

## ANNEX F System Validation

The SAR system must be validated against its performance specifications before it is deployed. When SAR probes, system components or software are changed, upgraded or recalibrated, these must be validated with the SAR system(s) that operates with such components.

**Table F.1: System Validation for 3617**

Probe SN.	Liquid name	Validation date	Frequency point	Status (OK or Not)
3617	Head 750MHz	Sep. 5, 2015	750 MHz	OK
3617	Head 850MHz	Sep. 5, 2015	850 MHz	OK
3617	Head 900MHz	Sep. 6, 2015	900 MHz	OK
3617	Head 1450MHz	Sep. 6, 2015	1450 MHz	OK
3617	Head 1640MHz	Sep. 7, 2015	1640 MHz	OK
3617	Head 1750MHz	Sep. 7, 2015	1750 MHz	OK
3617	Head 1810MHz	Sep. 8, 2015	1810 MHz	OK
3617	Head 1900MHz	Sep. 8, 2015	1900 MHz	OK
3617	Head 2000MHz	Sep. 9, 2015	2000 MHz	OK
3617	Head 2100MHz	Sep. 9, 2015	2100 MHz	OK
3617	Head 2300MHz	Sep. 10, 2015	2300 MHz	OK
3617	Head 2450MHz	Sep. 10, 2015	2450 MHz	OK
3617	Head 2600MHz	Sep. 11, 2015	2600 MHz	OK
3617	Head 3500MHz	Sep. 11, 2015	3500 MHz	OK
3617	Head 3700MHz	Sep. 12, 2015	3700 MHz	OK
3617	Head 5200MHz	Sep. 12, 2015	5200 MHz	OK
3617	Head 5300MHz	Sep. 13, 2015	5300 MHz	OK
3617	Head 5500MHz	Sep. 13, 2015	5500 MHz	OK
3617	Head 5600MHz	Sep. 14, 2015	5600 MHz	OK
3617	Head 5800MHz	Sep. 14, 2015	5800 MHz	OK
3617	Body 750MHz	Sep. 5, 2015	750 MHz	OK
3617	Body 850MHz	Sep. 5, 2015	850 MHz	OK
3617	Body 900MHz	Sep. 6, 2015	900 MHz	OK
3617	Body 1450MHz	Sep. 6, 2015	1450 MHz	OK
3617	Body 1640MHz	Sep. 7, 2015	1640 MHz	OK
3617	Body 1750MHz	Sep. 7, 2015	1750 MHz	OK
3617	Body 1810MHz	Sep. 8, 2015	1810 MHz	OK
3617	Body 1900MHz	Sep. 8, 2015	1900 MHz	OK
3617	Body 2000MHz	Sep. 9, 2015	2000 MHz	OK
3617	Body 2100MHz	Sep. 9, 2015	2100 MHz	OK
3617	Body 2300MHz	Sep. 10, 2015	2300 MHz	OK
3617	Body 2450MHz	Sep. 10, 2015	2450 MHz	OK
3617	Body 2600MHz	Sep. 11, 2015	2600 MHz	OK
3617	Body 3500MHz	Sep. 11, 2015	3500 MHz	OK
3617	Body 3700MHz	Sep. 12, 2015	3700 MHz	OK
3617	Body 5200MHz	Sep. 12, 2015	5200 MHz	OK

## ANNEX G Probe Calibration Certificate

### Probe 3617 Calibration Certificate

**Calibration Laboratory of**  
**Schmid & Partner**  
**Engineering AG**  
Zeughausstrasse 43, 8004 Zurich, Switzerland



**S** Schweizerischer Kalibrierdienst  
**C** Service suisse d'étalonnage  
**S** Servizio svizzero di taratura  
**S** Swiss Calibration Service

Accredited by the Swiss Accreditation Service (SAS)  
The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 0108**

Client **CTTL (Auden)**

Certificate No: **EX3-3617\_Aug15**

### CALIBRATION CERTIFICATE

Object **EX3DV4 - SN:3617**

Calibration procedure(s) **QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6**  
**Calibration procedure for dosimetric E-field probes**


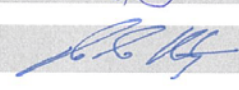
Calibration date: **August 26, 2015**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature ( $22 \pm 3$ )°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	01-Apr-15 (No. 217-02128)	Mar-16
Power sensor E4412A	MY41498087	01-Apr-15 (No. 217-02128)	Mar-16
Reference 3 dB Attenuator	SN: S5054 (3c)	01-Apr-15 (No. 217-02129)	Mar-16
Reference 20 dB Attenuator	SN: S5277 (20x)	01-Apr-15 (No. 217-02132)	Mar-16
Reference 30 dB Attenuator	SN: S5129 (30b)	01-Apr-15 (No. 217-02133)	Mar-16
Reference Probe ES3DV2	SN: 3013	30-Dec-14 (No. ES3-3013_Dec14)	Dec-15
DAE4	SN: 660	14-Jan-15 (No. DAE4-660_Jan15)	Jan-16
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-13)	In house check: Apr-16
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-14)	In house check: Oct-15

Calibrated by:	Name Claudio Leubler	Function Laboratory Technician	Signature 
Approved by:	Katja Pokovic	Technical Manager	
This calibration certificate shall not be reproduced except in full without written approval of the laboratory.			

Issued: August 27, 2015

**Calibration Laboratory of  
Schmid & Partner  
Engineering AG**  
Zeughausstrasse 43, 8004 Zurich, Switzerland



**S** Schweizerischer Kalibrierdienst  
**C** Service suisse d'étalonnage  
**S** Servizio svizzero di taratura  
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Accreditation No.: **SCS 0108**

#### Glossary:

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C, D	modulation dependent linearization parameters
Polarization $\varphi$	$\varphi$ rotation around probe axis
Polarization $\vartheta$	$\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis
Connector Angle	information used in DASY system to align probe sensor X to the robot coordinate system

#### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### Methods Applied and Interpretation of Parameters:

- NORM<sub>x,y,z</sub>**: Assessed for E-field polarization  $\vartheta = 0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide). NORM<sub>x,y,z</sub> are only intermediate values, i.e., the uncertainties of NORM<sub>x,y,z</sub> does not affect the  $E^2$ -field uncertainty inside TSL (see below ConvF).
- NORM(f)<sub>x,y,z</sub>** = NORM<sub>x,y,z</sub> \* frequency\_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCP<sub>x,y,z</sub>**: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR**: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>; D<sub>x,y,z</sub>; VR<sub>x,y,z</sub>**: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters**: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM<sub>x,y,z</sub> \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- Spherical isotropy (3D deviation from isotropy)**: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset**: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle**: The angle is assessed using the information gained by determining the NORM<sub>x</sub> (no uncertainty required).



EX3DV4 – SN:3617

August 26, 2015

# Probe EX3DV4

## SN:3617

Manufactured: May 3, 2007  
Calibrated: August 26, 2015

Calibrated for DASY/EASY Systems  
(Note: non-compatible with DASY2 system!)

EX3DV4- SN:3617

August 26, 2015

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3617

### Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ( $\mu V/(V/m)^2$ ) <sup>A</sup>	0.35	0.22	0.32	± 10.1 %
DCP (mV) <sup>B</sup>	103.7	99.6	98.7	

### Modulation Calibration Parameters

UID	Communication System Name		A dB	B dB/ $\mu V$	C	D dB	VR mV	Unc <sup>E</sup> (k=2)
0	CW	X	0.0	0.0	1.0	0.00	181.1	±2.5 %
		Y	0.0	0.0	1.0		172.2	
		Z	0.0	0.0	1.0		179.1	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



EX3DV4- SN:3617

August 26, 2015

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3617

### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	41.9	0.89	9.98	9.98	9.98	0.41	0.88	± 12.0 %
835	41.5	0.90	9.56	9.56	9.56	0.50	0.80	± 12.0 %
900	41.5	0.97	9.41	9.41	9.41	0.45	0.85	± 12.0 %
1450	40.5	1.20	8.76	8.76	8.76	0.27	1.02	± 12.0 %
1640	40.3	1.29	8.62	8.62	8.62	0.30	0.80	± 12.0 %
1750	40.1	1.37	8.34	8.34	8.34	0.26	0.94	± 12.0 %
1810	40.0	1.40	8.13	8.13	8.13	0.28	0.89	± 12.0 %
1900	40.0	1.40	8.07	8.07	8.07	0.34	0.80	± 12.0 %
2000	40.0	1.40	8.04	8.04	8.04	0.32	0.89	± 12.0 %
2100	39.8	1.49	8.11	8.11	8.11	0.31	0.89	± 12.0 %
2300	39.5	1.67	7.74	7.74	7.74	0.27	0.97	± 12.0 %
2450	39.2	1.80	7.24	7.24	7.24	0.28	0.96	± 12.0 %
2600	39.0	1.96	7.21	7.21	7.21	0.43	0.80	± 12.0 %
3500	37.9	2.91	7.28	7.28	7.28	0.30	1.20	± 13.1 %
3700	37.7	3.12	6.79	6.79	6.79	0.28	1.20	± 13.1 %
5200	36.0	4.66	5.46	5.46	5.46	0.35	1.80	± 13.1 %
5300	35.9	4.76	5.28	5.28	5.28	0.35	1.80	± 13.1 %
5500	35.6	4.96	5.05	5.05	5.05	0.35	1.80	± 13.1 %
5600	35.5	5.07	4.75	4.75	4.75	0.40	1.80	± 13.1 %
5800	35.3	5.27	4.85	4.85	4.85	0.40	1.80	± 13.1 %

<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

EX3DV4–SN:3617

August 26, 2015

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3617

### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	55.5	0.96	9.76	9.76	9.76	0.58	0.79	± 12.0 %
835	55.2	0.97	9.71	9.71	9.71	0.50	0.80	± 12.0 %
900	55.0	1.05	9.47	9.47	9.47	0.50	0.80	± 12.0 %
1450	54.0	1.30	8.27	8.27	8.27	0.21	1.33	± 12.0 %
1640	53.8	1.40	8.31	8.31	8.31	0.39	0.91	± 12.0 %
1750	53.4	1.49	7.96	7.96	7.96	0.43	0.80	± 12.0 %
1810	53.3	1.52	7.88	7.88	7.88	0.44	0.80	± 12.0 %
1900	53.3	1.52	7.74	7.74	7.74	0.37	0.83	± 12.0 %
2000	53.3	1.52	7.97	7.97	7.97	0.24	1.05	± 12.0 %
2100	53.2	1.62	8.08	8.08	8.08	0.27	1.00	± 12.0 %
2300	52.9	1.81	7.68	7.68	7.68	0.32	0.94	± 12.0 %
2450	52.7	1.95	7.35	7.35	7.35	0.32	0.80	± 12.0 %
2600	52.5	2.16	7.20	7.20	7.20	0.25	0.80	± 12.0 %
3500	51.3	3.31	6.60	6.60	6.60	0.30	1.20	± 13.1 %
3700	51.0	3.55	6.72	6.72	6.72	0.32	1.25	± 13.1 %
5200	49.0	5.30	4.88	4.88	4.88	0.40	1.90	± 13.1 %
5300	48.9	5.42	4.69	4.69	4.69	0.40	1.90	± 13.1 %
5500	48.6	5.65	4.41	4.41	4.41	0.40	1.90	± 13.1 %
5600	48.5	5.77	4.27	4.27	4.27	0.45	1.90	± 13.1 %
5800	48.2	6.00	4.41	4.41	4.41	0.45	1.90	± 13.1 %

<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

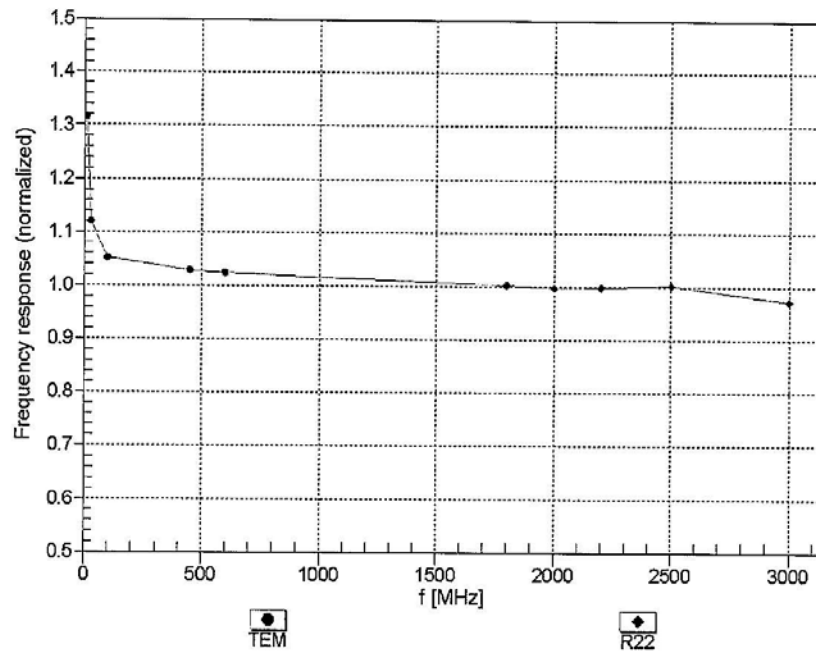
<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

EX3DV4- SN:3617

August 26, 2015

### Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field:  $\pm 6.3\%$  ( $k=2$ )

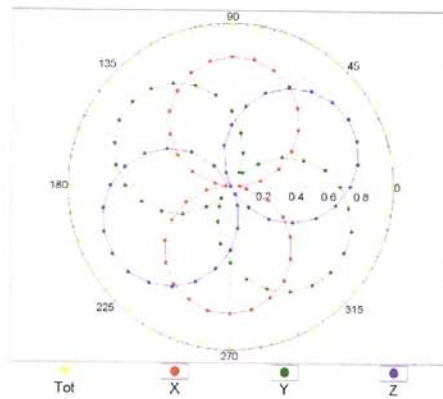


EX3DV4- SN:3617

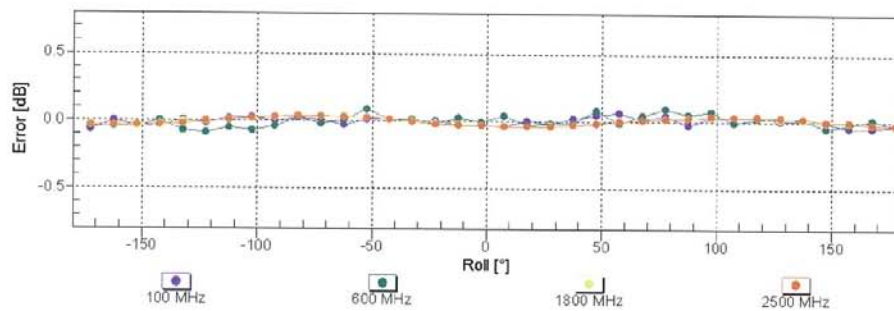
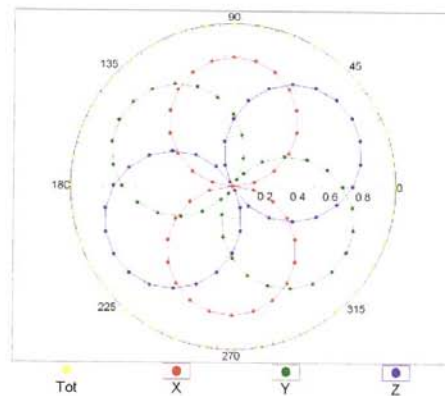
August 26, 2015

### Receiving Pattern ( $\phi$ ), $\theta = 0^\circ$

f=600 MHz, TEM



f=1800 MHz, R22



Uncertainty of Axial Isotropy Assessment:  $\pm 0.5\%$  ( $k=2$ )