
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



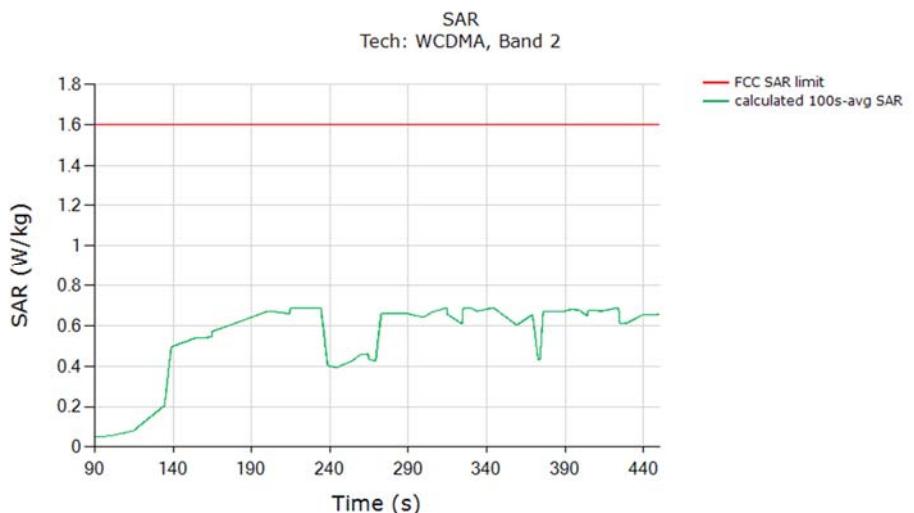
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.692
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 43 of 101

Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



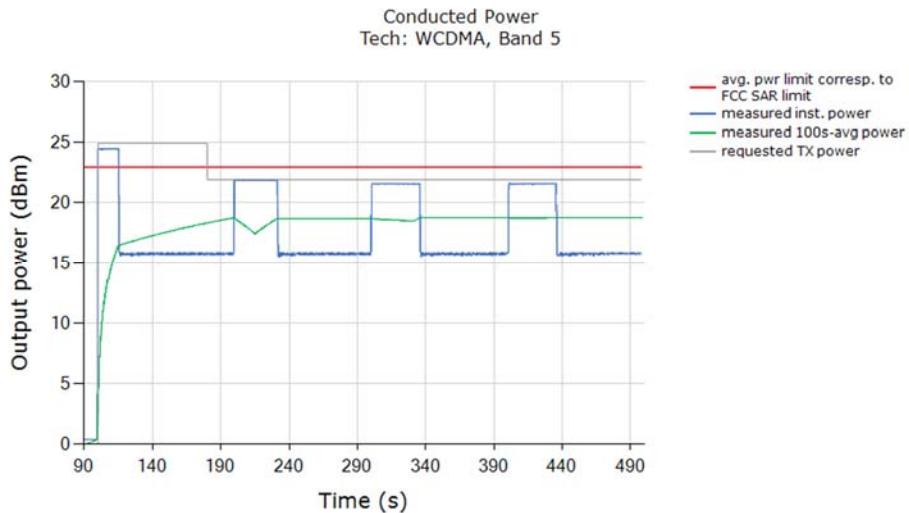
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.689
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 44 of 101

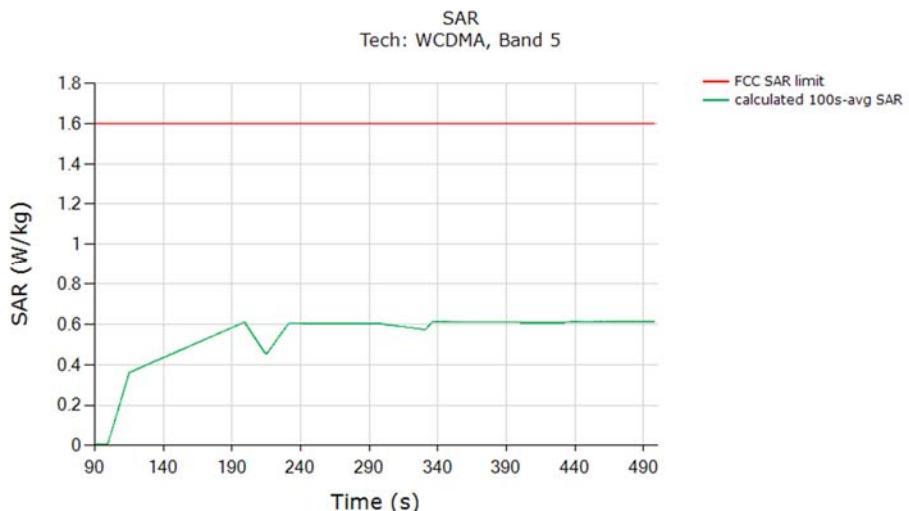
### 9.1.4

### WCDMA B5 Ant 3

#### Test result for test sequence 1:



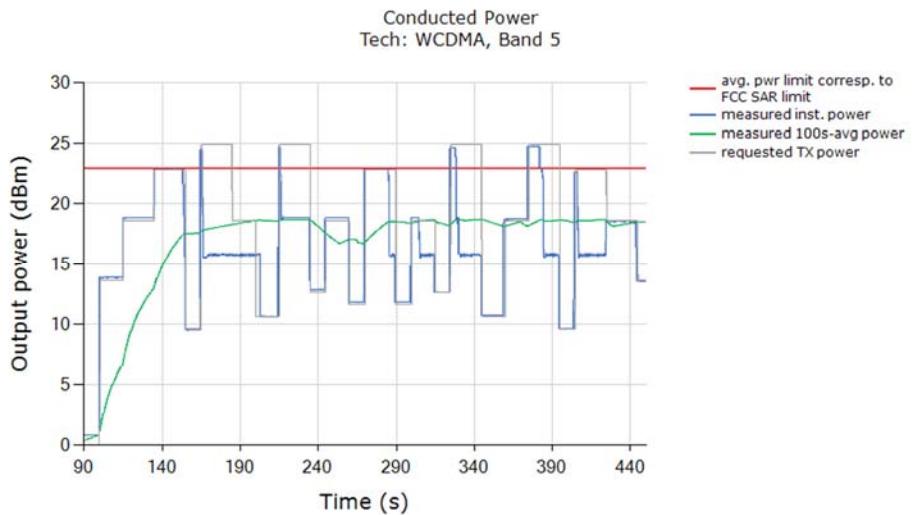
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



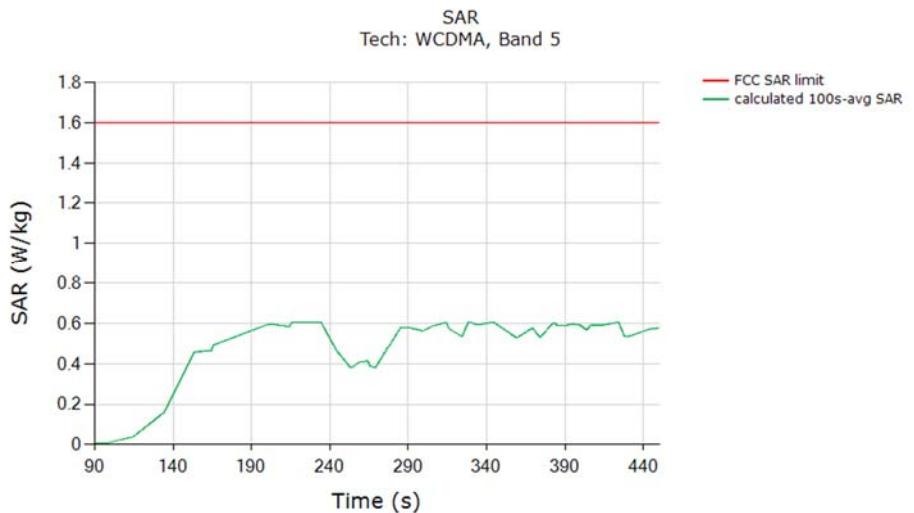
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.612
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 45 of 101

Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

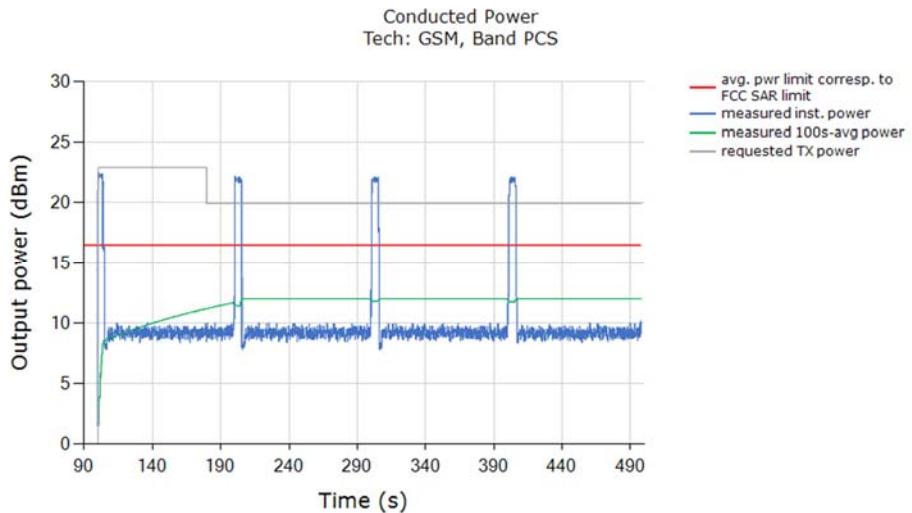


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.606
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

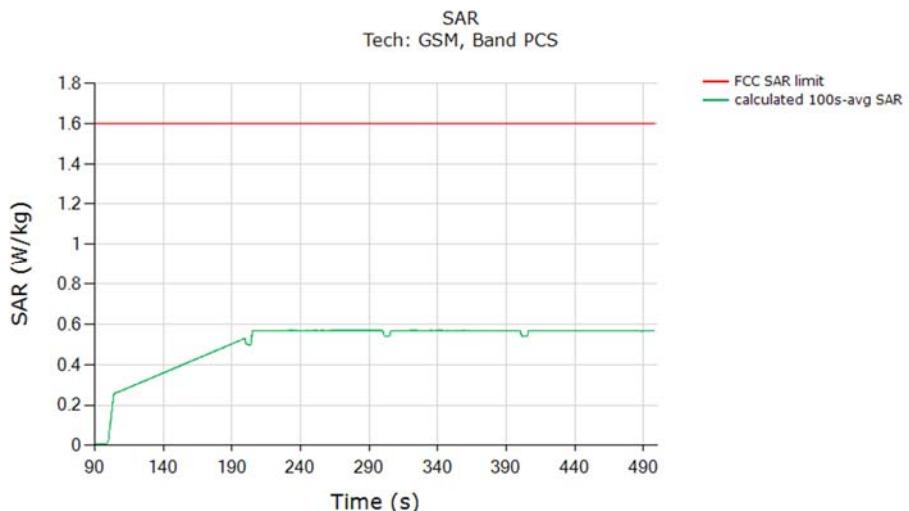
FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 46 of 101

### 9.1.5 GPRS 1900 Ant 4b

#### Test result for test sequence 1:



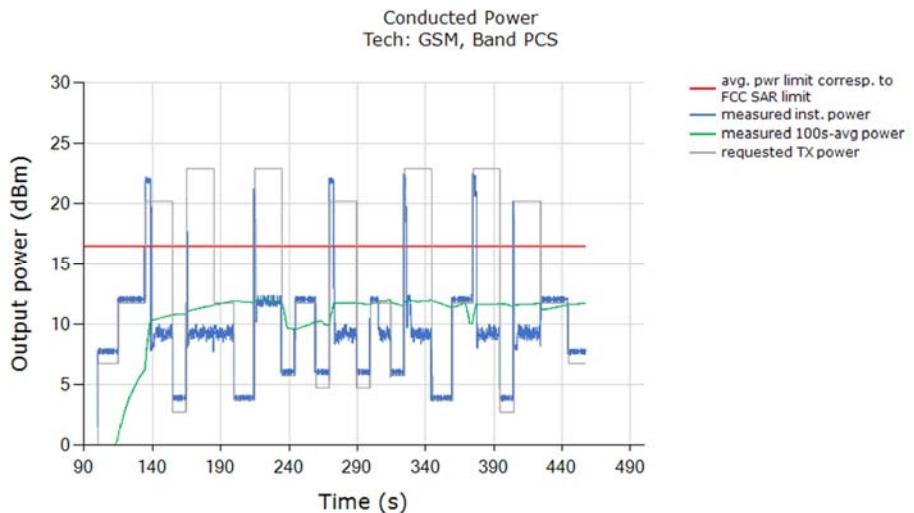
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



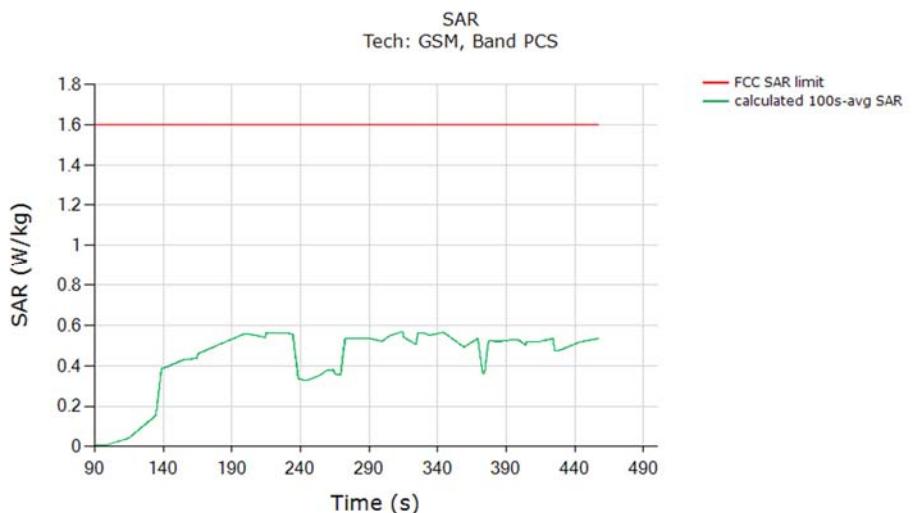
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.569
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 47 of 101

Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



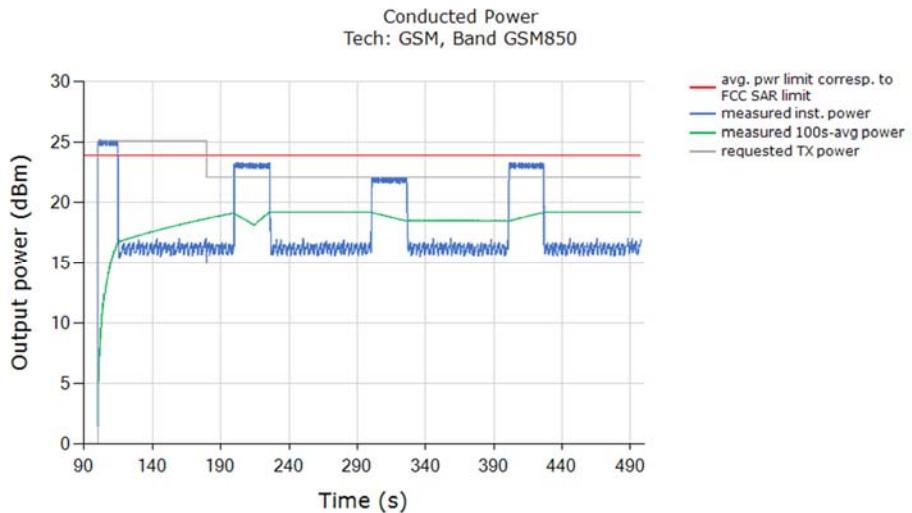
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.568
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 48 of 101

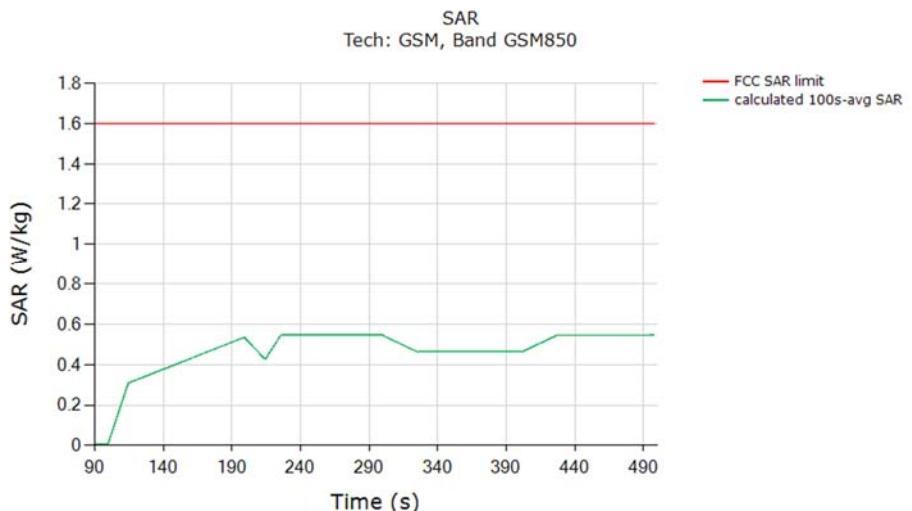
## 9.1.6

## GPRS 850 Ant 3

### Test result for test sequence 1:



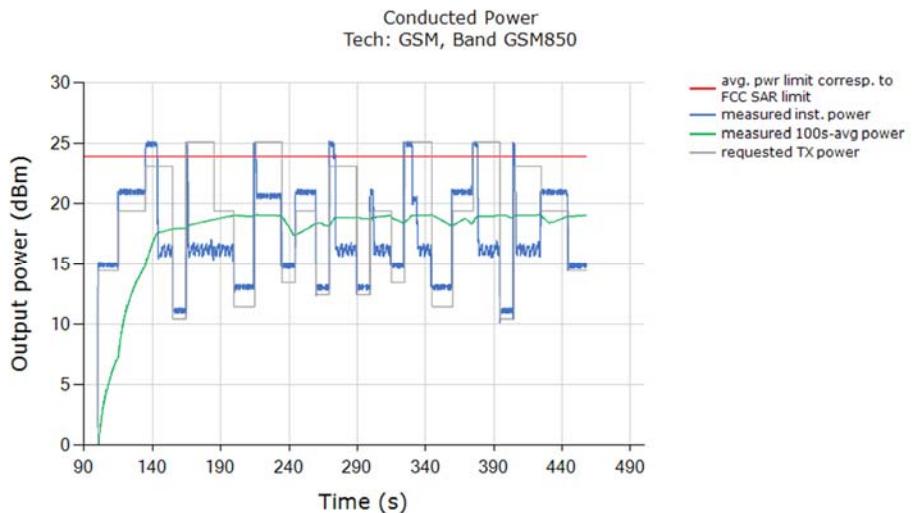
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



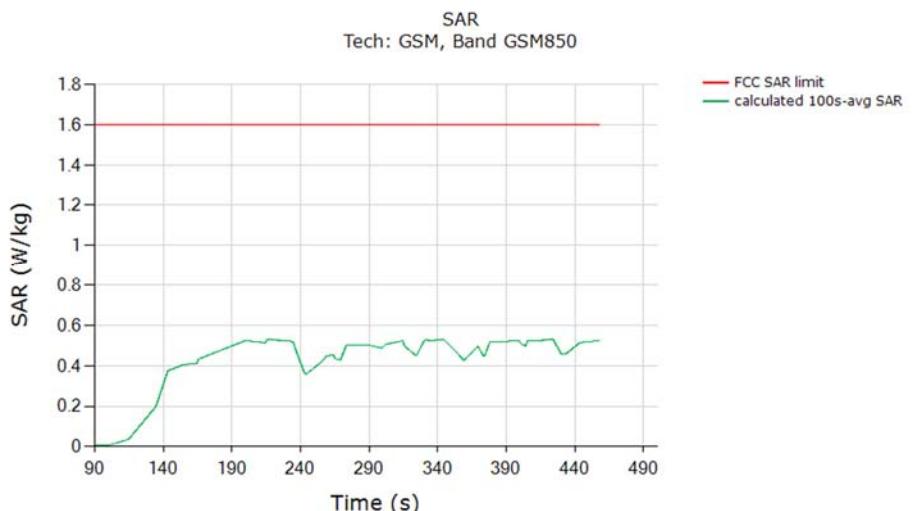
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.547
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 49 of 101

Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.531
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

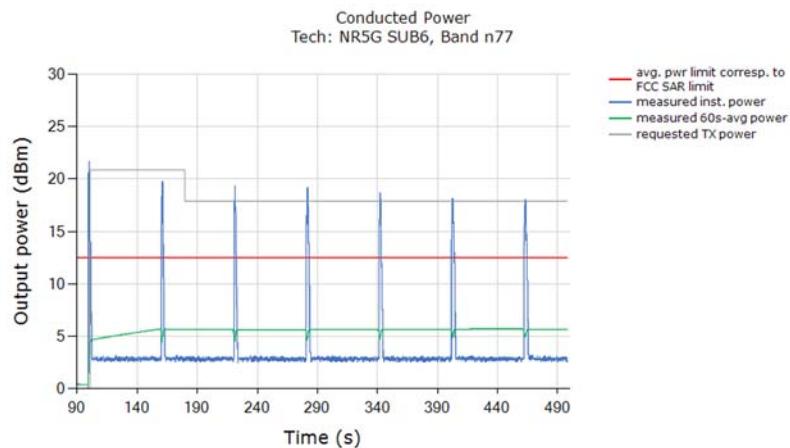
FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 50 of 101

### 9.1.7

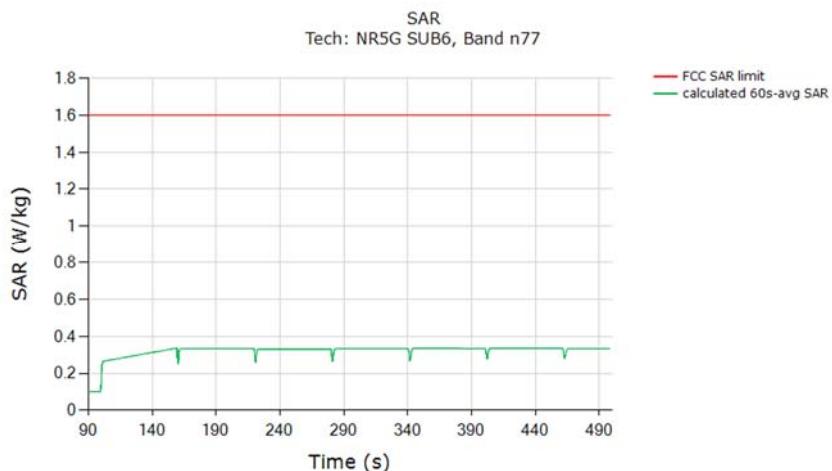
### NR n77 NSA Ant 2a

Due to FR1 TDD equipment scheduling limitations, a modified test procedure based on both FTM and callbox measurements was used. The duty cycle difference between  $P_{\text{Limit}}$  FTM mode and online power was added to  $P_{\text{max}}$  and  $P_{\text{limit}}$  used in QSPR sequence inputs. Section F.3 contains more details about the modified procedure used for n77 evaluation

#### Test result for test sequence 1:



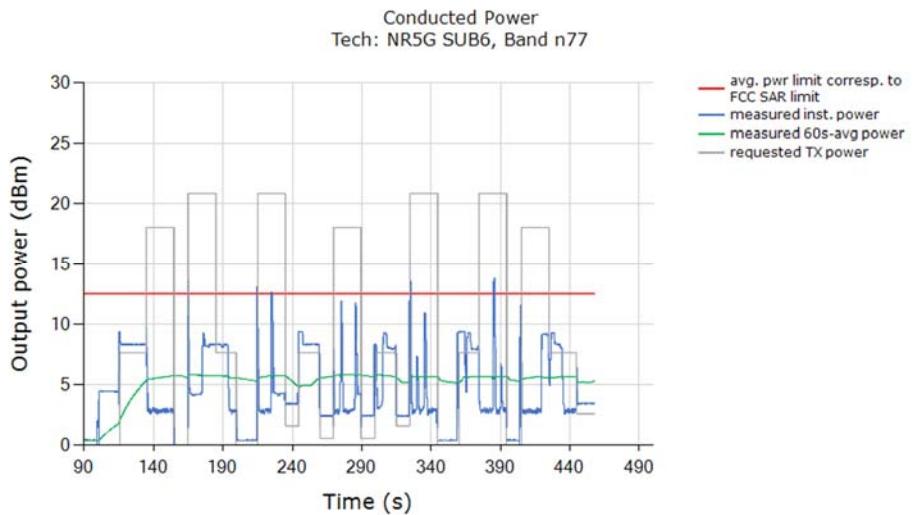
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



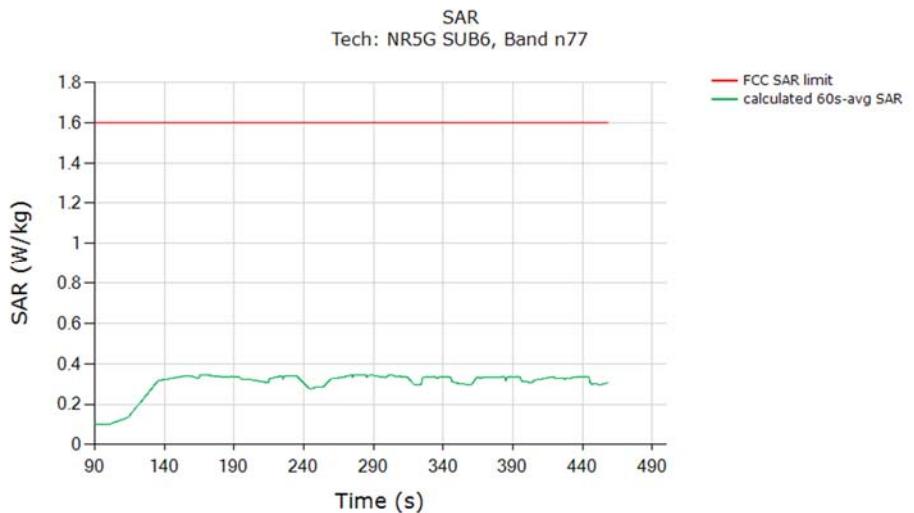
	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.336
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of 75% (with 3dB Reserve_power_margin setting) of the measured SAR at $P_{\text{limit}}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 51 of 101

Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



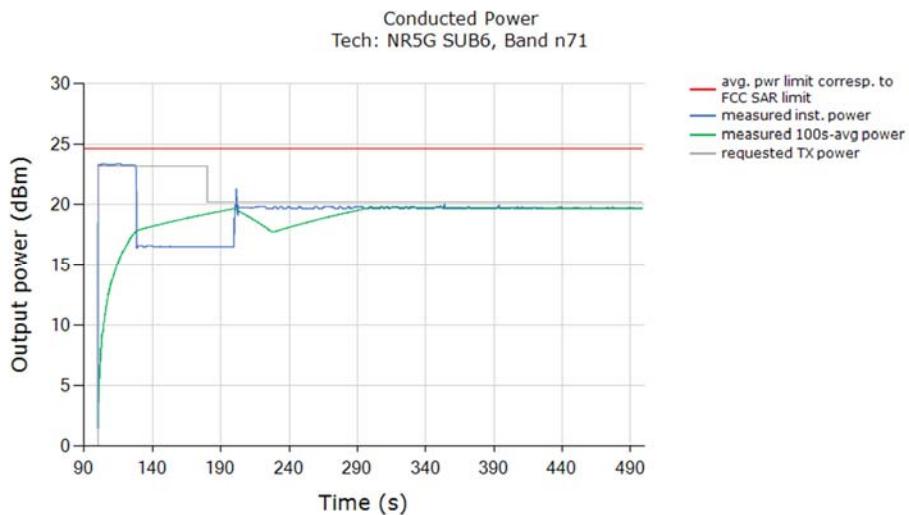
	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.344
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of 75% (with 3dB Reserve_power_margin setting) of the measured SAR at <i>P<sub>limit</sub></i> (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 52 of 101

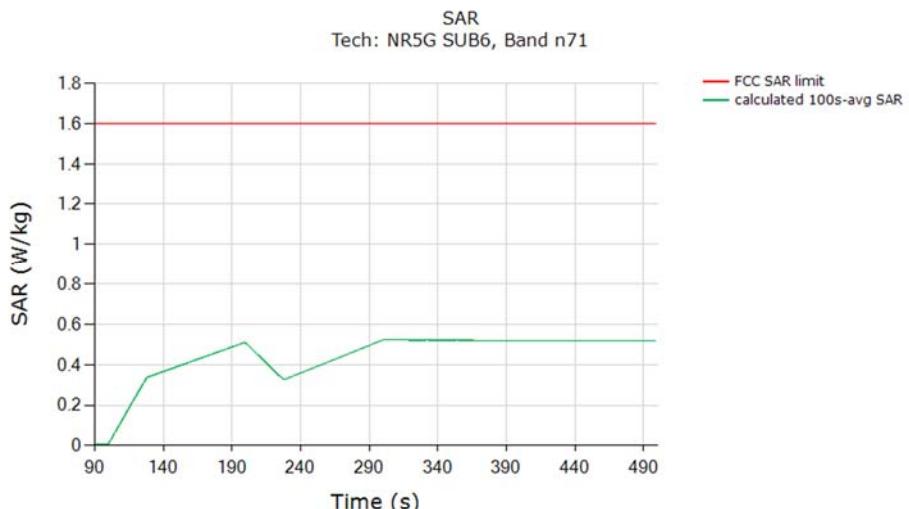
### 9.1.8

### NR n71 SA Ant 1

#### Test result for test sequence 1:



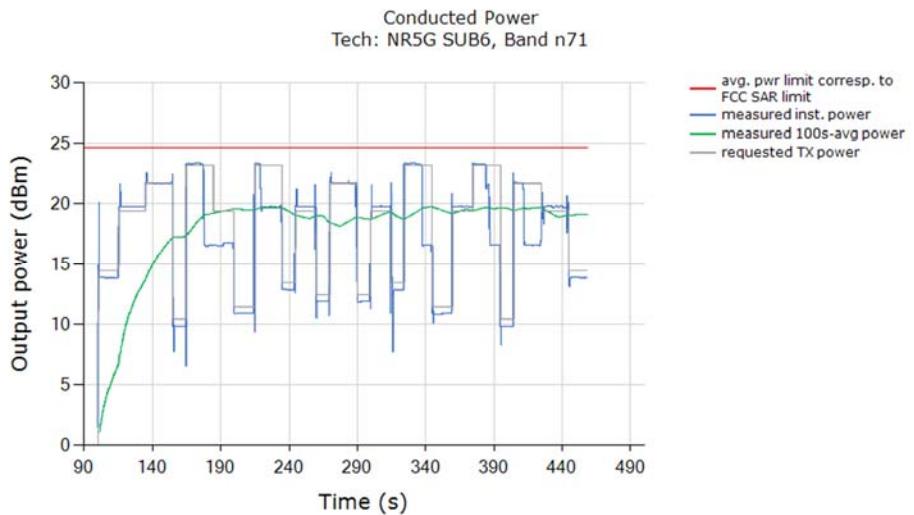
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



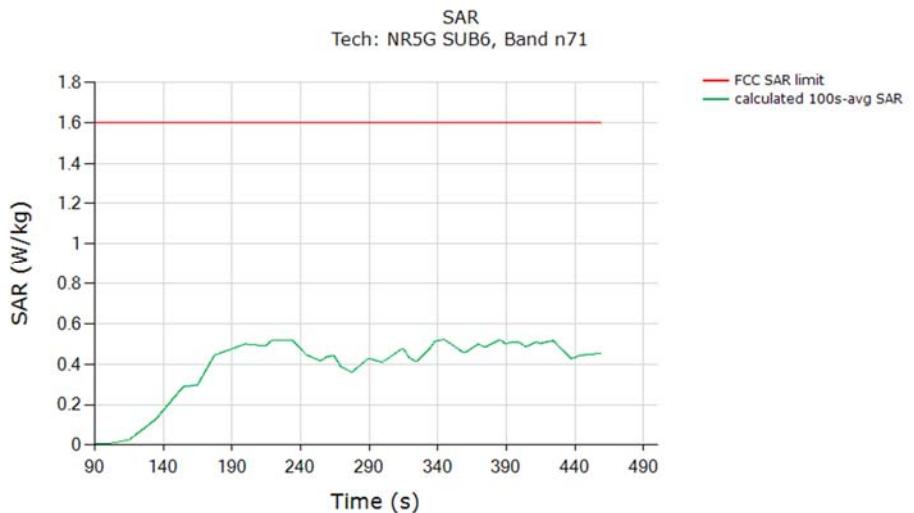
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.522
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of the measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 53 of 101

Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.522
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of the measured SAR at $P_{limit}$ (last column in Table 8-2).	

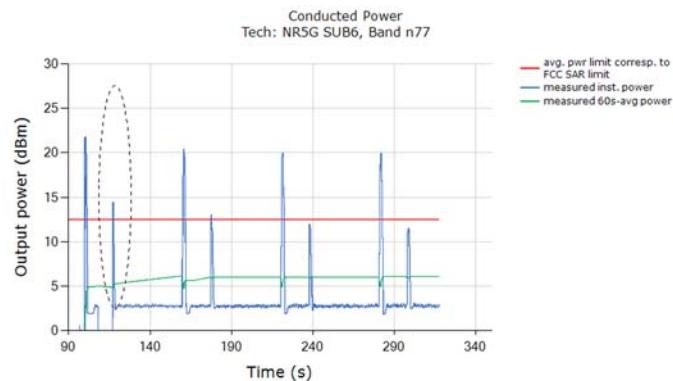
FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 54 of 101

## 9.2 Call Drop Test Case

This test was measured NR n77 NSA, Antenna 2a, DSI=1, and with callbox requesting maximum power. The call drop was manually performed when the DUT is transmitting at  $P_{\text{reserve}}$  level as shown in the plot below (dotted black region). The measurement setup is shown in Figure 6-1. The detailed test procedure is described in Section 4.3.2.

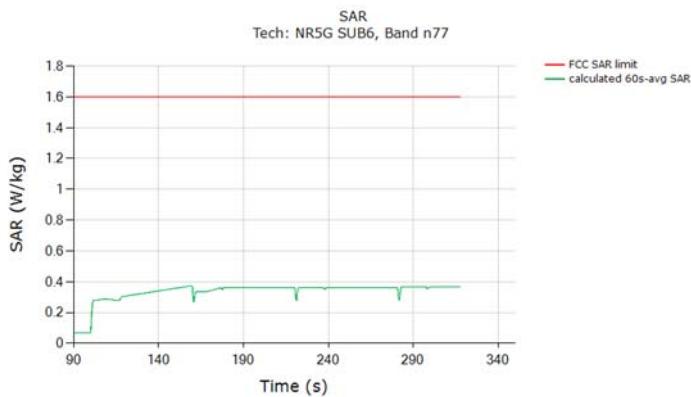
### Call drop test result:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power kept the same  $P_{\text{reserve}}$  level of NR n77 after the call was re-established:



Plot Notes: The power level after the change in call kept the same  $P_{\text{reserve}}$  level of NR n77. The conducted power plot shows expected Tx transition.

Plot 2: Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



		(W/kg)
FCC 1gSAR limit		1.6
Max 60s-time averaged 1gSAR (green curve)		0.373
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of 75% (with 3dB Reserve_power_margin setting) of the measured SAR at Plimit (last column in Table 8-2)		

The test result validated the continuity of power limiting in call change scenario.

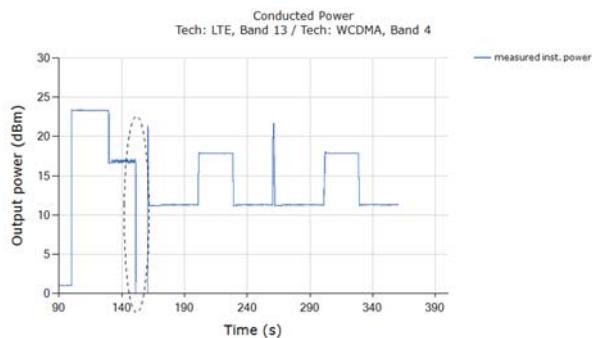
FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 55 of 101

### 9.3 Change in Technology/Band Test Case

This test was conducted with callbox requesting maximum power, and with a technology switch from LTE 13, Antenna 1, DS1 = 1 to WCDMA B4, Antenna 1, DS1 = 1. Following procedure detailed in Section 4.3.3, and using the measurement setup shown in Figure 6-1, the technology/band switch was performed when the DUT is transmitting at  $P_{\text{reserve}}$  level as shown in the plot below (dotted black region).

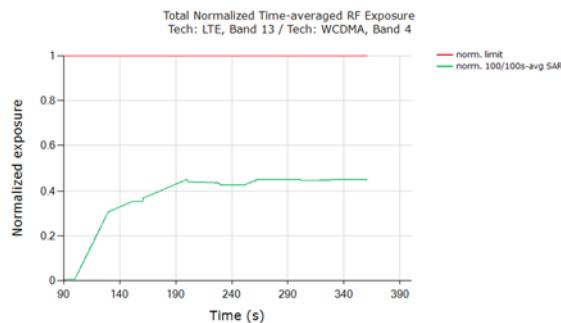
#### Test result for change in technology/band:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed from LTE Band 13, Antenna 1, DS1 = 1  $P_{\text{reserve}}$  level to WCDMA B4, Antenna 1, DS1 = 1  $P_{\text{reserve}}$  level (within 1 dB device uncertainty):



Note: As per the manufacturer,  $\text{Reserve\_power\_margin} = 3 \text{ dB}$ . Based on Table 8-1, EFS  $P_{\text{limit}} = 19.60 \text{ dBm}$  for LTE Band 13 (DS1=1), and EFS  $P_{\text{limit}} = 14.30 \text{ dBm}$  for WCDMA B4 (DS1=1), it can be seen from above plot that the difference in  $\text{Preserve}$  ( $= P_{\text{limit}} - 3 \text{ dB Reserve\_power\_margin}$ ) power level corresponds to the expected difference in  $P_{\text{limit}}$  levels of 5.3 dB (within 1 dB of sub6 radio design related uncertainty). Therefore, the conducted power plot shows expected transition in Tx power.

Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the normalized FCC limit of 1:



		(W/kg)
FCC normalized SAR limit		1.0
Max 100s-time averaged normalized SAR (green curve)		0.452
Validated		

The test result validated the continuity of power limiting in technology/band switch scenario.

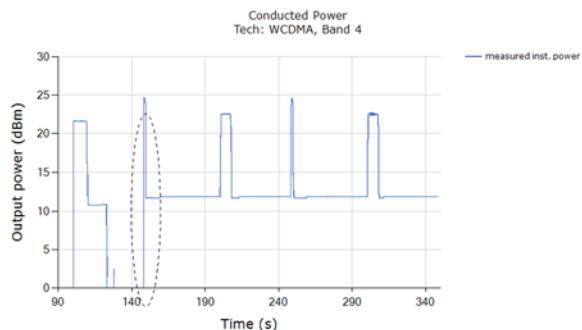
FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 56 of 101

## 9.4 Change in Antenna

This test was conducted with callbox requesting maximum power, and with a antenna switch from WCDMA B4, Antenna 1, DS1 = 1 to WCDMA B4, Antenna 3, DS1 = 1. Following procedure detailed in Section 4.3.3, and using the measurement setup shown in Figure 6-1, the technology/band switch was performed when the DUT is transmitting at  $P_{\text{reserve}}$  level as shown in the plot below (dotted black region).

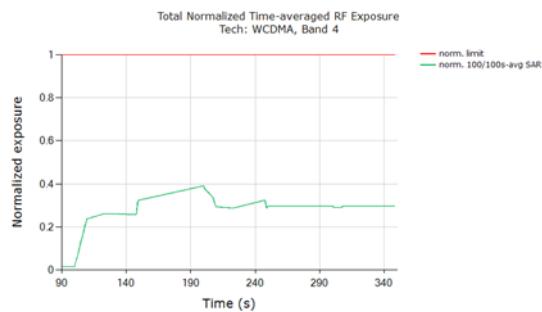
### Test result for change in antenna:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed from WCDMA B4, Antenna 1, DS1 = 1  $P_{\text{reserve}}$  level to WCDMA B4, Antenna 3, DS1 = 1  $P_{\text{reserve}}$  level (within 1 dB device uncertainty):



Note: As per the manufacturer,  $\text{Reserve\_power\_margin} = 3 \text{ dB}$ . Based on Table 8-1, EFS  $P_{\text{limit}} = 14.3 \text{ dBm}$  for WCDMA B4, Antenna 1 (DS1=1), and EFS  $P_{\text{limit}} = 15.2 \text{ dBm}$  for WCDMA B4, Antenna 3 (DS1=1), it can be seen from above plot that the difference in  $\text{Reserve}$  ( $= P_{\text{limit}} - 3 \text{ dB}$ )  $\text{Reserve\_power\_margin}$  power level corresponds to the expected difference in  $P_{\text{limit}}$  levels of 0.9 dB (within 1 dB of sub6 radio design related uncertainty). Therefore, the conducted power plot shows expected transition in Tx power.

Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the normalized FCC limit of 1:



	(W/kg)
FCC normalized SAR limit	1.0
Max 100s-time averaged normalized SAR (green curve)	0.391
Validated	

The test result validated the continuity of power limiting in antenna switch scenario.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 57 of 101

## 9.5 Change in Time window switch test results

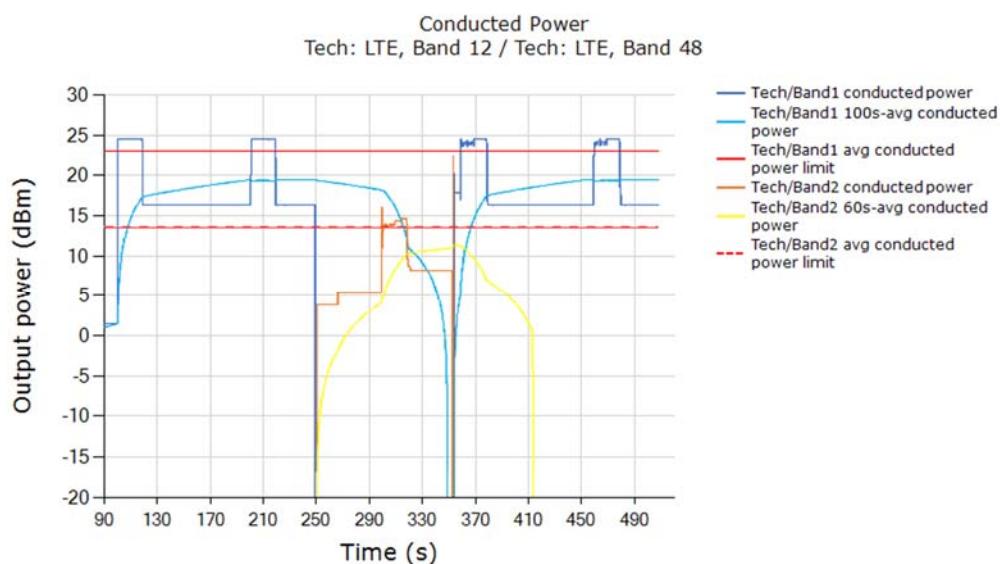
This test was conducted with callbox requesting maximum power, and with time-window switch between LTE Band 12, Antenna 3, DS1 = 1 (100s window) and LTE B48, Antenna 3, DS1 = 1 (60s window).

Following procedure detailed in Section 4.3.6, and using the measurement setup shown in Figure 6-1(b), the time-window switch via tech/band/antenna switch was performed when the EUT is transmitting at  $P_{\text{reserve}}$  level.

### 9.5.1 Test case 1: transition from LTE Band 12 to LTE Band 48 (i.e., 100s to 60s), then back to LTE Band 12

Test result for change in time-window (from 100s to 60s to 100s):

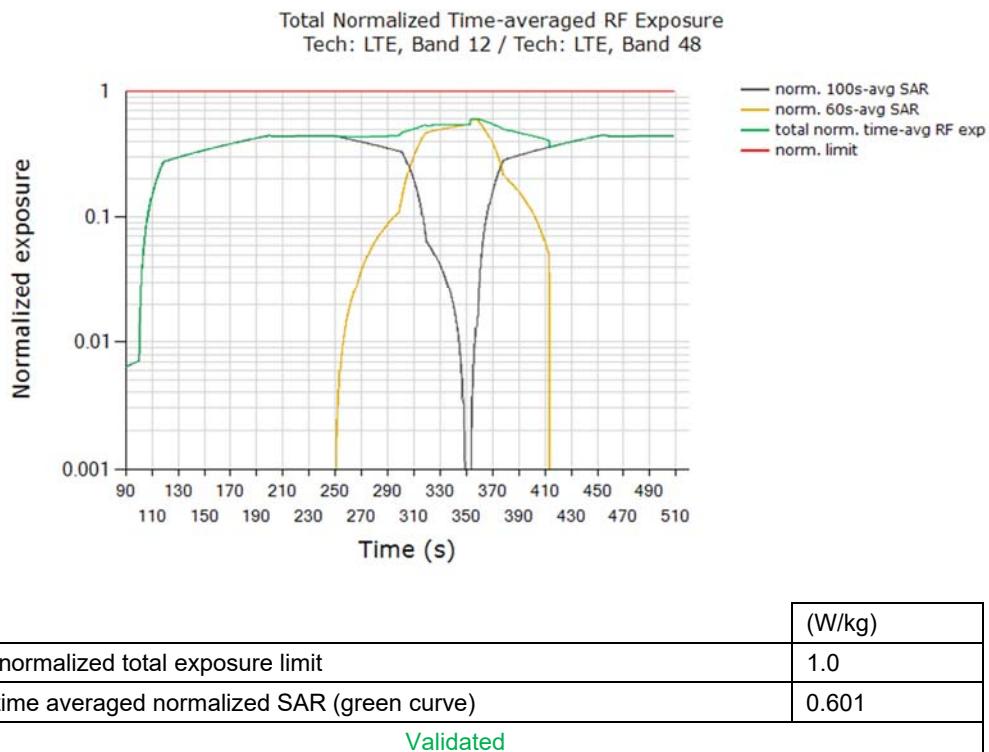
Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed when LTE Band 12 switches to LTE Band 48 (~250 seconds timestamp) and switches back to LTE Band 12 (~350s seconds timestamp):



Plot Notes: As per the manufacturer,  $\text{Reserve\_power\_margin} = 3\text{dB}$ . Based on Table 8-1, EFS  $P_{\text{limit}} = 18.90 \text{ dBm}$  for LTE Band 12 DS1 = 1 (100s window), and EFS  $P_{\text{limit}} = 10.50 \text{ dBm}$  for LTE Band 48 DS1 = 1 (60s window). The conducted power plot shows expected transitions in Tx power at ~250 seconds (100s-to-60s transition) and at ~350 seconds (60s-to-100s transition) in order to maintain total time-averaged RF exposure compliance across time windows, as shown in next plot.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 58 of 101

Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (7a) is used to convert the Tx power of device to obtain 100s-averaged normalized SAR in LTE Band 12 as shown in black curve. Similarly, equation (7b) is used to obtain 60s-averaged normalized SAR in LTE B48 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



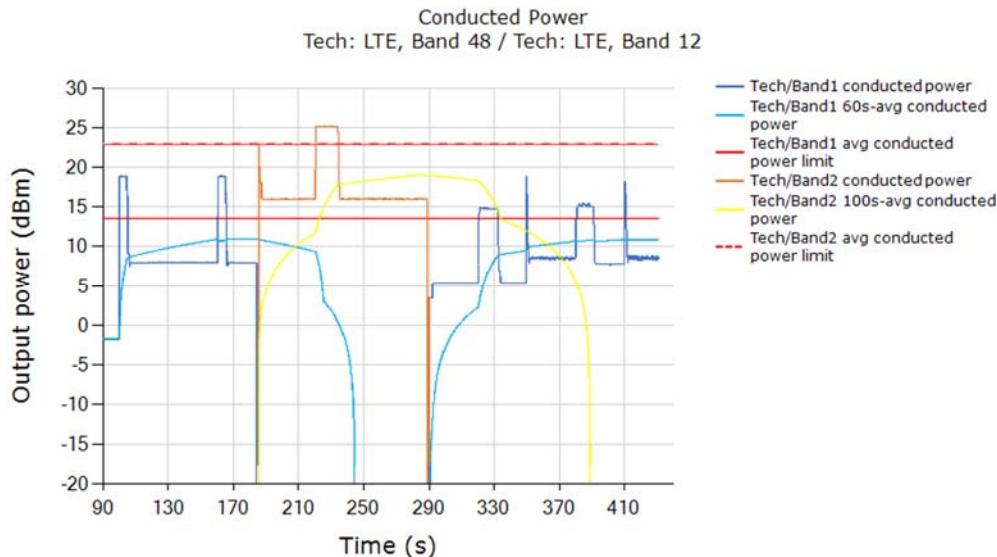
Plot Notes: Maximum power is requested by callbox for the entire duration of the test, with tech/band switches from 100s-to-60s window at ~250s time stamp, and from 60s-to-100s window at ~350s time stamp. Smart Transmit controls the Tx power during these time-window switches to ensure total time-averaged RF exposure, i.e., sum of black and orange curves given by equation (7c), is always compliant. In time-window switch test, at all times the total time-averaged normalized RF exposure (green curve) should not exceed normalized SAR\_design\_target + 1 dB device uncertainty. In this test, with a maximum normalized SAR of 0.601 being  $\leq 0.63$  ( $= 0.8/1.6 + 1$  dB device uncertainty), the above test result validated the continuity of power limiting in time-window switch scenario.

FCC ID: BCGA2379	 <b>PCTEST</b> <small>Proud to be part of Element</small>	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by:
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Quality Manager Page 59 of 101

### 9.5.2 Test case 2: transition from LTE Band 48 to LTE Band 12 (i.e., 60s to 100s), then back to LTE Band 48

#### Test result for change in time-window (from 60s to 100s to 60s):

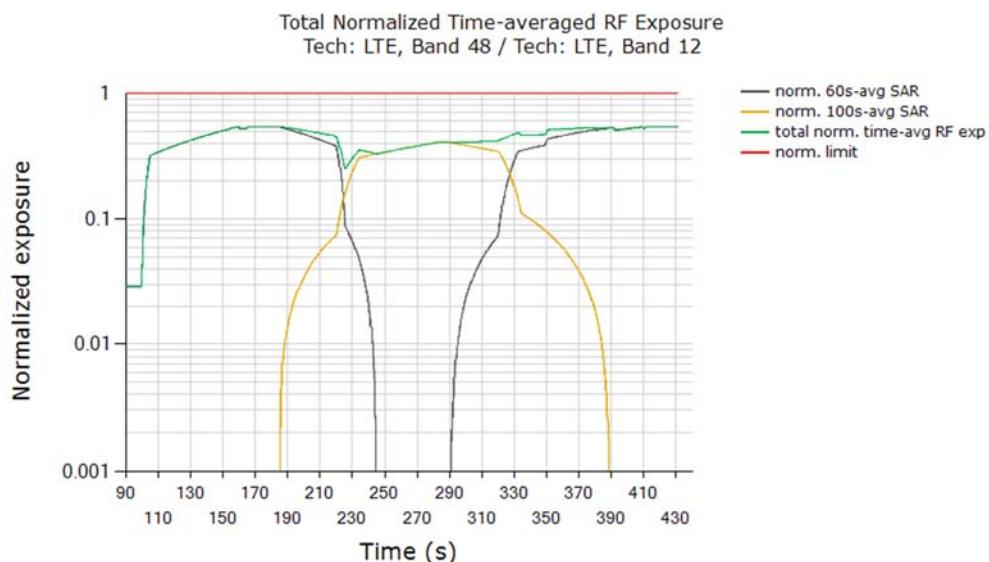
Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed when LTE Band 48 switches to LTE Band 12 (~190 seconds timestamp) and switches back to LTE Band 48 (~290 seconds timestamp):



Note: As per the manufacturer, *Reserve\_power\_margin* = 3dB. Based on Table 8-1, EFS *Plimit* = 10.50 dBm for LTE Band 48 DS1 = 1 (60s window), and EFS *Plimit* = 18.90 dBm for LTE B12 DS1 = 1 (100s window). The conducted power plot shows expected transitions in Tx power at ~190s (60s-to-100s transition) and at ~290s (100s-to-60s transition) in order to maintain total time-averaged RF exposure compliance across time windows, as shown in next plot.

FCC ID: BCGA2379	 <b>PART 2 RF EXPOSURE EVALUATION REPORT</b>		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 60 of 101

Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (7a) is used to convert the Tx power of device to obtain 60s-averaged normalized SAR in LTE Band 48 as shown in black curve. Similarly, equation (7b) is used to obtain 100s-averaged normalized SAR in LTE Band 12 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



	(W/kg)
FCC normalized total exposure limit	1.0
Max time averaged normalized SAR (green curve)	0.543
Validated	

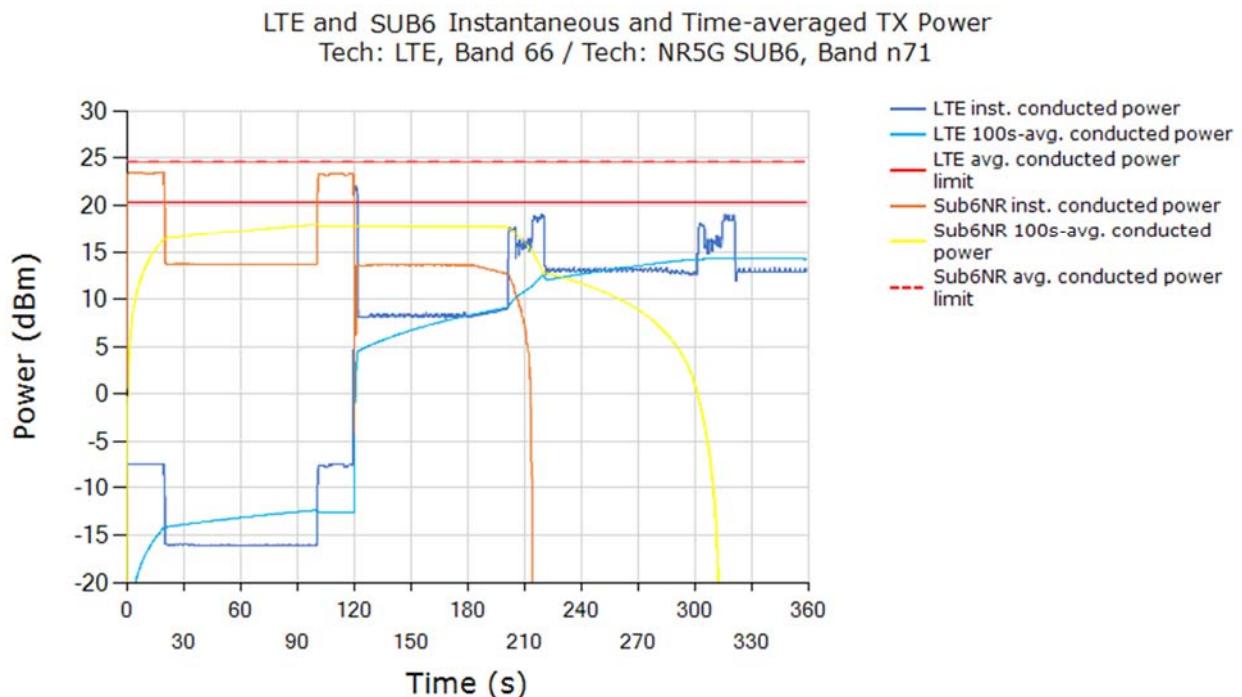
Plot Notes: Maximum power is requested by callbox for the entire duration of the test, with tech/band switches from 60s-to-100s window at ~190 time stamp, and from 100s-to-60s window at ~290s time stamp. Smart Transmit controls the Tx power during these time-window switches to ensure total time-averaged RF exposure, i.e., sum of black and orange curves given by equation (7c), is always compliant. In time-window switch test, at all times the total time-averaged normalized RF exposure (green curve) should not exceed normalized SAR\_design\_target + 1 dB device uncertainty. In this test, with a maximum normalized SAR of 0.569 being  $\leq 0.63$  ( $= 0.8/1.6 + 1$  dB device uncertainty), the above test result validated the continuity of power limiting in time-window switch scenario.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 61 of 101

## 9.7 Switch in SAR exposure test results (ENDC)

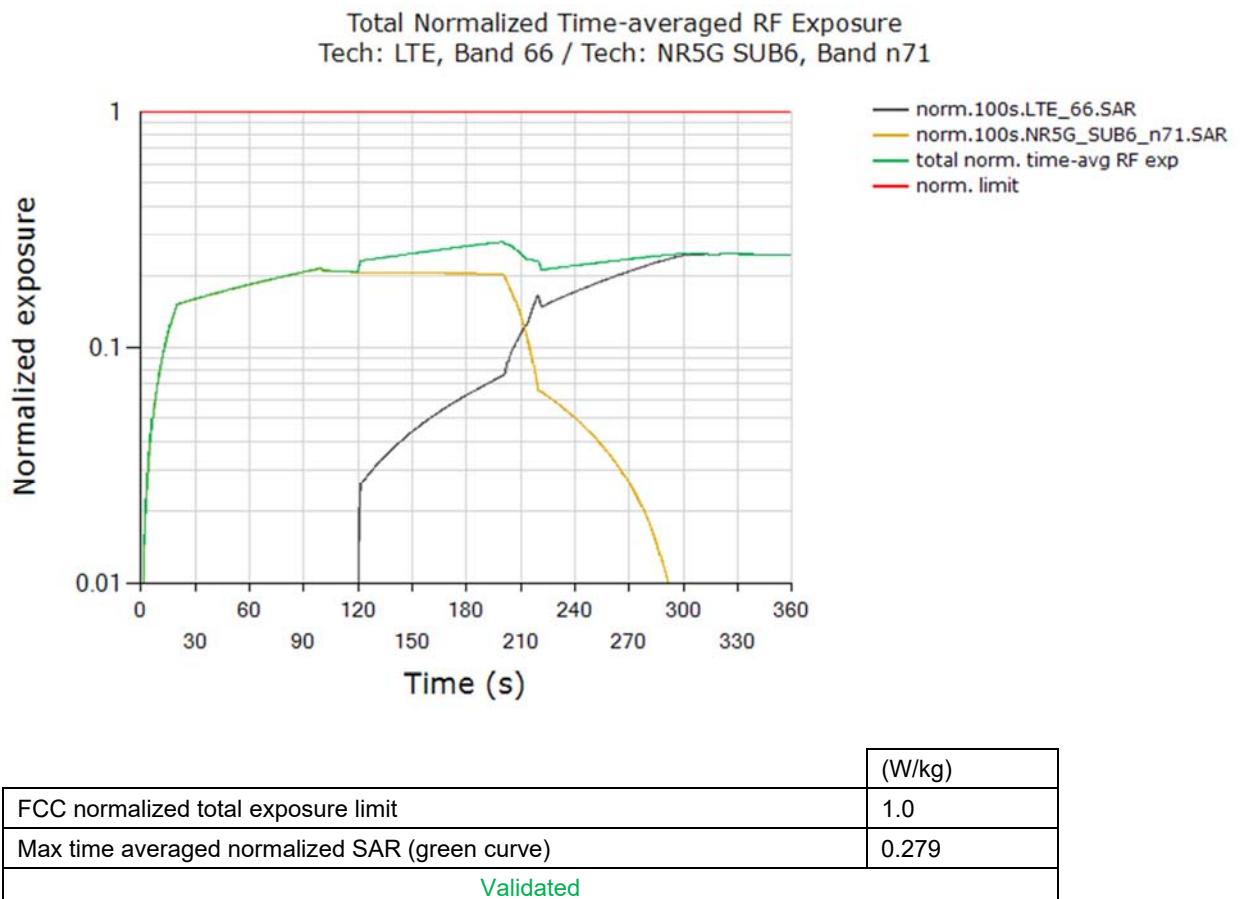
This test was conducted with callbox requesting maximum power, and with the EUT in LTE Band 66, Antenna 3 + Sub6 NR Band n71, Antenna 1 call. Following procedure detailed in Section 4.3.7 and Appendix F.2, and using the measurement setup shown in Figure 6-1(e) since LTE and Sub6 NR do not share the same antenna port, the SAR exposure switch measurement is performed with the EUT in various SAR exposure scenarios, i.e., in SAR<sub>Sub6NR</sub> only scenario (t =0s ~120s), SAR<sub>Sub6NR</sub> + SAR<sub>LTE</sub> scenario (t =120s ~ 240s) and SAR<sub>LTE</sub> only scenario (t > 240s).

The Smart Transmit algorithm reserve power from anchor band (LTE B66) for NR (n71). The reservation amount varies based on the anchor band and the NR band. Due to this reservation, anchor band do not reach to the P<sub>max</sub> power.



FCC ID: BCGA2379	 <b>PART 2 RF EXPOSURE EVALUATION REPORT</b>		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 62 of 101

Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (7a) is used to convert the LTE Tx power of device to obtain 100s-averaged normalized SAR in LTE B66 as shown in black curve. Similarly, equation (7b) is used to obtain 100s-averaged normalized SAR in Sub6 NR n71 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



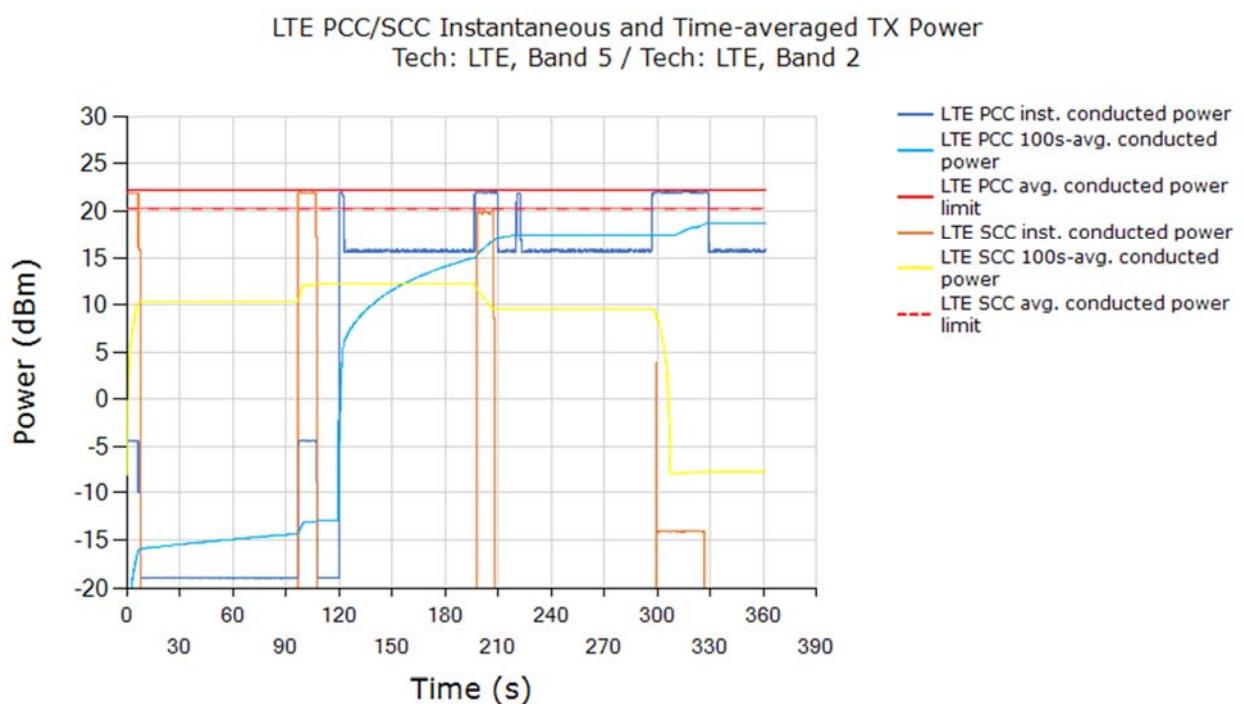
Plot Notes: Device starts predominantly in Sub6 NR SAR exposure scenario between 0s and 120s, and in LTE SAR + Sub6 NR SAR exposure scenario between 120s and 240s, and in predominantly in LTE SAR exposure scenario after t=240s. Here, Smart Transmit allocates a maximum of 75% of exposure margin (based on 3dB reserve margin setting) for Sub6 NR. This corresponds to a normalized 1gSAR exposure value =  $75\% * 0.48 \text{ W/kg measured SAR at Sub6 NR } P_{limit} / 1.6 \text{ W/kg limit} = 0.225 \pm 1 \text{ dB device related uncertainty}$  (see orange curve between 0s~120s). For predominantly LTE SAR exposure scenario, maximum normalized 1gSAR exposure should correspond to 100% exposure margin =  $0.481 \text{ W/kg measured SAR at LTE } P_{limit} / 1.6 \text{ W/kg limit} = 0.301 \pm 1 \text{ dB device related uncertainty}$  (see black curve after t = 240s). Additionally, in SAR exposure switch test, at all times the total time-averaged normalized RF exposure (green curve) should not exceed normalized SAR\_design\_target + 1 dB device uncertainty. In this test, with a maximum normalized SAR of 0.279 being  $\leq 0.63$  ( $= 0.8/1.6 + 1 \text{ dB device uncertainty}$ ), the above test result validated the continuity of power limiting in SAR exposure switch scenario.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of Element		PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 63 of 101	

## 9.8 Switch in SAR exposure test results (Interband ULCA)

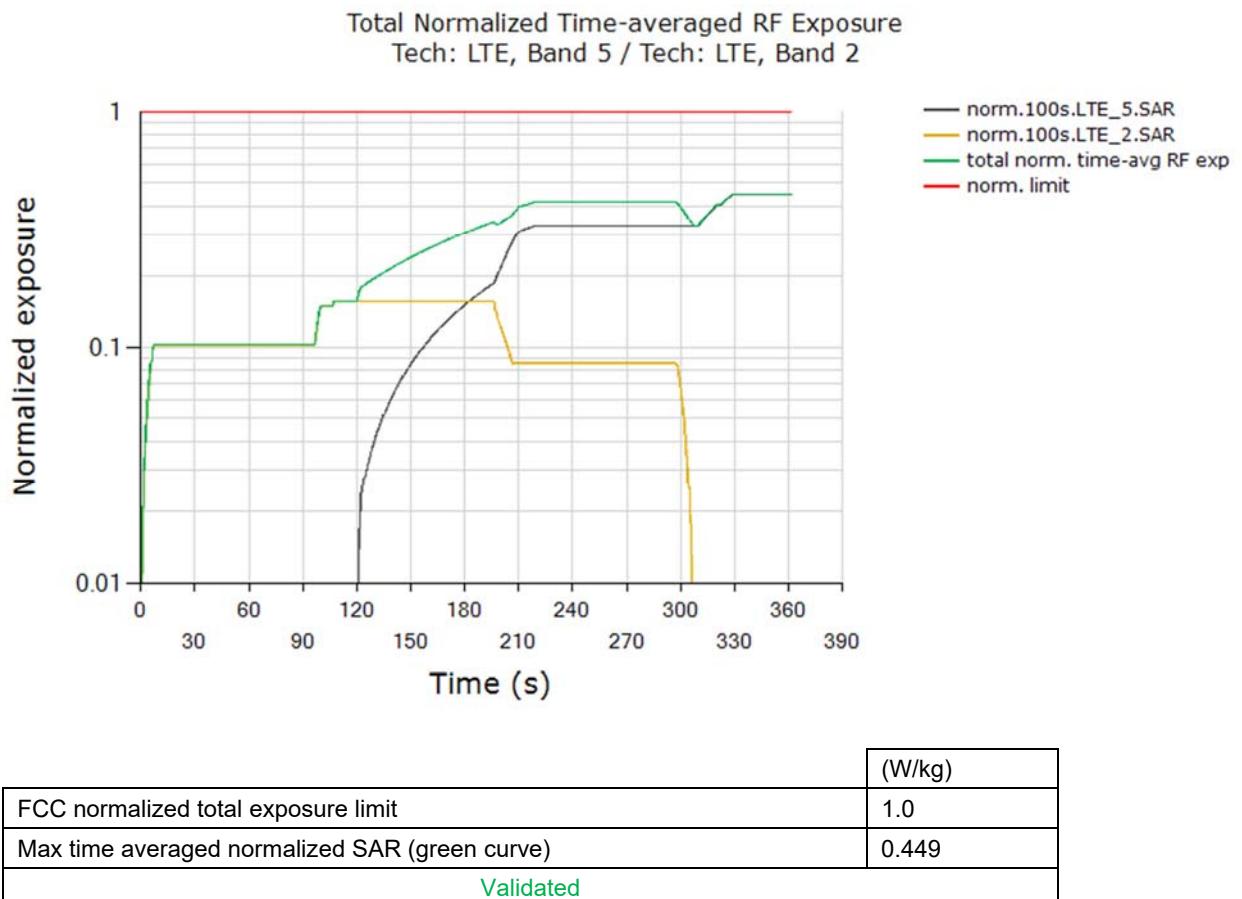
This test was conducted with callbox requesting maximum power, and with the EUT in LTE Band 5 (PCC), Antenna 3 + LTE Band 2 (SCC), Antenna 1 call. The measurement setup shown in Figure 6-1(c) was used because each LTE do not share the same antenna port. The SAR exposure switch measurement is performed with the EUT in various SAR exposure scenarios, i.e., in SAR<sub>SCC</sub> max scenario (t =0s ~120s), SAR<sub>PCC</sub> + SAR<sub>SCC</sub> max scenario (t =120s ~ 240s) and SAR<sub>PCC</sub> max scenario (t > 240s).

The Smart Transmit algorithm reserve power from PCC band (LTE B5) for SCC band (LTE B2). The reservation amount varies based on the anchor band and the NR band. Due to this reservation, anchor band do not reach to the P<sub>max</sub> power.



FCC ID: BCGA2379	 <b>PART 2 RF EXPOSURE EVALUATION REPORT</b>		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 64 of 101

Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (7a) is used to convert the LTE Tx power of device to obtain 100s-averaged normalized SAR in LTE B5 (PCC) as shown in black curve. Similarly, equation (7b) is used to obtain 100s-averaged normalized SAR in LTE B2 (SCC) as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



Plot Notes: Device starts predominantly in LTE B2 (SCC) SAR exposure scenario between 0s and 120s, and in LTE B5 (PCC) SAR + LTE B2 (SCC) SAR exposure scenario between 120s and 240s, and in predominantly in LTE B2 (SCC) SAR exposure scenario after t=240s. In SAR exposure switch test, at all times the total time-averaged normalized RF exposure (green curve) should not exceed normalized SAR\_design\_target + 1 dB device uncertainty. In this test, with a maximum normalized SAR of 0.449 being  $\leq 0.63$  ( $= 0.8/1.6 + 1$  dB device uncertainty), the above test result validated the continuity of power limiting in SAR exposure switch scenario.

FCC ID: BCGA2379	 <b>PART 2 RF EXPOSURE EVALUATION REPORT</b>		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 65 of 101

## 10 SYSTEM VERIFICATION (FREQ < 6 GHZ)

### 10.1 Tissue Verification

Table 10-1  
Measured Tissue Properties

Calibrated for Tests Performed on:	Tissue Type	Tissue Temp During Calibration (°C)	Measured Frequency (MHz)	Measured Conductivity, $\sigma$ (S/m)	Measured Dielectric Constant, $\epsilon$	TARGET Conductivity, $\sigma$ (S/m)	TARGET Dielectric Constant, $\epsilon$	% dev $\sigma$	% dev $\epsilon$
1/25/2021	750 Body	20.4	680	0.939	54.190	0.958	55.804	-1.98%	-2.89%
			695	0.945	54.161	0.959	55.745	-1.46%	-2.84%
			700	0.947	54.149	0.959	55.726	-1.25%	-2.83%
			710	0.950	54.127	0.960	55.687	-1.04%	-2.80%
			720	0.954	54.102	0.961	55.648	-0.73%	-2.78%
			725	0.955	54.089	0.961	55.629	-0.62%	-2.77%
			740	0.960	54.045	0.963	55.570	-0.31%	-2.74%
			755	0.966	54.008	0.964	55.512	0.21%	-2.71%
			770	0.972	53.979	0.965	55.453	0.73%	-2.66%
			785	0.978	53.948	0.966	55.395	1.24%	-2.61%
2/15/2021	750 Body	21.0	680	0.923	54.306	0.958	55.804	-3.65%	-2.68%
			695	0.927	54.258	0.959	55.745	-3.34%	-2.67%
			700	0.930	54.267	0.959	55.726	-3.02%	-2.62%
			710	0.932	54.244	0.960	55.687	-2.92%	-2.59%
			720	0.936	54.236	0.961	55.648	-2.60%	-2.54%
			725	0.937	54.223	0.961	55.629	-2.50%	-2.53%
			740	0.944	54.197	0.963	55.570	-1.97%	-2.47%
			755	0.950	54.136	0.964	55.512	-1.45%	-2.48%
			770	0.955	54.087	0.965	55.453	-1.04%	-2.46%
			785	0.960	54.069	0.966	55.395	-0.62%	-2.39%
1/18/2021	835 Body	20.3	820	0.976	54.520	0.969	55.258	0.72%	-1.34%
			835	0.982	54.477	0.970	55.200	1.24%	-1.31%
			850	0.988	54.433	0.988	55.154	0.00%	-1.31%
1/20/2021	835 Body	22.4	820	0.993	54.538	0.969	55.258	2.48%	-1.30%
			835	0.999	54.499	0.970	55.200	2.99%	-1.27%
			850	1.005	54.454	0.988	55.154	1.72%	-1.27%
1/15/2021	1900 Body	23.6	1850	1.543	52.692	1.520	53.300	1.51%	-1.14%
			1880	1.563	52.645	1.520	53.300	2.83%	-1.23%
			1910	1.584	52.598	1.520	53.300	4.21%	-1.32%
1/20/2021	1900 Body	22.4	1850	1.547	52.865	1.520	53.300	1.78%	-0.82%
			1880	1.567	52.813	1.520	53.300	3.09%	-0.91%
			1910	1.587	52.774	1.520	53.300	4.41%	-0.99%
1/19/2021	3700 Body	20.0	3645	3.345	50.062	3.483	51.125	-3.96%	-2.08%
			3685	3.397	49.992	3.530	51.070	-3.77%	-2.11%
			3725	3.444	49.937	3.577	51.016	-3.72%	-2.12%
2/10/2021	3900 Body	19.8	3690	3.405	49.516	3.536	51.063	-3.70%	-3.03%
			3700	3.417	49.503	3.548	51.05	-3.69%	-3.03%
			3750	3.476	49.418	3.606	50.982	-3.61%	-3.07%
			3900	3.663	49.165	3.781	50.779	-3.12%	-3.18%
			3930	3.702	49.116	3.816	50.738	-2.99%	-3.20%

The above measured tissue parameters were used in the DASY software. The DASY software was used to perform interpolation to determine the dielectric parameters at the SAR test device frequencies (per KDB Publication 865664 D01v01r04 and IEEE 1528-2013 6.6.1.2). The tissue parameters listed in the SAR test plots may slightly differ from the table above due to significant digit rounding in the software.

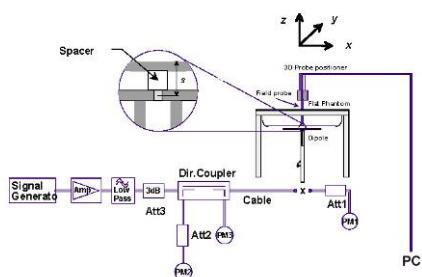
FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of  Vilant	PART 2 RF EXPOSURE EVALUATION REPORT			Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device			

## 10.2 Test System Verification

Prior to SAR assessment, the system is verified to  $\pm 10\%$  of the SAR measurement on the reference dipole at the time of calibration by the calibration facility. Full system validation status and result summary can be found in Appendix C.

**Table 10-2**  
**System Verification Results – 1g**

System Verification TARGET & MEASURED												
SAR System #	Tissue Frequency (MHz)	Tissue Type	Date	Amb. Temp (°C)	Liquid Temp (°C)	Input Power (W)	Source SN	Probe SN	Measured SAR <sub>1g</sub> (W/kg)	1 W Target SAR <sub>1g</sub> (W/kg)	1 W Normalized SAR <sub>1g</sub> (W/kg)	Deviation <sub>1g</sub> (%)
AM5	750	BODY	1/25/2021	21.0	19.8	0.200	1034	3949	1.790	8.570	8.950	4.43%
AM3	750	BODY	2/15/2021	22.7	21.9	0.200	1034	7532	1.760	8.570	8.800	2.68%
AM5	835	BODY	1/18/2021	21.8	20.3	0.200	4d040	3949	2.040	9.530	10.200	7.03%
AM5	850	BODY	1/20/2021	22.7	22.4	0.200	1009	3949	2.070	10.000	10.350	3.50%
AM5	1900	BODY	1/15/2021	23.1	23.6	0.100	5d030	3949	4.210	39.900	42.100	5.51%
AM5	1900	BODY	1/20/2021	22.7	22.4	0.100	5d030	3949	4.210	39.900	42.100	5.51%
AM5	3700	BODY	1/19/2021	23.1	20.0	0.100	1002	7490	6.260	64.700	62.600	-3.25%
AM3	3900	BODY	2/10/2021	22.7	19.8	0.100	1062	7490	6.160	66.300	61.600	-7.09%



**Figure 10-1**  
**System Verification Setup Diagram**



**Figure 10-2**  
**System Verification Setup Photo**

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT			Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device			Page 67 of 101

## 11 SAR TEST RESULTS (FREQ < 6 GHZ)

### 11.1 Time-varying Tx Power Case

Following Section 4.4 procedure, time-averaged SAR measurements are conducted using a SAR probe at peak location of area scan over 500 seconds. cDASY6 system verification for SAR measurement is provided in Section 10, and the associated SPEAG certificates are attached in Appendix G.

SAR probe integration times depend on the communication signal being tested as defined in the probe calibration parameters.

Since the sampling rate used by cDASY6 for pointSAR measurements is not in user control, the number of points in 100s interval is determined from the scan duration setting in cDASY6 time-average pointSAR measurement by (100s cDASY6\_scan\_duration \* total number of pointSAR values recorded). Running average is performed over these number of points in excel spreadsheet to obtain 100s averaged point SAR.

Following Section 4.4, for each of selected technology/band (listed in Table 8-2):

8. With *Reserve\_power\_margin* set to 0 dB, area scan is performed at  $P_{limit}$ , and time-averaged pointSAR measurements are conducted to determine the pointSAR at  $P_{limit}$  at peak location, denoted as  $pointSAR_{P_{limit}}$ .
9. With *Reserve\_power\_margin* set to actual (intended) value, two more time-averaged pointSAR measurements are performed at the same peak location for test sequences 1 and 2.

To demonstrate compliance, all the pointSAR measurement results were converted into 1gSAR or 10gSAR values by using Equation (3a), rewritten below:

$$1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR_{P_{limit}}} * 1g\_or\_10gSAR_{P_{limit}} \quad (3a)$$

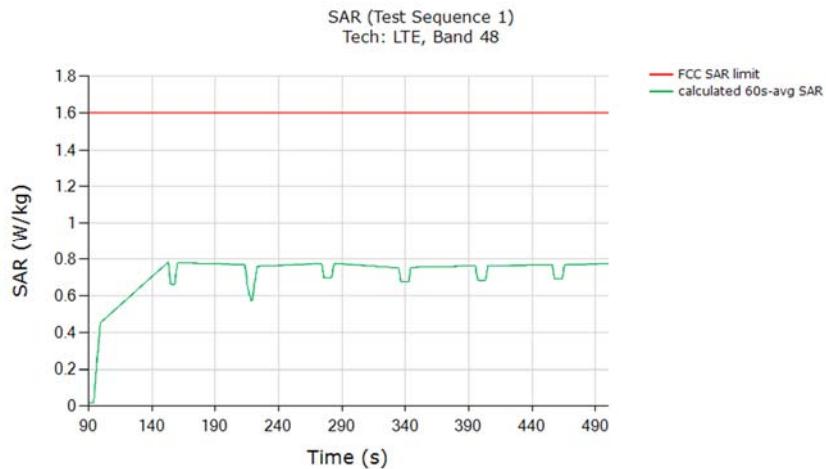
where,  $pointSAR(t)$ ,  $pointSAR_{P_{limit}}$ , and  $1g\_or\_10gSAR_{P_{limit}}$  correspond to the measured instantaneous point SAR, measured point SAR at  $P_{limit}$  from above step 1 and 2, and measured 1gSAR or 10gSAR values at  $P_{limit}$  obtained from Part 1 report and listed in Table 8-2 of this report.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device		Page 68 of 101

### 11.1.1

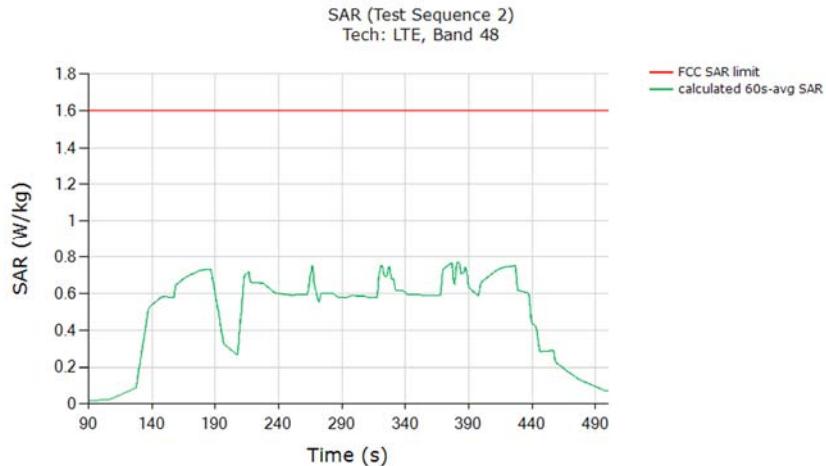
### LTE Band 48 Ant 3

#### SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged point 1gSAR (green curve)	0.783
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

#### SAR test results for test sequence 2:



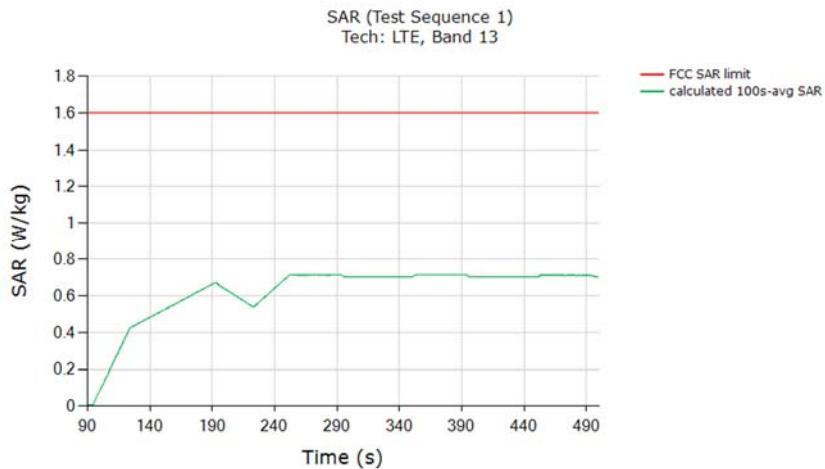
	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.768
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 PCTEST Proud to be part of Element	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 69 of 101

### 11.1.2

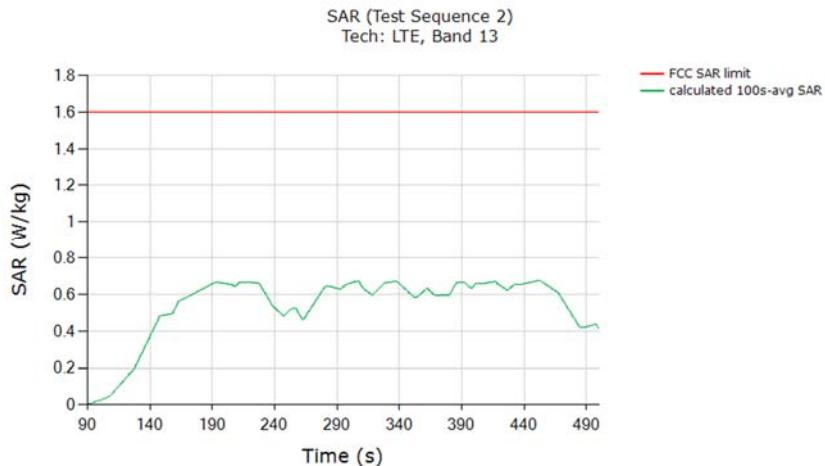
### LTE Band 13 Ant 3

#### SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged point 1gSAR (green curve)	0.712
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

#### SAR test results for test sequence 2:



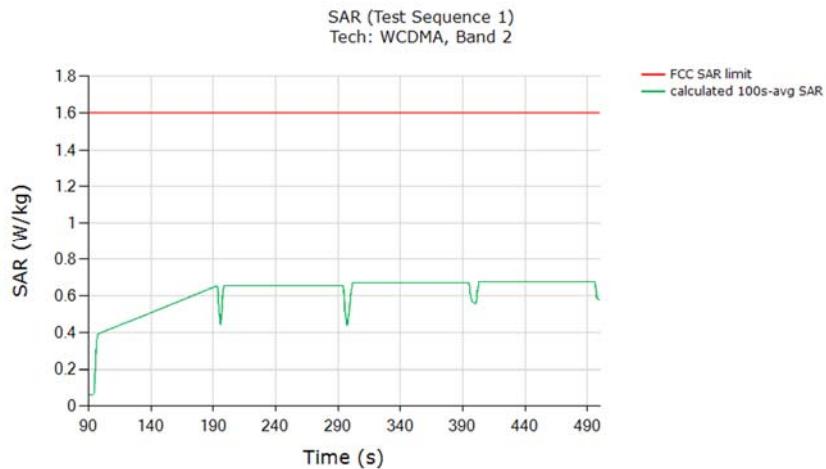
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.676
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 70 of 101

### 11.1.3

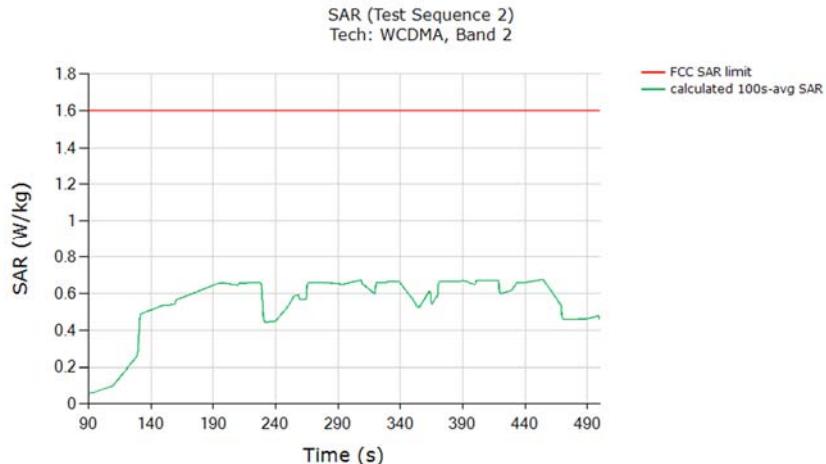
### WCDMA B2 Ant 4b

#### SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged point 1gSAR (green curve)	0.680
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

#### SAR test results for test sequence 2:



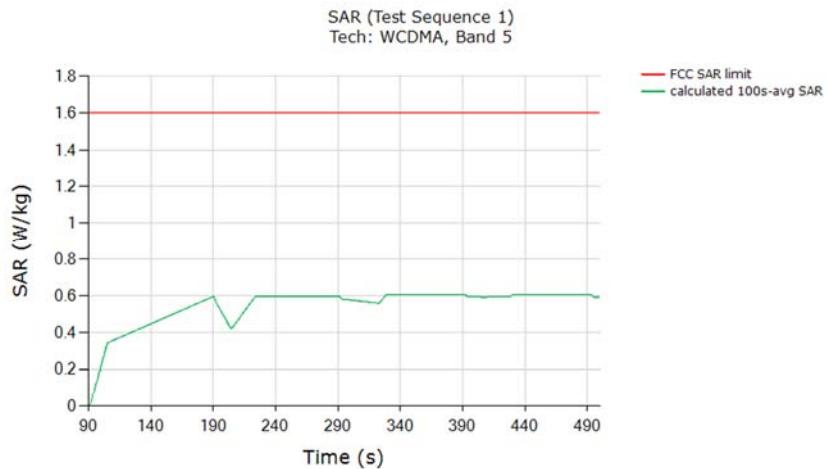
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.675
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 PCTEST Proud to be part of Element	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 71 of 101

### 11.1.4

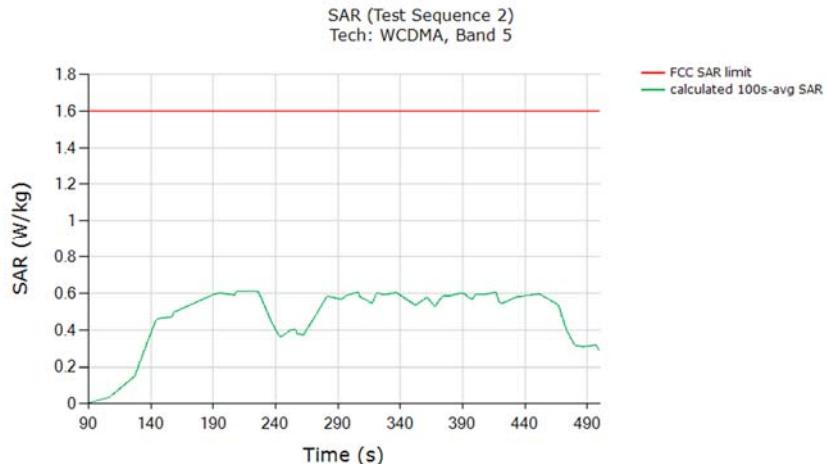
### WCDMA B5 Ant 3

#### SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged point 1gSAR (green curve)	0.605
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

#### SAR test results for test sequence 2:



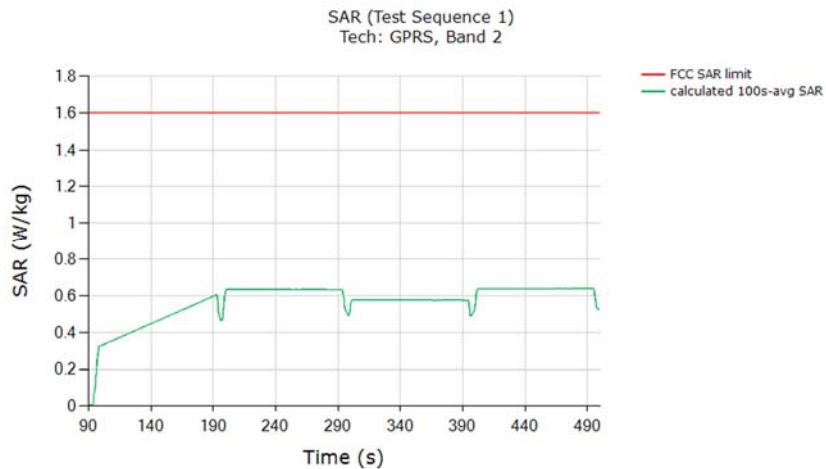
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.608
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 72 of 101

### 11.1.5

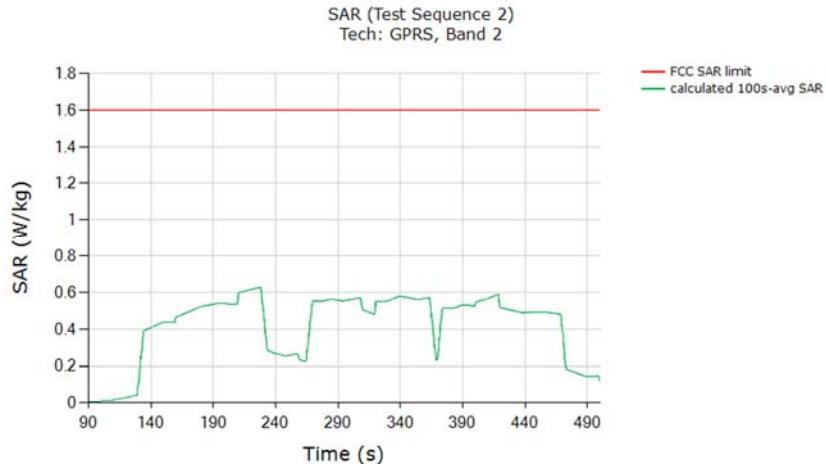
### GPRS 1900 Ant 4b

#### SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged point 1gSAR (green curve)	0.639
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

#### SAR test results for test sequence 2:



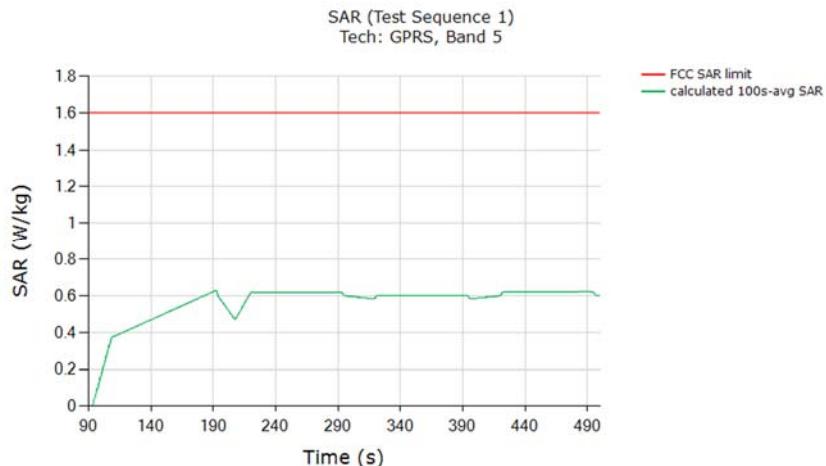
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.626
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 PCTEST Proud to be part of Element	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 73 of 101

### 11.1.6

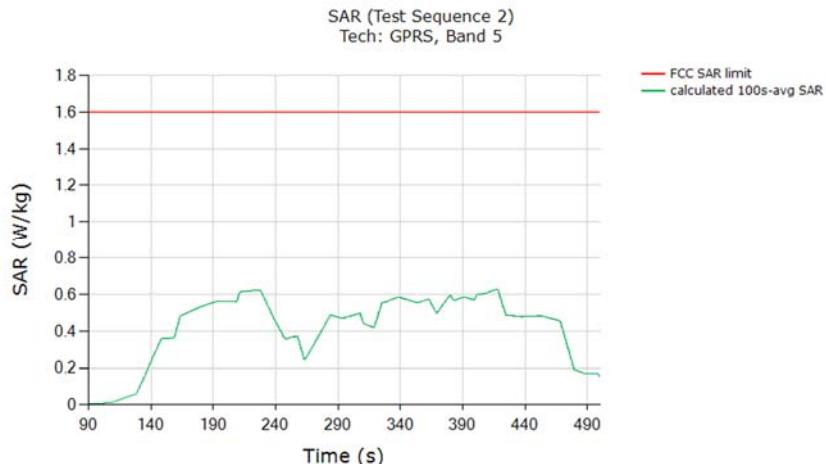
### GPRS 850 Ant 3

#### SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged point 1gSAR (green curve)	0.628
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

#### SAR test results for test sequence 2:



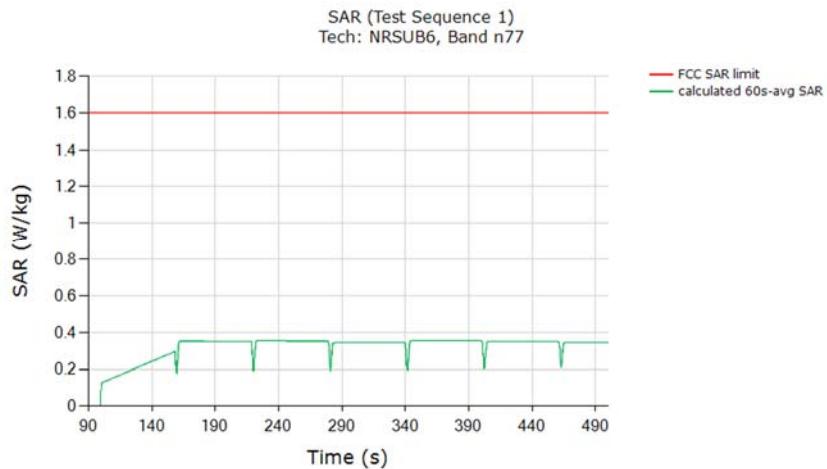
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.629
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 PCTEST Proud to be part of Element	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 74 of 101

### 11.1.7

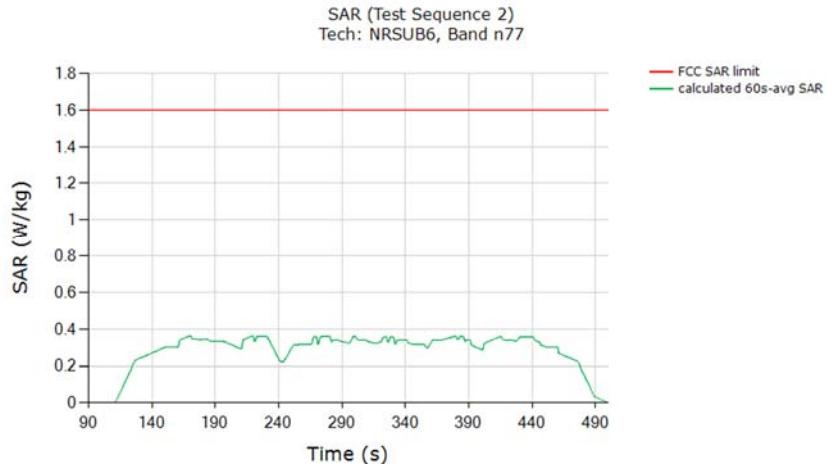
### NR n77 NSA Ant 2a

#### SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged point 1gSAR (green curve)	0.354
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of 75% (with 3dB Reserve_power_margin setting) of the measured SAR at $P_{limit}$ (last column in Table 8-2).	

#### SAR test results for test sequence 2:



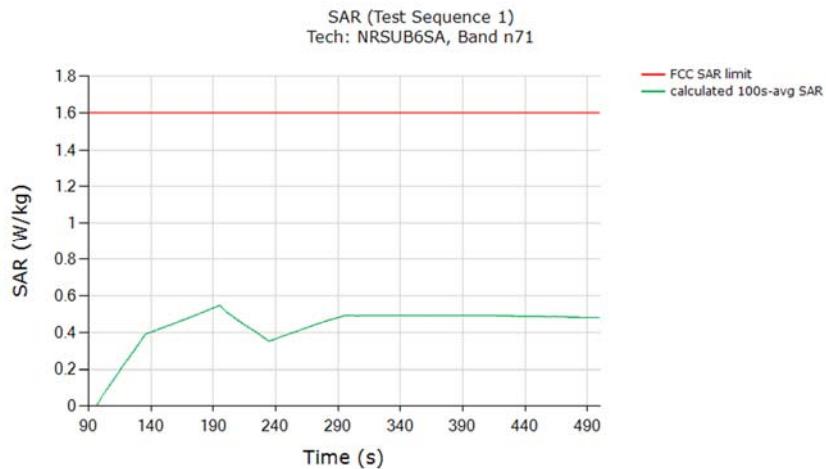
	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.362
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of 75% (with 3dB Reserve_power_margin setting) of the measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 75 of 101

### 11.1.8

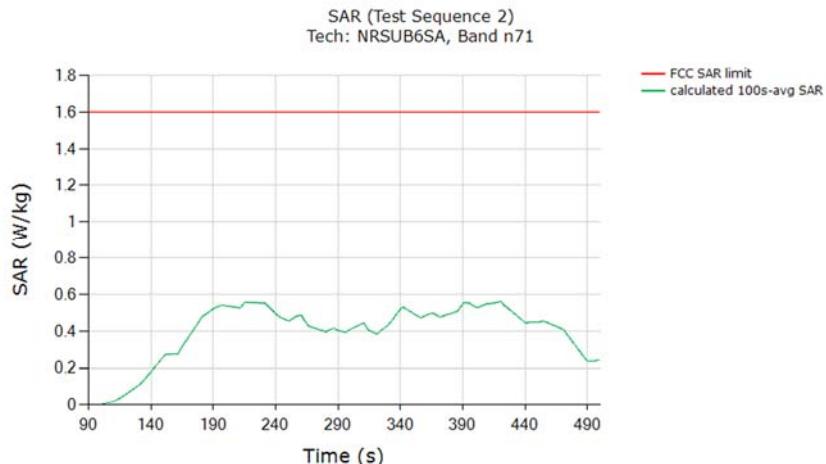
### NR n71 SA Ant 1

#### SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged point 1gSAR (green curve)	0.547
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of the measured SAR at $P_{limit}$ (last column in Table 8-2).	

#### SAR test results for test sequence 2:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.560
<b>Validated:</b> Max time averaged SAR (green curve) is within 1 dB device uncertainty of the measured SAR at $P_{limit}$ (last column in Table 8-2).	

FCC ID: BCGA2379	 PCTEST Proud to be part of Element	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 76 of 101

## 12 TEST CONFIGURATIONS (FREQ > 6 GHZ)

### 12.1 LTE + mmW NR transmission

Based on the selection criteria described in Section 4.2, the selections for LTE and mmW NR validation test are listed in Table 12-1. The radio configurations used in this test are listed in Table 12-2.

**Table 12-1**  
**Selections for LTE + mmW NR validation measurements**

Transmision Scenario	Test	Technology and Band	mmWave Beam
Time-varying Tx power test	1. Cond. & Rad. Power meas. 2. PD meas.	LTE Band 2 and n261	Beam ID 42
		LTE Band 2 and n260	Beam ID 28
Switch in SAR vs. PD	1. Cond. & Rad. Power meas.	LTE Band 2 and n261	Beam ID 42
		LTE Band 2 and n260	Beam ID 28
Beam switch test	1. Cond. & Rad. Power meas.	LTE Band 2 and n261	Beam ID 40 to Beam ID 2
		LTE Band 2 and n260	Beam ID 28 to Beam ID 3

**Table 12-2**  
**Test configuration for LTE + mmW NR validation**

Tech	Band	Antenna	DSI	Channel	Freq (MHz)	RB/RB Offset/Bandwidth (MHz)	Mode	UL Duty Cycle
LTE	2	1	1	18900	1880	1/50/20 MHz BW	QPSK	100%
mmW NR	n261	M0	-	2071821	27559.32	66/0/100 MHz BW	CP-OFDM, QPSK	75.6%*
	n260	M3	-	2254147	38498.88	66/0/100 MHz BW	CP-OFDM, QPSK	75.6%*

### 12.2 mmW NR radiated power test results

To demonstrate the compliance, the conducted Tx power of LTE 2 in DSI = 1 is converted to 1gSAR exposure by applying the corresponding worst-case 1g SAR value at  $P_{limit}$  as reported in Part 1 report and listed in Table 8-2 of this report.

Similarly, following Step 4 in Section 5.3.1, radiated Tx power of mmW Band n261 and n260 for the beams tested is converted by applying the corresponding measured worst-case 4cm<sup>2</sup>PD values, and listed in below Table 12-3. Qualcomm Smart Transmit feature operates based on time-averaged Tx power reported on a per symbol basis, which is independent of modulation, channel and bandwidth (RBs), therefore the worst-case 4cm<sup>2</sup>PD was conducted with the EUT in FTM mode, with CW modulation and 100% duty cycle. cDASY6 system verification for power density measurement is provided in Section 14, and the associated SPEAG certificates are attached in Appendix G.

Both the worst-case 1gSAR and 4cm<sup>2</sup>PD values used in this section are listed in Table 12-3. The measured EIRP at *input.power.limit* for the beams tested in this section are also listed in Table 12-3.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 77 of 101

**Table 12-3**  
**Worst-case 1gSAR, 4cm<sup>2</sup> avg. PD and EIRP measured at *input.power.limit* for the selected configuration**

Tech	Band	Antenna	Beam ID	input.power.limit for Part 2 Test (dBm)	input.power.limit (dBm)	Measured psPD at input.power.limit		Measured EIRP at input.power.limit (dBm)
						4cm <sup>2</sup> psPD (W/m <sup>2</sup> )	Test Position	
mmW NR	n261	M0	42	-0.2	1.5	3.85	Front	12.10
		M3	40	-0.3	0.4	3.82	Bottom	19.82
		M3	2	5.5	6.4	4	Bottom	15.49
mmW NR	n260	M3	28	-0.3	3.3	6.4	Bottom	23.00
		M3	3	6.5	7.3	4.58	Bottom	18.72

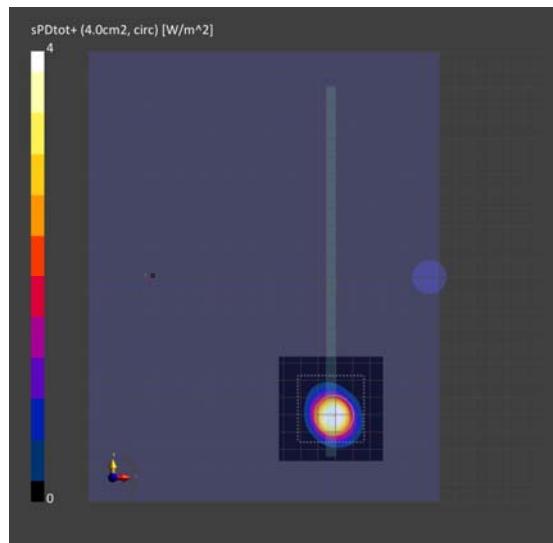
Per Qualcomm's 80-w2112-5 document Appendix I, the Part 1 and the Part 2 tests were done parallelly. The EIRP values were measured during the Part 2 test with the 'input.power.limit for Part 2' whereas psPD values were measured with the final input.power.limit.

Tech	Band	Antenna	DSI	Measured Plimit (dBm)	Measured 1g SAR at Plimit	
					1g SAR (W/kg)	Test Position
LTE	2	1	1	15.61	0.556	bottom

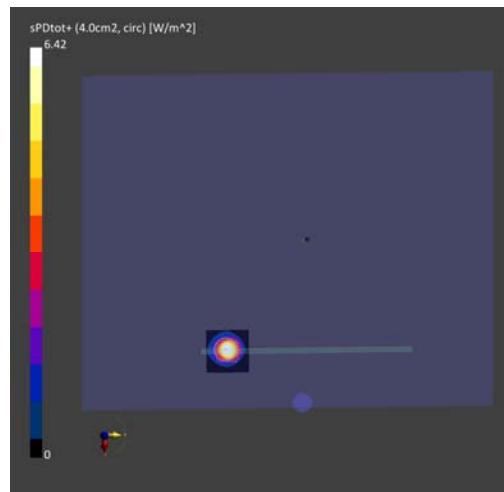
The 4cm<sup>2</sup> psPD distributions for the highest PD value per band, as listed in Table 12-3, are plotted below.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT			Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device			

**Figure 12-1**  
**4cm<sup>2</sup> psPD distribution measured at *input.power.limit* of 6.4 dBm on the front surface for n261 beam 2**



**Figure 12-2**  
**4cm<sup>2</sup> psPD distribution measured at *input.power.limit* of 3.3 dBm on the bottom edge for n260 beam 28**



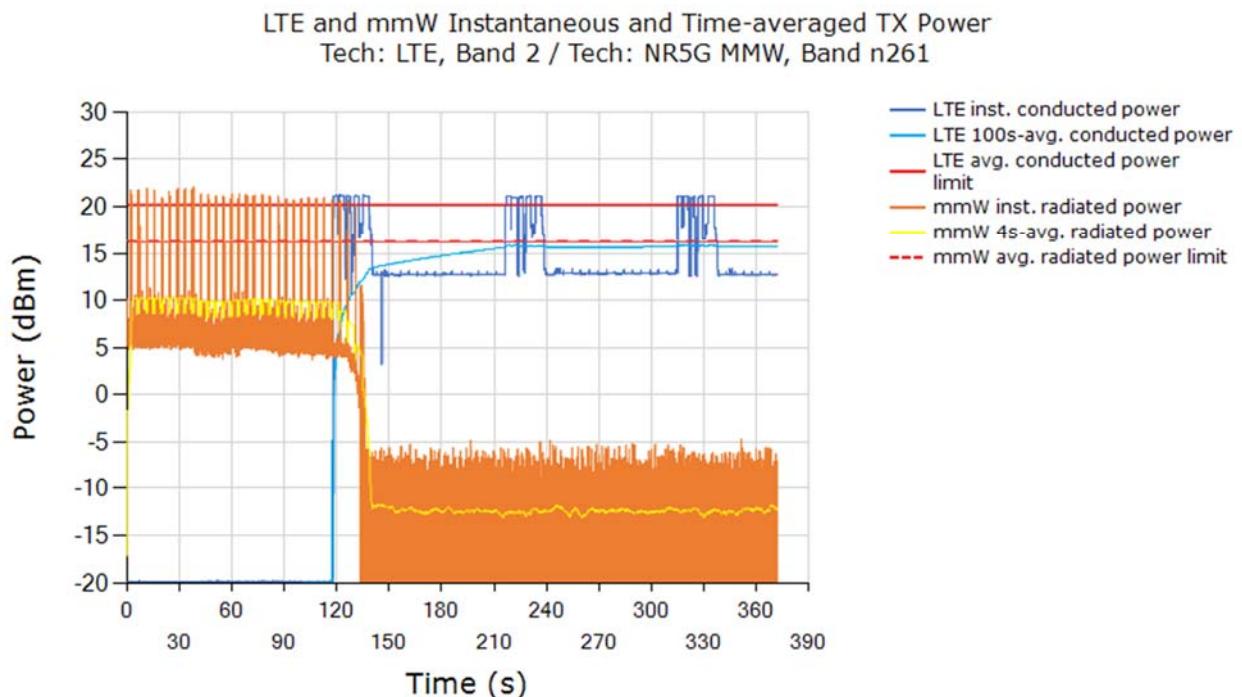
FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 79 of 101

## 13 RADIATED POWER TX CASES (FREQ > 6 GHZ)

### 13.1 Maximum Tx power test results for n261

This test was measured with LTE 2 and mmW Band n261 Beam ID 42, by following the detailed test procedure described in Section 5.3.1.

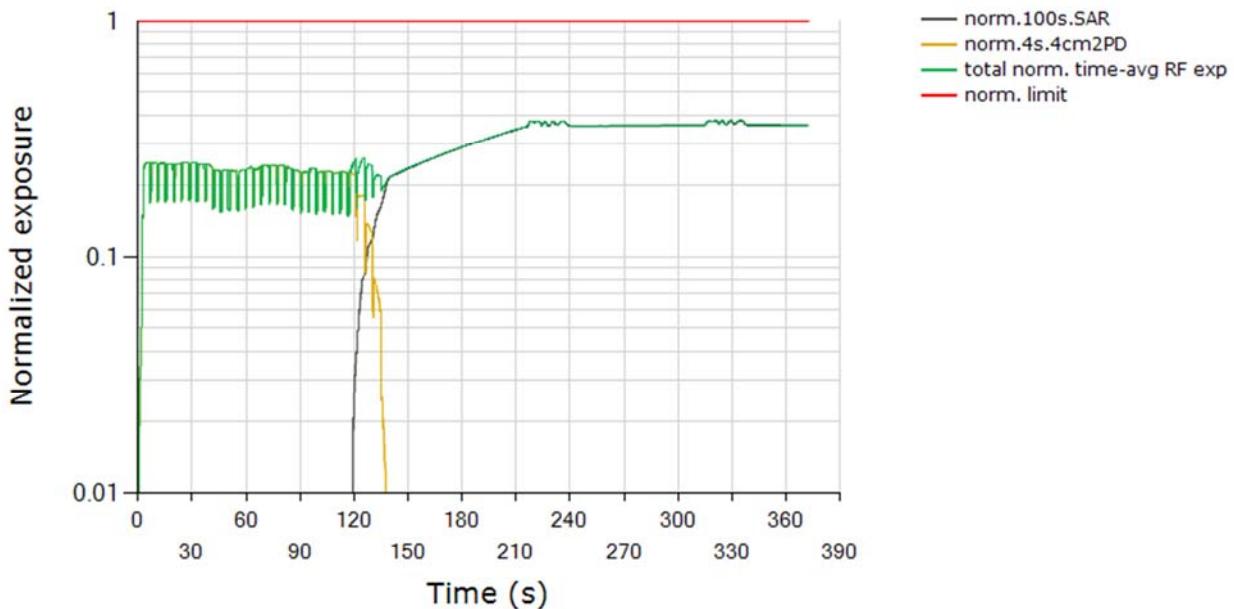
Instantaneous and 100s-averaged conducted LTE Tx power versus time, instantaneous and 4s-averaged radiated mmW Tx power versus time, time-averaged conducted LTE Tx power limit and time-averaged radiated mmW Tx power limit:



Above time-averaged conducted Tx power for LTE 2 and radiated Tx power for mmW NR n261 beam 42 are converted into time-averaged 1gSAR and time-averaged 4cm<sup>2</sup>PD using Equation (2a) and (2b), which are divided by FCC 1gSAR limit of 1.6 W/kg and 4cm<sup>2</sup>PD limit of 10 W/m<sup>2</sup>, respectively, to obtain normalized exposures versus time. Below plot shows (a) normalized time-averaged 1gSAR versus time, (b) normalized time-averaged 4cm<sup>2</sup>-avg.PD versus time, (c) sum of normalized time-averaged 1gSAR and normalized time-averaged 4cm<sup>2</sup>-avg.PD:

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 80 of 101

Total Normalized Time-averaged RF Exposure  
Tech: LTE, Band 2 / Tech: NR5G MMW, Band n261



FCC requirement for total RF exposure (normalized)	1.0
Max total normalized time-averaged RF exposure (green curve)	0.370
Validated	

Plot notes: As soon as 5G mmW NR call was established, LTE was placed in all-down bits immediately. Between 0s~120s, mmW exposure is the dominant contributor. Here, Smart Transmit feature allocates a maximum of 75% for mmW (based on the 3dB reserve setting in Part 1 report). From Table 12-3, this corresponds to a normalized 4cm<sup>2</sup>PD exposure value for Beam ID 42 of  $(75\% * 3.85 \text{ W/m}^2)/(10 \text{ W/m}^2) = 28.9\% \pm 2.2\text{dB}$  device related uncertainty (see green/orange curve between 0s~120s). At ~120s time mark, LTE is set to all-up bits, taking away margin from mmW exposure gradually. Towards the end of test, LTE is the dominant contributor towards RF exposure, i.e., corresponding normalized 1gSAR exposure value of  $(100\% * 0.556 \text{ W/kg})/(1.6 \text{ W/kg}) = 34.8\% \pm 1\text{dB}$  design related uncertainty (see black curve approaching this level towards end of the test).

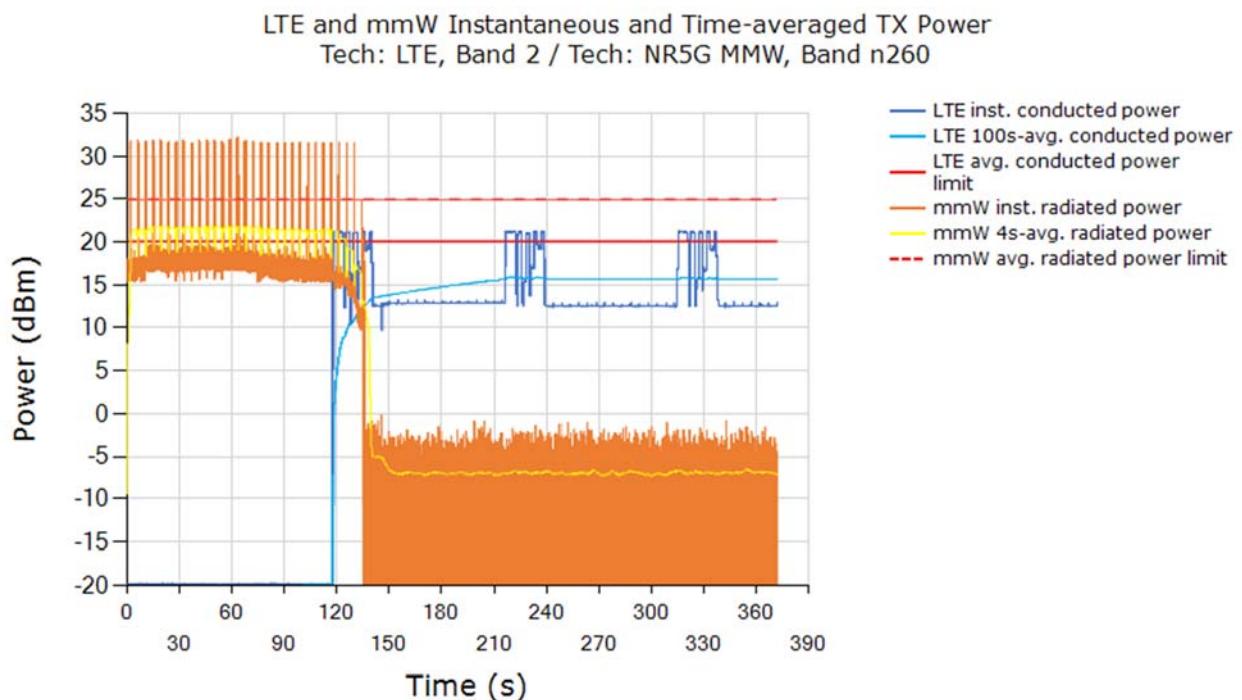
As can be seen, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0. Therefore, Qualcomm® Smart Transmit time averaging feature is validated.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 81 of 101	

## 13.2 Maximum Tx power test results for n260

This test was measured with LTE 2 and mmW Band n260 Beam ID 28, by following the detailed test procedure described in Section 5.3.1.

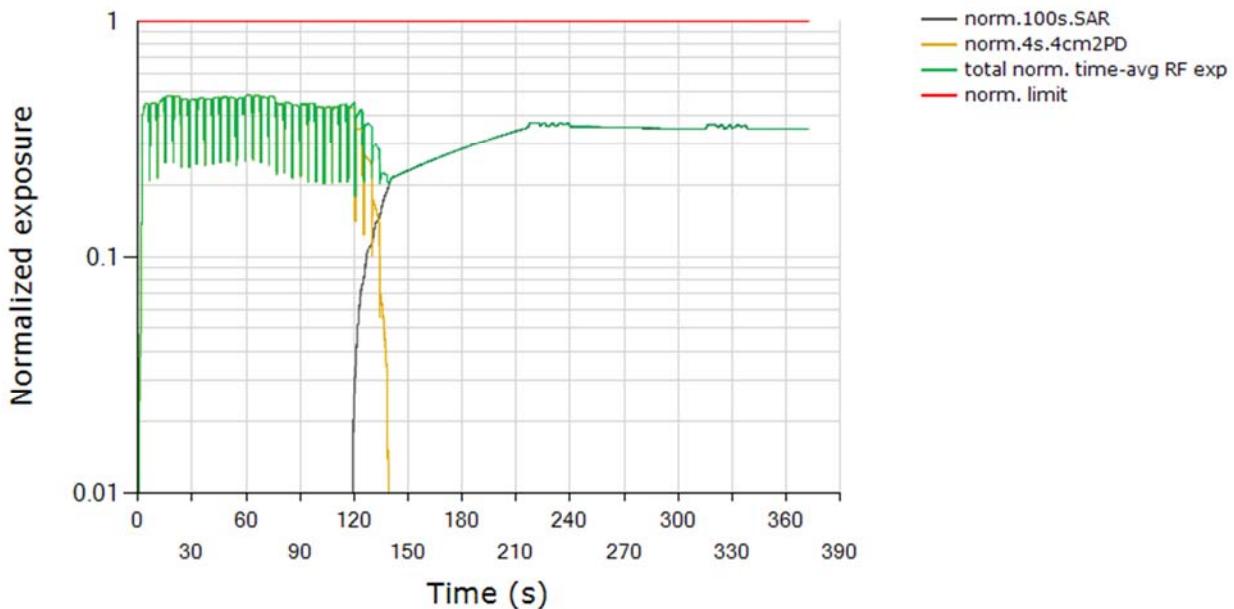
Instantaneous and 100s-averaged conducted LTE Tx power versus time, instantaneous and 4s-averaged radiated mmW Tx power versus time, time-averaged conducted LTE Tx power limit and time-averaged radiated mmW Tx power limit:



Above time-averaged conducted Tx power for LTE 2 and radiated Tx power for mmW NR n260 beam 28 are converted into time-averaged 1gSAR and time-averaged 4cm<sup>2</sup>PD using Equation (2a) and (2b), which are divided by FCC 1gSAR limit of 1.6 W/kg and 4cm<sup>2</sup>PD limit of 10 W/m<sup>2</sup>, respectively, to obtain normalized exposures versus time. Below plot shows (a) normalized time-averaged 1gSAR versus time, (b) normalized time-averaged 4cm<sup>2</sup>-avg.PD versus time, (c) sum of normalized time-averaged 1gSAR and normalized time-averaged 4cm<sup>2</sup>-avg.PD:

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 82 of 101

Total Normalized Time-averaged RF Exposure  
Tech: LTE, Band 2 / Tech: NR5G MMW, Band n260



FCC requirement for total RF exposure (normalized)	1.0
Max total normalized time-averaged RF exposure (green curve)	0.487
Validated	

Plot notes: As soon as 5G mmW NR call was established, LTE was placed in all-down bits immediately. Between 0s~120s, mmW exposure is the dominant contributor. Here, Smart Transmit feature allocates a maximum of 75% for mmW (based on the 3dB reserve setting in Part 1 report). From Table 12-3, this corresponds to a normalized 4cm<sup>2</sup>PD exposure value for Beam ID 28 of  $(75\% * 6.40 \text{ W/m}^2) / (10 \text{ W/m}^2) = 48.0\% \pm 2.2\text{dB}$  device related uncertainty (see green/orange curve between 0s~120s). At ~120s time mark, LTE is set to all-up bits, taking away margin from mmW exposure gradually. Towards the end of test, LTE is the dominant contributor towards RF exposure, i.e., corresponding normalized 1gSAR exposure value of  $(100\% * 0.556 \text{ W/kg}) / (1.6 \text{ W/kg}) = 34.8\% \pm 1\text{dB}$  design related uncertainty (see black curve approaching this level towards end of the test).

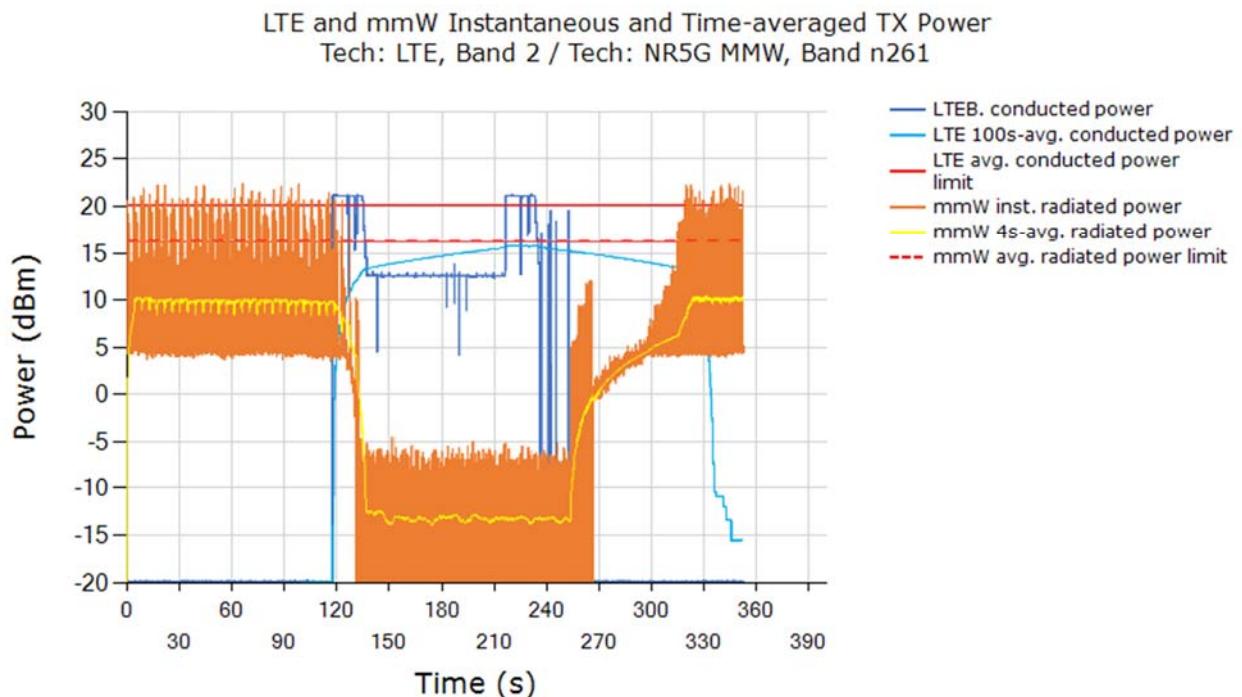
As can be seen, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0. Therefore, Qualcomm® Smart Transmit time averaging feature is validated.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 83 of 101

### 13.3 Switch in SAR vs. PD exposure test results for n261

This test was measured with LTE Band 2 (DSI = 1) and mmW Band n261 Beam ID 42, by following the detailed test procedure is described in Section 5.3.2.

Instantaneous and 100s-averaged conducted LTE Tx power versus time, instantaneous and 4s-averaged radiated mmW Tx power versus time, time-averaged conducted LTE Tx power limit and time-averaged radiated mmW Tx power limit:

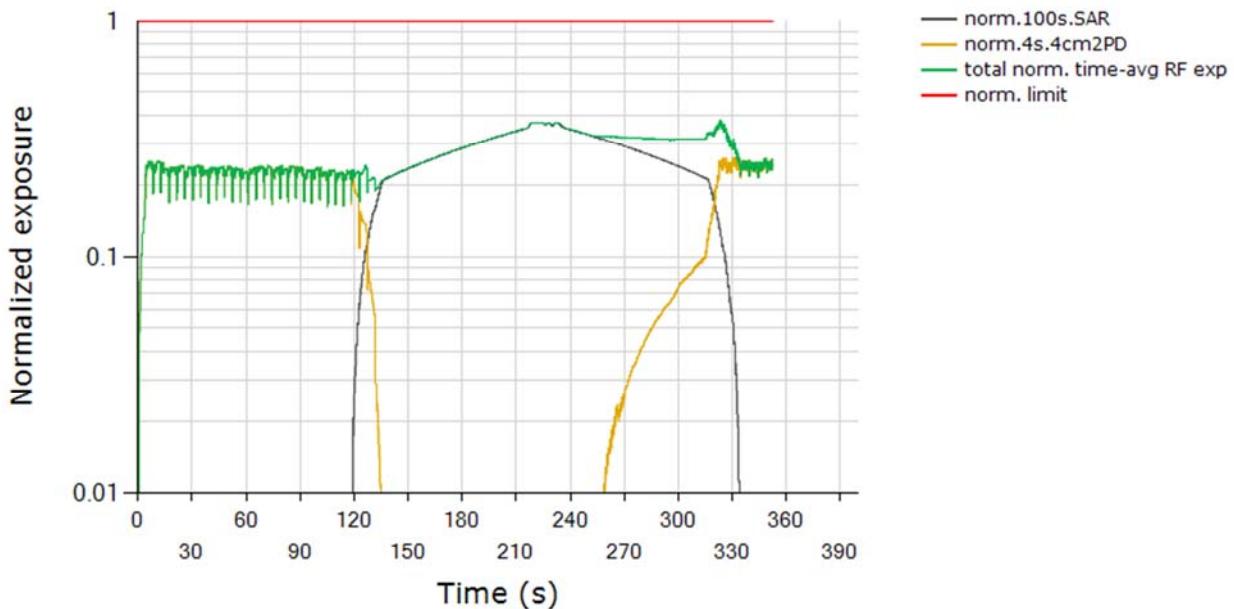


From the above plot, it is predominantly instantaneous PD exposure between 0s ~ 120s, it is instantaneous SAR+PD exposure between 120s ~ 140s, it is predominantly instantaneous SAR exposure between 140s ~ 250s, and above 250s, it is predominantly instantaneous PD exposure.

Normalized time-averaged exposures for LTE (1gSAR) and mmW (4cm<sup>2</sup>PD), as well as total normalized time-averaged exposure versus time:

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 84 of 101

Total Normalized Time-averaged RF Exposure  
Tech: LTE, Band 2 / Tech: NR5G MMW, Band n261



FCC requirement for total RF exposure (normalized)	1.0
Max total normalized time-averaged RF exposure (green curve)	0.380
Validated	

Plot notes: As soon as 5G mmW NR call was established, LTE was placed in all-down bits immediately. Between 0s~120s, mmW exposure is the dominant contributor. Here, Smart Transmit feature allocates a maximum of 75% for mmW (based on 3dB reserve setting in Part 1 report). From Table 12-3, this corresponds to a normalized 4cm<sup>2</sup>PD exposure value for Beam ID 42 of  $(75\% * 3.85 \text{ W/m}^2)/(10 \text{ W/m}^2) = 28.9\% \pm 2.2\text{dB}$  device related uncertainty (see orange/green curve between 0s~120s). At ~120s time mark, LTE is set to all-up bits, taking away margin from mmW exposure gradually (orange curve for mmW exposure goes down while black curve for LTE exposure goes up). At ~250s time mark, LTE is set to all-down bits, which results in mmW getting back RF margin slowly as seen by gradual increase in mmW exposure (orange curve for mmW exposure goes up while black curve for LTE exposure goes down). The calculated maximum RF exposure from LTE corresponds to normalized 1gSAR exposure value of  $(100\% * 0.556 \text{ W/kg})/(1.6 \text{ W/kg}) = 34.8\% \pm 1\text{dB}$  design related uncertainty (note that this level will be achieved by green and black curves if LTE remains in all-up bits for longer time duration which was already demonstrated in maximum Tx power test in Section 13.1). Total normalized time-averaged exposure (green curve) for this test should be within the calculated range between  $28.9\% \pm 2.2\text{dB}$  device related uncertainty (only PD exposure) and  $34.2\% \pm 1\text{dB}$  design related uncertainty (only SAR exposure).

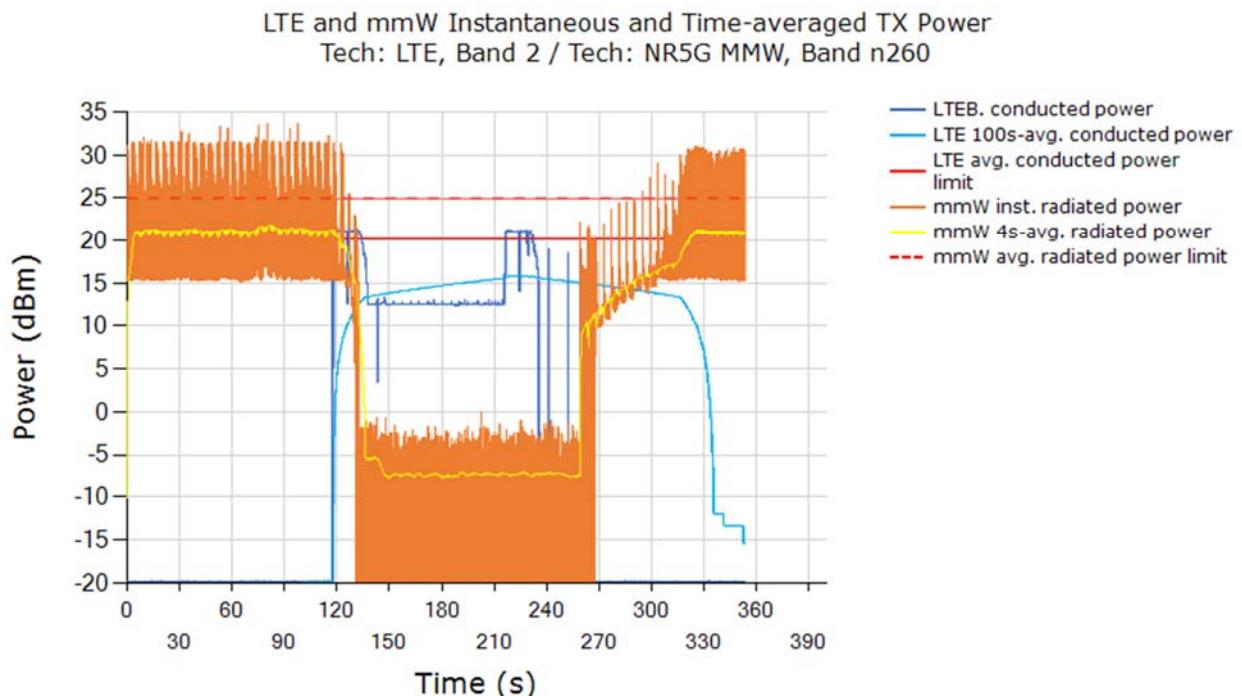
FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 85 of 101

As can be seen, the power limiting enforcement is effective during transmission when SAR and PD exposures are switched, and the total normalized time-averaged RF exposure does not exceed 1.0. Therefore, Qualcomm® Smart Transmit time averaging feature is validated.

### 13.4 Switch in SAR vs. PD exposure test results for n260

This test was measured with LTE Band 2 (DSI = 1) and mmW Band n260 Beam ID 28, by following the detailed test procedure is described in Section 5.3.2.

Instantaneous and 100s-averaged conducted LTE Tx power versus time, instantaneous and 4s-averaged radiated mmW Tx power versus time, time-averaged conducted LTE Tx power limit and time-averaged radiated mmW Tx power limit:

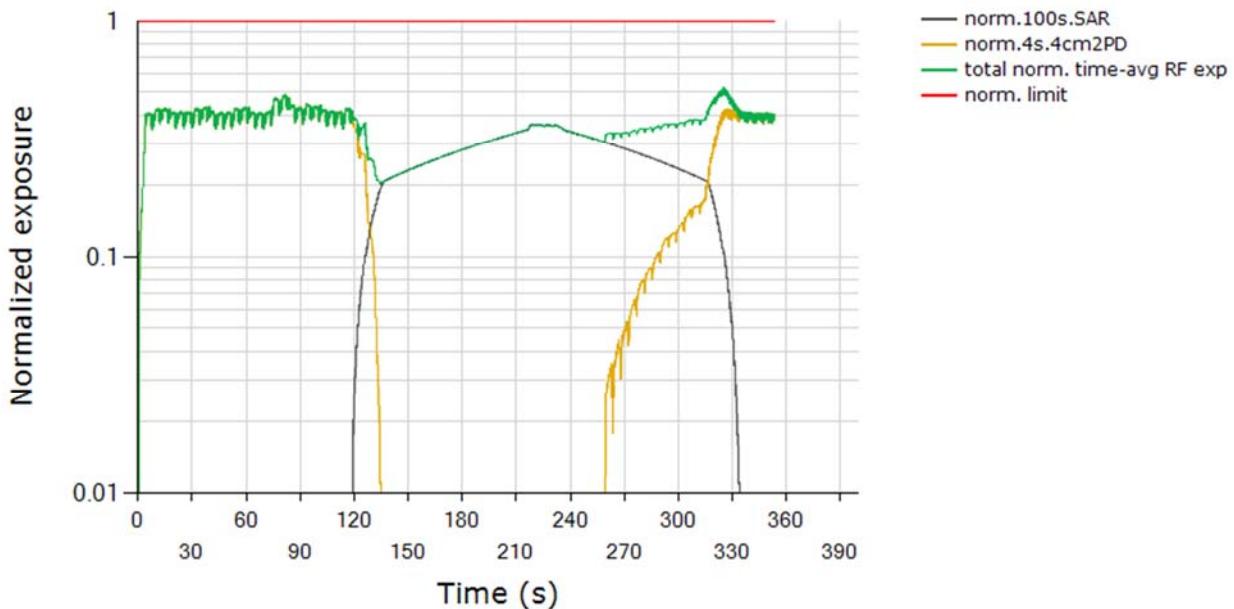


From the above plot, it is predominantly instantaneous PD exposure between 0s ~ 120s, it is instantaneous SAR+PD exposure between 120s ~ 140s, it is predominantly instantaneous SAR exposure between 140s ~ 250s, and above 250s, it is predominantly instantaneous PD exposure

Normalized time-averaged exposures for LTE (1gSAR) and mmW (4cm<sup>2</sup>PD), as well as total normalized time-averaged exposure versus time:

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 86 of 101

Total Normalized Time-averaged RF Exposure  
Tech: LTE, Band 2 / Tech: NR5G MMW, Band n260



FCC requirement for total RF exposure (normalized)	1.0
Max total normalized time-averaged RF exposure (green curve)	0.526
Validated	

Plot notes: As soon as 5G mmW NR call was established, LTE was placed in all-down bits immediately. Between 0s~120s, mmW exposure is the dominant contributor. Here, Smart Transmit feature allocates a maximum of 75% for mmW (based on 3dB reserve setting in Part 1 report). From Table 12-3, this corresponds to a normalized 4cm<sup>2</sup>PD exposure value for Beam ID 28 of  $(75\% * 6.4 \text{ W/m}^2) / (10 \text{ W/m}^2) = 48.0\% \pm 2.2\text{dB}$  device related uncertainty (see orange/green curve between 0s~120s). At ~120s time mark, LTE is set to all-up bits, taking away margin from mmW exposure gradually (orange curve for mmW exposure goes down while black curve for LTE exposure goes up). At ~250s time mark, LTE is set to all-down bits, which results in mmW getting back RF margin slowly as seen by gradual increase in mmW exposure (orange curve for mmW exposure goes up while black curve for LTE exposure goes down). The calculated maximum RF exposure from LTE corresponds to normalized 1gSAR exposure value of  $(100\% * 0.556 \text{ W/kg}) / (1.6 \text{ W/kg}) = 34.8\% \pm 1\text{dB}$  design related uncertainty (note that this level will be achieved by green and black curves if LTE remains in all-up bits for longer time duration which was already demonstrated in maximum Tx power test in Section 13.1). Total normalized time-averaged exposure (green curve) for this test should be within the calculated range between  $48.0\% \pm 2.2\text{dB}$  device related uncertainty (only PD exposure) and  $34.2\% \pm 1\text{dB}$  design related uncertainty (only SAR exposure).

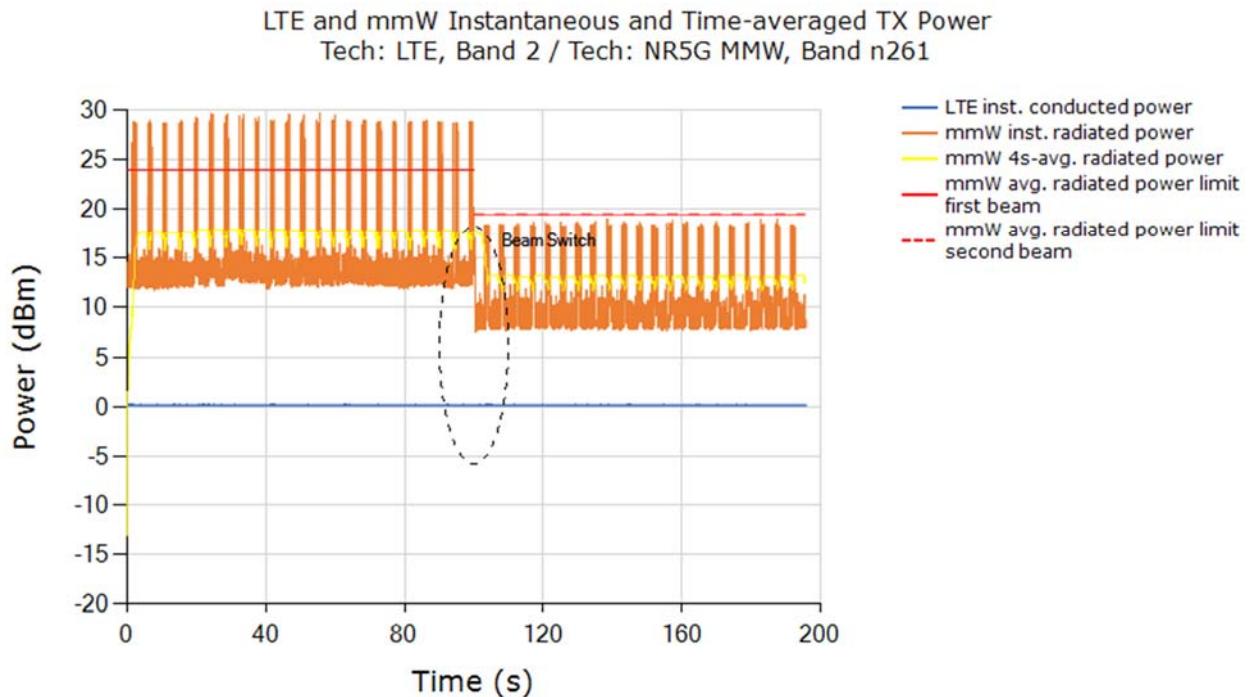
FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 87 of 101

As can be seen, the power limiting enforcement is effective during transmission when SAR and PD exposures are switched, and the total normalized time-averaged RF exposure does not exceed 1.0. Therefore, Qualcomm® Smart Transmit time averaging feature is validated.

### 13.5 Change in Beam test results for n261

This test was measured with LTE Band 2 (DSI = 1) and mmW Band n261, with beam switch from Beam ID 40 to Beam ID 2, by following the test procedure is described in Section 5.3.3.

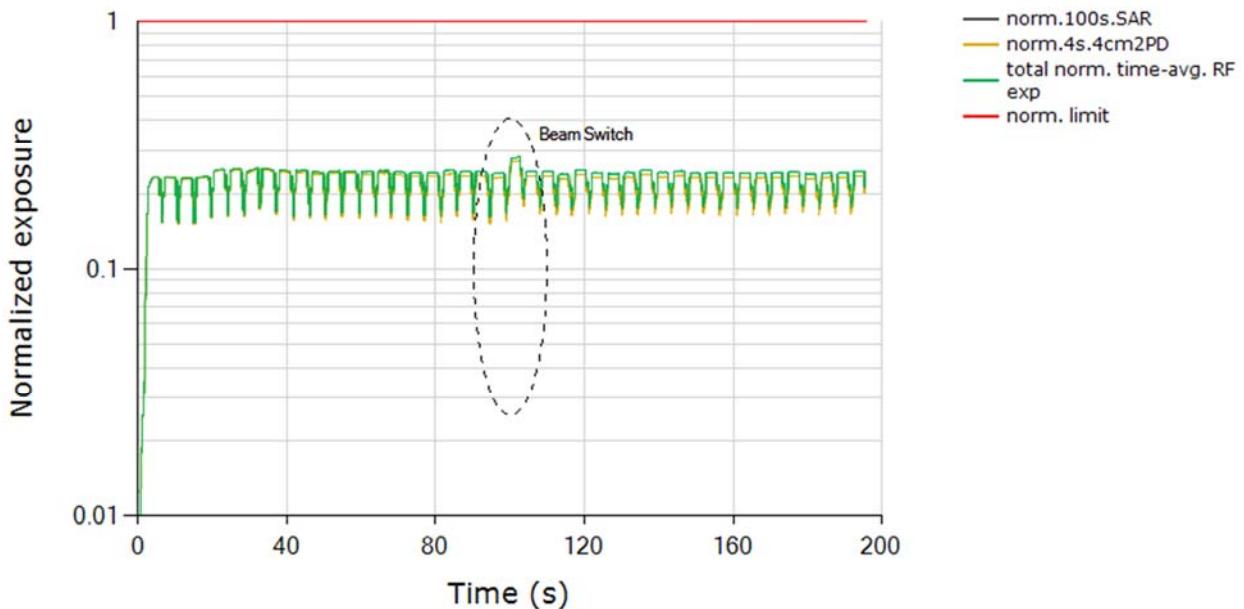
Instantaneous conducted LTE Tx power versus time, instantaneous and 4s-averaged radiated mmW Tx power versus time, time-averaged radiated mmW Tx power limits for beam 40 and beam 2:



Normalized time-averaged exposures for LTE and mmW (4cm<sup>2</sup>PD), as well as total normalized time-averaged exposure versus time:

FCC ID: BCGA2379	 <b>PART 2 RF EXPOSURE EVALUATION REPORT</b>		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 88 of 101

Total Normalized Time-averaged RF Exposure  
Tech: LTE, Band 2 / Tech: NR5G MMW, Band n261



FCC requirement for total RF exposure (normalized)	1.0
Max total normalized time-averaged RF exposure (green curve)	0.281
Validated	

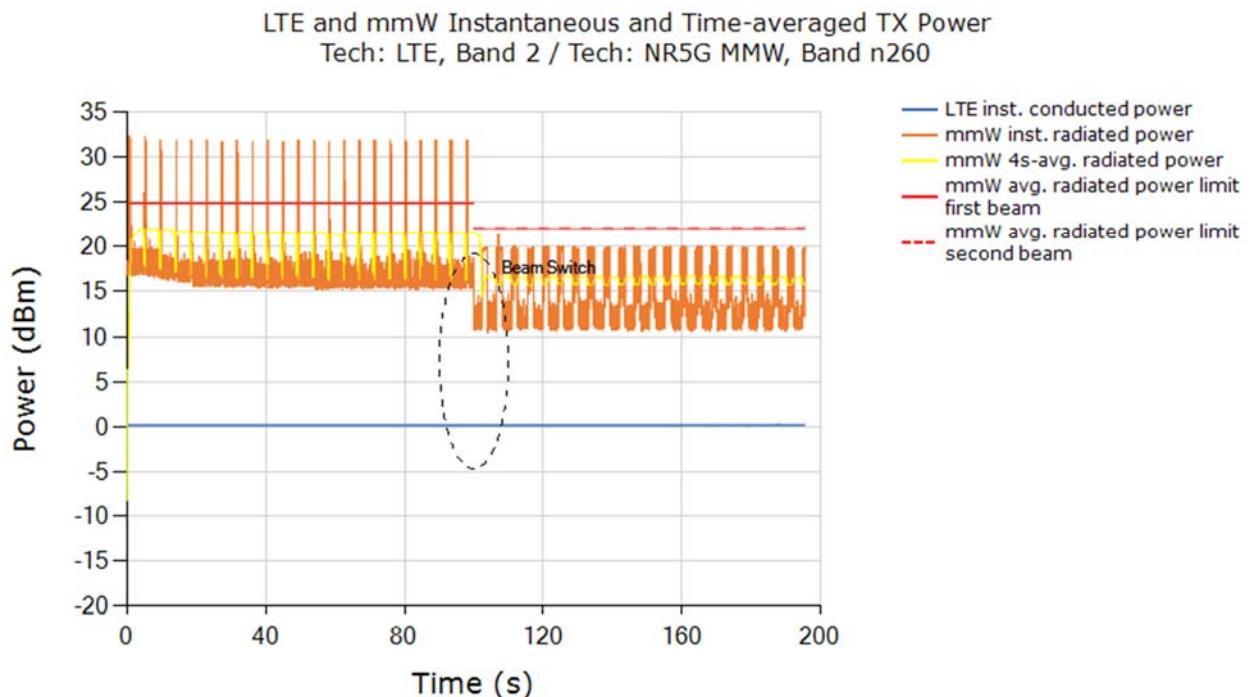
Plot notes: 5G mmW NR call was established at ~1s time mark and LTE was placed in all-down bits immediately after 5G mmW NR call was established. For the rest of this test, mmW exposure is the dominant contributor as LTE is left in all-down bits. Here, Smart Transmit feature allocates a maximum of 75% for mmW (based on 3dB reserve setting in Part 1 report). From Table 12-3, exposure between 1s ~100s corresponds to a normalized 4cm<sup>2</sup>PD exposure value for Beam ID 40 of  $(75\% * 3.82 \text{ W/m}^2)/(10 \text{ W/m}^2) = 28.7\% \pm 2.2\text{dB}$  device related uncertainty. At ~100s time mark (shown in black dotted ellipse), beam was switched to Beam ID 2. From Table 12-3, exposure between 100s ~200s corresponds to a normalized 4cm<sup>2</sup>PD exposure value for Beam ID 2 of  $(75\% * 4.00 \text{ W/m}^2)/(10 \text{ W/m}^2) = 30.0\% \pm 2.2\text{dB}$  device related uncertainty. Additionally, during the switch, the ratio between the averaged radiated powers of the two beams (yellow curve) should correspond to the difference in EIRPs measured at each corresponding *input.power.limit* for these beams listed in Table 12-3, i.e., 4.33 dB  $\pm 2.2$  dB device uncertainty.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 89 of 101

### 13.6 Change in Beam test results for n260

This test was measured with LTE Band 2 (DSI = 1) and mmW Band n260, with beam switch from Beam ID 28 to Beam ID 3, by following the test procedure is described in Section 5.3.3.

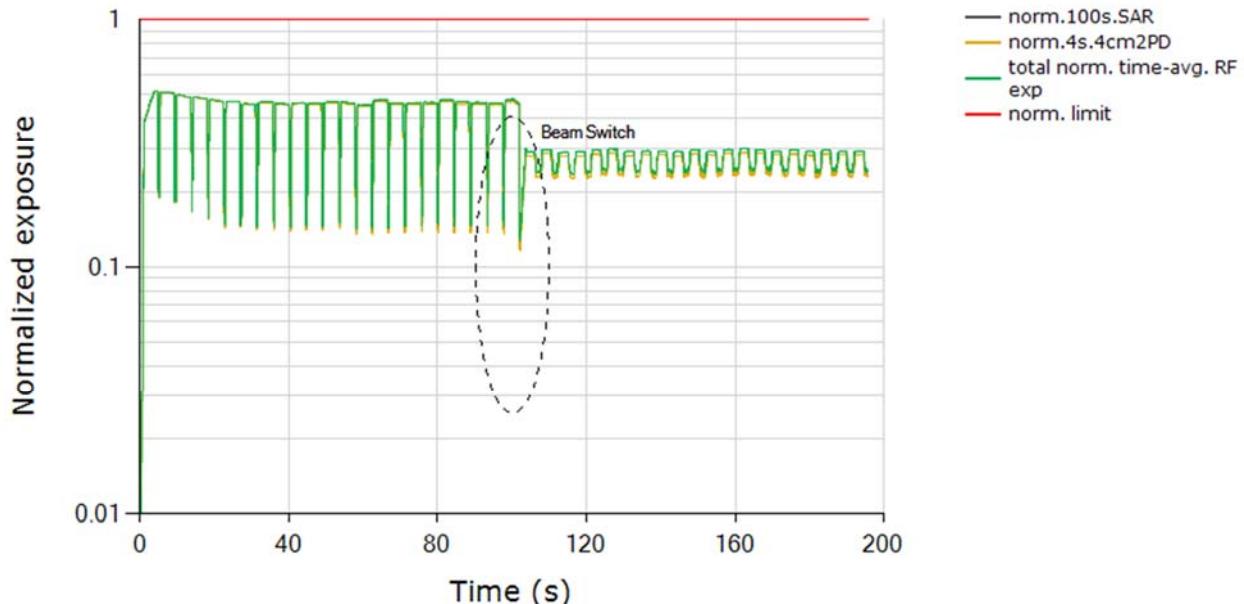
Instantaneous conducted LTE Tx power versus time, instantaneous and 4s-averaged radiated mmW Tx power versus time, time-averaged radiated mmW Tx power limits for beam 28 and beam 3:



Normalized time-averaged exposures for LTE and mmW (4cm<sup>2</sup>PD), as well as total normalized time-averaged exposure versus time:

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of element	PART 2 RF EXPOSURE EVALUATION REPORT		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 90 of 101	

Total Normalized Time-averaged RF Exposure  
Tech: LTE, Band 2 / Tech: NR5G MMW, Band n260



FCC requirement for total RF exposure (normalized)	1.0
Max total normalized time-averaged RF exposure (green curve)	0.514
Validated	

Plot notes: 5G mmW NR call was established at ~1s time mark and LTE was placed in all-down bits immediately after 5G mmW NR call was established. For the rest of this test, mmW exposure is the dominant contributor as LTE is left in all-down bits. Here, Smart Transmit feature allocates a maximum of 75% for mmW (based on 3dB reserve setting in Part 1 report). From Table 12-3, exposure between 1s ~100s corresponds to a normalized 4cm<sup>2</sup>PD exposure value for Beam ID 28 of  $(75\% * 6.4 \text{ W/m}^2)/(10 \text{ W/m}^2) = 48.0\% \pm 2.2\text{dB}$  device related uncertainty. At ~100s time mark (shown in black dotted ellipse), beam was switched to Beam ID 3. From Table 12-3, exposure between 100s ~200s corresponds to a normalized 4cm<sup>2</sup>PD exposure value for Beam ID 3 of  $(75\% * 4.58 \text{ W/m}^2)/(10 \text{ W/m}^2) = 34.4\% \pm 2.2\text{dB}$  device related uncertainty. Additionally, during the switch, the ratio between the averaged radiated powers of the two beams (yellow curve) should correspond to the difference in EIRPs measured at each corresponding *input.power.limit* for these beams listed in Table 12-3, i.e., 4.28dB ± 2.2 dB device uncertainty.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 91 of 101

## 14 SYSTEM VERIFICATION (FREQ > 6 GHZ)

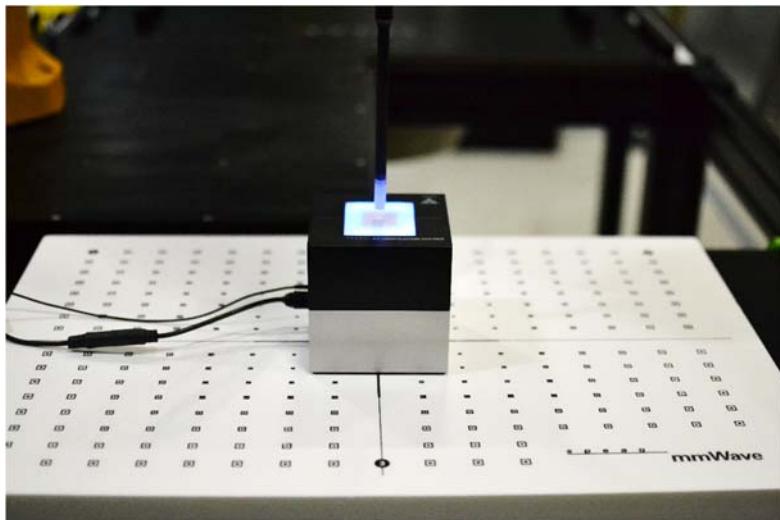
The system was verified to be within  $\pm 0.66$  dB of the power density targets on the calibration certificate according to the test system specification in the user's manual and calibration facility recommendation. The 0.66 dB deviation threshold represents the expanded uncertainty for system performance checks using SPEAG's mmWave verification sources. The same spatial resolution and measurement region used in the source calibration was applied during the system check.

The measured power density distribution of verification source was also confirmed through visual inspection to have no noticeable differences, both spatially (shape) and numerically (level) from the distribution provided by the manufacturer, per November 2017 TCBC Workshop Notes.

**Table 14-1**  
**System Verification Results**

Syst.	Freq. (GHz)	Date	Source SN	Probe SN	Normal psPD (W/m <sup>2</sup> over 4 cm <sup>2</sup> )		Deviation (dB)	Total psPD (W/m <sup>2</sup> over 4 cm <sup>2</sup> )		Deviation (dB)
					measured	target		measured	target	
AM3	30.00	2/7/2021	1015	9421	33.70	35.30	-0.20	34.20	35.80	-0.20
AM3	30.00	2/8/2021	1015	9421	32.00	35.30	-0.43	32.50	35.80	-0.42

Note: A **10 mm distance spacing** was used from the reference horn antenna aperture to the probe element. This includes 4.45 mm from the reference antenna horn aperture to the surface of the verification source plus 5.55 mm from the surface to the probe. The SPEAG software requires a setting of "5.55 mm" for the correct set up.



**Figure 14-1**  
**System Verification Setup Photo**

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT			Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device			

## 15 POWER DENSITY TEST RESULTS (FREQ > 6 GHZ)

### 15.1 PD measurement results for maximum power transmission scenario

The following configurations were measured by following the detailed test procedure is described in Section 5.4:

1. LTE Band 2 (DSI =1) and mmW Band n261 Beam ID 42
2. LTE Band 2 (DSI =1) and mmW Band n260 Beam ID 28

The measured conducted Tx power of LTE and ratio of  $\frac{[pointE(t)]^2}{[pointE\_input.power.limit]^2}$  of mmW is converted into 1gSAR and 4cm<sup>2</sup>PD value, respectively, using Eq. (4a) and (4b), rewritten below:

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit} \quad (4a)$$

$$4cm^2PD(t) = \frac{[pointE(t)]^2}{[pointE\_input.power.limit]^2} * 4cm^2PD\_input.power.limit \quad (4b)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t)dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}} \int_{t-T_{PD}}^t 4cm^2PD(t)dt}{FCC\ 4cm^2PD\ limit} \leq 1 \quad (4c)$$

where, *conducted\_Tx\_power(t)*, *conducted\_Tx\_power\_P<sub>limit</sub>*, and *1g\_or\_10gSAR\_P<sub>limit</sub>* correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at *P<sub>limit</sub>*, and measured 1gSAR or 10gSAR values at *P<sub>limit</sub>* corresponding to LTE transmission. Similarly, *pointE(t)*, *pointE\_input.power.limit*, and *4cm<sup>2</sup>PD@input.power.limit* correspond to the measured instantaneous E-field, E-field at *input.power.limit*, and *4cm<sup>2</sup>PD* value at *input.power.limit* corresponding to mmW transmission.

NOTE: cDASY6 system measures relative E-field, and provides ratio of  $\frac{[pointE(t)]^2}{[pointE\_input.power.limit]^2}$  versus time.

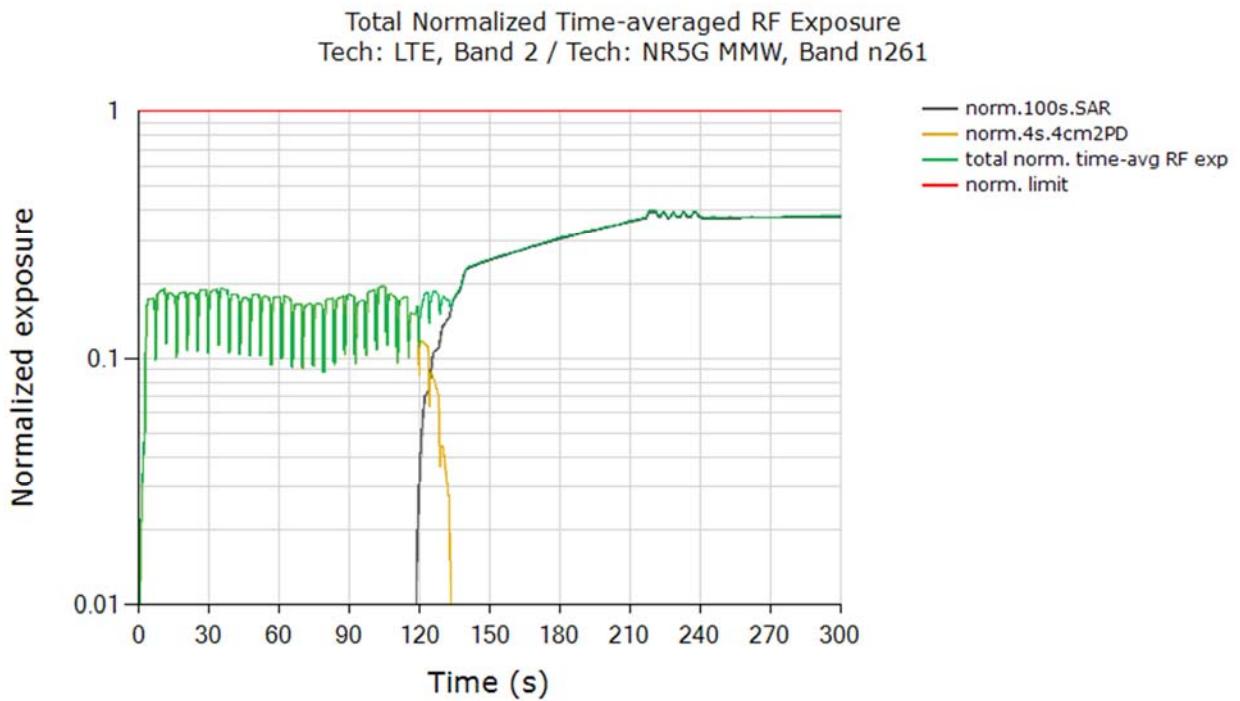
The radio configurations tested are described in Table 12-1 and Table 12-2. The 1gSAR at *P<sub>limit</sub>* for LTE 2 DSI = 1, the measured 4cm<sup>2</sup>PD at *input.power.limit* of mmW n261 beam 42 and n260 beam 28, are all listed in Table 12-3.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device		Page 93 of 101

### 15.1.1

### PD test results for n261

Step 2.e plot (in Section 5.4) for normalized instantaneous and time-averaged exposures for LTE and mmW n261 beam 42



FCC limit for total RF exposure (normalized)	1.0
Max total normalized time-averaged RF exposure (green curve)	0.391
Validated	

Plot notes: LTE was placed in all-down bits immediately after 5G mmW NR call was established. Between 0s~120s, mmW exposure is the dominant contributor. Here, Smart Transmit feature allocates a maximum of 75% for mmW (based on the 3dB reserve setting in Part 1 report). From Table 12-3, this corresponds to a normalized 4cm<sup>2</sup>PD exposure value for Beam ID 42 of  $(75\% * 3.85 \text{ W/m}^2) / (10 \text{ W/m}^2) = 28.9\% \pm 2.2\text{dB}$  device related uncertainty (see orange/green curve between 0s~120s). Around 120s time mark, LTE is set to all-up bits, taking away margin from mmW exposure gradually. Towards the end of the test, LTE is the dominant contributor towards RF exposure, i.e., corresponding normalized 1gSAR exposure value of  $(100\% * 0.556 \text{ W/kg}) / (1.6 \text{ W/kg}) = 34.8\% \pm 1\text{dB}$  design related uncertainty (see black curves approaching this level towards end of the test).

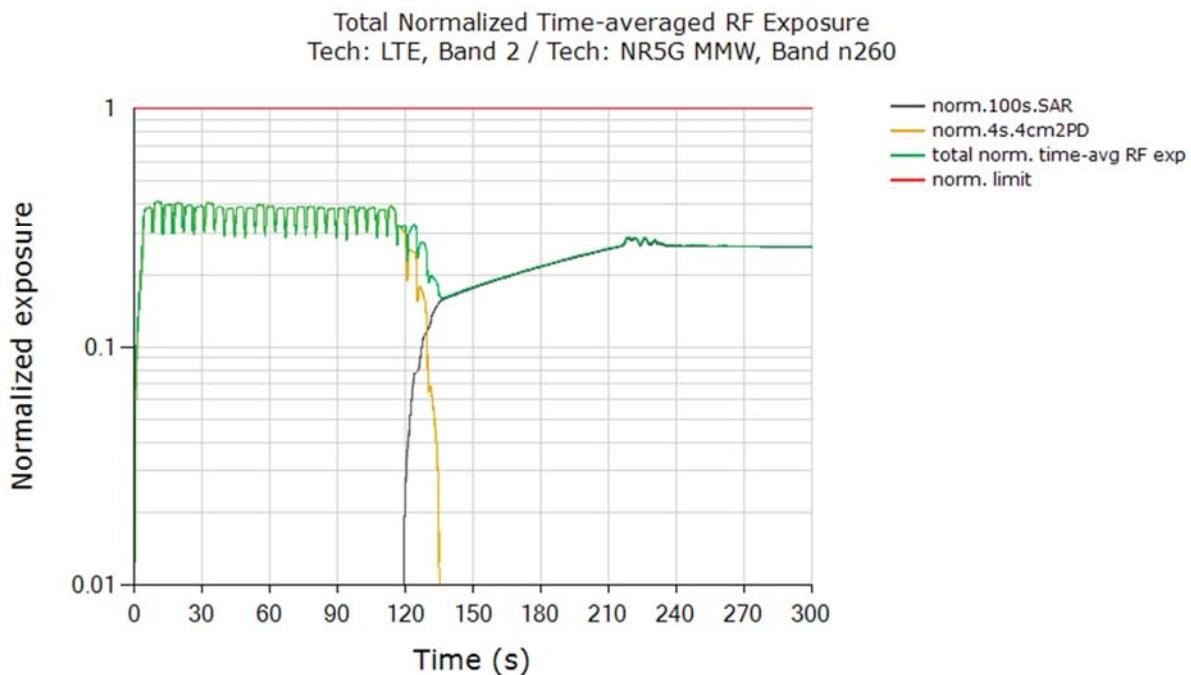
As can be seen, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0. Therefore, Qualcomm® Smart Transmit time averaging feature is validated.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 94 of 101	

### 15.1.2

### PD test results for n260

Step 2.e plot (in Section 5.4) for normalized instantaneous and time-averaged exposures for LTE and mmW n260 beam 28



FCC limit for total RF exposure	1.0
Max total normalized time-averaged RF exposure (green curve)	0.409
<b>Validated</b>	

Plot notes: LTE was placed in all-down bits immediately after 5G mmW NR call was established. Between 0s~120s, mmW exposure is the dominant contributor. Here, Smart Transmit feature allocates a maximum of 75% for mmW (based on the 3dB reserve setting in Part 1 report). From Table 12-3, this corresponds to a normalized 4cm<sup>2</sup>PD exposure value for Beam ID 28 of  $(75\% * 6.4 \text{ W/m}^2) / (10 \text{ W/m}^2) = 48.0\% \pm 2.2\text{dB}$  device related uncertainty (see orange/green curve between 0s~120s). Around 120s time mark, LTE is set to all-up bits, taking away margin from mmW exposure gradually. Towards the end of the test, LTE is the dominant contributor towards RF exposure, i.e., corresponding normalized 1gSAR exposure value of  $(100\% * 0.556 \text{ W/kg}) / (1.6 \text{ W/kg}) = 34.8\% \pm 1\text{dB}$  design related uncertainty (see black curves approaching this level towards end of the test).

As can be seen, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0. Therefore, Qualcomm® Smart Transmit time averaging feature is validated.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT		Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 95 of 101	

## 16 EQUIPMENT LIST

Manufacturer	Model	Description	Cal Date	Cal Interval	Cal Due	Serial Number
Agilent	8753ES	S-Parameter Network Analyzer	9/16/2020	Annual	9/16/2021	MY40000670
Agilent	E4438C	ESG Vector Signal Generator	12/2/2020	Annual	12/2/2021	MY42081752
Agilent	E5515C	Wireless Communications Test Set	12/15/2020	Annual	12/15/2021	GB42361078
Agilent	E4440A	PSA Series Spectrum Analyzer	1/29/2021	Annual	1/29/2022	MY46186272
Agilent	E4438C	ESG Vector Signal Generator	9/29/2020	Annual	9/29/2021	MY45093852
Agilent	N5182A	MXG Vector Signal Generator	9/25/2020	Annual	9/25/2021	US46240505
Agilent	N5182A	MXG Vector Signal Generator	12/1/2020	Annual	12/1/2021	MY47420837
Agilent	N9020A	MXA Signal Analyzer	12/21/2020	Annual	12/21/2021	MY50200571
Amplifier Research	150A100C	Amplifier	CBT	N/A	CBT	350132
Amplifier Research	155166	Amplifier	CBT	N/A	CBT	343972
Amplifier Research	155166	Amplifier	CBT	N/A	CBT	343971
Anritsu	ML2495A	Power Meter	11/3/2020	Annual	11/3/2021	1039008
Anritsu	MA24106A	USB Power Sensor	9/15/2020	Annual	9/15/2021	1244515
Anritsu	MT8820C	Radio Communication Analyzer	9/30/2020	Annual	9/30/2021	6201240328
Anritsu	MA24106A	USB Power Sensor	10/14/2020	Annual	10/14/2021	1827530
Anritsu	MA24106A	USB Power Sensor	12/18/2020	Annual	12/18/2021	1827532
Anritsu	MA24106A	USB Power Sensor	9/15/2020	Annual	9/15/2021	1827526
Anritsu	MA24106A	USB Power Sensor	6/3/2020	Annual	6/3/2021	2018527
Anritsu	MA24118	Pulse Power Sensor	12/18/2020	Annual	12/18/2021	1126066
Control Company	4040	Therm./Clock/Humidity Monitor	6/29/2019	Biennial	6/29/2021	192291470
Control Company	4040	Therm./Clock/Humidity Monitor	6/29/2019	Biennial	6/29/2021	192291455
Control Company	4353	Long Stem Thermometer	10/28/2020	Biennial	10/28/2022	200670646
Control Company	4353	Long Stem Thermometer	10/28/2020	Biennial	10/28/2022	200670653
Control Company	4040	Therm./Clock/Humidity Monitor	6/29/2019	Biennial	6/29/2021	192291470
Control Company	4040	Therm./Clock/Humidity Monitor	6/29/2019	Biennial	6/29/2021	192291455
Keysight	E7700A	CUU Common Interface Unit w/ Kit	10/23/2020	Annual	10/23/2021	MY60250248
Keysight	M1740A	mmWave Transceiver for 5G (24-44 GHz)	10/6/2020	Annual	10/6/2021	MY59292535
KEYSIGHT	E4438C	VECTOR SIGNAL GENERATOR	6/22/2020	Annual	6/22/2021	MY45092078
Keysight	E7515B	UXM 5G WIRELESS TEST PLATFORM	11/14/2020	Annual	11/14/2021	MY60192562
Keysight	M1740A	mmWave Transceiver for 5G (24-44 GHz)	10/6/2020	Annual	10/6/2021	MY59292534
Keysight Technologies	AT/N6705B	DC Power Supply	CBT	N/A	CBT	MY53001315
Pasternack	PE2208-6	BiDirectional Coupler	CBT	N/A	CBT	N/A
Pasternack	PE2208-6	BiDirectional Coupler	CBT	N/A	CBT	N/A
Rosenberger	32W1006-016	Torque Wrench	12/1/2020	Annual	12/1/2021	N/A
Rohde & Schwarz	CMW500	Radio Communication Tester	10/16/2020	Annual	10/16/2021	101699
Rohde & Schwarz	CMW500	Radio Communication Tester	10/16/2020	Annual	10/16/2021	106578
Rohde & Schwarz	CMW500	Radio Communication Tester	10/27/2020	Annual	10/27/2021	108843
Control Company	4040	Therm./Clock/Humidity Monitor	6/29/2019	Biennial	6/29/2021	192291460
Control Company	4353	Long Stem Thermometer	10/28/2020	Biennial	10/28/2022	200670646
Control Company	4353	Long Stem Thermometer	10/28/2020	Biennial	10/28/2022	200670653
Insize	1108-150	Digital Caliper	1/17/2020	Biennial	1/17/2022	409193536
MCL	BW-N10W5+	10dB Attenuator	CBT	N/A	CBT	1611
SPEAG	DAKS-3.5	Portable DAK	9/9/2020	Annual	9/9/2021	1045
MCL	BW-N3W5+	3dB Attenuator	CBT	N/A	CBT	1812
MCL	BW-N6W5+	6dB Attenuator	CBT	N/A	CBT	1311
Mini-Circuits	NLP-1000+	Low Pass Filter	CBT	N/A	CBT	N/A
Mini-Circuits	NLP-1200+	Low Pass Filter	CBT	N/A	CBT	N/A
Mini-Circuits	NLP-250+	Low Pass Filter	CBT	N/A	CBT	N/A
Mini-Circuits	VLF-6000+	Low Pass Filter	CBT	N/A	CBT	N/A
Mini-Circuits	ZHDC-16-63-5+	50-6000MHz Bidirectional Coupler	CBT	N/A	CBT	N/A
Pasternack	PE2208-6	BiDirectional Coupler	CBT	N/A	CBT	N/A
Rohde & Schwarz	CMW500	Radio Communication Tester	5/13/2020	Annual	5/13/2021	167284
Rohde & Schwarz	CMW500	Radio Communication Tester	4/28/2020	Annual	4/28/2021	167285
Rohde & Schwarz	FSP-7	Spectrum Analyzer	1/9/2020	Biennial	1/9/2022	100990
Rohde & Schwarz	CMW500	Wideband Radio Communication Tester	9/17/2020	Annual	9/17/2021	145663
Emco	3115	Horn Antenna (1-18 GHz)	06/18/2021	Biennial	06/18/2022	9704-5182
Emco	3116	Horn Antenna (18 - 40 GHz)	06/07/2018	Triennial	06/07/2021	9203-2178
SPEAG	5G Verification Source 10GHz	10GHz System Verification Antenna	10/21/2020	Annual	10/21/2021	1006
SPEAG	MAIA	Modulation and Audio Interference Analyzer	CBT	N/A	CBT	1237
Rosenberger	32W1006-016	Torque Wrench	12/1/2020	Annual	12/1/2021	N/A
SPEAG	DAKS-3.5	Portable DAK	9/9/2020	Annual	9/9/2021	1045
SPEAG	DAE4	Dasy Data Acquisition Electronics	4/15/2020	Annual	4/15/2021	501
SPEAG	DAE4	Dasy Data Acquisition Electronics	9/13/2020	Annual	9/13/2021	1408
SPEAG	EX3DV4	SAR Probe	8/19/2020	Annual	8/19/2021	3949
SPEAG	EX3DV4	SAR Probe	4/20/2020	Annual	4/20/2021	7532
SPEAG	EX3DV4	SAR Probe	12/15/2020	Annual	12/15/2021	7490
SPEAG	D750V3	750 MHz SAR Dipole	5/18/2018	Triennial	5/18/2021	1034
SPEAG	D835V2	835 MHz SAR Dipole	6/20/2019	Biennial	6/20/2021	4d040
SPEAG	D850V2	850 MHz SAR Dipole	8/13/2020	Annual	8/13/2021	1009
SPEAG	D1900V2	1900 MHz SAR Dipole	6/19/2019	Biennial	6/19/2021	5d030
SPEAG	D3700V2	3700 MHz SAR Dipole	10/17/2019	Biennial	10/17/2021	1002
SPEAG	D3900V2	3900 MHz SAR DIPOLE	11/13/2020	Annual	11/13/2021	1062
SPEAG	SM 003 100 AA	30GHz System Verification Ka- Band Source Antenna	10/20/2020	Annual	10/20/2021	1015
SPEAG	DAK-3.5	Dielectric Assessment Kit	5/12/2020	Annual	5/12/2021	1070
SPEAG	EUmmWW3	EUmmWW3 Probe	3/17/2020	Annual	3/17/2021	9421
SPEAG	DAK-12	Dielectric Assessment Kit (10MHz - 3GHz)	11/12/2020	Annual	11/12/2021	1121
SPEAG	DAKS-3.5	Portable DAK	9/9/2020	Annual	9/9/2021	1045
Rohde & Schwarz	NRP85	3-Path Dipole Power Sensor	12/5/2020	Annual	12/5/2021	109961
Rohde & Schwarz	NRP85	3-Path Dipole Power Sensor	12/5/2020	Annual	12/5/2021	109956
Rohde & Schwarz	NRP50	3-Path Dipole Power Sensor	12/11/2020	Annual	12/11/2021	101350

### Notes:

1. CBT (Calibrated Before Testing). Prior to testing, the measurement paths containing a cable, amplifier, attenuator, coupler, or filter were connected to a calibrated source (i.e. a signal generator) to determine the losses of the measurement path. The power meter offset was then adjusted to compensate for the measurement system losses. This level offset is stored within the power meter before measurements are made. This calibration verification procedure applies to the system verification and output power measurements. The calibrated reading is then taken directly from the power meter after compensation of the losses for all final power measurements.
2. Each equipment item is used solely within its respective calibration period.
3. Due to the worldwide pandemic caused by the novel SAR-CoV-2 virus (COVID-19), special calibration extensions have been permitted by A2LA. Some equipment had its calibration period extended accordingly and will be calibrated when possible.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of  <b>PCTEST</b> <b>PART 2 RF EXPOSURE EVALUATION REPORT</b>			Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 96 of 101	

## 17 MEASUREMENT UNCERTAINTIES

### For SAR Measurements

a	c	d	e = f(d,k)	f	g	h = c x f/e	i = c x g/e	k
Uncertainty Component	Tol. (± %)	Prob. Dist.	Div.	c <sub>i</sub> 1gm	c <sub>i</sub> 10 gms	1gm	10gms	v <sub>i</sub>
<b>Measurement System</b>								
Probe Calibration	6.55	N	1	1.0	1.0	6.6	6.6	∞
Axial Isotropy	0.25	N	1	0.7	0.7	0.2	0.2	∞
Hemispherical Isotropy	1.3	N	1	0.7	0.7	0.9	0.9	∞
Boundary Effect	2.0	R	1.73	1.0	1.0	1.2	1.2	∞
Linearity	0.3	N	1	1.0	1.0	0.3	0.3	∞
System Detection Limits	0.25	R	1.73	1.0	1.0	0.1	0.1	∞
Readout Electronics	0.3	N	1	1.0	1.0	0.3	0.3	∞
Response Time	0.8	R	1.73	1.0	1.0	0.5	0.5	∞
Integration Time	2.6	R	1.73	1.0	1.0	1.5	1.5	∞
RF Ambient Conditions - Noise	3.0	R	1.73	1.0	1.0	1.7	1.7	∞
RF Ambient Conditions - Reflections	3.0	R	1.73	1.0	1.0	1.7	1.7	∞
Probe Positioner Mechanical Tolerance	0.4	R	1.73	1.0	1.0	0.2	0.2	∞
Probe Positioning w/ respect to Phantom	6.7	R	1.73	1.0	1.0	3.9	3.9	∞
Extrapolation, Interpolation & Integration algorithms for Max. SAR Evaluation	4.0	R	1.73	1.0	1.0	2.3	2.3	∞
<b>Test Sample Related</b>								
Test Sample Positioning	2.7	N	1	1.0	1.0	2.7	2.7	35
Device Holder Uncertainty	1.67	N	1	1.0	1.0	1.7	1.7	5
Output Power Variation - SAR drift measurement	5.0	R	1.73	1.0	1.0	2.9	2.9	∞
SAR Scaling	0.0	R	1.73	1.0	1.0	0.0	0.0	∞
<b>Phantom &amp; Tissue Parameters</b>								
Phantom Uncertainty (Shape & Thickness tolerances)	7.6	R	1.73	1.0	1.0	4.4	4.4	∞
Liquid Conductivity - measurement uncertainty	4.2	N	1	0.78	0.71	3.3	3.0	10
Liquid Permittivity - measurement uncertainty	4.1	N	1	0.23	0.26	1.0	1.1	10
Liquid Conductivity - Temperature Uncertainty	3.4	R	1.73	0.78	0.71	1.5	1.4	∞
Liquid Permittivity - Temperature Uncertainty	0.6	R	1.73	0.23	0.26	0.1	0.1	∞
Liquid Conductivity - deviation from target values	5.0	R	1.73	0.64	0.43	1.8	1.2	∞
Liquid Permittivity - deviation from target values	5.0	R	1.73	0.60	0.49	1.7	1.4	∞
<b>Combined Standard Uncertainty (k=1)</b>						RSS	11.5	11.3
<b>Expanded Uncertainty</b> (95% CONFIDENCE LEVEL)						k=2	23.0	22.6

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT				Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device				

## For PD Measurements

a	b	c	d	e	f =	g
					b x e/d	
Uncertainty Component	Unc.	Prob.			ui	
	(± dB)	Dist.	Div.	ci	(± dB)	vi
Calibration	0.49	N	1	1.0	0.49	∞
Probe correction	0	R	1.73	1.0	0.00	∞
Frequency Response (BW ≤ 1 GHz)	0.20	R	1.73	1.0	0.12	∞
Sensor cross coupling	0	R	1.73	1.0	0.00	∞
Isotropy	0.50	R	1.73	1.0	0.29	∞
Linearity	0.20	R	1.73	1.0	0.12	∞
Probe Scattering	0	R	1.73	1.0	0	∞
Probe Positioning Offset	0.30	R	1.73	1.0	0.17	∞
Probe Positioning Repeatability	0.04	R	1.73	1.0	0.02	∞
Sensor Mechanical Offset	0	R	1.73	1.0	0	∞
Probe Spatial Resolution	0	R	1.73	1.0	0	∞
Field Impedance Dependence	0	R	1.73	1.0	0	∞
Amplitude and phase drift	0	R	1.73	1.0	0	∞
Amplitude and phase noise	0.04	R	1.73	1.0	0.02	∞
Measurement area truncation	0	R	1.73	1.0	0	∞
Data acquisition	0.03	N	1	1.0	0.03	∞
Sampling	0	R	1.73	1.0	0	∞
Field Reconstruction	0.60	R	1.73	1.0	0.35	∞
Forward Transformation	0	R	1.73	1.0	0	∞
Power Density Scaling	-	R	1.73	1.0	-	∞
Spatial Averaging	0.10	R	1.73	1.0	0.06	∞
System Detection Limit	0.04	R	1.73	1.0	0.02	∞
<b>Test Sample and Environmental Factors</b>						
Probe Coupling with DUT	0	R	1.73	1.0	0	∞
Modulation Response	0.40	R	1.73	1.0	0.23	∞
Integration Time	0	R	1.73	1.0	0	∞
Response Time	0	R	1.73	1.0	0	∞
Device Holder Influence	0.10	R	1.73	1.0	0.06	∞
DUT Alignment	0	R	1.73	1.0	0	∞
RF Ambient Conditions	0.04	R	1.73	1.0	0.02	∞
Ambient Reflections	0.04	R	1.73	1.0	0.02	∞
Immunity / Secondary Reception	0	R	1.73	1.0	0	∞
Drift of the DUT	0.22	R	1.73	1.0	0.13	∞
Combined Standard Uncertainty (k=1)		RSS			0.76	∞
<b>(95% CONFIDENCE LEVEL)</b>	<b>k=2</b>				<b>1.53</b>	

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	PART 2 RF EXPOSURE EVALUATION REPORT			Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device			

## 18 CONCLUSION

### 18.1 Measurement Conclusion

The SAR evaluation indicates that the DUT complies with the RF radiation exposure limits of the FCC and Innovation, Science, and Economic Development Canada, with respect to all parameters subject to this test. These measurements were taken to simulate the RF effects of RF exposure under worst-case conditions. Precise laboratory measures were taken to assure repeatability of the tests. The results and statements relate only to the item(s) tested.

Please note that the absorption and distribution of electromagnetic energy in the body are very complex phenomena that depend on the mass, shape, and size of the body, the orientation of the body with respect to the field vectors, and the electrical properties of both the body and the environment. Other variables that may play a substantial role in possible biological effects are those that characterize the environment (e.g. ambient temperature, air velocity, relative humidity, and body insulation) and those that characterize the individual (e.g. age, gender, activity level, debilitation, or disease). Because various factors may interact with one another to vary the specific biological outcome of an exposure to electromagnetic fields, any protection guide should consider maximal amplification of biological effects as a result of field-body interactions, environmental conditions, and physiological variables. [3]

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of 	<b>PART 2 RF EXPOSURE EVALUATION REPORT</b>	Approved by: Quality Manager
Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 99 of 101

## 19 REFERENCES

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Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 100 of 101

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Document S/N: 1C2101020005-20.BCG (Rev 1)	Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	Page 101 of 101

## APPENDIX A: VERIFICATION PLOTS

# PCTEST

Date: 01-25-2021

## 750 MHz Body Verification

### Medium

Frequency [MHz]	TSL	TSL Conductivity [S/m]	TSL Permittivity	Ambient Temperature [C]	Tissue Temperature [C]
750.0	750 Body	0.96	54.0	21.0	19.8

### Exposure Conditions

Phantom Section	Test Distance [mm]	Power [dBm]	Communication System, UID
Flat	15	23.0	CW, 0

### Hardware Setup

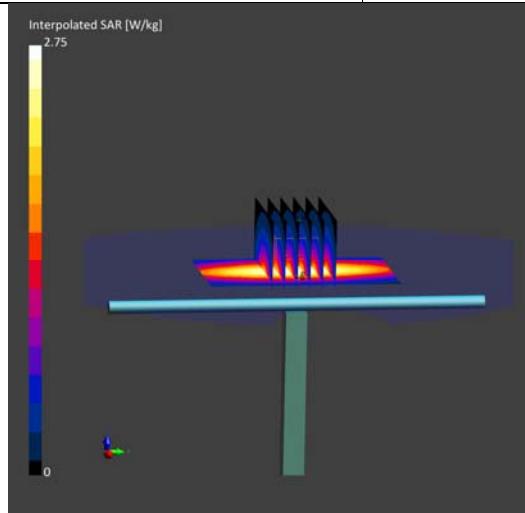
Phantom	Dipole	Probe, Calibration Date	Conversion Factor	DAE, Calibration Date
Twin-SAM V8.0 (30deg probe tilt) – 1936	D750V3 – SN1034	EX3DV4 – SN3949, 2020-08-19	10.47	DAE4 Sn1408, 2020-08-13

### Scans Setup

	Area Scan	Zoom Scan
Grid Extents [mm]	60.0 x 90.0	50.0 x 30.0 x 30.0
Grid Steps [mm]	15.0 x 15.0	6.0 x 6.0 x 1.5
Sensor Surface [mm]	3.0	1.4
Graded Grid	No	Yes
Grading Ratio	n/a	1.5

### Measurement Results

	Zoom Scan
psSAR1g [W/Kg]	1.79
psSAR10g [W/Kg]	1.18
Dev. 1g [%]	4.43



# PCTEST

Date: 02-15-2021

## 750 MHz Body Verification

### Medium

Frequency [MHz]	TSL	TSL Conductivity [S/m]	TSL Permittivity	Ambient Temperature [C]	Tissue Temperature [C]
750.0	750 Body	0.95	54.2	22.7	21.9

### Exposure Conditions

Phantom Section	Test Distance [mm]	Power [dBm]	Communication System, UID
Flat	15	23.0	CW, 0

### Hardware Setup

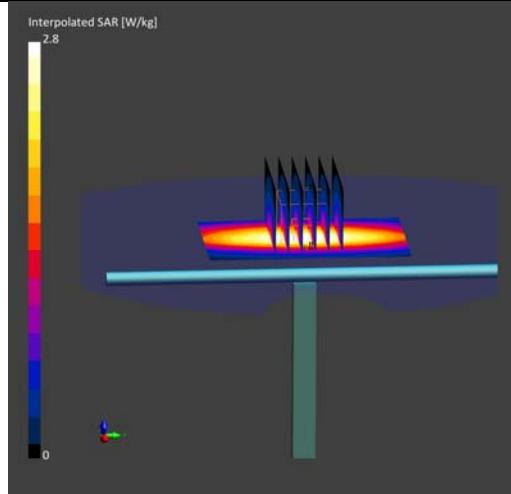
Phantom	Dipole	Probe, Calibration Date	Conversion Factor	DAE, Calibration Date
Twin-SAM V8.0 (30deg probe tilt) – 1944	D750V3 – SN1034	EX3DV4 – SN7532, 2020-04-20	10.43	DAE4 Sn501, 2020-04-15

### Scans Setup

	Area Scan	Zoom Scan
Grid Extents [mm]	60.0 x 90.0	50.0 x 30.0 x 30.0
Grid Steps [mm]	15.0 x 15.0	6.0 x 6.0 x 1.5
Sensor Surface [mm]	3.0	1.4
Graded Grid	No	Yes
Grading Ratio	n/a	1.5

### Measurement Results

	Zoom Scan
psSAR1g [W/Kg]	1.76
psSAR10g [W/Kg]	1.16
Dev. 1g [%]	2.68



# PCTEST

Date: 01-18-2021

835 MHz Body Verification

## Medium

Frequency [MHz]	TSL	TSL Conductivity [S/m]	TSL Permittivity	Ambient Temperature [C]	Tissue Temperature [C]
835.0	835 Body	0.98	54.5	21.8	20.3

## Exposure Conditions

Phantom Section	Test Distance [mm]	Power [dBm]	Communication System, UID
Flat	15	23.0	CW, 0

## Hardware Setup

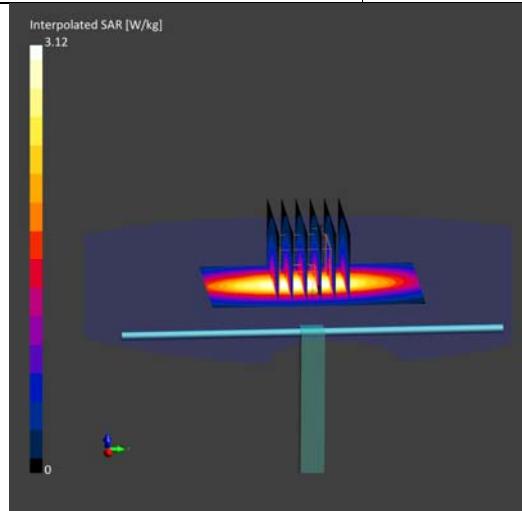
Phantom	Dipole	Probe, Calibration Date	Conversion Factor	DAE, Calibration Date
Twin-SAM V8.0 (30deg probe tilt) – 1936	D835V2 – SN4d040	EX3DV4 – SN3949, 2020-08-19	10.26	DAE4 Sn1408, 2020-08-13

## Scans Setup

	Area Scan	Zoom Scan
Grid Extents [mm]	60.0 x 90.0	50.0 x 30.0 x 30.0
Grid Steps [mm]	15.0 x 15.0	6.0 x 6.0 x 1.5
Sensor Surface [mm]	3.0	1.4
Graded Grid	No	Yes
Grading Ratio	n/a	1.5

## Measurement Results

	Zoom Scan
psSAR1g [W/Kg]	2.04
psSAR10g [W/Kg]	1.34
Dev. 1g [%]	7.03



# PCTEST

Date: 01-20-2021

## 850 MHz Body Verification

### Medium

Frequency [MHz]	TSL	TSL Conductivity [S/m]	TSL Permittivity	Ambient Temperature [C]	Tissue Temperature [C]
850.0	835 Body	1.00	54.5	22.7	22.4

### Exposure Conditions

Phantom Section	Test Distance [mm]	Power [dBm]	Communication System, UID
Flat	15	23.0	CW, 0

### Hardware Setup

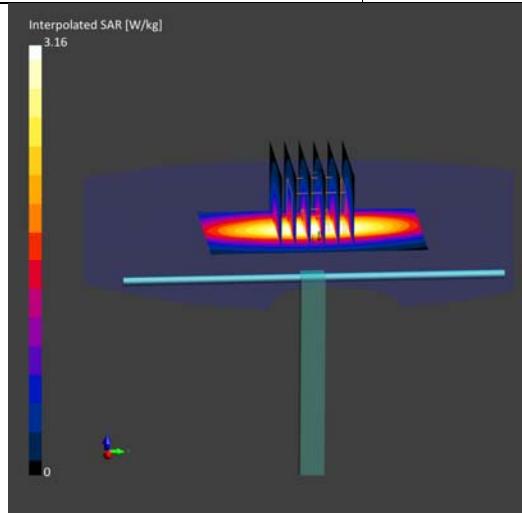
Phantom	Dipole	Probe, Calibration Date	Conversion Factor	DAE, Calibration Date
Twin-SAM V8.0 (30deg probe tilt) – 1936	D850V2 – SN1009	EX3DV4 – SN3949, 2020-08-19	10.26	DAE4 Sn1408, 2020-08-13

### Scans Setup

	Area Scan	Zoom Scan
Grid Extents [mm]	60.0 x 90.0	50.0 x 30.0 x 30.0
Grid Steps [mm]	15.0 x 15.0	6.0 x 6.0 x 1.5
Sensor Surface [mm]	3.0	1.4
Graded Grid	No	Yes
Grading Ratio	n/a	1.5

### Measurement Results

	Zoom Scan
psSAR1g [W/Kg]	2.07
psSAR10g [W/Kg]	1.36
Dev. 1g [%]	3.50



# PCTEST

Date: 01-15-2021

## 1900 MHz Body Verification

### Medium

Frequency [MHz]	TSL	TSL Conductivity [S/m]	TSL Permittivity	Ambient Temperature [C]	Tissue Temperature [C]
1900.0	1900 Body	1.58	52.6	23.1	23.6

### Exposure Conditions

Phantom Section	Test Distance [mm]	Power [dBm]	Communication System, UID
Flat	10	20.0	CW, 0

### Hardware Setup

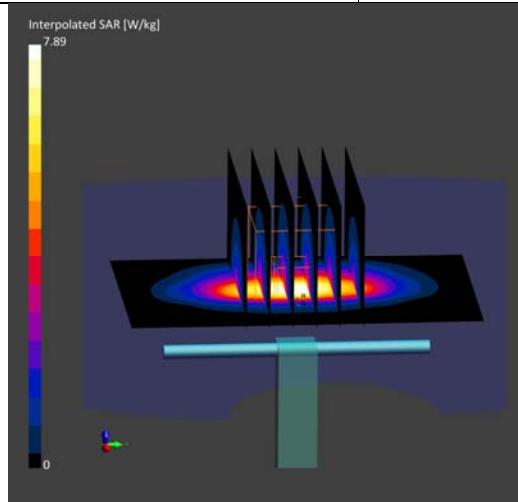
Phantom	Dipole	Probe, Calibration Date	Conversion Factor	DAE, Calibration Date
Twin-SAM V8.0 (30deg probe tilt) – 1936	D1900V2 – SN5d030	EX3DV4 – SN3949, 2020-08-19	8.44	DAE4 Sn1408, 2020-08-13

### Scans Setup

	Area Scan	Zoom Scan
Grid Extents [mm]	60.0 x 90.0	50.0 x 30.0 x 30.0
Grid Steps [mm]	15.0 x 15.0	6.0 x 6.0 x 1.5
Sensor Surface [mm]	3.0	1.4
Graded Grid	No	Yes
Grading Ratio	n/a	1.5

### Measurement Results

	Zoom Scan
psSAR1g [W/Kg]	4.21
psSAR10g [W/Kg]	2.17
Dev. 1g [%]	5.51



# PCTEST

Date: 01-20-2021

## 1900 MHz Body Verification

### Medium

Frequency [MHz]	TSL	TSL Conductivity [S/m]	TSL Permittivity	Ambient Temperature [C]	Tissue Temperature [C]
1900.0	1900 Body	1.58	52.8	22.7	22.4

### Exposure Conditions

Phantom Section	Test Distance [mm]	Power [dBm]	Communication System, UID
Flat	10	20.0	CW, 0

### Hardware Setup

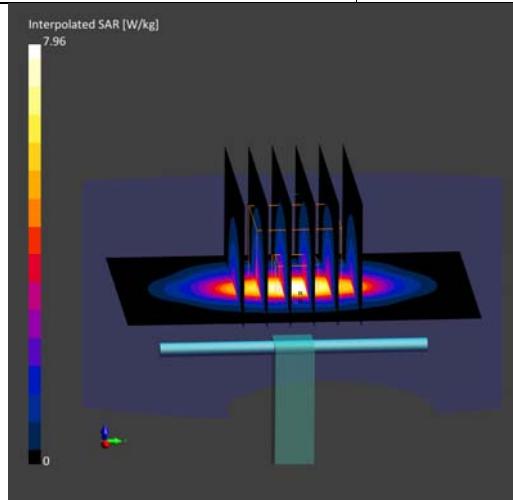
Phantom	Dipole	Probe, Calibration Date	Conversion Factor	DAE, Calibration Date
Twin-SAM V8.0 (30deg probe tilt) – 1936	D1900V2 – SN5d030	EX3DV4 – SN3949, 2020-08-19	8.44	DAE4 Sn1408, 2020-08-13

### Scans Setup

	Area Scan	Zoom Scan
Grid Extents [mm]	60.0 x 90.0	50.0 x 30.0 x 30.0
Grid Steps [mm]	15.0 x 15.0	6.0 x 6.0 x 1.5
Sensor Surface [mm]	3.0	1.4
Graded Grid	No	Yes
Grading Ratio	n/a	1.5

### Measurement Results

	Zoom Scan
psSAR1g [W/Kg]	4.21
psSAR10g [W/Kg]	2.17
Dev. 1g [%]	5.51



# PCTEST

Date: 01-19-2021

## 3700 MHz Body Verification

### Medium

Frequency [MHz]	TSL	TSL Conductivity [S/m]	TSL Permittivity	Ambient Temperature [C]	Tissue Temperature [C]
3700.0	3700 Body	3.41	50.0	23.1	20.0

### Exposure Conditions

Phantom Section	Test Distance [mm]	Power [dBm]	Communication System, UID
Flat	10	20.0	CW, 0

### Hardware Setup

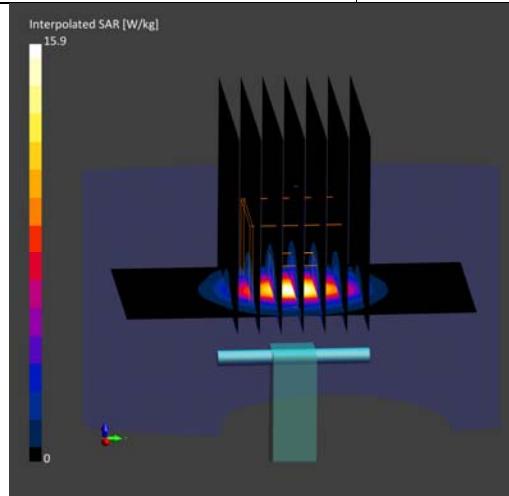
Phantom	Dipole	Probe, Calibration Date	Conversion Factor	DAE, Calibration Date
Twin-SAM V8.0 (30deg probe tilt) – 1936	D3700V2 – SN1002	EX3DV4 – SN7490, 2020-12-15	6.56	DAE4 Sn1408, 2020-08-13

### Scans Setup

	Area Scan	Zoom Scan
Grid Extents [mm]	40.0 x 80.0	50.0 x 30.0 x 30.0
Grid Steps [mm]	10.0 x 10.0	5.0 x 5.0 x 1.4
Sensor Surface [mm]	3.0	1.4
Graded Grid	No	Yes
Grading Ratio	n/a	1.5

### Measurement Results

	Zoom Scan
psSAR1g [W/Kg]	6.26
psSAR10g [W/Kg]	2.27
Dev. 1g [%]	-3.25



# PCTEST

Date: 02-10-2021

## 3900 MHz Body Verification

### Medium

Frequency [MHz]	TSL	TSL Conductivity [S/m]	TSL Permittivity	Ambient Temperature [C]	Tissue Temperature [C]
3900.0	3600 Body	3.66	49.2	22.7	19.8

### Exposure Conditions

Phantom Section	Test Distance [mm]	Power [dBm]	Communication System, UID
Flat	10	20.0	CW, 0

### Hardware Setup

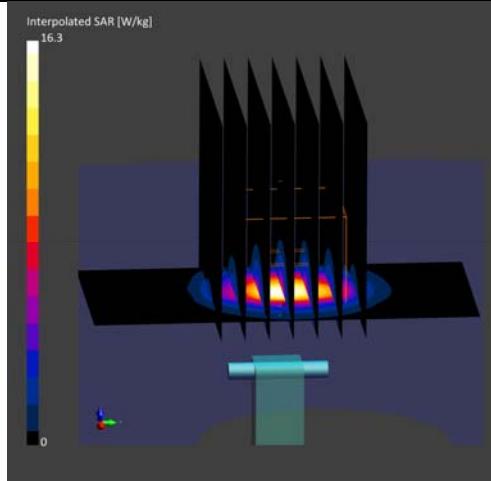
Phantom	Dipole	Probe, Calibration Date	Conversion Factor	DAE, Calibration Date
Twin-SAM V8.0 (30deg probe tilt) – 1944	D3900V2 – SN1062	EX3DV4 – SN7490, 2020-12-15	6.55	DAE4 Sn501, 2020-04-15

### Scans Setup

	Area Scan	Zoom Scan
Grid Extents [mm]	40.0 x 80.0	50.0 x 30.0 x 30.0
Grid Steps [mm]	10.0 x 10.0	5.0 x 5.0 x 1.4
Sensor Surface [mm]	3.0	1.4
Graded Grid	No	Yes
Grading Ratio	n/a	1.5

### Measurement Results

	Zoom Scan
psSAR1g [W/Kg]	6.16
psSAR10g [W/Kg]	2.16
Dev. 1g [%]	-7.09



# PCTEST

Date: 2021-02-07

## 30 GHz System Verification

### Device Under Test Properties

DUT	Serial Number
30 GHz Verification Source	1015

### Exposure Conditions

Phantom Section	Position	Test Distance [mm]	Band	Frequency [MHz]
5G	FRONT	5.55	Validation band	30000.0

### Hardware Setup

Probe, Calibration Date	DAE, Calibration Date
EUmmWV3 - SN9421_F1-78GHz, 2020-03-17	DAE4 Sn501, 2020-04-15

### Software Setup

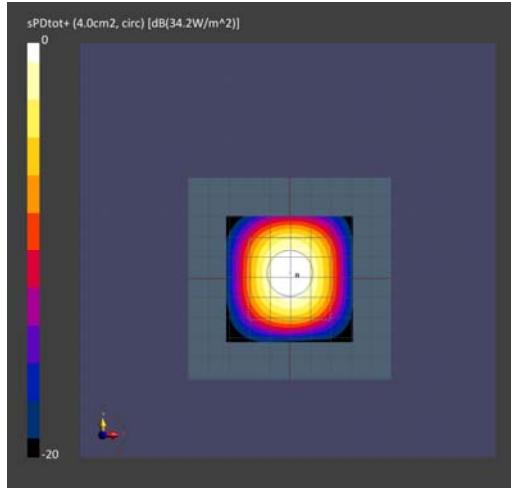
Software	Software Version
cDasy6 Module mmWave	2.2.0.76

### Scans Setup

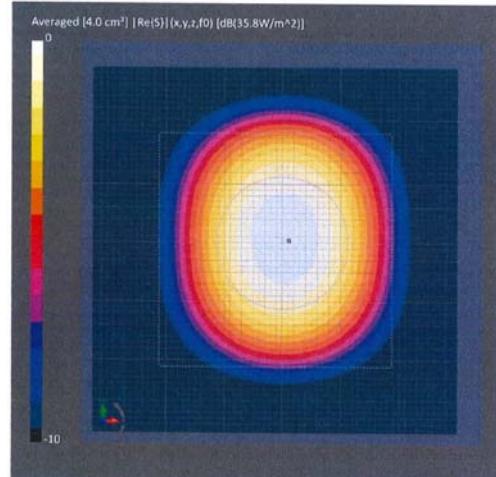
Scan Type	5G Scan
Grid Extents [mm]	60.0 x 60.0
Grid Steps [lambda]	0.25 x 0.25
Sensor Surface [mm]	5.55

### Measurement Results

Scan Type	5G Scan
Avg. Area [cm <sup>2</sup> ]	4.00
pS <sub>tot</sub> avg [W/m <sup>2</sup> ]	34.2
pS <sub>n</sub> avg [W/m <sup>2</sup> ]	33.7
E <sub>peak</sub> [V/m]	132
Power Drift [dB]	-0.11
Deviation [dB]	-0.20



30GHz System Verification



Calibration Certificate

# PCTEST

Date: 2021-02-08

## 30 GHz System Verification

### Device Under Test Properties

DUT	Serial Number
30 GHz Verification Source	1015

### Exposure Conditions

Phantom Section	Position	Test Distance [mm]	Band	Frequency [MHz]
5G	FRONT	5.55	Validation band	30000.0

### Hardware Setup

Probe, Calibration Date	DAE, Calibration Date
EUmmWV3 - SN9421_F1-78GHz, 2020-03-17	DAE4 Sn501, 2020-04-15

### Software Setup

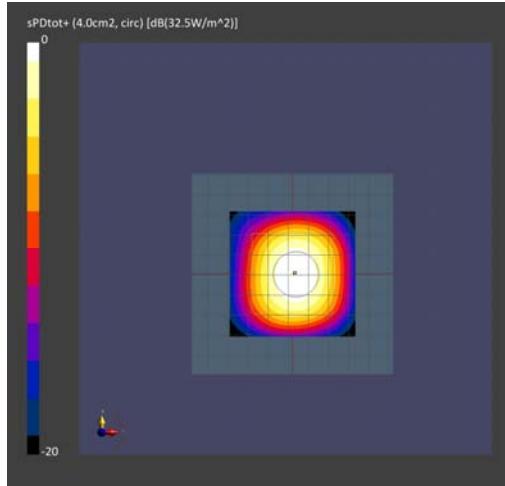
Software	Software Version
cDasy6 Module mmWave	2.2.0.76

### Scans Setup

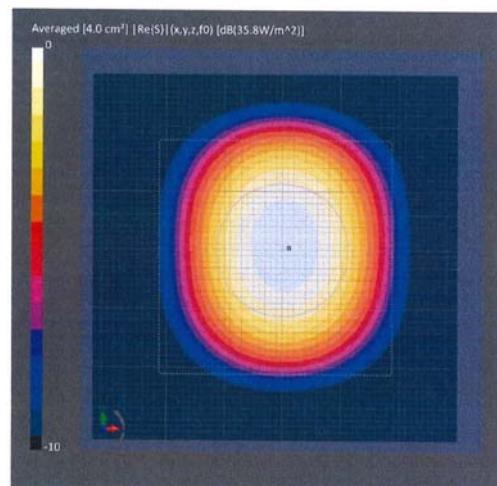
Scan Type	5G Scan
Grid Extents [mm]	60.0 x 60.0
Grid Steps [lambda]	0.25 x 0.25
Sensor Surface [mm]	5.55

### Measurement Results

Scan Type	5G Scan
Avg. Area [cm <sup>2</sup> ]	4.00
pS <sub>tot</sub> avg [W/m <sup>2</sup> ]	32.5
pS <sub>n</sub> avg [W/m <sup>2</sup> ]	32.0
E <sub>peak</sub> [V/m]	131
Power Drift [dB]	-0.10
Deviation [dB]	-0.42



30GHz System Verification



Calibration Certificate

## APPENDIX B: SAR TISSUE SPECIFICATIONS

Measurement Procedure for Tissue verification:

- 1) The network analyzer and probe system was configured and calibrated.
- 2) The probe was immersed in the tissue. The tissue was placed in a nonmetallic container. Trapped air bubbles beneath the flange were minimized by placing the probe at a slight angle.
- 3) The complex admittance with respect to the probe aperture was measured
- 4) The complex relative permittivity  $\epsilon'$  can be calculated from the below equation (Pournaropoulos and Misra):

$$Y = \frac{j2\omega\epsilon_r\epsilon_0}{[\ln(b/a)]^2} \int_a^b \int_a^b \int_0^\pi \cos\phi' \frac{\exp[-j\omega r(\mu_0\epsilon_r\epsilon_0)^{1/2}]}{r} d\phi' d\rho' d\rho$$

where  $Y$  is the admittance of the probe in contact with the sample, the primed and unprimed coordinates refer to source and observation points, respectively,  $r^2 = \rho^2 + \rho'^2 - 2\rho\rho' \cos\phi'$ ,  $\omega$  is the angular frequency, and  $j = \sqrt{-1}$ .

### 3 Composition / Information on ingredients

#### 3.2 Mixtures

Description: Aqueous solution with surfactants and inhibitors

Declarable, or hazardous components:

CAS: 107-21-1 EINECS: 203-473-3 Reg.nr.: 01-2119456816-28-0000	<b>Ethanediol</b> STOT RE 2, H373; Acute Tox. 4, H302	>1.0-4.9%
CAS: 68608-26-4 EINECS: 271-781-5 Reg.nr.: 01-2119527859-22-0000	<b>Sodium petroleum sulfonate</b> Eye Irrit. 2, H319	< 2.9%
CAS: 107-41-5 EINECS: 203-489-0 Reg.nr.: 01-2119539582-35-0000	<b>Hexylene Glycol / 2-Methyl-pentane-2,4-diol</b> Skin Irrit. 2, H315; Eye Irrit. 2, H319	< 2.9%
CAS: 68920-66-1 NLP: 500-236-9 Reg.nr.: 01-2119489407-26-0000	<b>Alkoxylated alcohol, &gt; C<sub>16</sub></b> Aquatic Chronic 2, H411; Skin Irrit. 2, H315; Eye Irrit. 2, H319	< 2.0%

Additional information:

For the wording of the listed risk phrases refer to section 16.

Not mentioned CAS-, EINECS- or registration numbers are to be regarded as Proprietary/Confidential.

The specific chemical identity and/or exact percentage concentration of proprietary components is withheld as a trade secret.

**Figure B -1**

Note: Liquid recipes are proprietary SPEAG. Since the composition is approximate to the actual liquids utilized, the manufacturer tissue-equivalent liquid data sheets are provided below.

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of  PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	APPENDIX B: Page 1 of 2

**Measurement Certificate / Material Test**

Item Name	Body Tissue Simulating Liquid (MBBL600-6000V6)
Product No.	SL AAM U16 BC (Batch: 181029-1)
Manufacturer	SPEAG

**Measurement Method**

TSL dielectric parameters measured using calibrated DAK probe.

**Target Parameters**

Target parameters as defined in the KDB 865664 compliance standard.

**Test Condition**

Ambient Condition 22°C ; 30% humidity  
 TSL Temperature 22°C  
 Test Date 30-Oct-18  
 Operator CL

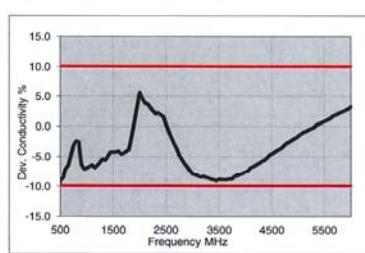
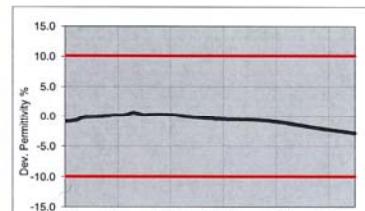
**Additional Information**

TSL Density

TSL Heat-capacity

**Results**

f [MHz]	Measured		Target		Diff. to Target [%]	
	$\epsilon'$	$\epsilon''$	$\epsilon_{\text{ps}}$	$\sigma$	$\Delta\epsilon'$	$\Delta\sigma$
800	55.1	21.3	0.95	55.3	0.97	-0.4 -2.1
825	55.1	20.8	0.96	55.2	0.98	-0.3 -2.0
835	55.1	20.6	0.95	55.1	0.99	0.0 -2.5
850	55.1	20.4	0.96	55.2	0.99	-0.1 -3.0
900	55.0	19.7	0.98	55.0	1.05	0.0 -6.7
1400	54.2	15.6	1.22	54.1	1.28	0.2 -4.7
1450	54.1	15.4	1.24	54.0	1.30	0.2 -4.6
1500	54.1	15.3	1.27	53.9	1.33	0.3 -4.5
1550	54.0	15.1	1.30	53.9	1.36	0.2 -4.4
1600	53.9	15.0	1.33	53.8	1.39	0.2 -4.3
1625	53.9	14.9	1.35	53.8	1.41	0.3 -4.3
1640	53.9	14.9	1.36	53.7	1.42	0.3 -4.2
1650	53.8	14.9	1.36	53.7	1.43	0.2 -4.9
1700	53.8	14.8	1.40	53.6	1.46	0.4 -4.1
1750	53.7	14.7	1.43	53.4	1.49	0.5 -4.0
1800	53.7	14.6	1.46	53.3	1.52	0.8 -3.9
1810	53.7	14.6	1.47	53.3	1.52	0.8 -3.3
1825	53.7	14.6	1.48	53.3	1.52	0.8 -2.6
1850	53.6	14.5	1.50	53.3	1.52	0.6 -1.3
1900	53.5	14.5	1.53	53.3	1.52	0.4 0.7
1950	53.5	14.5	1.57	53.3	1.52	0.4 3.3
2000	53.4	14.4	1.60	53.3	1.52	0.2 5.3
2050	53.4	14.4	1.64	53.2	1.57	0.3 4.5
2100	53.3	14.4	1.68	53.2	1.62	0.2 3.7
2150	53.3	14.4	1.72	53.1	1.66	0.4 3.6
2200	53.2	14.4	1.76	53.0	1.71	0.3 2.9
2250	53.1	14.4	1.81	53.0	1.76	0.2 2.8
2300	53.1	14.4	1.85	52.9	1.81	0.4 2.2
2350	53.0	14.5	1.89	52.8	1.85	0.3 2.2
2400	52.9	14.5	1.94	52.8	1.90	0.2 2.1
2450	52.9	14.5	1.98	52.7	1.95	0.4 1.5
2500	52.8	14.6	2.03	52.6	2.02	0.3 0.5
2550	52.7	14.6	2.07	52.6	2.09	0.2 -1.0
2600	52.6	14.7	2.12	52.5	2.16	0.2 -1.9



3500	51.1	15.5	3.02	51.3	3.31	-0.4	-8.8
3700	50.8	15.7	3.24	51.1	3.55	-0.5	-8.8
5200	48.1	18.2	5.27	49.0	5.30	-1.8	-0.6
5250	48.0	18.3	5.34	49.0	5.36	-1.9	-0.4
5300	47.9	18.4	5.41	48.9	5.42	-2.0	-0.2
5500	47.5	18.6	5.70	48.6	5.65	-2.2	0.8
5600	47.3	18.8	5.84	48.5	5.77	-2.3	1.3
5700	47.1	18.9	5.99	48.3	5.88	-2.5	1.8
5800	47.0	19.0	6.14	48.2	6.00	-2.6	2.3

TSL Dielectric Parameters

1

**Figure B-2**  
**600 – 5800 MHz Body Tissue Equivalent Matter**

FCC ID: BCGA2379	<b>PCTEST</b> Proud to be part of  PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	APPENDIX B: Page 2 of 2

## APPENDIX C: SAR SYSTEM VALIDATION

Per FCC KDB Publication 865664 D02v01r02, SAR system validation status should be documented to confirm measurement accuracy. The SAR systems (including SAR probes, system components and software versions) used for this device were validated against its performance specifications prior to the SAR measurements.

Reference dipoles were used with the required tissue- equivalent media for system validation, according to the procedures outlined in FCC KDB Publication 865664 D01v01r04 and IEEE 1528-2013. Since SAR probe calibrations are frequency dependent, each probe calibration point was validated at a frequency within the valid frequency range of the probe calibration point, using the system that normally operates with the probe for routine SAR measurements and according to the required tissue-equivalent media.

A tabulated summary of the system validation status including the validation date(s), measurement frequencies, SAR probes and tissue dielectric parameters has been included.

**Table C-1**  
**SAR System Validation Summary – 1g**

SAR System	Freq. (MHz)	Date	Probe SN	Probe Cal Point	Cond. ( $\sigma$ )	Perm. ( $\epsilon_r$ )	CW VALIDATION			MOD. VALIDATION		
							Sensitivity	Probe Linearity	Probe Isotropy	Mod. Type	Duty Factor	PAR
AM5	750	12/2/2020	3949	750	Body	0.948	53.888	PASS	PASS	PASS	N/A	N/A
AM3	750	12/7/2020	7532	750	Body	0.998	53.347	PASS	PASS	PASS	N/A	N/A
AM5	835	12/2/2020	3949	835	Body	0.981	53.684	PASS	PASS	PASS	GMSK	PASS
AM5	1900	12/1/2020	3949	1900	Body	1.591	52.893	PASS	PASS	PASS	GMSK	PASS
AM5	3700	12/31/2020	7490	3700	Body	3.385	49.363	PASS	PASS	PASS	TDD	PASS
AM3	3900	2/8/2021	7490	3900	Body	3.669	49.161	PASS	PASS	PASS	TDD	PASS

NOTE: While the probes have been calibrated for both CW and modulated signals, all measurements were performed using communication systems calibrated for CW signals only. Modulations in the table above represent test configurations for which the measurement system has been validated per FCC KDB Publication 865664 D01v01r04 for scenarios when CW probe calibrations are used with other signal types. SAR systems were validated for modulated signals with a periodic duty cycle, such as GMSK, or with a high peak to average ratio (>5 dB), such as OFDM according to FCC KDB Publication 865664 D01v01r04.

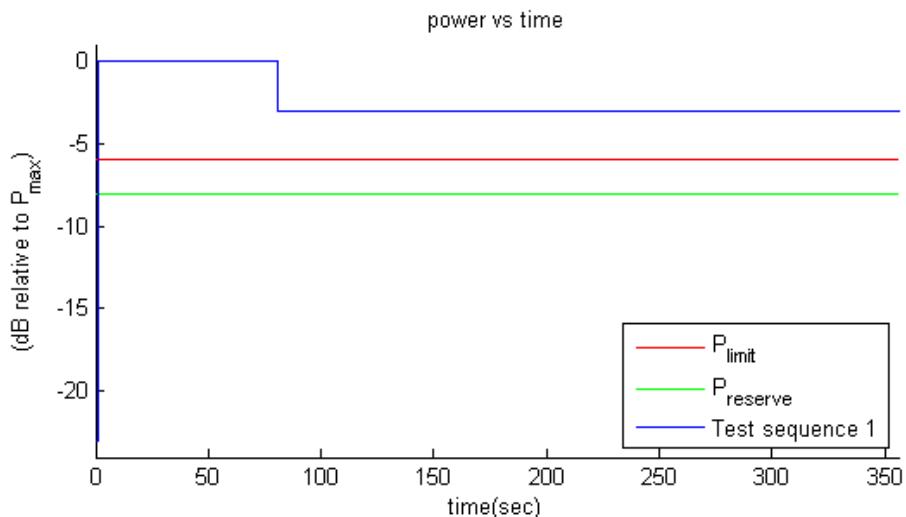
FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of  PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	APPENDIX C: Page 1 of 1

## APPENDIX E: TEST SEQUENCES

1. Test sequence is generated based on below parameters of the DUT:
  - a. Measured maximum power ( $P_{max}$ )
  - b. Measured Tx\_power\_at\_SAR\_design\_target ( $P_{limit}$ )
  - c. Reserve\_power\_margin (dB)
    - $P_{reserve}$  (dBm) = measured  $P_{limit}$  (dBm) – Reserve\_power\_margin (dB)
  - d. SAR\_time\_window (100s for FCC)

2. Test Sequence 1 Waveform:

Based on the parameters above, the Test Sequence 1 is generated with one transition between high and low Tx powers. Here, high power =  $P_{max}$ ; low power =  $P_{max}/2$ , and the transition occurs after 80 seconds at high power  $P_{max}$ . As long as the power enforcement is taking into effect during one 100s/60s time window, the validation test with this defined test sequence 1 is valid, otherwise, select other radio configuration (band/DSI within the same technology group) having lower  $P_{limit}$  for this test. The Test sequence 1 waveform is shown below:



**Figure E-1**  
**Test sequence 1 waveform**

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of  PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	APPENDIX E: Page 1 of 3

3. Test Sequence 2 Waveform:

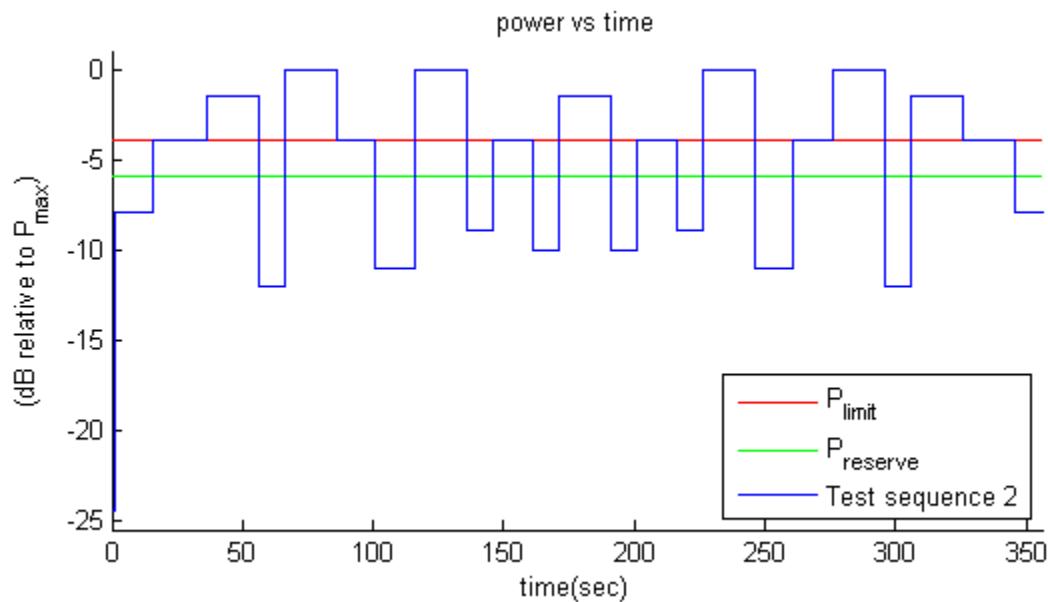
Based on the parameters described above, the Test Sequence 2 is generated as described in Table E-1, which contains two 170 second-long sequences (yellow and green highlighted rows) that are mirrored around the center row of 20s, resulting in a total duration of 360 seconds:

**Table E-1**  
**Test Sequence 2**

Time duration (seconds)	dB relative to $P_{limit}$ or $P_{reserve}$
15	$P_{reserve} - 2$
20	$P_{limit}$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
10	$P_{reserve} - 6$
20	$P_{max}$
15	$P_{limit}$
15	$P_{reserve} - 5$
20	$P_{max}$
10	$P_{reserve} - 3$
15	$P_{limit}$
10	$P_{reserve} - 4$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
10	$P_{reserve} - 4$
15	$P_{limit}$
10	$P_{reserve} - 3$
20	$P_{max}$
15	$P_{reserve} - 5$
15	$P_{limit}$
20	$P_{max}$
10	$P_{reserve} - 6$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
20	$P_{limit}$
15	$P_{reserve} - 2$

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of  PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	APPENDIX E: Page 2 of 3

The Test Sequence 2 waveform is shown in Figure E-2.



**Figure E-2**  
**Test sequence 2 waveform**

FCC ID: BCGA2379	<b>PCTEST</b> Proud to be part of element PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	APPENDIX E: Page 3 of 3

## APPENDIX F: TEST PROCEDURES FOR SUB6 NR + NR RADIO

Appendix F provides the test procedures for validating Qualcomm Smart Transmit feature for LTE + Sub6 NR non-standalone (NSA) mode transmission scenario, where sub-6GHz LTE link acts as an anchor, and Sub6 NR standalone mode (SA) transmission scenario.

### F.1 Time-varying Tx power test for sub6 NR in NSA mode and SA mode

Follows Section 4.2.1 to select test configurations for time-varying test. This test is performed with two pre-defined test sequences (described in Section 4.1) applied to Sub6 NR NSA (with LTE on all-down bits or low power for the entire test after establishing the LTE+Sub6 NR call with the callbox) and Sub6 NR SA (with Sub6 NR connection only). Follow the test procedures described in Section 4.3.1 to demonstrate the effectiveness of power limiting enforcement and that the time averaged Tx power of Sub6 NR when converted into 1gSAR values does not exceed the regulatory limit at all times (see Eq. (1a) and (1b)). Sub6 NR response to test sequence1 and test sequence2 will be similar to other technologies (say, LTE), and are shown in Sections 9.1.7 and 9.1.8.

### F.2 Switch in SAR exposure between LTE vs. Sub6 NR during transmission

This test is to demonstrate that Smart Transmit feature accurately accounts for switching in exposures among SAR for LTE radio only, SAR from both LTE radio and sub6 NR, and SAR from sub6 NR only scenarios, and ensures total time-averaged RF exposure compliance with FCC limit.

#### Test procedure:

1. Measure conducted Tx power corresponding to  $P_{limit}$  for LTE and sub6 NR in selected band. Test condition to measure conducted  $P_{limit}$  is:
  - Establish device in call with the callbox for LTE in desired band. Measure conducted Tx power corresponding to LTE  $P_{limit}$  with Smart Transmit enabled and Reserve\_power\_margin set to 0 dB, callbox set to request maximum power.
  - Repeat above step to measure conducted Tx power corresponding to Sub6 NR  $P_{limit}$ . If testing LTE+Sub6 NR in non-standalone mode, then establish LTE+Sub6 NR call with callbox and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from Sub6 NR, measured conducted Tx power corresponds to radio2  $P_{limit}$  (as radio1 LTE is at all-down bits)
2. Set Reserve\_power\_margin to actual (intended) value with EUT setup for LTE + Sub6 NR call. First, establish LTE connection in all-up bits with the callbox, and then Sub6 NR connection is added with callbox requesting UE to transmit at maximum power in Sub6 NR. As soon as the Sub6 NR connection is established, request all-down bits on LTE link (otherwise, Sub6 NR will not have sufficient RF exposure margin to sustain the call with LTE in all-up bits). Continue LTE (all-down bits)+Sub6 NR transmission for more than one time-window duration to test predominantly Sub6 NR SAR exposure scenario (as SAR exposure is negligible from all-down bits in LTE). After at least one time-window, request LTE to go all-up bits to test LTE SAR and Sub6 NR SAR exposure scenario. After at least one more time-window, drop (or request all-down bits) Sub6 NR transmission to test predominantly LTE

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of  PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	APPENDIX F: Page 1 of 2

SAR exposure scenario. Continue the test for at least one more time-window. Record the conducted Tx powers for both LTE and Sub6 NR for the entire duration of this test.

3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and Sub6 NR links. Similar to technology/band switch test in Section 4.3.3, convert the conducted Tx power for both these radios into 1gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band  $P_{limit}$  measured in Step 1, and then perform 100s running average to determine time-averaged 1gSAR versus time as illustrated in Figure 4-1. Note that here it is assumed both radios have Tx frequencies < 3GHz, otherwise, 60s running average should be performed for radios having Tx frequency between 3GHz and 6GHz.
4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.
5. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 3, (b) computed time-averaged 1gSAR versus time determined in Step 3, and (c) corresponding regulatory 1gSAR<sub>limit</sub> of 1.6W/kg.

The validation criteria is, at all times, the time-averaged 1gSAR versus time shall not exceed the regulatory 1gSAR<sub>limit</sub> of 1.6W/kg.

### F.3 Sub6 NR TDD Modified Test Procedure

For Sub6 NR TDD test cases, a modified procedure was used due to a limitation of the available test equipment.

#### Test Procedure for Conducted Test Sequences:

1. Measure  $P_{max}$ , measure  $P_{limit}$  and calculate  $P_{reserve}$  (= measured  $P_{limit}$  in dBm –  $Reserve\_power\_margin$  in dB) and follow Section 4.1 to generate the test sequences for all the technologies and bands selected in Section 4.2.1. Both test sequence 1 and test sequence 2 are created based on generated  $P_{max\_sequences}$  and  $P_{limit\_sequences}$  of the DUT as described below. Test condition to measure  $P_{max}$  and  $P_{limit}$  is:
  - a. Measure  $P_{max\_online\_avg\_dBm}$  with Smart Transmit disabled and callbox set to request maximum power.
  - b. Measure  $P_{limit\_online\_avg\_dBm}$  with Smart Transmit enabled and  $Reserve\_power\_margin$  set to 0 dB, callbox set to request maximum power.
  - c. Measure  $P_{limit\_ftm\_dBm}$  in FTM Mode at 25% Duty Cycle
  - d. Calculate the  $DutyCycle\_dB = P_{limit\_ftm\_dBm} - P_{limit\_online\_avg\_dBm} + 6$  dB
  - e. Calculate  $P_{max\_sequences} = P_{max\_online\_avg\_dBm} + DutyCycle\_dB$
  - f. Calculate  $P_{limit\_sequences} = P_{limit\_online\_avg\_dBm} + DutyCycle\_dB$
2. Follow remaining steps in Section 4.3.1 to complete time-varying Tx test cases

For the SAR test cases, the procedure in Section 4.4 applies however the initial area scan as described in section 4.4 step 2) i) is performed with the device in FTM mode

FCC ID: BCGA2379	 <b>PCTEST</b> Proud to be part of  PART 2 RF EXPOSURE EVALUATION REPORT	Approved by: Quality Manager
Test Dates: 01/15/2021 - 02/15/2021	DUT Type: Tablet Device	APPENDIX F: Page 2 of 2

## APPENDIX G: CALIBRATION CERTIFICATES

Calibration Laboratory of  
Schmid & Partner  
Engineering AG  
Zeughausstrasse 43, 8004 Zurich, Switzerland



**S** Schweizerischer Kalibrierdienst  
**C** Service suisse d'étalonnage  
**S** Servizio svizzero di taratura  
**S** Swiss Calibration Service

Accredited by the Swiss Accreditation Service (SAS)  
The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 0108**

Client **PC Test**

Certificate No. **D750V3-1034\_May18**

## CALIBRATION CERTIFICATE

Object **D750V3-SN 1034**

Calibration procedure(s) **QA/CAL-05.v10**

Calibration procedure for dipole validation kits above 700 MHz

SC ✓  
5/31/2018

Calibration date: **May 18, 2018**

BN ✓  
06/01/2019

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

ATM ✓  
6/1/2020

### Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	04-Apr-18 (No. 217-02672/02673)	Apr-19
Power sensor NAP-Z91	SN: 103244	04-Apr-18 (No. 217-02672)	Apr-19
Power sensor NAP-Z91	SN: 103245	04-Apr-18 (No. 217-02673)	Apr-19
Reference 20 dB Attenuator	SN: 5058 (20k)	04-Apr-18 (No. 217-02682)	Apr-19
Type-N mismatch combination	SN: 5047.2 / 06327	04-Apr-18 (No. 217-02683)	Apr-19
Reference Probe EX3DV4	SN: 7349	30-Dec-17 (No. EX3-7349_Dec17)	Dec-18
DAE4	SN: 601	26-Oct-17 (No. DAE4-601_Oct17)	Oct-18

Secondary Standards	ID #	Check Date (in house)	Scheduled Check
Power meter EPM-442A	SN: GB37480704	07-Oct-16 (in house check Oct-16)	In house check: Oct-18
Power sensor HP 8481A	SN: US37292783	07-Oct-16 (in house check Oct-16)	In house check: Oct-18
Power sensor HP 8481A	SN: MY41092317	07-Oct-15 (in house check Oct-16)	In house check: Oct-18
RF generator R&S SMT-06	SN: 100972	15-Jun-15 (in house check Oct-16)	In house check: Oct-18
Network Analyzer HP 8753E	SN: US37390585	18-Oct-01 (in house check Oct-17)	In house check: Oct-18

Calibrated by:	Name	Function	Signature
	Manu Seltz	Laboratory Technician	

Approved by:	Name	Function	Signature
	Kalla Páková	Technical Manager	

Issued: May 22, 2018

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 0108**

#### **Glossary:**

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM x,y,z
N/A	not applicable or not measured

#### **Calibration is Performed According to the Following Standards:**

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### **Additional Documentation:**

- e) DASY4/5 System Handbook

#### **Methods Applied and Interpretation of Parameters:**

- *Measurement Conditions:* Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- *Antenna Parameters with TSL:* The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- *Feed Point Impedance and Return Loss:* These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- *Electrical Delay:* One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- *SAR measured:* SAR measured at the stated antenna input power.
- *SAR normalized:* SAR as measured, normalized to an input power of 1 W at the antenna connector.
- *SAR for nominal TSL parameters:* The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution corresponds to a coverage probability of approximately 95%.

## Measurement Conditions

DASY system configuration, as far as not given on page 1.

<b>DASY Version</b>	DASY5	V52.10.1
<b>Extrapolation</b>	Advanced Extrapolation	
<b>Phantom</b>	Modular Flat Phantom	
<b>Distance Dipole Center - TSL</b>	15 mm	with Spacer
<b>Zoom Scan Resolution</b>	dx, dy, dz = 5 mm	
<b>Frequency</b>	750 MHz ± 1 MHz	

## Head TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
<b>Nominal Head TSL parameters</b>	22.0 °C	41.9	0.89 mho/m
<b>Measured Head TSL parameters</b>	(22.0 ± 0.2) °C	41.0 ± 6 %	0.89 mho/m ± 6 %
<b>Head TSL temperature change during test</b>	< 0.5 °C	----	----

## SAR result with Head TSL

<b>SAR averaged over 1 cm<sup>3</sup> (1 g) of Head TSL</b>	Condition	
SAR measured	250 mW input power	2.09 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	<b>8.32 W/kg ± 17.0 % (k=2)</b>

<b>SAR averaged over 10 cm<sup>3</sup> (10 g) of Head TSL</b>	condition	
SAR measured	250 mW input power	1.36 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	<b>5.42 W/kg ± 16.5 % (k=2)</b>

## Body TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
<b>Nominal Body TSL parameters</b>	22.0 °C	55.5	0.96 mho/m
<b>Measured Body TSL parameters</b>	(22.0 ± 0.2) °C	54.7 ± 6 %	0.96 mho/m ± 6 %
<b>Body TSL temperature change during test</b>	< 0.5 °C	----	----

## SAR result with Body TSL

<b>SAR averaged over 1 cm<sup>3</sup> (1 g) of Body TSL</b>	Condition	
SAR measured	250 mW input power	2.15 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	<b>8.57 W/kg ± 17.0 % (k=2)</b>

<b>SAR averaged over 10 cm<sup>3</sup> (10 g) of Body TSL</b>	condition	
SAR measured	250 mW input power	1.42 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	<b>5.67 W/kg ± 16.5 % (k=2)</b>

## Appendix (Additional assessments outside the scope of SCS 0108)

### Antenna Parameters with Head TSL

Impedance, transformed to feed point	55.3 $\Omega$ + 0.0 $j\Omega$
Return Loss	- 26.0 dB

### Antenna Parameters with Body TSL

Impedance, transformed to feed point	50.0 $\Omega$ - 3.2 $j\Omega$
Return Loss	- 29.8 dB

### General Antenna Parameters and Design

Electrical Delay (one direction)	1.034 ns
----------------------------------	----------

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

### Additional EUT Data

Manufactured by	SPEAG
Manufactured on	July 06, 2011

# DASY5 Validation Report for Head TSL

Date: 17.05.2018

Test Laboratory: SPEAG, Zurich, Switzerland

**DUT: Dipole 750 MHz; Type: D750V3; Serial: D750V3 - SN:1034**

Communication System: UID 0 - CW; Frequency: 750 MHz

Medium parameters used:  $f = 750$  MHz;  $\sigma = 0.89$  S/m;  $\epsilon_r = 41$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

DASY52 Configuration:

- Probe: EX3DV4 - SN7349; ConvF(10.22, 10.22, 10.22) @ 750 MHz; Calibrated: 30.12.2017
- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 26.10.2017
- Phantom: Flat Phantom 4.9 (front); Type: QD 00L P49 AA; Serial: 1001
- DASY52 52.10.1(1476); SEMCAD X 14.6.11(7439)

## Dipole Calibration for Head Tissue/Pin=250 mW, d=15mm/Zoom Scan (7x7x7)/Cube 0:

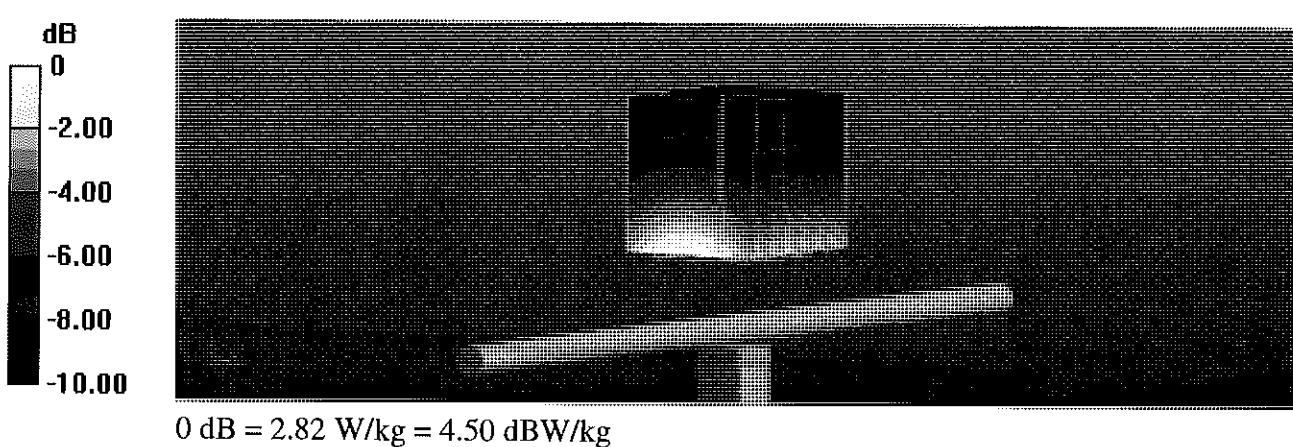
Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 59.66 V/m; Power Drift = -0.03 dB

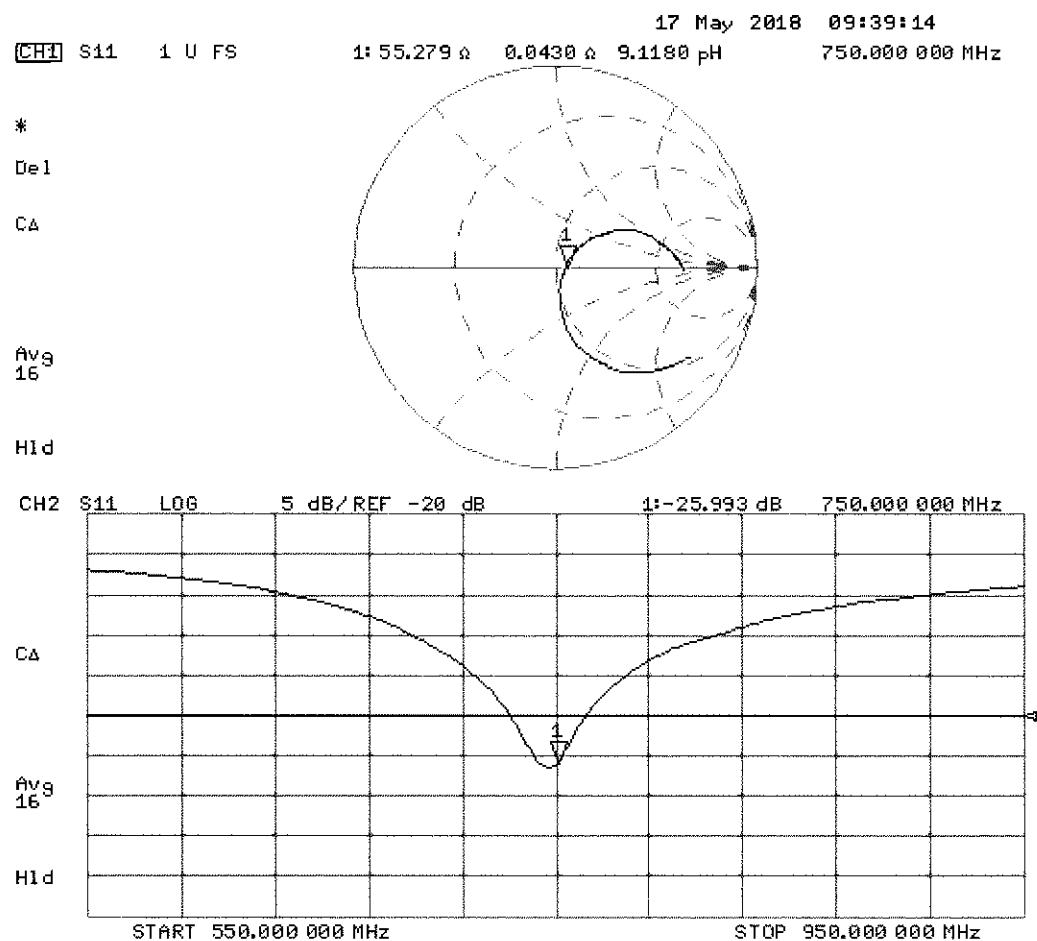
Peak SAR (extrapolated) = 3.18 W/kg

**SAR(1 g) = 2.09 W/kg; SAR(10 g) = 1.36 W/kg**

Maximum value of SAR (measured) = 2.82 W/kg



## Impedance Measurement Plot for Head TSL



# DASY5 Validation Report for Body TSL

Date: 18.05.2018

Test Laboratory: SPEAG, Zurich, Switzerland

**DUT: Dipole 750 MHz; Type: D750V3; Serial: D750V3 - SN:1034**

Communication System: UID 0 - CW; Frequency: 750 MHz

Medium parameters used:  $f = 750$  MHz;  $\sigma = 0.96$  S/m;  $\epsilon_r = 54.7$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

DASY52 Configuration:

- Probe: EX3DV4 - SN7349; ConvF(10.19, 10.19, 10.19) @ 750 MHz; Calibrated: 30.12.2017
- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 26.10.2017
- Phantom: Flat Phantom 4.9 (Back); Type: QD 00R P49 AA; Serial: 1005
- DASY52 52.10.1(1476); SEMCAD X 14.6.11(7439)

## Dipole Calibration for Body Tissue/Pin=250 mW, d=15mm/Zoom Scan (7x7x7)/Cube 0:

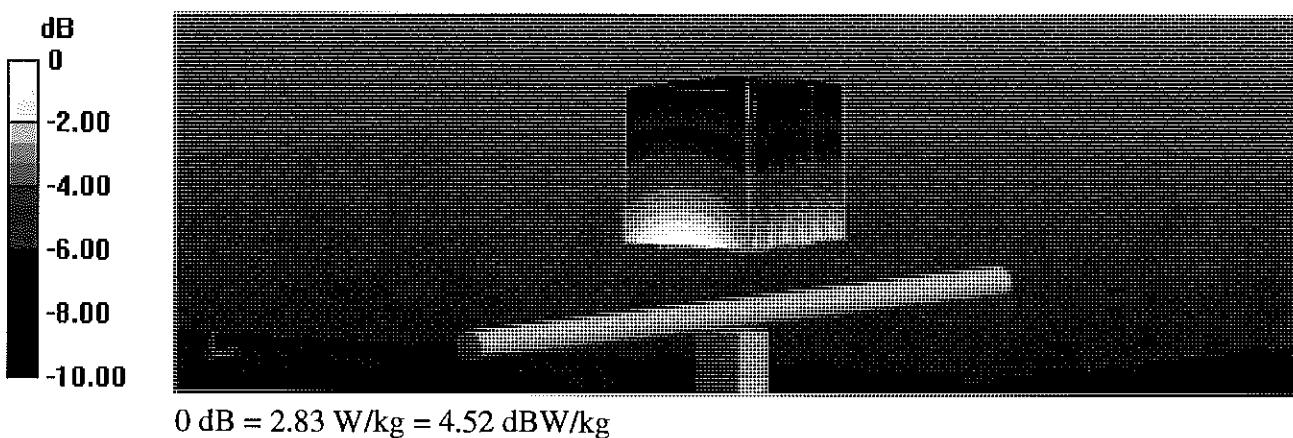
Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 57.60 V/m; Power Drift = -0.01 dB

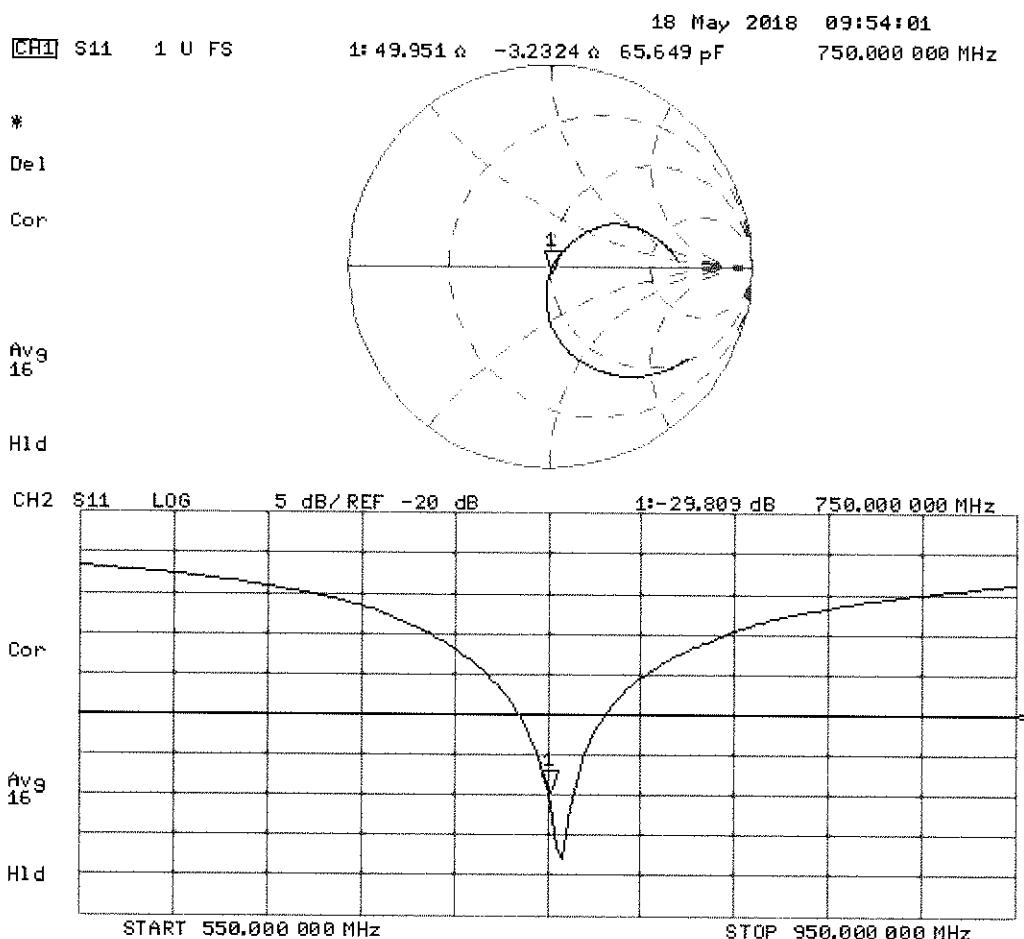
Peak SAR (extrapolated) = 3.16 W/kg

**SAR(1 g) = 2.15 W/kg; SAR(10 g) = 1.42 W/kg**

Maximum value of SAR (measured) = 2.83 W/kg



## Impedance Measurement Plot for Body TSL





# PCTEST ENGINEERING LABORATORY, INC.

18855 Adams Ct, Morgan Hill, CA 95037 USA

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<http://www.pctest.com>



## Certification of Calibration

Object	D750V3 – SN: 1034
Calibration procedure(s)	Procedure for Calibration Extension for SAR Dipoles.
Extended Calibration date:	May 16, 2019
Description:	SAR Validation Dipole at 750 MHz.

### Calibration Equipment used:

Manufacturer	Model	Description	Cal Date	Cal Interval	Cal Due	Serial Number
Agilent	8753ES	S-Parameter Network Analyzer	10/2/2018	Annual	10/2/2019	US39170118
Agilent	N5182A	MXG Vector Signal Generator	6/15/2018	Annual	6/15/2019	MY47420837
Amplifier Research	1551G6	Amplifier	CBT	N/A	CBT	343972
Anritsu	ML2495A	Power Meter	10/21/2018	Annual	10/21/2019	941001
Anritsu	MA2411B	Pulse Power Sensor	10/30/2018	Annual	10/30/2019	1207470
Anritsu	MA2411B	Pulse Power Sensor	11/20/2018	Annual	11/20/2019	1339007
Control Company	4040	Temperature / Humidity Monitor	2/28/2018	Biennial	2/28/2020	150761911
Control Company	4352	Ultra Long Stem Thermometer	2/28/2018	Biennial	2/28/2020	170330160
Keysight	772D	Dual Directional Coupler	CBT	N/A	CBT	MY52180215
Keysight Technologies	85033E	Standard Mechanical Calibration Kit (DC to 9GHz, 3.5mm)	6/4/2018	Annual	6/4/2019	MY53401181
Mini-Circuits	BW-N20W5+	DC to 18 GHz Precision Fixed 20 dB Attenuator	CBT	N/A	CBT	N/A
Mini-Circuits	NLP-2950+	Low Pass Filter DC to 2700 MHz	CBT	N/A	CBT	N/A
Narda	4772-3	Attenuator (3dB)	CBT	N/A	CBT	9406
Pasternack	PE2208-6	Bidirectional Coupler	CBT	N/A	CBT	N/A
Pasternack	PE5011-1	Torque Wrench	7/19/2017	Biennial	7/19/2019	N/A
SPEAG	DAKS-3.5	Portable DAK	9/11/2018	Annual	9/11/2019	1045
SPEAG	EX3DV4	SAR Probe	7/20/2018	Annual	7/20/2019	7416
SPEAG	DAE4	Dasy Data Acquisition Electronics	7/10/2018	Annual	7/10/2019	1402

Measurement Uncertainty =  $\pm 23\%$  (k=2)

	Name	Function	Signature
Calibrated By:	Parker Jones	Team Lead Engineer	<i>Parker Jones</i>
Approved By:	Kaitlin O'Keefe	Senior Technical Manager	<i>KOK</i>

Object:	Date Issued:	Page 1 of 4
D750V3 – SN: 1034	05/16/2019	

# DIPOLE CALIBRATION EXTENSION

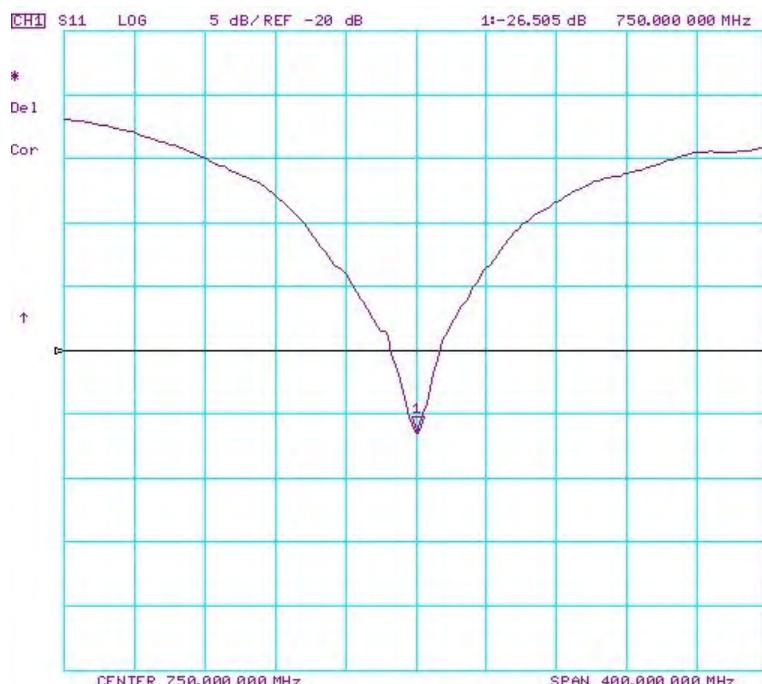
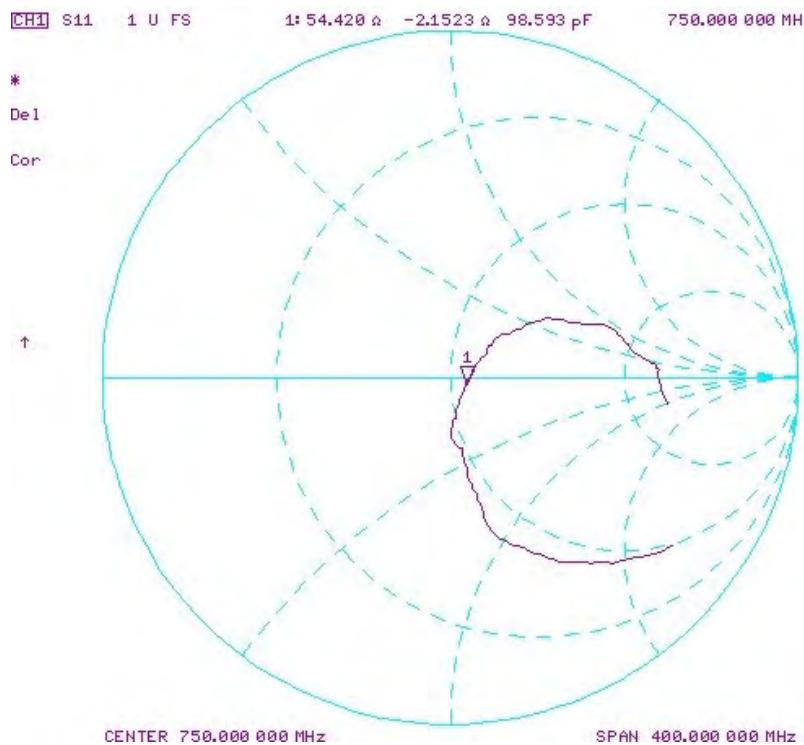
Per KDB 865664 D01, calibration intervals of up to three years may be considered for reference dipoles when it is demonstrated that the SAR target, impedance and return loss of a dipole have remained stable according to the following requirements:

1. The measured SAR does not deviate more than 10% from the target on the calibration certificate.
2. The return-loss does not deviate more than 20% from the previous measurement and meets the required 20dB minimum return-loss requirement.
3. The measurement of real or imaginary parts of impedance does not deviate more than 5Ω from the previous measurement.

The following dipole was checked to pass the above 3 requirements to have 2-year calibration period from the calibration date:

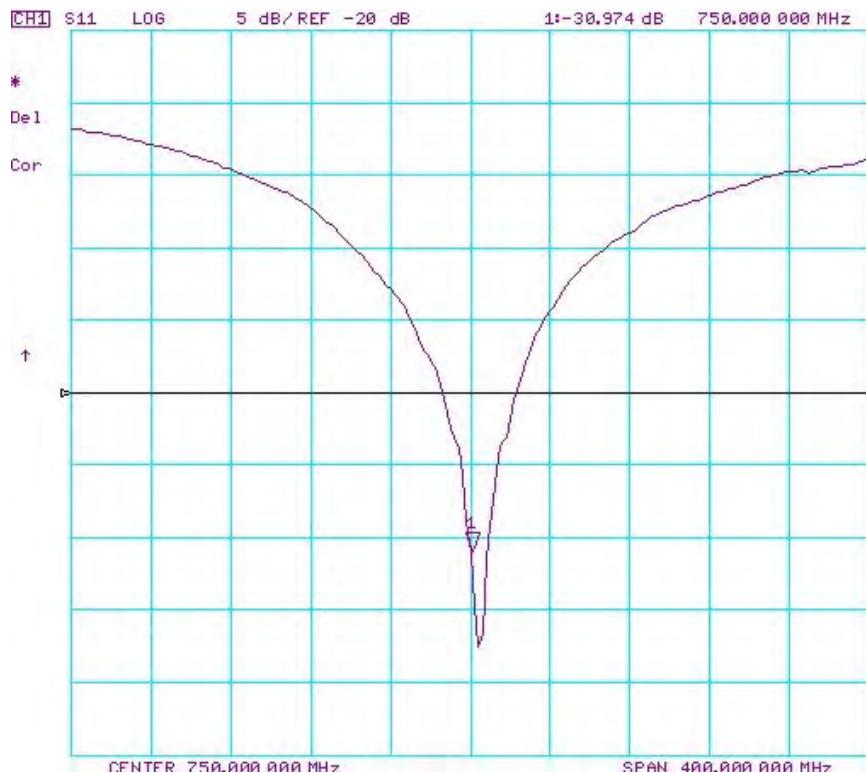
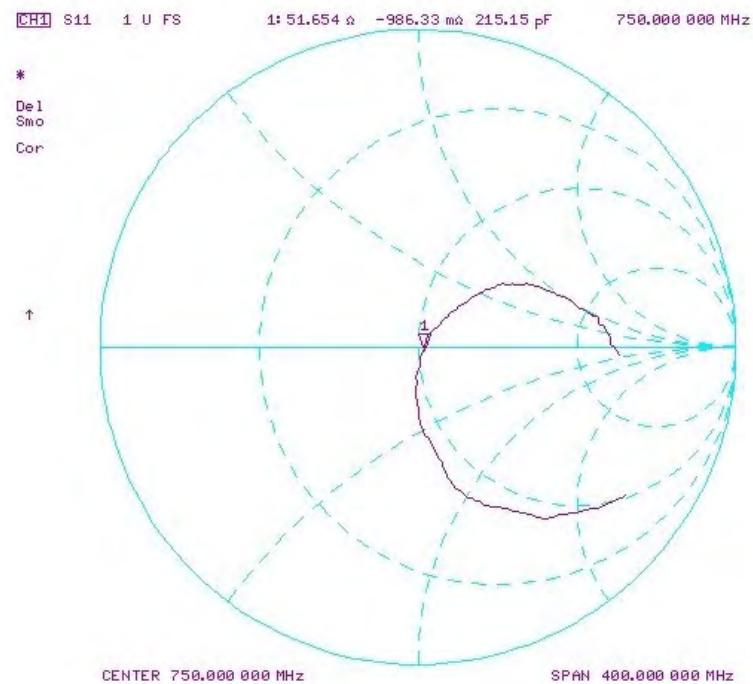
Calibration Date	Extension Date	Certificate Electrical Delay (ns)	Certificate SAR Target Head (1g) W/kg @ 23.0 dBm	Measured Head SAR (1g) W/kg @ 23.0 dBm	Deviation 1g (%)	Certificate SAR Target Head (10g) W/kg @ 23.0 dBm	Measured Head SAR (10g) W/kg @ 23.0 dBm	Deviation 10g (%)	Certificate Impedance Head (Ohm) Real	Measured Impedance Head (Ohm) Real	Certificate Impedance Head (Ohm) Imaginary	Measured Impedance Head (Ohm) Imaginary	Difference (Ohm) Real	Certificate Return Loss Head (dB)	Measured Return Loss Head (dB)	Deviation (%)	PASS/FAIL
5/18/2018	5/16/2019	1.034	1.664	1.65	-0.64%	1.084	1.08	-0.37%	55.3	54.4	0.9	0	-2.2	2.2	-26	-26.5	-1.90% PASS
Calibration Date	Extension Date	Certificate Electrical Delay (ns)	Certificate SAR Target Body (1g) W/kg @ 23.0 dBm	Measured Body SAR (1g) W/kg @ 23.0 dBm	Deviation 1g (%)	Certificate SAR Target Body (10g) W/kg @ 23.0 dBm	Measured Body SAR (10g) W/kg @ 23.0 dBm	Deviation 10g (%)	Certificate Impedance Body (Ohm) Real	Measured Impedance Body (Ohm) Real	Certificate Impedance Body (Ohm) Imaginary	Measured Impedance Body (Ohm) Imaginary	Difference (Ohm) Real	Certificate Return Loss Body (dB)	Measured Return Loss Body (dB)	Deviation (%)	PASS/FAIL
5/18/2018	5/16/2019	1.034	1.714	1.81	5.60%	1.134	1.19	4.94%	50	51.7	1.7	-3.2	-1	2.2	-29.8	-31	-3.90% PASS

### Impedance & Return-Loss Measurement Plot for Head TSL



Object: D750V3 – SN: 1034	Date Issued: 05/16/2019	Page 3 of 4
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### Impedance & Return-Loss Measurement Plot for Body TSL



Object: D750V3 – SN: 1034	Date Issued: 05/16/2019	Page 4 of 4
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# Certification of Calibration

Object D750V3 – SN: 1034

Calibration procedure(s) Procedure for Calibration Extension for SAR Dipoles.

Extended Calibration date: May 18, 2020

Description: SAR Validation Dipole at 750 MHz.

Calibration Equipment used:

Manufacturer	Model	Description	Cal Date	Cal Interval	Cal Due	Serial Number
Agilent	8753ES	S-Parameter Network Analyzer	1/16/2020	Annual	1/16/2021	US39170118
Agilent	N5182A	MXG Vector Signal Generator	8/19/2019	Annual	8/19/2020	MY47420837
Amplifier Research	1551G6	Amplifier	CBT	N/A	CBT	343972
Anritsu	MA2411B	Pulse Power Sensor	1/21/2020	Annual	1/21/2021	1207470
Anritsu	MA2411B	Pulse Power Sensor	1/21/2020	Annual	1/21/2021	1339007
Anritsu	ML2495A	Power Meter	1/15/2020	Annual	1/15/2021	1328004
Control Company	62344-734	Therm./ Clock/ Humidity Monitor	3/18/2019	Biennial	3/18/2021	192038436
Control Company	4352	Ultra Long Stem Thermometer	8/2/2018	Biennial	8/2/2020	181292000
Keysight Technologies	85033E	Standard Mechanical Calibration Kit (DC to 9GHz, 3.5mm)	7/2/2019	Annual	7/2/2020	MY53401181
MiniCircuits	VLF-6000+	Low Pass Filter	CBT	N/A	CBT	N/A
Mini-Circuits	BW-N20W5+	DC to 18 GHz Precision Fixed 20 dB Attenuator	CBT	N/A	CBT	N/A
Narda	4772-3	Attenuator (3dB)	CBT	N/A	CBT	9406
Pasternack	PE2208-6	Bidirectional Coupler	CBT	N/A	CBT	N/A
Pasternack	NC-100	Torque Wrench	5/23/2018	Biennial	5/23/2020	N/A
SPEAG	DAE4	Dasy Data Acquisition Electronics	2/13/2020	Annual	2/13/2021	1403
SPEAG	DAE4	Dasy Data Acquisition Electronics	3/19/2020	Annual	3/19/2021	604
SPEAG	DAK-3.5	Dielectric Assessment Kit	5/12/2020	Annual	5/12/2021	1070
SPEAG	EX3DV4	SAR Probe	2/19/2020	Annual	2/19/2021	7427
SPEAG	EX3DV4	SAR Probe	3/20/2020	Annual	3/20/2021	7421

Measurement Uncertainty =  $\pm 23\%$  (k=2)

	Name	Function	Signature
Calibrated By:	Parker Jones	Team Lead Engineer	<i>Parker Jones</i>
Approved By:	Kaitlin O'Keefe	Managing Director	<i>KOK</i>

Object: D750V3 – SN: 1034	Date Issued: 5/18/2020	Page 1 of 4
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# DIPOLE CALIBRATION EXTENSION

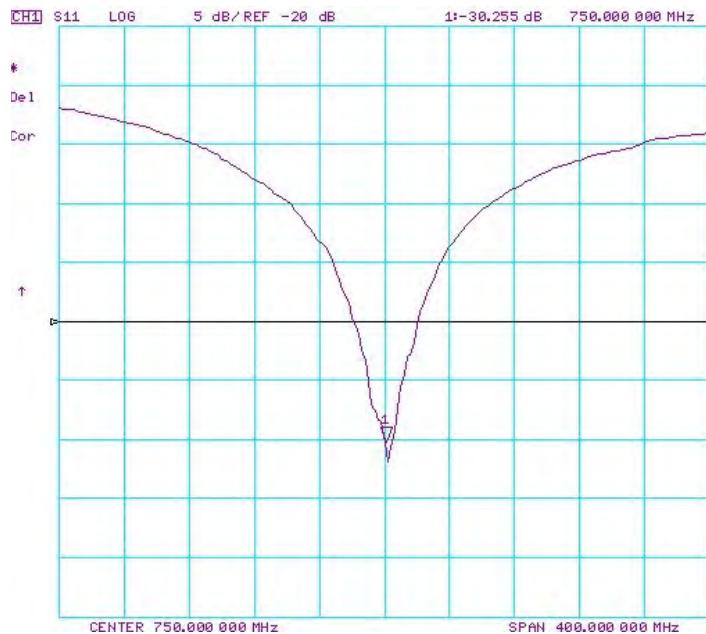
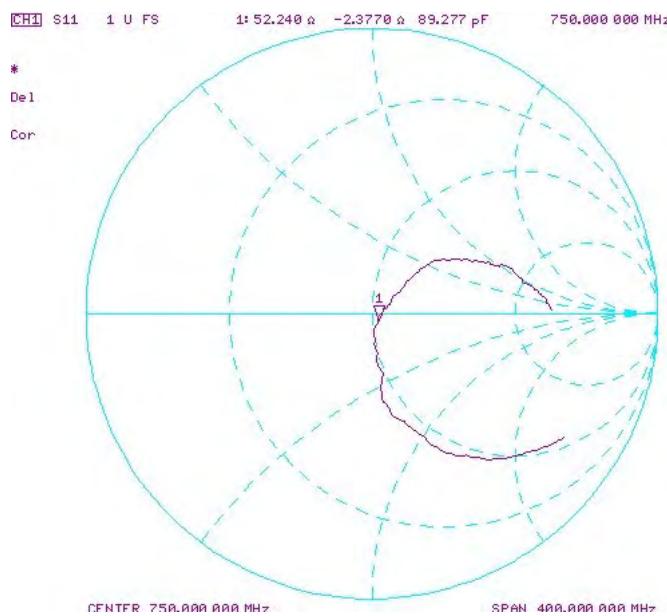
Per KDB 865664 D01, calibration intervals of up to three years may be considered for reference dipoles when it is demonstrated that the SAR target, impedance and return loss of a dipole have remained stable according to the following requirements:

1. The measured SAR does not deviate more than 10% from the target on the calibration certificate.
2. The return-loss does not deviate more than 20% from the previous measurement and meets the required 20dB minimum return-loss requirement.
3. The measurement of real or imaginary parts of impedance does not deviate more than 5Ω from the previous measurement.

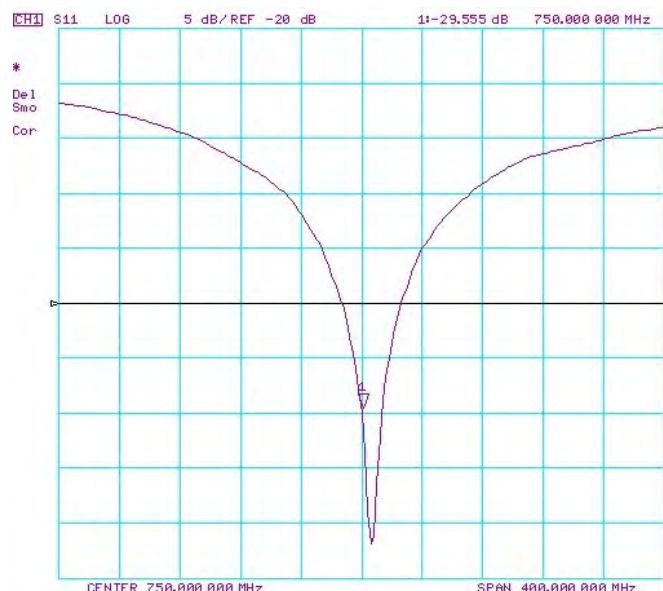
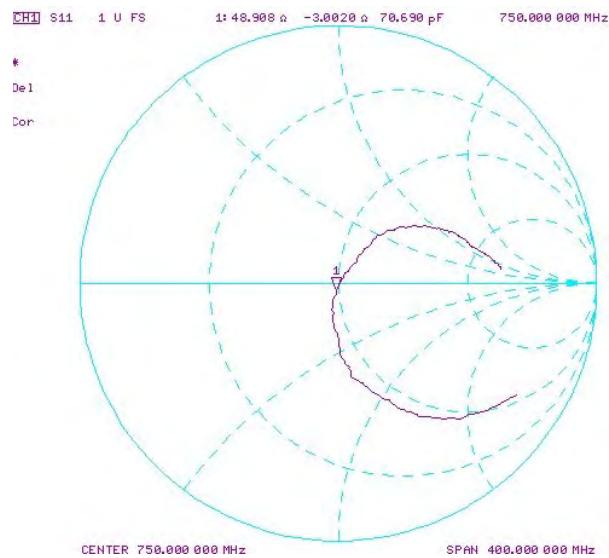
The following dipole was checked to pass the above 3 requirements to have 3-year calibration period from the calibration date:

Calibration Date	Extension Date	Certificate Electrical Delay (ns)	Certificate SAR Target Head (1g) W/kg @ 23.0 dBm	Measured Head SAR (1g) W/kg @ 23.0 dBm	Deviation 1g (%)	Certificate SAR Target Head (10g) W/kg @ 23.0 dBm	Measured Head SAR (10g) W/kg @ 23.0 dBm	Deviation 10g (%)	Certificate Impedance Head (Ohm) Real	Measured Impedance Head (Ohm) Real	Certificate Impedance Head (Ohm) Imaginary	Measured Impedance Head (Ohm) Imaginary	Difference (Ohm) Real	Certificate Return Loss Head (dB)	Measured Return Loss Head (dB)	Deviation (%)	PASS/FAIL
5/18/2018	5/18/2020	1.034	1.664	1.63	-2.04%	1.084	1.08	-0.37%	55.3	52.2	3.1	0	-2.4	2.4	-26	-30.3	-16.50% PASS
Calibration Date	Extension Date	Certificate Electrical Delay (ns)	Certificate SAR Target Body (1g) W/kg @ 23.0 dBm	Measured Body SAR (1g) W/kg @ 23.0 dBm	Deviation 1g (%)	Certificate SAR Target Body (10g) W/kg @ 23.0 dBm	Measured Body SAR (10g) W/kg @ 23.0 dBm	Deviation 10g (%)	Certificate Impedance Body (Ohm) Real	Measured Impedance Body (Ohm) Real	Certificate Impedance Body (Ohm) Imaginary	Measured Impedance Body (Ohm) Imaginary	Difference (Ohm) Real	Certificate Return Loss Body (dB)	Measured Return Loss Body (dB)	Deviation (%)	PASS/FAIL
5/18/2018	5/18/2020	1.034	1.714	1.73	0.93%	1.134	1.15	1.41%	50	48.9	1.1	-3.2	-3	0.2	-29.8	-29.6	0.70% PASS

### Impedance & Return-Loss Measurement Plot for Head TSL



### Impedance & Return-Loss Measurement Plot for Body TSL



Object: D750V3 – SN: 1034	Date Issued: 5/18/2020	Page 4 of 4
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Calibration Laboratory of  
Schmid & Partner  
Engineering AG  
Zeughausstrasse 43, 8004 Zurich, Switzerland



**S** Schweizerischer Kalibrierdienst  
**C** Service suisse d'étalonnage  
**S** Servizio svizzero di taratura  
**S** Swiss Calibration Service

Accredited by the Swiss Accreditation Service (SAS)  
The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: SCS 0108

Client PC Test

Certificate No: D835V2-4d040 Jun19

## CALIBRATION CERTIFICATE

Object D835V2 - SN:4d040

Calibration procedure(s) QA CAL-05.V11

Calibration Procedure for SAR Validation Sources between 0.7-3 GHz 6/28/19

Calibration date: June 20, 2019

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature  $(22 \pm 3)^\circ\text{C}$  and humidity  $< 70\%$ .

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	03-Apr-19 (No. 217-02892/02893)	Apr-20
Power sensor NRP-Z91	SN: 103244	03-Apr-19 (No. 217-02892)	Apr-20
Power sensor NRP-Z91	SN: 103245	03-Apr-19 (No. 217-02893)	Apr-20
Reference 20 dB Attenuator	SN: 5058 (20k)	04-Apr-19 (No. 217-02894)	Apr-20
Type-N mismatch combination	SN: 5047.2 / 06327	04-Apr-19 (No. 217-02895)	Apr-20
Reference Probe EX3DV4	SN: 7349	29-May-19 (No. EX3-7349_May19)	May-20
DAE4	SN: 601	30-Apr-19 (No. DAE4-601_Apr19)	Apr-20
Secondary Standards	ID #	Check Date (In house)	Scheduled Check
Power meter E4419B	SN: GB39512475	30-Oct-14 (in house check Feb-19)	In house check: Oct-20
Power sensor HP 8481A	SN: US37292783	07-Oct-15 (in house check Oct-18)	In house check: Oct-20
Power sensor HP 8481A	SN: MY41092317	07-Oct-15 (in house check Oct-18)	In house check: Oct-20
RF generator R&S SMT-06	SN: 100972	15-Jun-15 (in house check Oct-18)	In house check: Oct-20
Network Analyzer Agilent E8358A	SN: US41080477	31-Mar-14 (in house check Oct-18)	In house check: Oct-19

Calibrated by:	Name Manu Seltz	Function Laboratory Technician	Signature
Approved by:	Katja Pokovlo	Technical Manager	Signature

Issued: June 21, 2019

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 0108**

### **Glossary:**

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM x,y,z
N/A	not applicable or not measured

### **Calibration is Performed According to the Following Standards:**

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

### **Additional Documentation:**

- e) DASY4/5 System Handbook

### **Methods Applied and Interpretation of Parameters:**

- *Measurement Conditions:* Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- *Antenna Parameters with TSL:* The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- *Feed Point Impedance and Return Loss:* These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- *Electrical Delay:* One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- *SAR measured:* SAR measured at the stated antenna input power.
- *SAR normalized:* SAR as measured, normalized to an input power of 1 W at the antenna connector.
- *SAR for nominal TSL parameters:* The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution corresponds to a coverage probability of approximately 95%.

## Measurement Conditions

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V52.10.2
Extrapolation	Advanced Extrapolation	
Phantom	Modular Flat Phantom	
Distance Dipole Center - TSL	15 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	835 MHz $\pm$ 1 MHz	

## Head TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	41.5	0.90 mho/m
Measured Head TSL parameters	(22.0 $\pm$ 0.2) °C	41.8 $\pm$ 6 %	0.91 mho/m $\pm$ 6 %
Head TSL temperature change during test	< 0.5 °C	----	----

## SAR result with Head TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	2.39 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	9.50 W/kg $\pm$ 17.0 % (k=2)

SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL	Condition	
SAR measured	250 mW input power	1.54 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	6.13 W/kg $\pm$ 16.5 % (k=2)

## Body TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	55.2	0.97 mho/m
Measured Body TSL parameters	(22.0 $\pm$ 0.2) °C	55.4 $\pm$ 6 %	0.98 mho/m $\pm$ 6 %
Body TSL temperature change during test	< 0.5 °C	----	----

## SAR result with Body TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	2.40 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	9.53 W/kg $\pm$ 17.0 % (k=2)

SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	Condition	
SAR measured	250 mW input power	1.57 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	6.24 W/kg $\pm$ 16.5 % (k=2)

## Appendix (Additional assessments outside the scope of SCS 0108)

### Antenna Parameters with Head TSL

Impedance, transformed to feed point	49.6 $\Omega$ - 4.1 $j\Omega$
Return Loss	- 27.7 dB

### Antenna Parameters with Body TSL

Impedance, transformed to feed point	46.6 $\Omega$ - 6.5 $j\Omega$
Return Loss	- 22.4 dB

### General Antenna Parameters and Design

Electrical Delay (one direction)	1.393 ns
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After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

### Additional EUT Data

Manufactured by	SPEAG
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# DASY5 Validation Report for Head TSL

Date: 20.06.2019

Test Laboratory: SPEAG, Zurich, Switzerland

**DUT: Dipole 835 MHz; Type: D835V2; Serial: D835V2 - SN:4d040**

Communication System: UID 0 - CW; Frequency: 835 MHz

Medium parameters used:  $f = 835$  MHz;  $\sigma = 0.91$  S/m;  $\epsilon_r = 41.8$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

DASY52 Configuration:

- Probe: EX3DV4 - SN7349; ConvF(9.89, 9.89, 9.89) @ 835 MHz; Calibrated: 29.05.2019
- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 30.04.2019
- Phantom: Flat Phantom 4.9 (front); Type: QD 00L P49 AA; Serial: 1001
- DASY52 52.10.2(1504); SEMCAD X 14.6.12(7470)

## Dipole Calibration for Head Tissue/Pin=250 mW, d=15mm/Zoom Scan (7x7x7)/Cube 0:

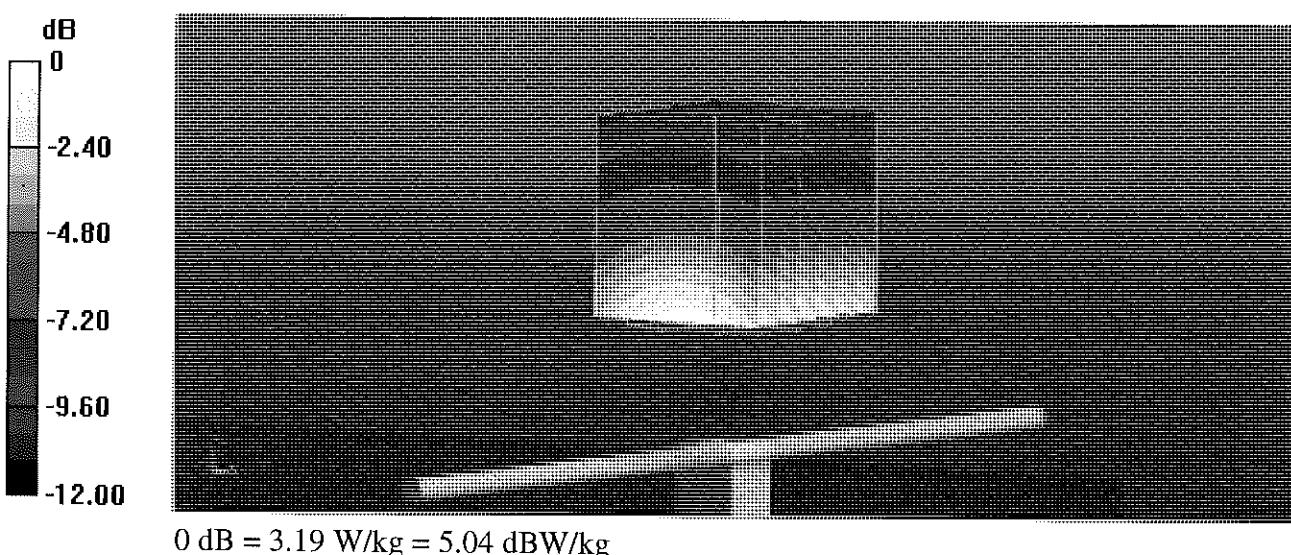
Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 63.05 V/m; Power Drift = -0.08 dB

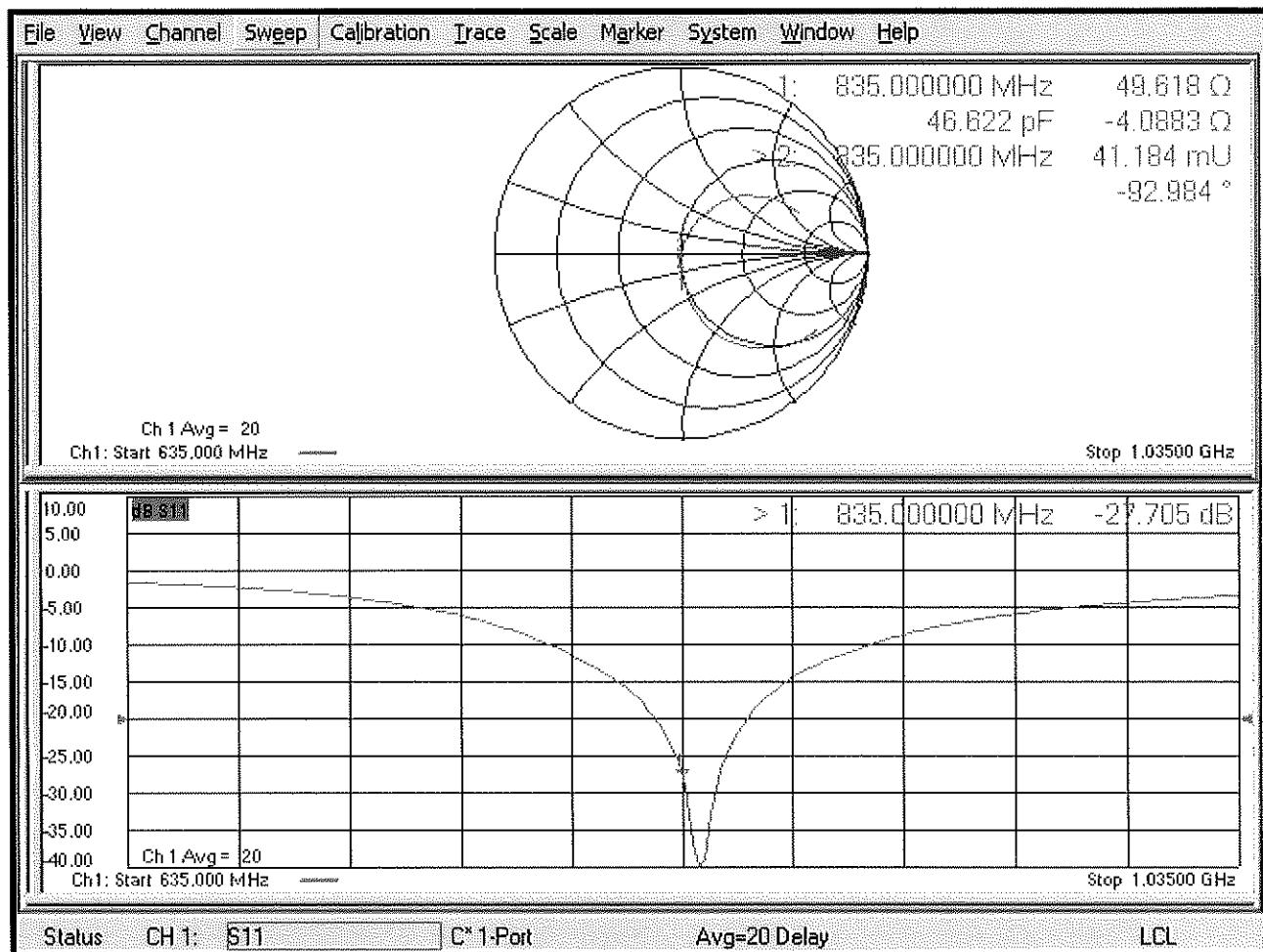
Peak SAR (extrapolated) = 3.60 W/kg

**SAR(1 g) = 2.39 W/kg; SAR(10 g) = 1.54 W/kg**

Maximum value of SAR (measured) = 3.19 W/kg



## Impedance Measurement Plot for Head TSL



# DASY5 Validation Report for Body TSL

Date: 13.06.2019

Test Laboratory: SPEAG, Zurich, Switzerland

**DUT: Dipole 835 MHz; Type: D835V2; Serial: D835V2 - SN:4d040**

Communication System: UID 0 - CW; Frequency: 835 MHz

Medium parameters used:  $f = 835$  MHz;  $\sigma = 0.98$  S/m;  $\epsilon_r = 55.4$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

DASY52 Configuration:

- Probe: EX3DV4 - SN7349; ConvF(10.16, 10.16, 10.16) @ 835 MHz; Calibrated: 29.05.2019
- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 30.04.2019
- Phantom: Flat Phantom 4.9 (Back); Type: QD 00R P49 AA; Serial: 1005
- DASY52 52.10.2(1504); SEMCAD X 14.6.12(7470)

## Dipole Calibration for Body Tissue/Pin=250 mW, d=15mm/Zoom Scan (7x7x7)/Cube 0:

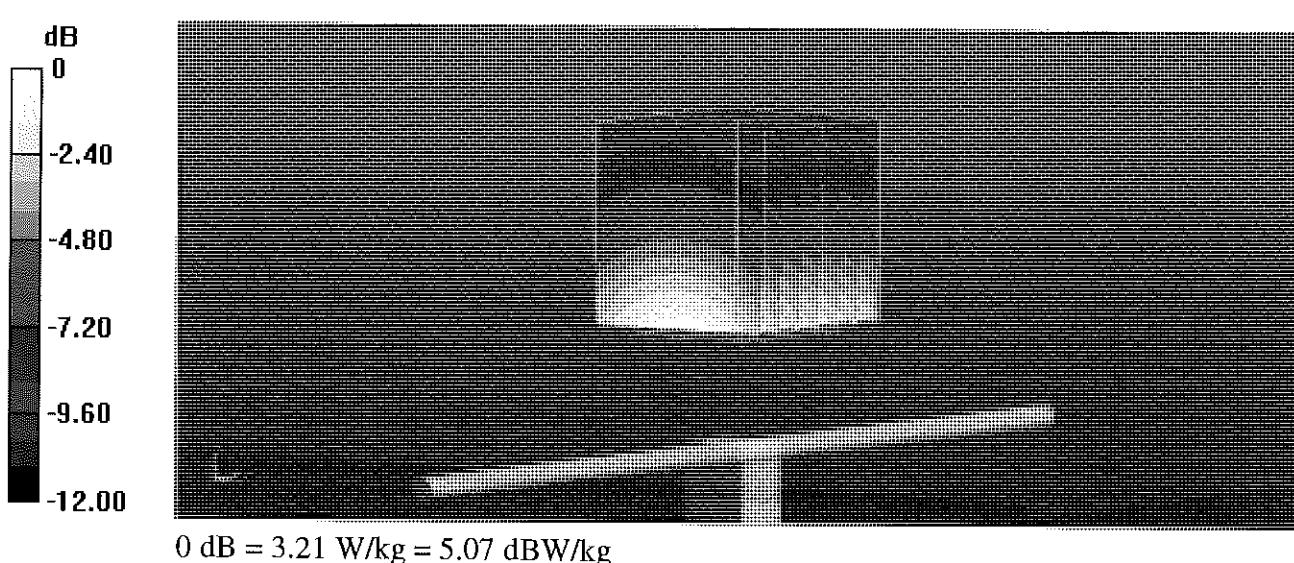
Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 57.73 V/m; Power Drift = -0.08 dB

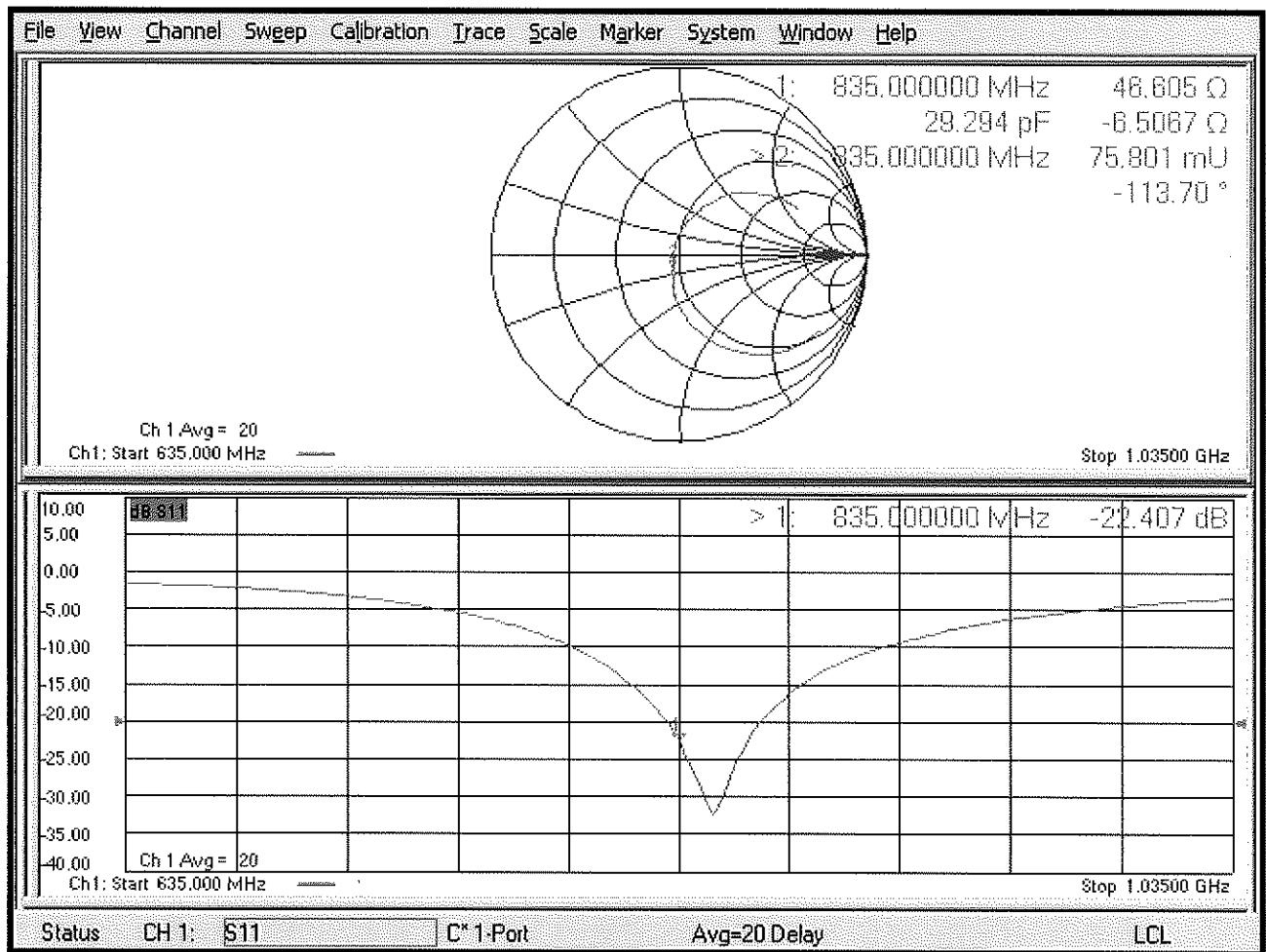
Peak SAR (extrapolated) = 3.59 W/kg

**SAR(1 g) = 2.4 W/kg; SAR(10 g) = 1.57 W/kg**

Maximum value of SAR (measured) = 3.21 W/kg



## Impedance Measurement Plot for Body TSL



# Certification of Calibration

Object D835V2 – SN: 4d040

Calibration procedure(s) Procedure for Calibration Extension for SAR Dipoles.

Extended Calibration date: June 20, 2020

Description: SAR Validation Dipole at 835 MHz.

Calibration Equipment used:

Manufacturer	Model	Description	Cal Date	Cal Interval	Cal Due	Serial Number
Agilent	8753ES	S-Parameter Network Analyzer	1/16/2020	Annual	1/16/2021	US39170118
Agilent	N5182A	MXG Vector Signal Generator	8/19/2019	Annual	8/19/2020	MY47420837
Amplifier Research	1551G6	Amplifier	CBT	N/A	CBT	343972
Anritsu	MA2411B	Pulse Power Sensor	1/21/2020	Annual	1/21/2021	1207470
Anritsu	MA2411B	Pulse Power Sensor	1/21/2020	Annual	1/21/2021	1339007
Anritsu	ML2495A	Power Meter	1/15/2020	Annual	1/15/2021	1328004
Control Company	62344-734	Therm./ Clock/ Humidity Monitor	3/18/2019	Biennial	3/18/2021	192038436
Control Company	4352	Ultra Long Stem Thermometer	8/2/2018	Biennial	8/2/2020	181292000
Keysight Technologies	85033E	Standard Mechanical Calibration Kit (DC to 9GHz, 3.5mm)	7/2/2019	Annual	7/2/2020	MY53401181
MiniCircuits	VLF-6000+	Low Pass Filter	CBT	N/A	CBT	N/A
Mini-Circuits	BW-N20W5+	DC to 18 GHz Precision Fixed 20 dB Attenuator	CBT	N/A	CBT	N/A
Narda	4772-3	Attenuator (3dB)	CBT	N/A	CBT	9406
Pasternack	PE2208-6	Bidirectional Coupler	CBT	N/A	CBT	N/A
Seekonk	NC-100	Torque Wrench	7/18/2019	Annual	7/18/2020	N/A
SPEAG	DAE4	Dasy Data Acquisition Electronics	1/14/2020	Annual	1/14/2021	793
SPEAG	DAE4	Dasy Data Acquisition Electronics	3/19/2020	Annual	3/19/2021	604
SPEAG	DAK-3.5	Dielectric Assessment Kit	5/12/2020	Annual	5/12/2021	1070
SPEAG	EX3DV4	SAR Probe	1/20/2020	Annual	1/20/2021	3837
SPEAG	EX3DV4	SAR Probe	3/20/2020	Annual	3/20/2021	7421

Measurement Uncertainty =  $\pm 23\%$  (k=2)

	Name	Function	Signature
Calibrated By:	Parker Jones	Team Lead Engineer	<i>Parker Jones</i>
Approved By:	Kaitlin O'Keefe	Managing Director	<i>KOK</i>

Object: D835V2 – SN: 4d040	Date Issued: 6/20/2020	Page 1 of 4
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# DIPOLE CALIBRATION EXTENSION

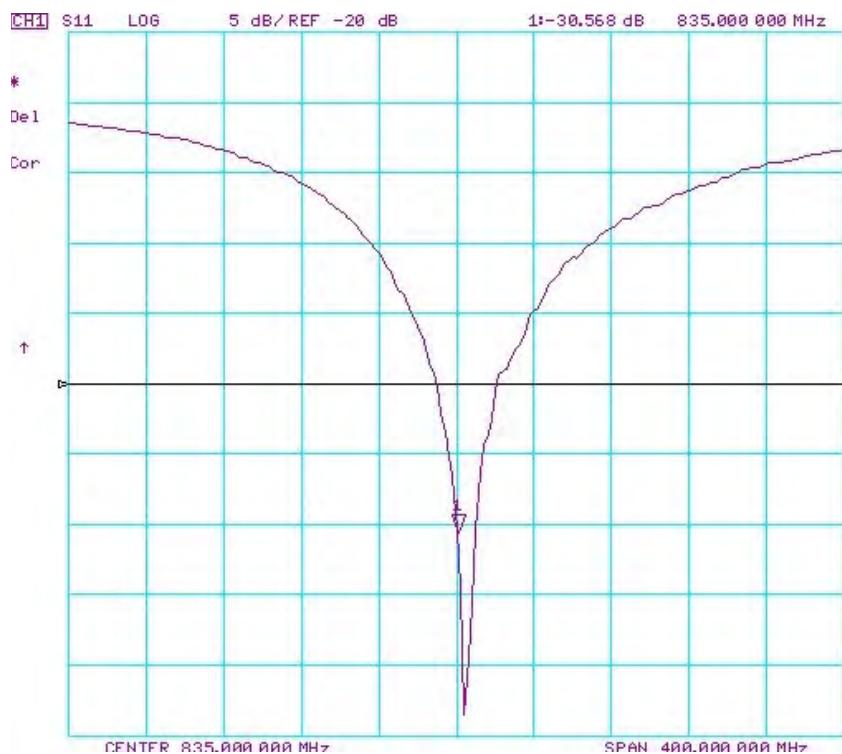
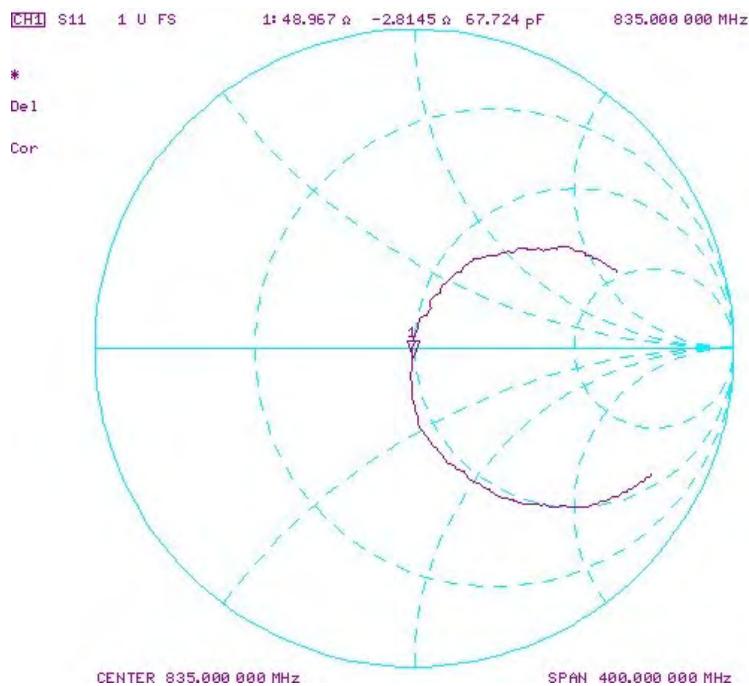
Per KDB 865664 D01, calibration intervals of up to three years may be considered for reference dipoles when it is demonstrated that the SAR target, impedance and return loss of a dipole have remained stable according to the following requirements:

1. The measured SAR does not deviate more than 10% from the target on the calibration certificate.
2. The return-loss does not deviate more than 20% from the previous measurement and meets the required 20dB minimum return-loss requirement.
3. The measurement of real or imaginary parts of impedance does not deviate more than 5Ω from the previous measurement.

The following dipole was checked to pass the above 3 requirements to have 2-year calibration period from the calibration date:

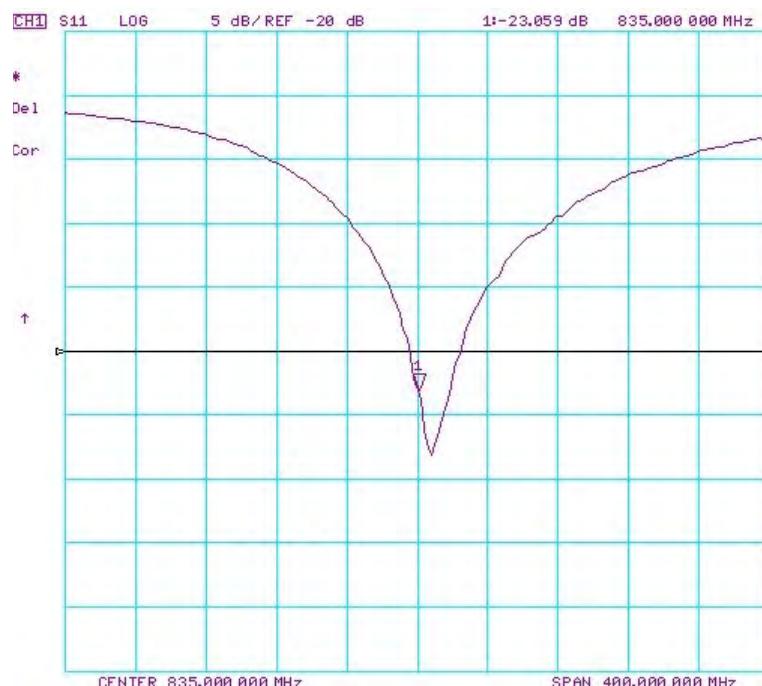
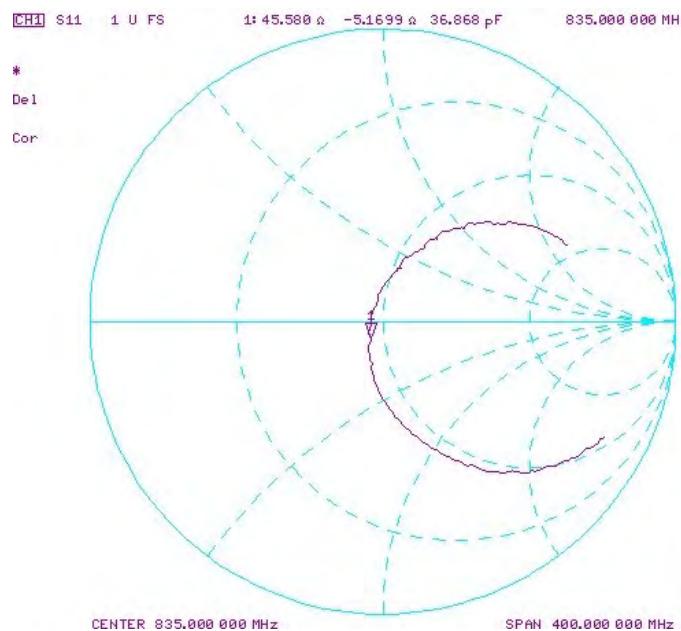
Calibration Date	Extension Date	Certificate Electrical Delay (ns)	Certificate SAR Target Head (1g) W/kg @ 23.0 dBm	Measured Head SAR (1g) W/kg @ 23.0 dBm	Deviation 1g (%)	Certificate SAR Target Head (10g) W/kg @ 23.0 dBm	Measured Head SAR (10g) W/kg @ 23.0 dBm	Deviation 10g (%)	Certificate Impedance Head (Ohm) Real	Measured Impedance Head (Ohm) Real	Difference (Ohm) Real	Certificate Impedance Head (Ohm) Imaginary	Measured Impedance Head (Ohm) Imaginary	Difference (Ohm) Imaginary	Certificate Return Loss Head (dB)	Measured Return Loss Head (dB)	Deviation (%)	PASS/FAIL
6/20/2019	6/20/2020	1.393	1.900	2	5.26%	1.226	1.31	6.85%	49.6	49	0.6	-4.1	-2.8	1.3	-27.7	-30.6	-10.50%	PASS
Calibration Date	Extension Date	Certificate Electrical Delay (ns)	Certificate SAR Target Body (1g) W/kg @ 23.0 dBm	Measured Body SAR (1g) W/kg @ 23.0 dBm	Deviation 1g (%)	Certificate SAR Target Body (10g) W/kg @ 23.0 dBm	Measured Body SAR (10g) W/kg @ 23.0 dBm	Deviation 10g (%)	Certificate Impedance Body (Ohm) Real	Measured Impedance Body (Ohm) Real	Difference (Ohm) Real	Certificate Impedance Body (Ohm) Imaginary	Measured Impedance Body (Ohm) Imaginary	Difference (Ohm) Imaginary	Certificate Return Loss Body (dB)	Measured Return Loss Body (dB)	Deviation (%)	PASS/FAIL
6/20/2019	6/20/2020	1.393	1.906	2.04	7.03%	1.248	1.34	7.37%	46.6	45.6	1	-6.5	-5.2	1.3	-22.4	-23.1	-3.10%	PASS

### Impedance & Return-Loss Measurement Plot for Head TSL



Object: D835V2 – SN: 4d040	Date Issued: 6/20/2020	Page 3 of 4
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## Impedance & Return-Loss Measurement Plot for Body TSL



Object: D835V2 – SN: 4d040	Date Issued: 6/20/2020	Page 4 of 4
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 Multilateral Agreement for the recognition of calibration certificates

Client **PC Test**

Accreditation No.: **SCS 0108**

Certificate No: **D850V2-1009\_Aug20**

## CALIBRATION CERTIFICATE

Object **D850V2 - SN:1009**

*✓ 9/9/20 ATM*

Calibration procedure(s) **QA CAL-05.v11**  
 Calibration Procedure for SAR Validation Sources between 0.7-3 GHz

Calibration date: **August 13, 2020**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
 The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	01-Apr-20 (No. 217-03100/03101)	Apr-21
Power sensor NRP-Z91	SN: 103244	01-Apr-20 (No. 217-03100)	Apr-21
Power sensor NRP-Z91	SN: 103245	01-Apr-20 (No. 217-03101)	Apr-21
Reference 20 dB Attenuator	SN: BH9394 (20k)	31-Mar-20 (No. 217-03106)	Apr-21
Type-N mismatch combination	SN: 310982 / 06327	31-Mar-20 (No. 217-03104)	Apr-21
Reference Probe EX3DV4	SN: 7349	29-Jun-20 (No. EX3-7349_Jun20)	Jun-21
DAE4	SN: 601	27-Dec-19 (No. DAE4-601_Dec19)	Dec-20

Secondary Standards	ID #	Check Date (in house)	Scheduled Check
Power meter E4419B	SN: GB39512475	30-Oct-14 (in house check Feb-19)	In house check: Oct-20
Power sensor HP 8481A	SN: US37292783	07-Oct-15 (in house check Oct-18)	In house check: Oct-20
Power sensor HP 8481A	SN: MY41092317	07-Oct-15 (in house check Oct-18)	In house check: Oct-20
RF generator R&S SMT-06	SN: 100972	15-Jun-15 (in house check Oct-18)	In house check: Oct-20
Network Analyzer Agilent E8358A	SN: US41080477	31-Mar-14 (in house check Oct-19)	In house check: Oct-20

Calibrated by:	Name <b>Claudio Leubler</b>	Function <b>Laboratory Technician</b>	Signature 
Approved by:	Name <b>Katja Pokovic</b>	Function <b>Technical Manager</b>	Signature 

Issued: August 14, 2020

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



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Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 0108**

### **Glossary:**

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM x,y,z
N/A	not applicable or not measured

### **Calibration is Performed According to the Following Standards:**

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

### **Additional Documentation:**

- e) DASY4/5 System Handbook

### **Methods Applied and Interpretation of Parameters:**

- *Measurement Conditions:* Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- *Antenna Parameters with TSL:* The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- *Feed Point Impedance and Return Loss:* These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- *Electrical Delay:* One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- *SAR measured:* SAR measured at the stated antenna input power.
- *SAR normalized:* SAR as measured, normalized to an input power of 1 W at the antenna connector.
- *SAR for nominal TSL parameters:* The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution corresponds to a coverage probability of approximately 95%.

## Measurement Conditions

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V52.10.4
Extrapolation	Advanced Extrapolation	
Phantom	Modular Flat Phantom	
Distance Dipole Center - TSL	15 mm	with Spacer
Zoom Scan Resolution	$dx, dy, dz = 5 \text{ mm}$	
Frequency	$850 \text{ MHz} \pm 1 \text{ MHz}$	

## Head TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
<b>Nominal Head TSL parameters</b>	22.0 °C	41.5	0.92 mho/m
<b>Measured Head TSL parameters</b>	$(22.0 \pm 0.2) \text{ °C}$	$42.1 \pm 6 \text{ %}$	$0.94 \text{ mho/m} \pm 6 \text{ %}$
<b>Head TSL temperature change during test</b>	$< 0.5 \text{ °C}$	----	----

## SAR result with Head TSL

<b>SAR averaged over 1 cm<sup>3</sup> (1 g) of Head TSL</b>	Condition	
SAR measured	250 mW input power	2.55 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	<b>10.1 W/kg ± 17.0 % (k=2)</b>

<b>SAR averaged over 10 cm<sup>3</sup> (10 g) of Head TSL</b>	Condition	
SAR measured	250 mW input power	1.65 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	<b>6.53 W/kg ± 16.5 % (k=2)</b>

## Body TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
<b>Nominal Body TSL parameters</b>	22.0 °C	55.2	0.99 mho/m
<b>Measured Body TSL parameters</b>	$(22.0 \pm 0.2) \text{ °C}$	$55.1 \pm 6 \text{ %}$	$1.00 \text{ mho/m} \pm 6 \text{ %}$
<b>Body TSL temperature change during test</b>	$< 0.5 \text{ °C}$	----	----

## SAR result with Body TSL

<b>SAR averaged over 1 cm<sup>3</sup> (1 g) of Body TSL</b>	Condition	
SAR measured	250 mW input power	2.53 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	<b>10.0 W/kg ± 17.0 % (k=2)</b>

<b>SAR averaged over 10 cm<sup>3</sup> (10 g) of Body TSL</b>	Condition	
SAR measured	250 mW input power	1.66 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	<b>6.60 W/kg ± 16.5 % (k=2)</b>

## Appendix (Additional assessments outside the scope of SCS 0108)

### Antenna Parameters with Head TSL

Impedance, transformed to feed point	51.0 $\Omega$ - 4.7 $j\Omega$
Return Loss	- 26.4 dB

### Antenna Parameters with Body TSL

Impedance, transformed to feed point	47.0 $\Omega$ - 7.1 $j\Omega$
Return Loss	- 22.0 dB

### General Antenna Parameters and Design

Electrical Delay (one direction)	1.434 ns
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After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

### Additional EUT Data

Manufactured by	SPEAG
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# DASY5 Validation Report for Head TSL

Date: 07.08.2020

Test Laboratory: SPEAG, Zurich, Switzerland

## DUT: Dipole 850 MHz; Type: D850V2; Serial: D850V2 - SN:1009

Communication System: UID 0 - CW; Frequency: 850 MHz

Medium parameters used:  $f = 850$  MHz;  $\sigma = 0.94$  S/m;  $\epsilon_r = 42.1$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

DASY52 Configuration:

- Probe: EX3DV4 - SN7349; ConvF(9.58, 9.58, 9.58) @ 850 MHz; Calibrated: 29.06.2020
- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 27.12.2019
- Phantom: Flat Phantom 4.9 (front); Type: QD 00L P49 AA; Serial: 1001
- DASY52 52.10.4(1527); SEMCAD X 14.6.14(7483)

## Dipole Calibration for Head Tissue/Pin=250 mW, d=15mm/Zoom Scan (7x7x7)/Cube 0:

Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 63.85 V/m; Power Drift = -0.01 dB

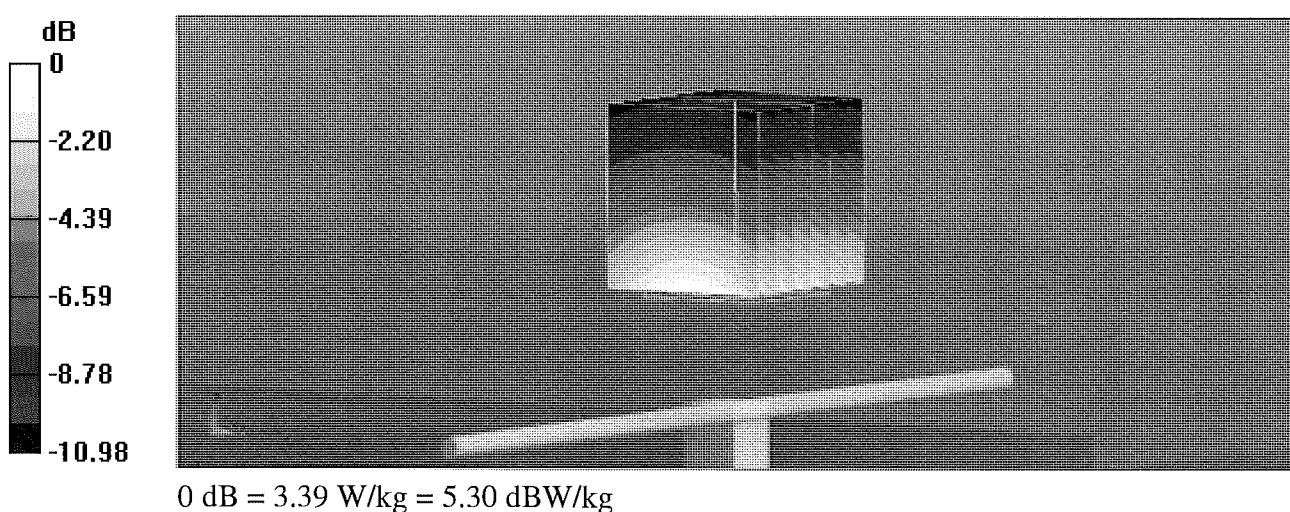
Peak SAR (extrapolated) = 3.80 W/kg

**SAR(1 g) = 2.55 W/kg; SAR(10 g) = 1.65 W/kg**

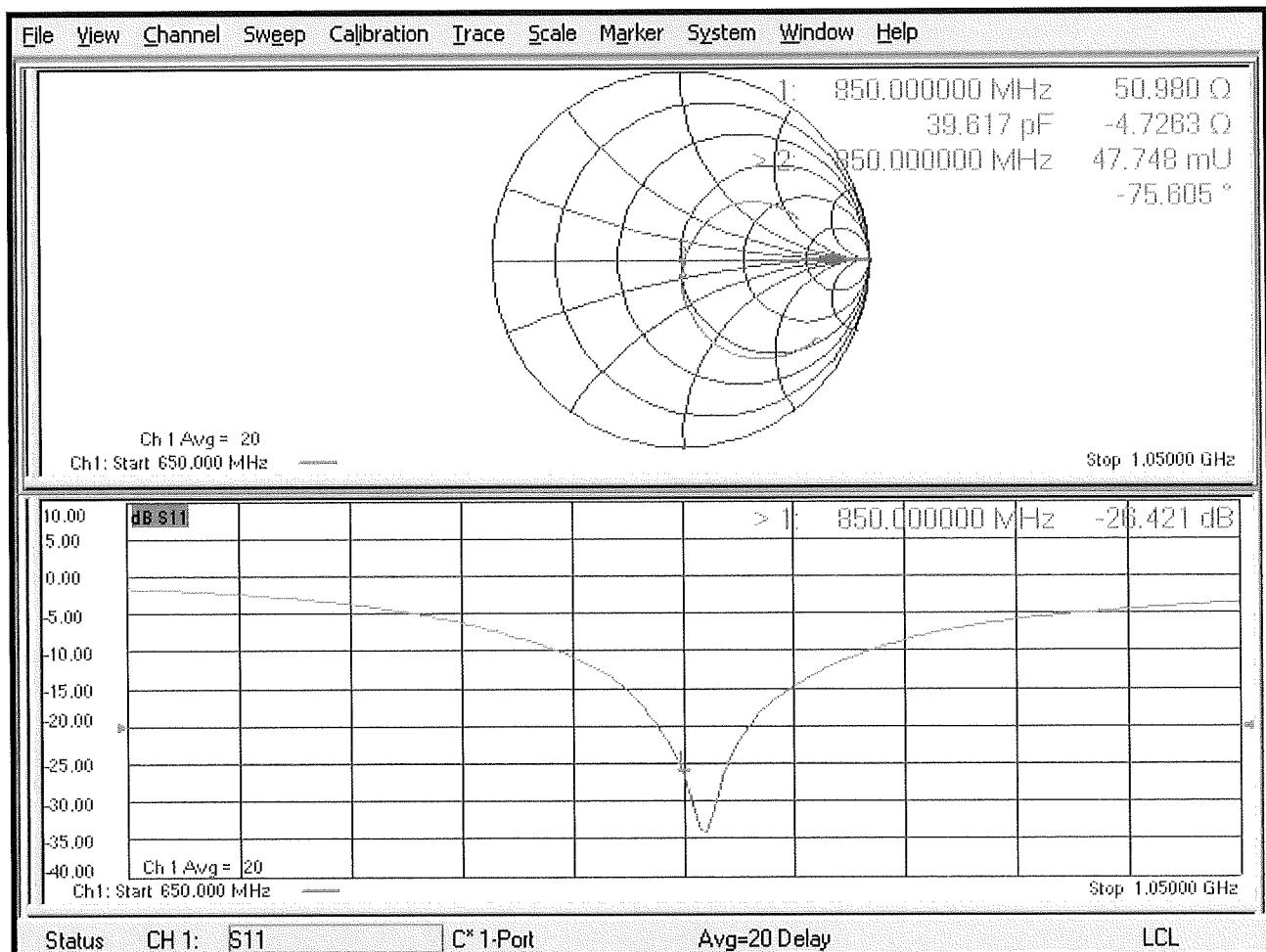
Smallest distance from peaks to all points 3 dB below = 16.1 mm

Ratio of SAR at M2 to SAR at M1 = 66.7%

Maximum value of SAR (measured) = 3.39 W/kg



## Impedance Measurement Plot for Head TSL



# DASY5 Validation Report for Body TSL

Date: 13.08.2020

Test Laboratory: SPEAG, Zurich, Switzerland

**DUT: Dipole 850 MHz; Type: D850V2; Serial: D850V2 - SN:1009**

Communication System: UID 0 - CW; Frequency: 850 MHz

Medium parameters used:  $f = 850$  MHz;  $\sigma = 1$  S/m;  $\epsilon_r = 55.1$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

DASY52 Configuration:

- Probe: EX3DV4 - SN7349; ConvF(9.63, 9.63, 9.63) @ 850 MHz; Calibrated: 29.06.2020
- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 27.12.2019
- Phantom: Flat Phantom 4.9 (Back); Type: QD 00R P49 AA; Serial: 1005
- DASY52 52.10.4(1527); SEMCAD X 14.6.14(7483)

## Dipole Calibration for Body Tissue/Pin=250 mW, d=15mm/Zoom Scan (7x7x7)/Cube 0:

Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 60.04 V/m; Power Drift = -0.01 dB

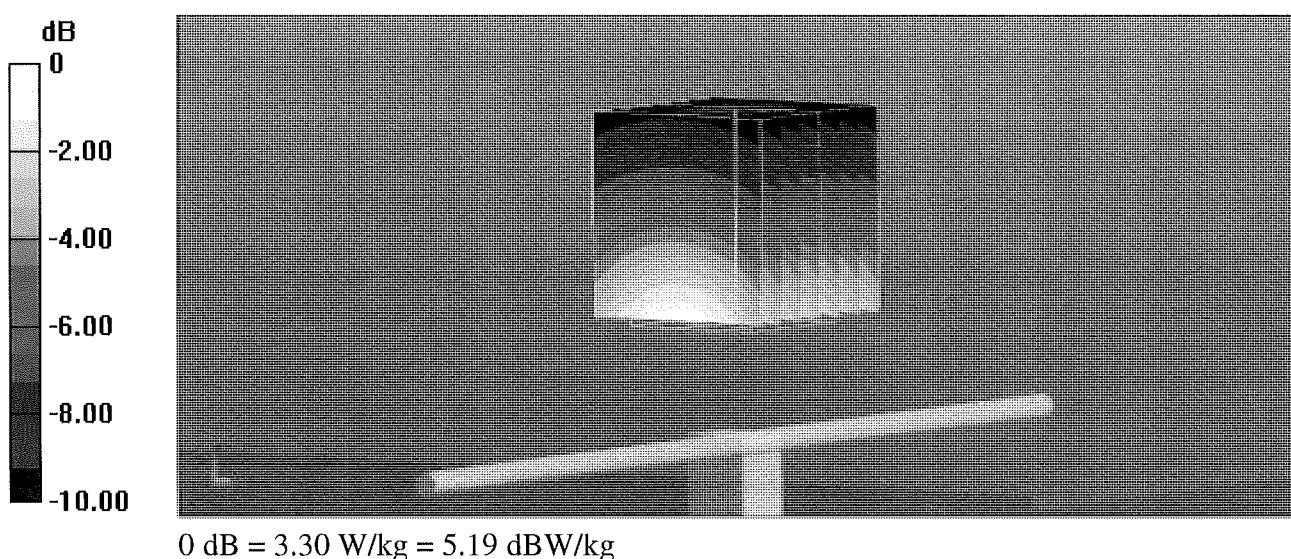
Peak SAR (extrapolated) = 3.62 W/kg

**SAR(1 g) = 2.53 W/kg; SAR(10 g) = 1.66 W/kg**

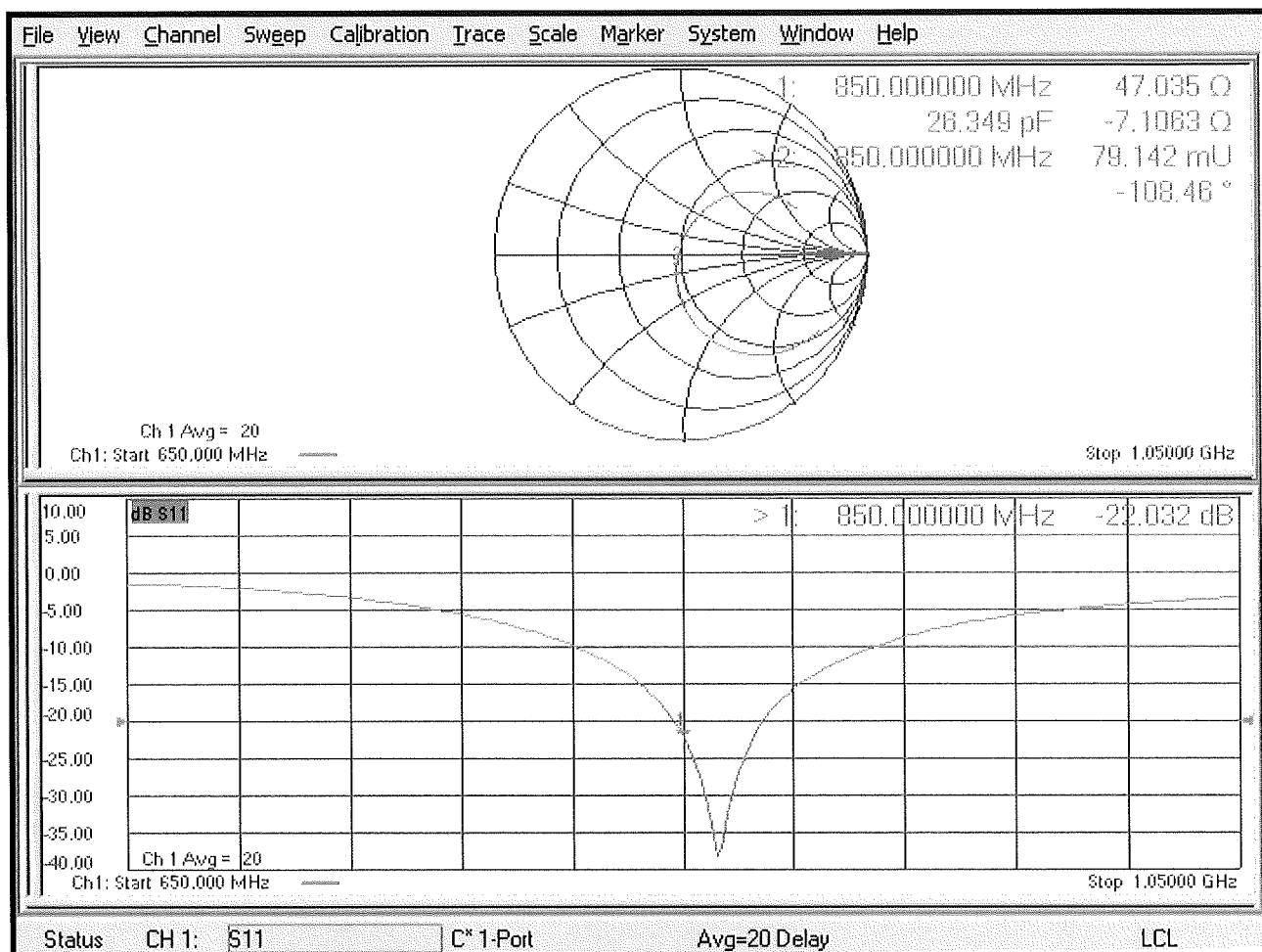
Smallest distance from peaks to all points 3 dB below = 15.8 mm

Ratio of SAR at M2 to SAR at M1 = 69.6%

Maximum value of SAR (measured) = 3.30 W/kg



## Impedance Measurement Plot for Body TSL



**Calibration Laboratory of**  
**Schmid & Partner**  
**Engineering AG**  
**Zeughausstrasse 43, 8004 Zurich, Switzerland**



**S** Schweizerischer Kalibrierdienst  
**C** Service suisse d'étalonnage  
**S** Servizio svizzero di taratura  
**S** Swiss Calibration Service

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 Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 0108**

Client **PC Test**

Certificate No: **D1900V2-5d030\_Jun19**

## CALIBRATION CERTIFICATE

Object **D1900V2 - SN:5d030**

Calibration procedure(s) **QA CAL-05.v11**  
 Calibration Procedure for SAR Validation Sources between 0.7-3 GHz

Calibration date: **June 19, 2019**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
 The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

### Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	03-Apr-19 (No. 217-02892/02893)	Apr-20
Power sensor NRP-Z91	SN: 103244	03-Apr-19 (No. 217-02892)	Apr-20
Power sensor NRP-Z91	SN: 103245	03-Apr-19 (No. 217-02893)	Apr-20
Reference 20 dB Attenuator	SN: 5058 (20k)	04-Apr-19 (No. 217-02894)	Apr-20
Type-N mismatch combination	SN: 5047.2 / 06327	04-Apr-19 (No. 217-02895)	Apr-20
Reference Probe EX3DV4	SN: 7349	29-May-19 (No. EX3-7349_May19)	May-20
DAE4	SN: 601	30-Apr-19 (No. DAE4-601_Apr19)	Apr-20
Secondary Standards	ID #	Check Date (In house)	Scheduled Check
Power meter E4419B	SN: GB39512476	30-Oct-14 (In house check Feb-19)	In house check: Oct-20
Power sensor HP 8481A	SN: US37292783	07-Oct-15 (In house check Oct-18)	In house check: Oct-20
Power sensor HP 8481A	SN: MY41092317	07-Oct-15 (In house check Oct-18)	In house check: Oct-20
RF generator R&S SMT-06	SN: 100972	15-Jun-15 (In house check Oct-18)	In house check: Oct-20
Network Analyzer Agilent E8358A	SN: US41080477	31-Mar-14 (In house check Oct-18)	In house check: Oct-19

Calibrated by: **Claudio Leubler** Function: **Laboratory Technician**

Signature

Approved by: **Katja Pokovic** Function: **Technical Manager**

Issued: June 20, 2019

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Accreditation No.: SCS 0108

**Glossary:**

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM x,y,z
N/A	not applicable or not measured

**Calibration is Performed According to the Following Standards:**

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

**Additional Documentation:**

- e) DASY4/5 System Handbook

**Methods Applied and Interpretation of Parameters:**

- *Measurement Conditions:* Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- *Antenna Parameters with TSL:* The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- *Feed Point Impedance and Return Loss:* These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- *Electrical Delay:* One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- *SAR measured:* SAR measured at the stated antenna input power.
- *SAR normalized:* SAR as measured, normalized to an input power of 1 W at the antenna connector.
- *SAR for nominal TSL parameters:* The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution corresponds to a coverage probability of approximately 95%.

## Measurement Conditions

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V52.10.2
Extrapolation	Advanced Extrapolation	
Phantom	Modular Flat Phantom	
Distance Dipole Center - TSL	10 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	1900 MHz $\pm$ 1 MHz	

## Head TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	40.0	1.40 mho/m
Measured Head TSL parameters	(22.0 $\pm$ 0.2) °C	41.4 $\pm$ 6 %	1.39 mho/m $\pm$ 6 %
Head TSL temperature change during test	< 0.5 °C	----	----

## SAR result with Head TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	9.85 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	39.9 W/kg $\pm$ 17.0 % (k=2)

SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL	Condition	
SAR measured	250 mW input power	5.19 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	20.9 W/kg $\pm$ 16.5 % (k=2)

## Body TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	53.3	1.52 mho/m
Measured Body TSL parameters	(22.0 $\pm$ 0.2) °C	54.2 $\pm$ 6 %	1.50 mho/m $\pm$ 6 %
Body TSL temperature change during test	< 0.5 °C	----	----

## SAR result with Body TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	9.86 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	39.9 W/kg $\pm$ 17.0 % (k=2)

SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	Condition	
SAR measured	250 mW input power	5.24 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	21.1 W/kg $\pm$ 16.5 % (k=2)

## Appendix (Additional assessments outside the scope of SCS 0108)

### Antenna Parameters with Head TSL

Impedance, transformed to feed point	$50.0 \Omega + 4.2 j\Omega$
Return Loss	- 27.6 dB

### Antenna Parameters with Body TSL

Impedance, transformed to feed point	$47.0 \Omega + 5.4 j\Omega$
Return Loss	- 24.0 dB

### General Antenna Parameters and Design

Electrical Delay (one direction)	1.191 ns
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After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

### Additional EUT Data

Manufactured by	SPEAG
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# DASY5 Validation Report for Head TSL

Date: 19.06.2019

Test Laboratory: SPEAG, Zurich, Switzerland

**DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN:5d030**

Communication System: UID 0 - CW; Frequency: 1900 MHz

Medium parameters used:  $f = 1900$  MHz;  $\sigma = 1.39$  S/m;  $\epsilon_r = 41.4$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

DASY52 Configuration:

- Probe: EX3DV4 - SN7349; ConvF(8.44, 8.44, 8.44) @ 1900 MHz; Calibrated: 29.05.2019
- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 30.04.2019
- Phantom: Flat Phantom 5.0 (front); Type: QD 000 P50 AA; Serial: 1001
- DASY52 52.10.2(1504); SEMCAD X 14.6.12(7470)

## Dipole Calibration for Head Tissue/Pin=250 mW, d=10mm/Zoom Scan (7x7x7)/Cube 0:

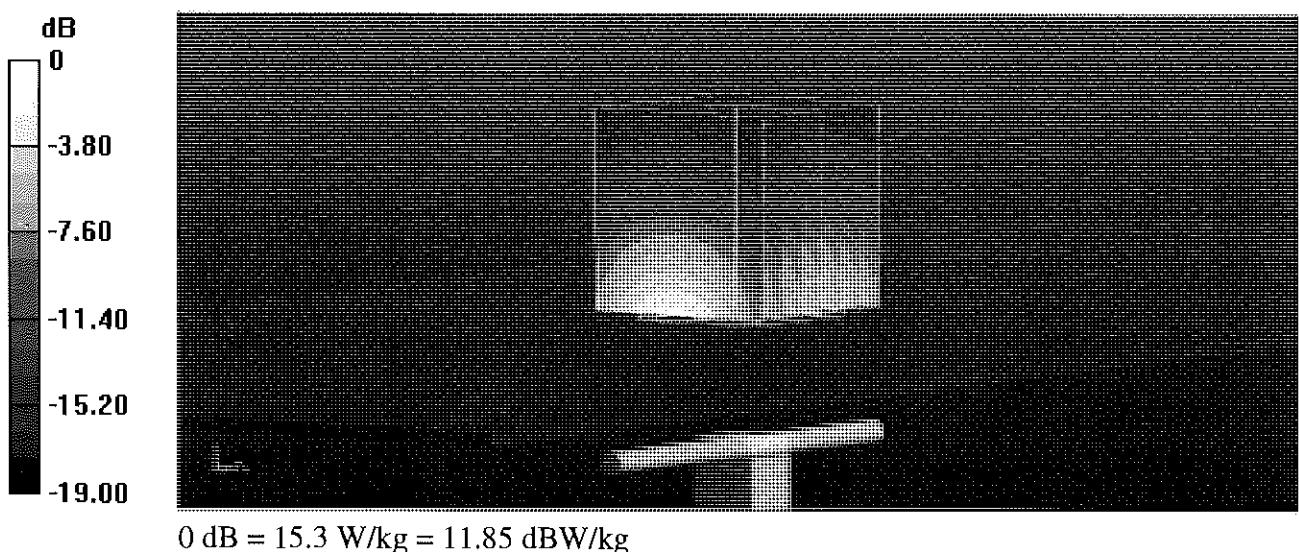
Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 109.2 V/m; Power Drift = 0.03 dB

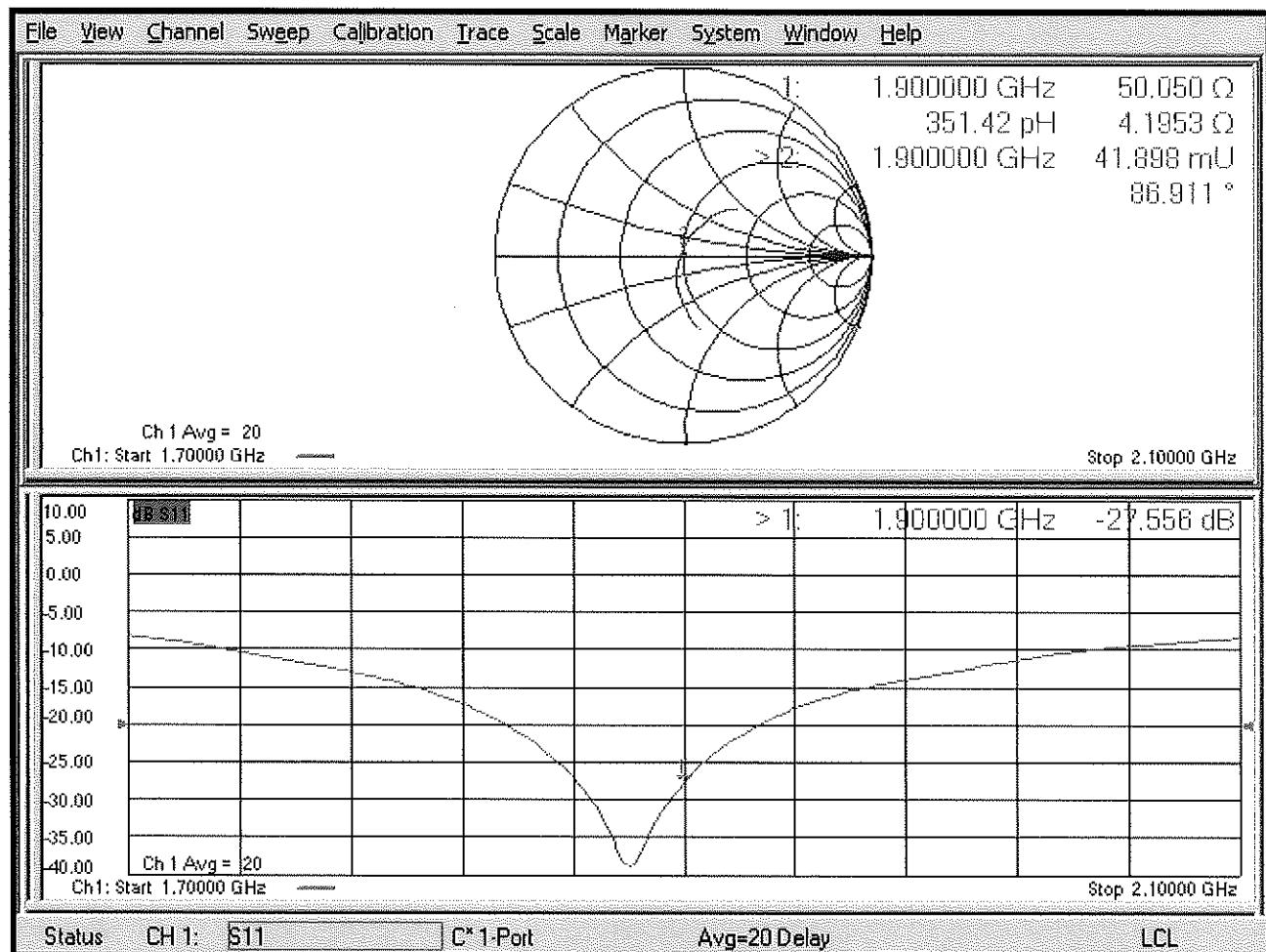
Peak SAR (extrapolated) = 18.2 W/kg

**SAR(1 g) = 9.85 W/kg; SAR(10 g) = 5.19 W/kg**

Maximum value of SAR (measured) = 15.3 W/kg



## Impedance Measurement Plot for Head TSL



# DASY5 Validation Report for Body TSL

Date: 19.06.2019

Test Laboratory: SPEAG, Zurich, Switzerland

**DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN:5d030**

Communication System: UID 0 - CW; Frequency: 1900 MHz

Medium parameters used:  $f = 1900$  MHz;  $\sigma = 1.5$  S/m;  $\epsilon_r = 54.2$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

DASY52 Configuration:

- Probe: EX3DV4 - SN7349; ConvF(8.42, 8.42, 8.42) @ 1900 MHz; Calibrated: 29.05.2019
- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 30.04.2019
- Phantom: Flat Phantom 5.0 (back); Type: QD 000 P50 AA; Serial: 1002
- DASY52 52.10.2(1504); SEMCAD X 14.6.12(7470)

## Dipole Calibration for Body Tissue/Pin=250 mW, d=10mm/Zoom Scan (7x7x7)/Cube 0:

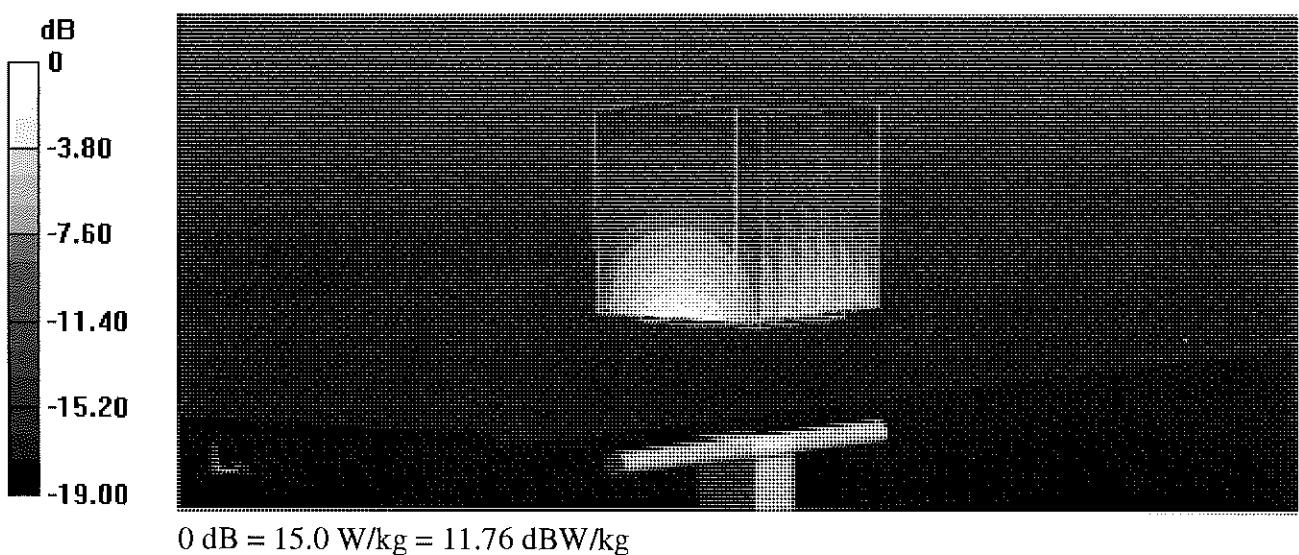
Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 104.1 V/m; Power Drift = -0.06 dB

Peak SAR (extrapolated) = 17.6 W/kg

**SAR(1 g) = 9.86 W/kg; SAR(10 g) = 5.24 W/kg**

Maximum value of SAR (measured) = 15.0 W/kg



## Impedance Measurement Plot for Body TSL

