



# SAR TEST REPORT

No. I16Z41734-SEM01

For

**TCL Communication Ltd.**

**GPS Tracker**

**Model Name: MK20U**

With

**Hardware Version: GPS TRACKER V2.0**

**Software Version: GpsTracker\_20160815\_LAZ\_v1.0.6\_LATAM**

**FCC ID: 2ACCJB073**

**Issued Date: 2016-8-29**



**Note:**

The test results in this test report relate only to the devices specified in this report. This report shall not be reproduced except in full without the written approval of CTTL.

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## **REPORT HISTORY**

<b>Report Number</b>	<b>Revision</b>	<b>Issue Date</b>	<b>Description</b>
I16Z41734-SEM01	Rev.0	2016-8-29	Initial creation of test report

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## 1 Test Laboratory

### 1.1 Testing Location

Company Name:	CTTL(Shouxiang)
Address:	No. 51 Shouxiang Science Building, Xueyuan Road, Haidian District, Beijing, P. R. China100191

### 1.2 Testing Environment

Temperature:	18°C~25°C,
Relative humidity:	30%~ 70%
Ground system resistance:	< 0.5 Ω
Ambient noise & Reflection:	< 0.012 W/kg

### 1.3 Project Data

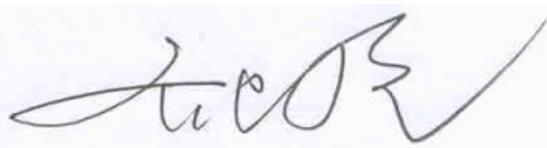
Project Leader:	Qi Dianyuan
Test Engineer:	Lin Xiaojun
Testing Start Date:	August 12, 2016
Testing End Date:	August 13, 2016

### 1.4 Signature



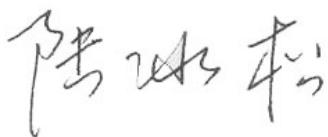
Lin Xiaojun

(Prepared this test report)



Qi Dianyuan

(Reviewed this test report)



Lu Bingsong

Deputy Director of the laboratory

(Approved this test report)

## 2 Statement of Compliance

The maximum results of Specific Absorption Rate (SAR) found during testing for TCL Communication Ltd. GPS Tracker MK20U are as follows:

**Table 2.1: Highest Reported SAR (1g)**

Exposure Configuration	Technology Band	Highest Reported SAR 10g (W/kg)	Equipment Class	Limited (W/kg)
Wrist exposure (Separation Distance 0mm)	GSM 850	2.16	PCE	4.0
	PCS 1900	2.43		

The SAR values found for the DUT are below the maximum recommended levels of 4.0 W/kg as averaged over any 10g tissue according to the ANSI C95.1-1992.

The EUT battery must be fully charged and checked periodically during the test to ascertain uniform power output.

The measurement together with the test system set-up is described in annex C of this test report. A detailed description of the equipment under test can be found in chapter 4 of this test report.

The highest reported SAR value is obtained at the case of **(Table 2.1)**, and the values are: **2.43W/kg (10g)**.

## 3 Client Information

### 3.1 Applicant Information

Company Name:	TCL Communication Ltd.
Address /Post:	5F, C-Tower, No.232, Liangjing Road, Zhangjiang High-tech Park, Pudong, Shanghai, China
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Telephone:	86-0755-36612422
Fax:	86-0755-33035460

### 3.2 Manufacturer Information

Company Name:	TCL Mobile Communication Co. Ltd. Huizhou
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Contact:	Xingyu.Huang
Email:	xingyu.huang@tcl.com
Telephone:	86-0755-36612422
Fax:	86-0755-33035460

## 4 Equipment Under Test (EUT) and Ancillary Equipment (AE)

### 4.1 About EUT

Description:	GPS Tracker
Model Name:	MK20U
Operating mode(s):	GSM 850/900/1800/1900, WiFi (not support Tx)
Tested Tx Frequency:	825 – 848.8 MHz (GSM 850) 1850.2 – 1910 MHz (GSM 1900)
GPRS Multislot Class:	12
Device type:	Portable device
Antenna type:	Integrated antenna

### 4.2 Internal Identification of EUT used during the test

EUT ID*	SN or IMEI	HW Version	SW Version
EUT1	014770000000580	GPS TRACKER V2.0	GpsTracker_20160815_LAZ_v1.0.6_LATAM
EUT2	014770000000127	GPS TRACKER V2.0	GpsTracker_20160815_LAZ_v1.0.6_LATAM

\*EUT ID: is used to identify the test sample in the lab internally.

**Note:** It is performed to test SAR with the EUT 1 and conducted power with the EUT 2.

### 4.3 Internal Identification of AE used during the test

AE ID*	Description	Model	SN	Manufacturer
AE1	Battery	CAC0470001C1	/	BYD

\*AE ID: is used to identify the test sample in the lab internally.

## 5 TEST METHODOLOGY

### 5.1 Applicable Limit Regulations

**ANSI C95.1-1992:** IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz.

It specifies the maximum exposure limit of **1.6 W/kg** as averaged over any 1 gram of tissue for portable devices being used within 20 cm of the user in the uncontrolled environment.

### 5.2 Applicable Measurement Standards

**IEEE 1528-2013:** Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques.

**KDB447498 D01: General RF Exposure Guidance v06:** Mobile and Portable Devices RF Exposure Procedures and Equipment Authorization Policies.

**KDB865664 D01SAR measurement 100 MHz to 6 GHz v01r04:** SAR Measurement Requirements for 100 MHz to 6 GHz.

**KDB865664 D02 RF Exposure Reporting v01r02:** RF Exposure Compliance Reporting and Documentation Considerations

## 6 Specific Absorption Rate (SAR)

### 6.1 Introduction

SAR is related to the rate at which energy is absorbed per unit mass in an object exposed to a radio field. The SAR distribution in a biological body is complicated and is usually carried out by experimental techniques or numerical modeling. The standard recommends limits for two tiers of groups, occupational/controlled and general population/uncontrolled, based on a person's awareness and ability to exercise control over his or her exposure. In general, occupational/controlled exposure limits are higher than the limits for general population/uncontrolled.

### 6.2 SAR Definition

The SAR definition is the time derivative (rate) of the incremental energy ( $dW$ ) absorbed by (dissipated in) an incremental mass ( $dm$ ) contained in a volume element ( $dv$ ) of a given density ( $\rho$ ). The equation description is as below:

$$SAR = \frac{d}{dt} \left( \frac{dW}{dm} \right) = \frac{d}{dt} \left( \frac{dW}{\rho dv} \right)$$

SAR is expressed in units of Watts per kilogram (W/kg)

SAR measurement can be either related to the temperature elevation in tissue by

$$SAR = c \left( \frac{\delta T}{\delta t} \right)$$

Where:  $C$  is the specific heat capacity,  $\delta T$  is the temperature rise and  $\delta t$  is the exposure duration, or related to the electrical field in the tissue by

$$SAR = \frac{\sigma |E|^2}{\rho}$$

Where:  $\sigma$  is the conductivity of the tissue,  $\rho$  is the mass density of tissue and  $E$  is the RMS electrical field strength.

However for evaluating SAR of low power transmitter, electrical field measurement is typically applied.

## 7 Tissue Simulating Liquids

### 7.1 Targets for tissue simulating liquid

Table 7.1: Targets for tissue simulating liquid

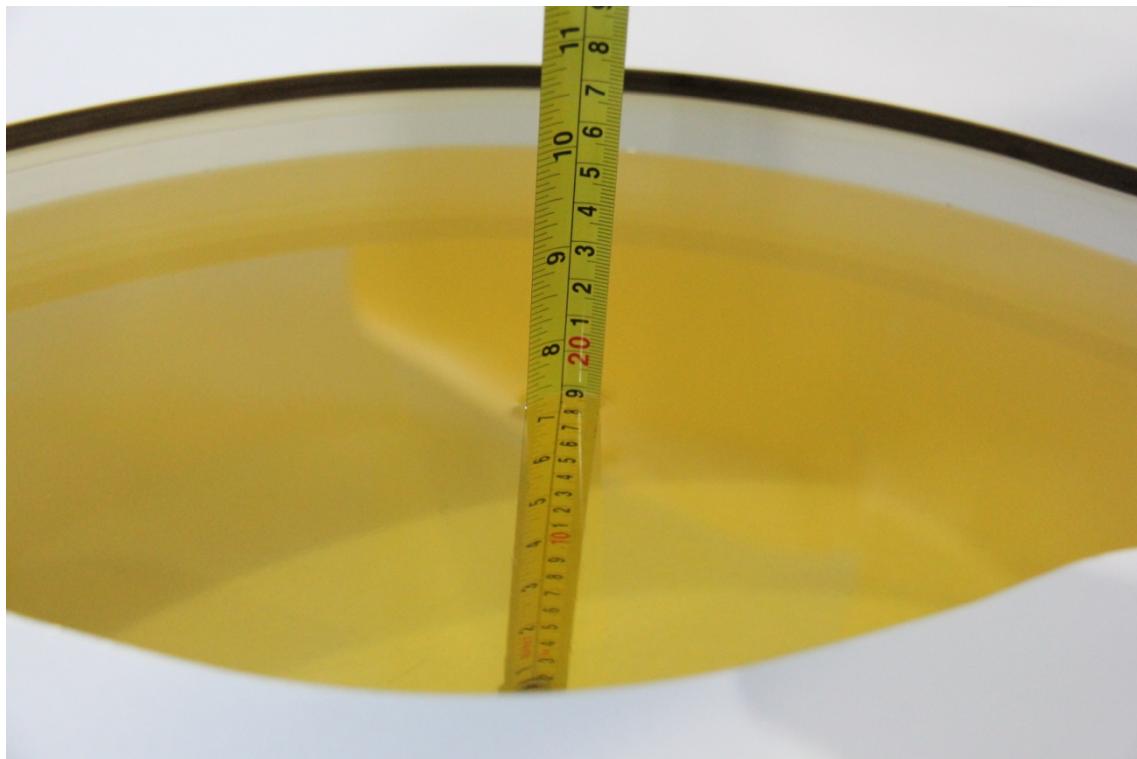
Frequency (MHz)	Liquid Type	Conductivity ( $\sigma$ )	$\pm$ 5% Range	Permittivity ( $\epsilon$ )	$\pm$ 5% Range
835	Body	0.97	0.92~1.02	55.2	52.4~58.0
1900	Body	1.52	1.44~1.60	53.3	50.6~56.0

### 7.2 Dielectric Performance

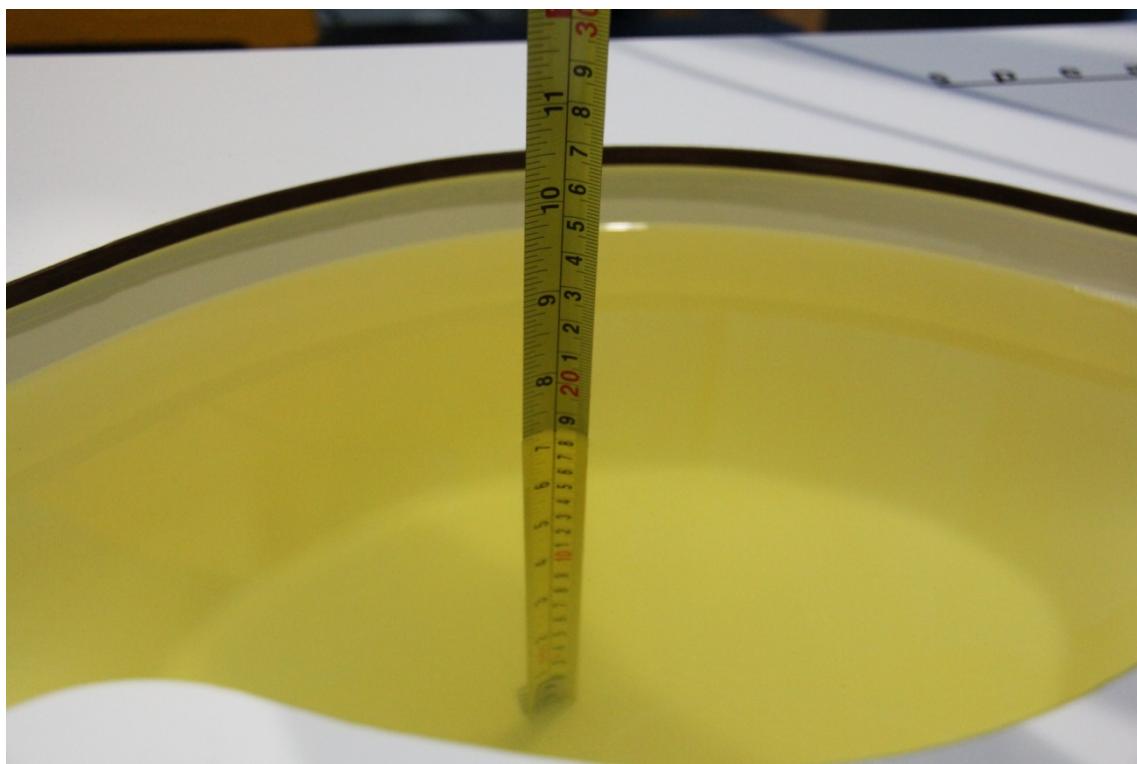
Table 7.2: Dielectric Performance of Tissue Simulating Liquid

Measurement Date (yyyy-mm-dd)	Type	Frequency	Permittivity $\epsilon$	Drift (%)	Conductivity $\sigma$ (S/m)	Drift (%)
2016-8-12	Body	835 MHz	55.51	0.56	0.96	-1.03
2016-8-13	Body	1900 MHz	55.1	3.38	1.467	-3.49

Note: The liquid temperature is 22.0 °C



**Picture 7-1: Liquid depth in the Flat Phantom (835MHz)**

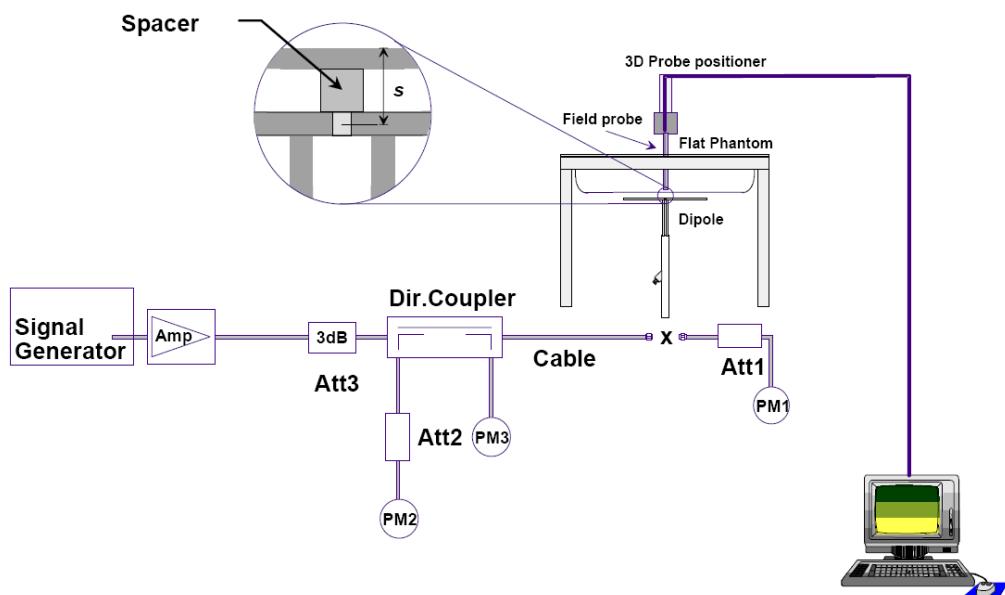


**Picture 7-2 Liquid depth in the Flat Phantom (1900MHz)**

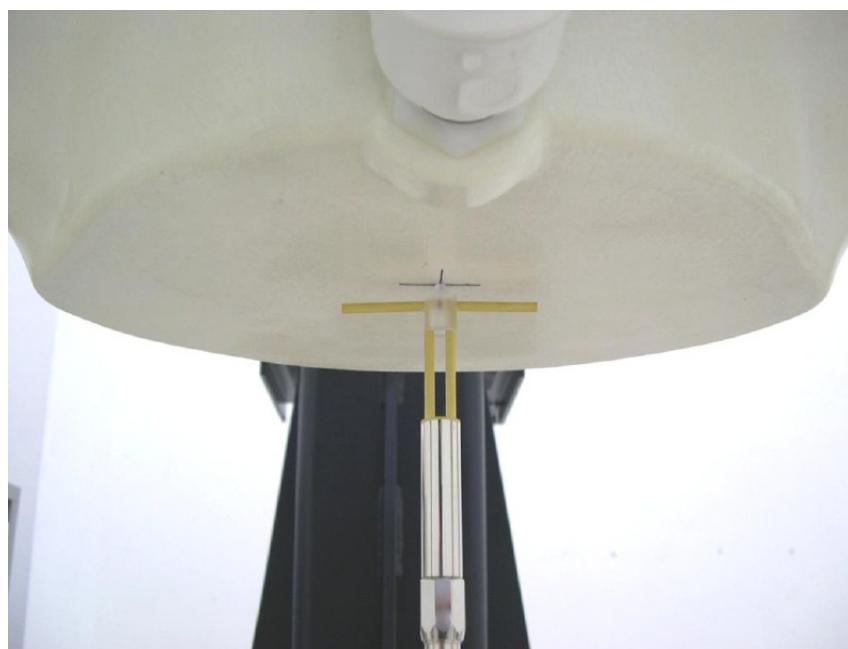
## 8 System verification

### 8.1 System Setup

In the simplified setup for system evaluation, the DUT is replaced by a calibrated dipole and the power source is replaced by a continuous wave that comes from a signal generator. The calibrated dipole must be placed beneath the flat phantom section of the SAM twin phantom with the correct distance holder. The distance holder should touch the phantom surface with a light pressure at the reference marking and be oriented parallel to the long side of the phantom. The equipment setup is shown below:



Picture 8.1 System Setup for System Evaluation



Picture 8.2 Photo of Dipole Setup

## 8.2 System Verification

SAR system verification is required to confirm measurement accuracy, according to the tissue dielectric media, probe calibration points and other system operating parameters required for measuring the SAR of a test device. The system verification must be performed for each frequency band and within the valid range of each probe calibration point required for testing the device.

The system verification results are required that the area scan estimated 1-g SAR is within 3% of the zoom scan 1-g SAR. The details are presented in annex B.

**Table 8.1: System Verification of Body**

Measurement Date (yyyy-mm-dd)	Frequency	Target value (W/kg)		Measured value (W/kg)		Deviation	
		10 g Average	1 g Average	10 g Average	1 g Average	10 g Average	1 g Average
2016-8-12	835 MHz	6.36	9.69	6.28	9.52	-1.26%	-1.75%
2016-8-13	1900 MHz	21.3	40.1	21.64	40.80	1.60%	1.75%

## 9 Measurement Procedures

### 9.1 Tests to be performed

In order to determine the highest value of the peak spatial-average SAR of a handset, all device positions, configurations and operational modes shall be tested for each frequency band according to steps 1 to 3 below. A flowchart of the test process is shown in picture 9.1.

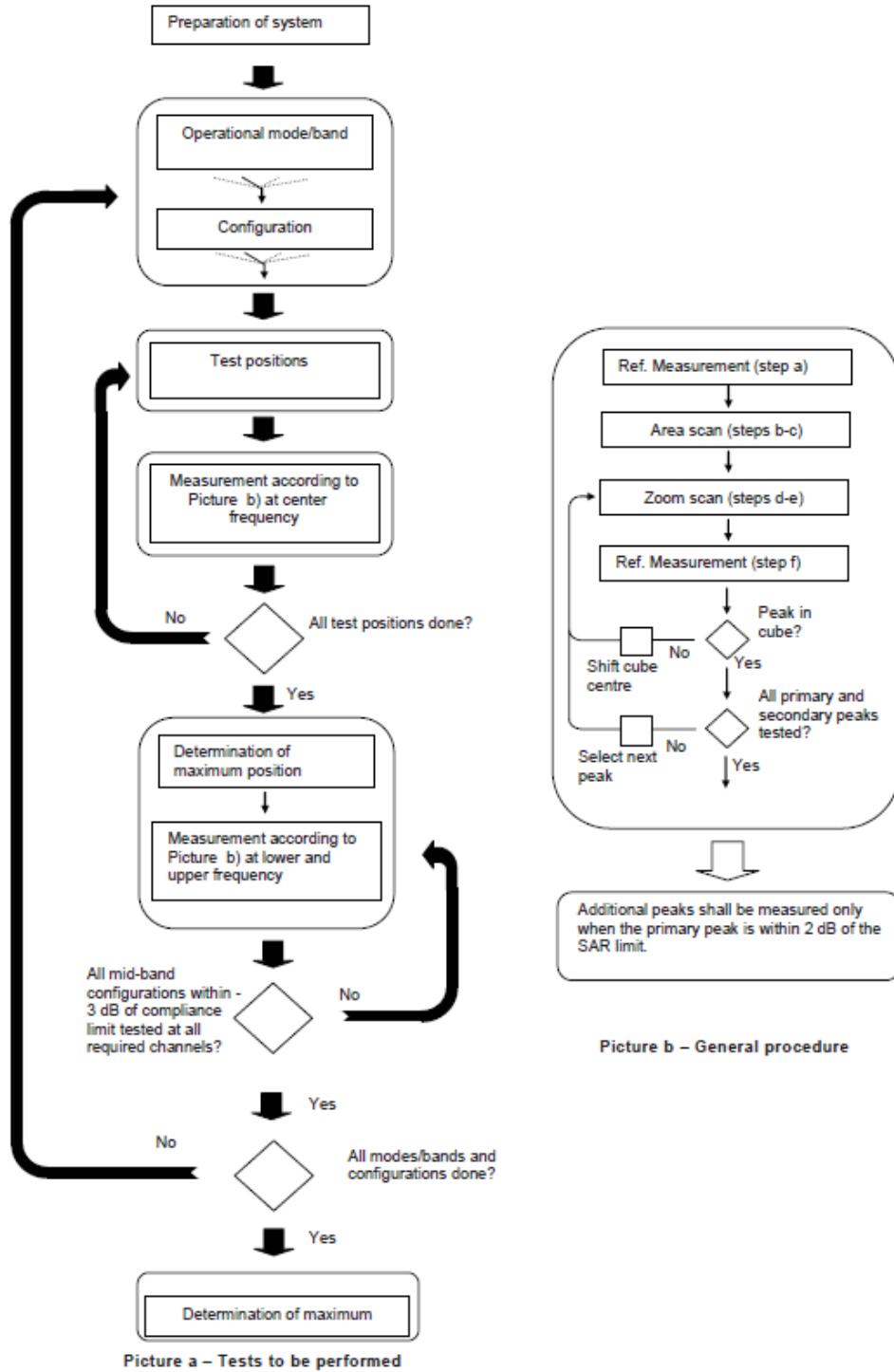
**Step 1:** The tests described in 9.2 shall be performed at the channel that is closest to the centre of the transmit frequency band ( $f_c$ ) for:

- a) all device positions (cheek and tilt, for both left and right sides of the SAM phantom, as described in annex D),
- b) all configurations for each device position in a), e.g., antenna extended and retracted, and
- c) all operational modes, e.g., analogue and digital, for each device position in a) and configuration in b) in each frequency band.

If more than three frequencies need to be tested according to 11.1 (i.e.,  $N_c > 3$ ), then all frequencies, configurations and modes shall be tested for all of the above test conditions.

**Step 2:** For the condition providing highest peak spatial-average SAR determined in Step 1, perform all tests described in 9.2 at all other test frequencies, i.e., lowest and highest frequencies. In addition, for all other conditions (device position, configuration and operational mode) where the peak spatial-average SAR value determined in Step 1 is within 3 dB of the applicable SAR limit, it is recommended that all other test frequencies shall be tested as well.

**Step 3:** Examine all data to determine the highest value of the peak spatial-average SAR found in Steps 1 to 2.



**Picture 9.1** Block diagram of the tests to be performed

## 9.2 General Measurement Procedure

The area and zoom scan resolutions specified in the table below must be applied to the SAR measurements and fully documented in SAR reports to qualify for TCB approval. Probe boundary effect error compensation is required for measurements with the probe tip closer than half a probe tip diameter to the phantom surface. Both the probe tip diameter and sensor offset distance must satisfy measurement protocols; to ensure probe boundary effect errors are minimized and the higher fields closest to the phantom surface can be correctly measured and extrapolated to the phantom surface for computing 1-g SAR. Tolerances of the post-processing algorithms must be verified by the test laboratory for the scan resolutions used in the SAR measurements, according to the reference distribution functions specified in IEEE Std 1528-2003. The results should be documented as part of the system validation records and may be requested to support test results when all the measurement parameters in the following table are not satisfied.

		$\leq 3$ GHz	$> 3$ GHz
Maximum distance from closest measurement point (geometric center of probe sensors) to phantom surface		$5 \pm 1$ mm	$\frac{1}{2} \cdot \delta \cdot \ln(2) \pm 0.5$ mm
Maximum probe angle from probe axis to phantom surface normal at the measurement location		$30^\circ \pm 1^\circ$	$20^\circ \pm 1^\circ$
		$\leq 2$ GHz: $\leq 15$ mm $2 - 3$ GHz: $\leq 12$ mm	$3 - 4$ GHz: $\leq 12$ mm $4 - 6$ GHz: $\leq 10$ mm
Maximum area scan spatial resolution: $\Delta x_{\text{Area}}, \Delta y_{\text{Area}}$		When the x or y dimension of the test device, in the measurement plane orientation, is smaller than the above, the measurement resolution must be $\leq$ the corresponding x or y dimension of the test device with at least one measurement point on the test device.	
Maximum zoom scan spatial resolution: $\Delta x_{\text{Zoom}}, \Delta y_{\text{Zoom}}$		$\leq 2$ GHz: $\leq 8$ mm $2 - 3$ GHz: $\leq 5$ mm*	$3 - 4$ GHz: $\leq 5$ mm* $4 - 6$ GHz: $\leq 4$ mm*
Maximum zoom scan spatial resolution, normal to phantom surface	uniform grid: $\Delta z_{\text{Zoom}}(n)$	$\leq 5$ mm	$3 - 4$ GHz: $\leq 4$ mm $4 - 5$ GHz: $\leq 3$ mm $5 - 6$ GHz: $\leq 2$ mm
	graded grid  $\Delta z_{\text{Zoom}}(1)$ : between 1 <sup>st</sup> two points closest to phantom surface  $\Delta z_{\text{Zoom}}(n>1)$ : between subsequent points	$\leq 4$ mm  $\leq 1.5 \cdot \Delta z_{\text{Zoom}}(n-1)$	$3 - 4$ GHz: $\leq 3$ mm $4 - 5$ GHz: $\leq 2.5$ mm $5 - 6$ GHz: $\leq 2$ mm
Minimum zoom scan volume	x, y, z	$\geq 30$ mm	$3 - 4$ GHz: $\geq 28$ mm $4 - 5$ GHz: $\geq 25$ mm $5 - 6$ GHz: $\geq 22$ mm
Note: $\delta$ is the penetration depth of a plane-wave at normal incidence to the tissue medium; see draft standard IEEE P1528-2011 for details.			
* When zoom scan is required and the <i>reported</i> SAR from the area scan based 1-g SAR estimation procedures of KDB 447498 is $\leq 1.4$ W/kg, $\leq 8$ mm, $\leq 7$ mm and $\leq 5$ mm zoom scan resolution may be applied, respectively, for 2 GHz to 3 GHz, 3 GHz to 4 GHz and 4 GHz to 6 GHz.			

### 9.3 GSM Measurement Procedures for SAR

The following procedures may be considered for each frequency band to determine SAR test reduction for devices operating in GSM/GPRS/EDGE modes to demonstrate RF exposure compliance. GSM voice mode transmits with 1 time slot. GPRS and EDGE may transmit up to 4 time slots in the 8 time-slot frame according to the multislot class implemented in a device.

SAR test reduction for GPRS and EDGE modes is determined by the source-based time-averaged output power specified for production units, including tune-up tolerance. The data mode with highest specified time-averaged output power should be tested for SAR compliance in the applicable exposure conditions. For modes with the same specified maximum output power and tolerance, the higher number time-slot configuration should be tested. GSM voice and GPRS data use GMSK, which is a constant amplitude modulation with minimal peak to average power difference within the time-slot burst. For EDGE, GMSK is used for MCS 1 – MCS 4 and 8-PSK is used for MCS 5 – MCS 9; where 8-PSK has an inherently higher peak-to-average power ratio. The GMSK and 8-PSK EDGE configurations are considered separately for SAR compliance. The GMSK EDGE configurations are grouped with GPRS and considered with respect to time-averaged maximum output power to determine compliance. The 3G SAR test reduction procedure is applied to 8-PSK EDGE with GMSK GPRS/EDGE as the primary mode.

### 9.4 Power Drift

To control the output power stability during the SAR test, DASY4 system calculates the power drift by measuring the E-field at the same location at the beginning and at the end of the measurement for each test position. These drift values can be found in section 12 labeled as: (Power Drift [dB]). This ensures that the power drift during one measurement is within 5%.

## 10 Conducted Output Power

### 10.1 Manufacturing tolerance

**Table 10.1: GPRS**

GSM 850 GPRS (GMSK)				
Channel		251	190	128
1 Txslot	Target (dBm)	31.8	31.8	31.8
	Tune-up(dBm)	33.8	33.8	33.8
2 Txslots	Target (dBm)	30.8	30.8	30.8
	Tune-up(dBm)	32.8	32.8	32.8
3Txslots	Target (dBm)	28.8	28.8	28.8
	Tune-up(dBm)	30.8	30.8	30.8
4 Txslots	Target (dBm)	27.8	27.8	27.8
	Tune-up(dBm)	29.8	29.8	29.8
GSM 1900 GPRS (GMSK)				
Channel		810	661	512
1 Txslot	Target (dBm)	28.3	28.3	28.3
	Tune-up(dBm)	30.3	30.3	30.3
2 Txslots	Target (dBm)	27.3	27.3	27.3
	Tune-up(dBm)	29.3	29.3	29.3
3Txslots	Target (dBm)	25.3	25.3	25.3
	Tune-up(dBm)	27.3	27.3	27.3
4 Txslots	Target (dBm)	24.3	24.3	24.3
	Tune-up(dBm)	26.3	26.3	26.3

## 10.2 GSM Measurement result

During the process of testing, the EUT was controlled via Agilent Digital Radio Communication tester (E5515C) to ensure the maximum power transmission and proper modulation. This result contains conducted output power for the EUT. In all cases, the measured peak output power should be greater and within 5% than EMI measurement.

**Table 10.2: The conducted power measurement results for GPRS**

GSM 850 GPRS (GMSK)	Measured Power (dBm)			calculation	Averaged Power (dBm)		
	251	190	128		251	190	128
1 Txslot	32.73	32.81	32.74	-9.03	23.70	23.78	23.71
2 Txslots	31.75	31.75	31.73	-6.02	25.73	25.73	25.71
3Txslots	29.58	29.57	29.52	-4.26	25.32	25.31	25.26
<b>4 Txslots</b>	<b>28.77</b>	<b>28.76</b>	<b>28.73</b>	<b>-3.01</b>	<b>25.76</b>	<b>25.75</b>	<b>25.72</b>
PCS1900 GPRS (GMSK)	Measured Power (dBm)			calculation	Averaged Power (dBm)		
	810	661	512		810	661	512
1 Txslot	29.09	29.19	29.25	-9.03	20.06	20.16	20.22
2 Txslots	28.12	28.29	28.45	-6.02	22.10	22.27	22.43
3Txslots	26.13	26.39	26.71	-4.26	21.87	22.13	22.45
<b>4 Txslots</b>	<b>25.27</b>	<b>25.54</b>	<b>25.85</b>	<b>-3.01</b>	<b>22.26</b>	<b>22.53</b>	<b>22.84</b>

NOTES:

1) Division Factors

To average the power, the division factor is as follows:

1TX-slot = 1 transmit time slot out of 8 time slots=> conducted power divided by (8/1) => -9.03dB

2TX-slots = 2 transmit time slots out of 8 time slots=> conducted power divided by (8/2) => -6.02dB

3TX-slots = 3 transmit time slots out of 8 time slots=> conducted power divided by (8/3) => -4.26dB

4TX-slots = 4 transmit time slots out of 8 time slots=> conducted power divided by (8/4) => -3.01dB

**According to the conducted power as above, the body measurements are performed with 4Txslots for GSM850 and PCS1900.**

## 11 Antenna Locations



Picture 11.1 Antenna Locations

Note: The antenna of WiFi is not support Tx.

## 12 SAR Test Result

The calculated SAR is obtained by the following formula:

$$\text{Reported SAR} = \text{Measured SAR} \times 10^{(P_{\text{Target}} - P_{\text{Measured}})/10}$$

Where  $P_{\text{Target}}$  is the power of manufacturing upper limit;

$P_{\text{Measured}}$  is the measured power in chapter 11.

**Table 12.1: Duty Cycle**

Mode	Duty Cycle
GPRS for GSM850 and PCS1900	1:2

**Table 12.2: SAR Values (GSM 850 MHz Band-Body)**

Ambient Temperature: 23.0 °C				Liquid Temperature: 22.5 °C							
Frequency		Mode (number of timeslots)	Test Position	Figure No.	Conducted Power (dBm)	Max. tune-up Power (dBm)	Measured SAR(10g) (W/kg)	Reported SAR(10g) (W/kg)	Measured SAR(1g) (W/kg)	Reported SAR(1g) (W/kg)	Power Drift (dB)
MHz	Ch.										
848.8	251	GPRS (4)	Rear	/	28.77	29.8	1.31	<b>1.66</b>	2.34	<b>2.97</b>	-0.01
836.6	190	GPRS (4)	Rear	/	28.76	29.8	1.48	<b>1.88</b>	2.65	<b>3.37</b>	-0.08
824.2	128	GPRS (4)	Rear	<b>Fig.1</b>	28.73	29.8	1.69	<b>2.16</b>	3.01	<b>3.85</b>	-0.15

Note1: The distance between the EUT and the phantom bottom is 0mm.

**Table 12.3: SAR Values (GSM 1900 MHz Band-Body)**

Ambient Temperature: 23.0 °C				Liquid Temperature: 22.5 °C							
Frequency		Mode (number of timeslots)	Test Position	Figure No.	Conducted Power (dBm)	Max. tune-up Power (dBm)	Measured SAR(10g) (W/kg)	Reported SAR(10g) (W/kg)	Measured SAR(1g) (W/kg)	Reported SAR(1g) (W/kg)	Power Drift (dB)
MHz	Ch.										
1909.8	810	GPRS (4)	Rear	<b>Fig.2</b>	25.27	26.3	1.92	<b>2.43</b>	4.48	<b>5.68</b>	0.13
1880	661	GPRS (4)	Rear	/	25.54	26.3	1.59	<b>1.89</b>	3.72	<b>4.43</b>	0.05
1850.2	512	GPRS (4)	Rear	/	25.85	26.3	1.21	<b>1.34</b>	2.83	<b>3.14</b>	0.11

Note1: The distance between the EUT and the phantom bottom is 0mm.

## 13 SAR Measurement Variability

SAR measurement variability must be assessed for each frequency band, which is determined by the SAR probe calibration point and tissue-equivalent medium used for the device measurements. When both head and body tissue-equivalent media are required for SAR measurements in a frequency band, the variability measurement procedures should be applied to the tissue medium with the highest measured SAR, using the highest measured SAR configuration for that tissue-equivalent medium.

The following procedures are applied to determine if repeated measurements are required.

- 1) Repeated measurement is not required when the original highest measured SAR is < 0.80 W/kg; steps 2) through 4) do not apply.
- 2) When the original highest measured SAR is  $\geq 0.80$  W/kg, repeat that measurement once.
- 3) Perform a second repeated measurement only if the ratio of largest to smallest SAR for the original and first repeated measurements is  $> 1.20$  or when the original or repeated measurement is  $\geq 1.45$  W/kg ( $\sim 10\%$  from the 1-g SAR limit).
- 4) Perform a third repeated measurement only if the original, first or second repeated measurement is  $\geq 1.5$  W/kg and the ratio of largest to smallest SAR for the original, first and second repeated measurements is  $> 1.20$ .

**Table 13.1: SAR Measurement Variability for Body GSM850 (1g)**

Frequency		Test Position	Spacing (mm)	Original SAR (W/kg)	First Repeated SAR (W/kg)	The Ratio	Second Repeated SAR (W/kg)
Ch.	MHz						
824.2	128	Rear	0	3.01	2.95	1.02	/

**Table 13.2: SAR Measurement Variability for Body GSM1900 (1g)**

Frequency		Test Position	Spacing (mm)	Original SAR (W/kg)	First Repeated SAR (W/kg)	The Ratio	Second Repeated SAR (W/kg)
Ch.	MHz						
1909.8	810	Rear	0	4.48	4.41	1.02	/

## 14 Measurement Uncertainty

### 14.1 Measurement Uncertainty for Normal SAR Tests (300MHz~3GHz)

No.	Error Description	Type	Uncertainty value	Probably Distribution	Div.	(Ci) 1g	(Ci) 10g	Std. Unc.	Std. Unc. (10g)	Degree of freedom
<b>Measurement system</b>										
1	Probe calibration	B	6.0	N	1	1	1	6.0	6.0	$\infty$
2	Isotropy	B	4.7	R	$\sqrt{3}$	0.7	0.7	1.9	1.9	$\infty$
3	Boundary effect	B	1.0	R	$\sqrt{3}$	1	1	0.6	0.6	$\infty$
4	Linearity	B	4.7	R	$\sqrt{3}$	1	1	2.7	2.7	$\infty$
5	Detection limit	B	1.0	N	1	1	1	0.6	0.6	$\infty$
6	Readout electronics	B	0.3	R	$\sqrt{3}$	1	1	0.3	0.3	$\infty$
7	Response time	B	0.8	R	$\sqrt{3}$	1	1	0.5	0.5	$\infty$
8	Integration time	B	2.6	R	$\sqrt{3}$	1	1	1.5	1.5	$\infty$
9	RF ambient conditions-noise	B	0	R	$\sqrt{3}$	1	1	0	0	$\infty$
10	RF ambient conditions-reflection	B	0	R	$\sqrt{3}$	1	1	0	0	$\infty$
11	Probe positioned mech. restrictions	B	0.4	R	$\sqrt{3}$	1	1	0.2	0.2	$\infty$
12	Probe positioning with respect to phantom shell	B	2.9	R	$\sqrt{3}$	1	1	1.7	1.7	$\infty$
13	Post-processing	B	1.0	R	$\sqrt{3}$	1	1	0.6	0.6	$\infty$
<b>Test sample related</b>										
14	Test sample positioning	A	3.3	N	1	1	1	3.3	3.3	71
15	Device holder uncertainty	A	3.4	N	1	1	1	3.4	3.4	5
16	Drift of output power	B	5.0	R	$\sqrt{3}$	1	1	2.9	2.9	$\infty$
<b>Phantom and set-up</b>										
17	Phantom uncertainty	B	4.0	R	$\sqrt{3}$	1	1	2.3	2.3	$\infty$
18	Liquid conductivity (target)	B	5.0	R	$\sqrt{3}$	0.6 4	0.4 3	1.8	1.2	$\infty$
19	Liquid conductivity (meas.)	A	2.06	N	1 4	0.6 4	0.4 3	1.32	0.89	43
20	Liquid permittivity (target)	B	5.0	R	$\sqrt{3}$	0.6 9	0.4 9	1.7	1.4	$\infty$
21	Liquid permittivity (meas.)	A	1.6	N	1	0.6	0.4 9	1.0	0.8	521

Combined standard uncertainty	$u_c = \sqrt{\sum_{i=1}^{21} c_i^2 u_i^2}$					9.55	9.43	257
Expanded uncertainty (confidence interval of 95 %)	$u_e = 2u_c$					19.1	18.9	

#### 14.2 Measurement Uncertainty for Normal SAR Tests (3~6GHz)

No.	Error Description	Type	Uncertainty value	Probably Distribution	Div.	(Ci) 1g	(ci) 10g	Std. Unc.	Std. Unc. (10g)	Degree of freedom
<b>Measurement system</b>										
1	Probe calibration	B	6.55	N	1	1	1	6.55	6.55	$\infty$
2	Isotropy	B	4.7	R	$\sqrt{3}$	0.7	0.7	1.9	1.9	$\infty$
3	Boundary effect	B	2.0	R	$\sqrt{3}$	1	1	1.2	1.2	$\infty$
4	Linearity	B	4.7	R	$\sqrt{3}$	1	1	2.7	2.7	$\infty$
5	Detection limit	B	1.0	N	1	1	1	0.6	0.6	$\infty$
6	Readout electronics	B	0.3	R	$\sqrt{3}$	1	1	0.3	0.3	$\infty$
7	Response time	B	0.8	R	$\sqrt{3}$	1	1	0.5	0.5	$\infty$
8	Integration time	B	2.6	R	$\sqrt{3}$	1	1	1.5	1.5	$\infty$
9	RF ambient conditions-noise	B	0	R	$\sqrt{3}$	1	1	0	0	$\infty$
10	RF ambient conditions-reflection	B	0	R	$\sqrt{3}$	1	1	0	0	$\infty$
11	Probe positioned mech. restrictions	B	0.8	R	$\sqrt{3}$	1	1	0.5	0.5	$\infty$
12	Probe positioning with respect to phantom shell	B	6.7	R	$\sqrt{3}$	1	1	3.9	3.9	$\infty$
13	Post-processing	B	4.0	R	$\sqrt{3}$	1	1	2.3	2.3	$\infty$
<b>Test sample related</b>										
14	Test sample positioning	A	3.3	N	1	1	1	3.3	3.3	71
15	Device holder uncertainty	A	3.4	N	1	1	1	3.4	3.4	5
16	Drift of output power	B	5.0	R	$\sqrt{3}$	1	1	2.9	2.9	$\infty$
<b>Phantom and set-up</b>										
17	Phantom uncertainty	B	4.0	R	$\sqrt{3}$	1	1	2.3	2.3	$\infty$
18	Liquid conductivity (target)	B	5.0	R	$\sqrt{3}$	0.6	0.4	1.8	1.2	$\infty$

19	Liquid conductivity (meas.)	A	2.06	N	1 4	0.6 3	0.4	1.32	0.89	43
20	Liquid permittivity (target)	B	5.0	R	$\sqrt{3}$	0.6 9	0.4	1.7	1.4	$\infty$
21	Liquid permittivity (meas.)	A	1.6	N	1	0.6 9	0.4	1.0	0.8	521
Combined standard uncertainty		$u_c = \sqrt{\sum_{i=1}^{21} c_i^2 u_i^2}$						10.8	10.7	636
Expanded uncertainty (confidence interval of 95 %)		$u_e = 2u_c$						21.6	21.4	

## 15 MAIN TEST INSTRUMENTS

**Table 15.1: List of Main Instruments**

No.	Name	Type	Serial Number	Calibration Date	Valid Period
01	Network analyzer	E5071C	MY46110673	January 26, 2016	One year
02	Power meter	NRVD	102196	March 03, 2016	One year
03	Power sensor	NRV-Z5	100596		
04	Signal Generator	E4438C	MY49071430	February 01, 2016	One Year
05	Amplifier	60S1G4	0331848	No Calibration Requested	
06	BTS	E5515C	MY50263375	January 30, 2016	One year
07	E-field Probe	SPEAG EX3DV4	3617	August 26, 2015	One year
08	DAE	SPEAG DAE4	777	August 26, 2015	One year
09	Dipole Validation Kit	SPEAG D835V2	4d069	July 20, 2016	One year
10	Dipole Validation Kit	SPEAG D1900V2	5d101	July 28, 2016	One year

\*\*\*END OF REPORT BODY\*\*\*

## ANNEX A Graph Results

### 850 Body Rear Low

Date: 2016-8-12

Electronics: DAE4 Sn777

Medium: Body 850 MHz

Medium parameters used:  $f = 825$  MHz;  $\sigma = 0.951$  mho/m;  $\epsilon_r = 55.611$ ;  $\rho = 1000$  kg/m $^3$

Ambient Temperature: 23.0°C      Liquid Temperature: 22.5°C

Communication System: GSM 850 GPRS Frequency: 824.2 MHz Duty Cycle: 1:2

Probe: EX3DV4 - SN3617 ConvF(9.71, 9.71, 9.71)

**Area Scan (51x51x1):** Interpolated grid:  $dx=1.000$  mm,  $dy=1.000$  mm

Maximum value of SAR (interpolated) = 4.18 W/kg

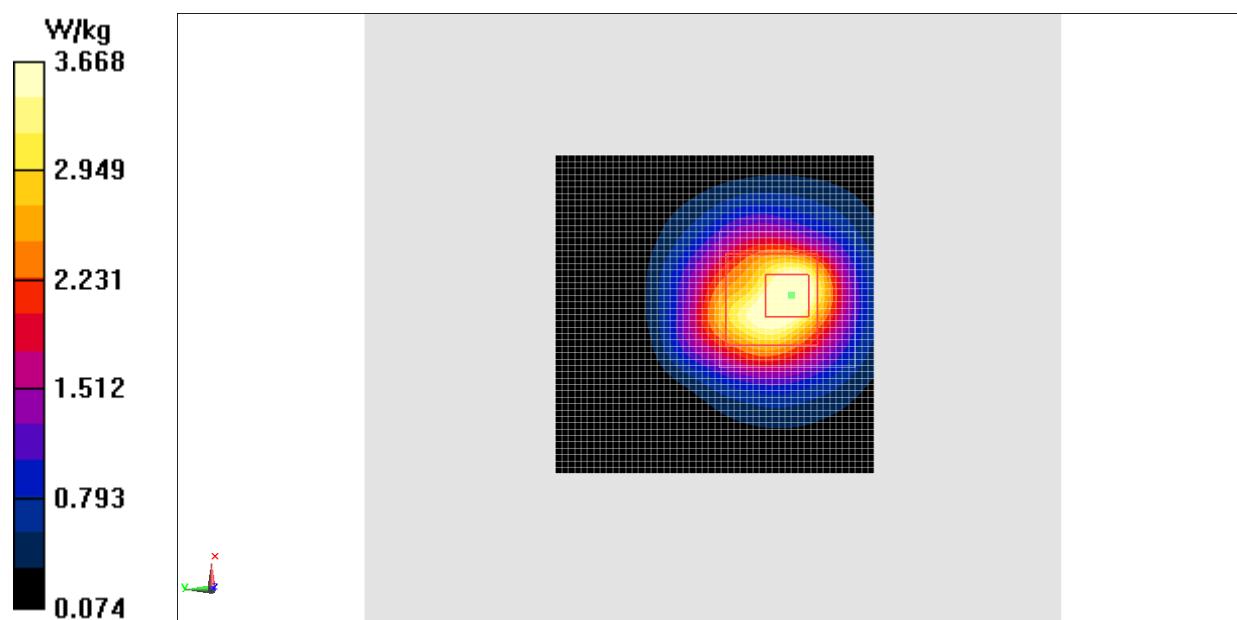
**Zoom Scan (7x7x7)/Cube 0:** Measurement grid:  $dx=5$  mm,  $dy=5$  mm,  $dz=5$  mm

Reference Value = 45.85 V/m; Power Drift = -0.15 dB

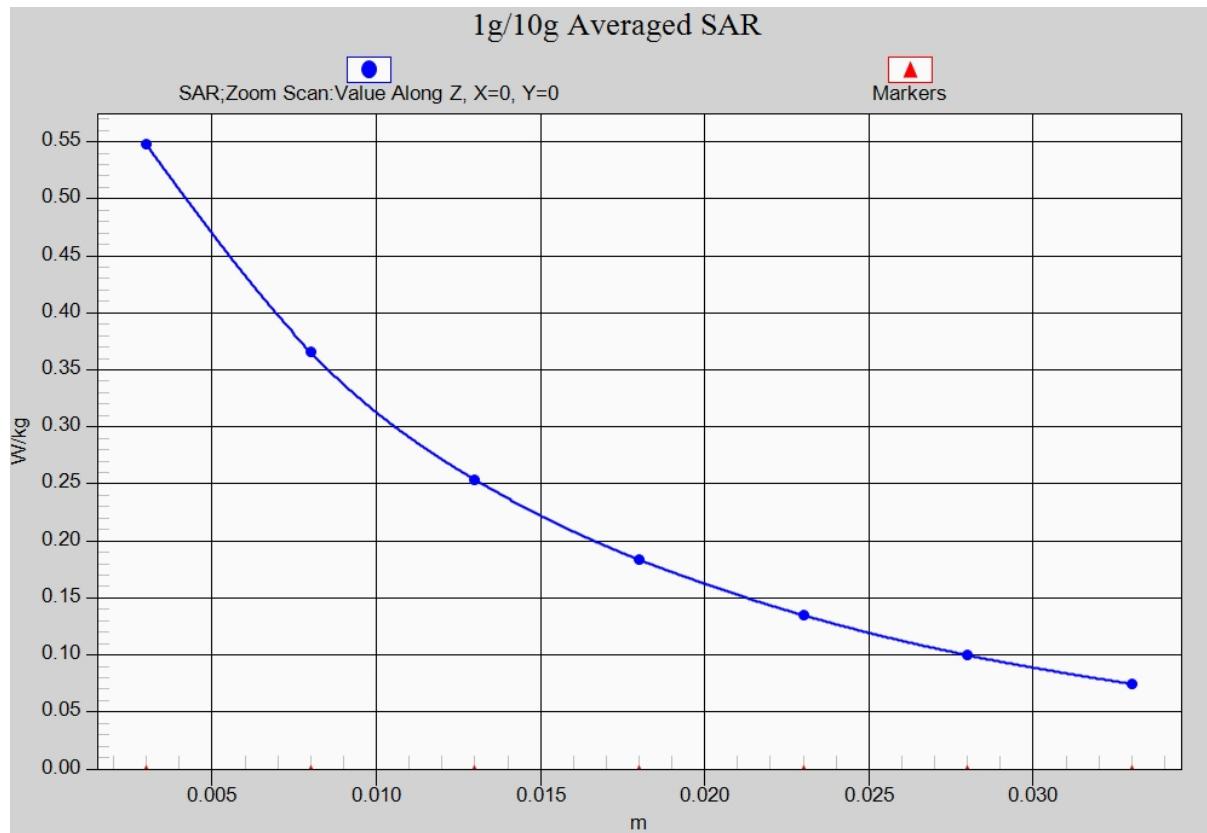
Peak SAR (extrapolated) = 5.99 W/kg

**SAR(1 g) = 3.01 W/kg; SAR(10 g) = 1.69 W/kg**

Maximum value of SAR (measured) = 3.67 W/kg



**Fig.1 850 MHz**



**Fig. 1-1 Z-Scan at power reference point (850 MHz)**

## 1900 Body Rear High

Date: 2016-8-13

Electronics: DAE4 Sn777

Medium: Body 1900 MHz

Medium parameters used:  $f = 1910$  MHz;  $\sigma = 1.475$  mho/m;  $\epsilon_r = 55.089$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Ambient Temperature: 23.0°C      Liquid Temperature: 22.5°C

Communication System: GSM 1900MHz GPRS Frequency: 1909.8 MHz Duty Cycle: 1:2

Probe: EX3DV4 - SN3617 ConvF(7.74, 7.74, 7.74)

**Area Scan (51x51x1):** Interpolated grid:  $dx=1.000$  mm,  $dy=1.000$  mm

Maximum value of SAR (interpolated) = 5.84 W/kg

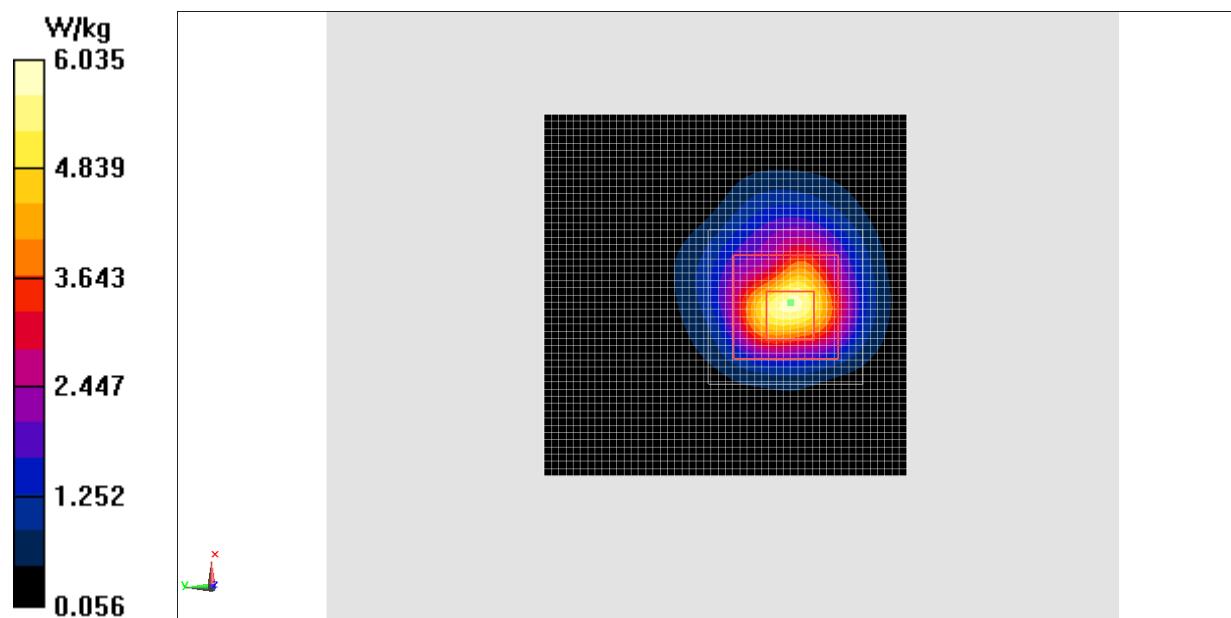
**Zoom Scan (7x7x7)/Cube 0:** Measurement grid:  $dx=5$  mm,  $dy=5$  mm,  $dz=5$  mm

Reference Value = 36.75 V/m; Power Drift = 0.13 dB

Peak SAR (extrapolated) = 9.88 W/kg

**SAR(1 g) = 4.48 W/kg; SAR(10 g) = 1.92 W/kg**

Maximum value of SAR (measured) = 6.03 W/kg



**Fig.2 1900 MHz**

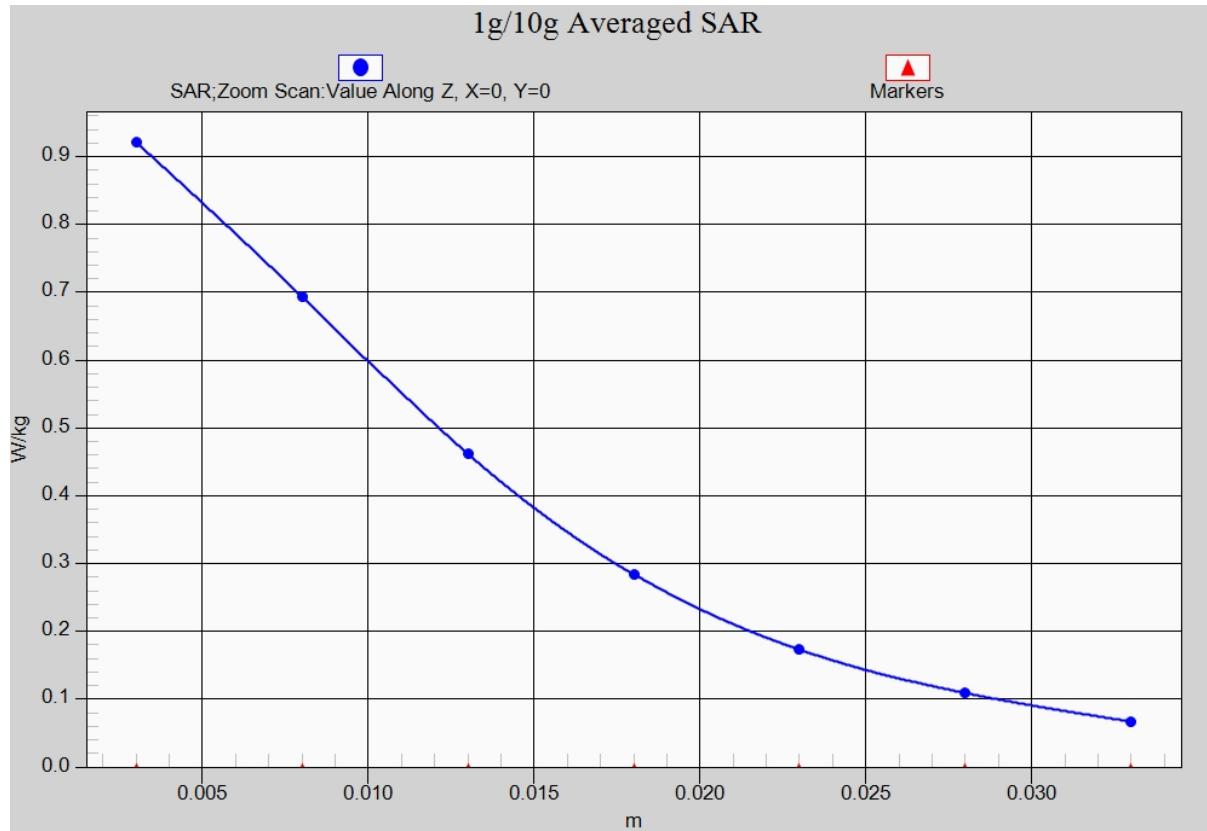


Fig.2-1 Z-Scan at power reference point (1900 MHz)

## ANNEX B System Verification Results

### 835MHz

Date: 2016-8-12

Electronics: DAE4 Sn777

Medium: Body 850 MHz

Medium parameters used:  $f = 835$  MHz;  $\sigma = 0.96$  S/m;  $\epsilon_r = 55.51$ ;  $\rho = 1000$  kg/m $^3$

Ambient Temperature: 23.0°C      Liquid Temperature: 22.5°C

Communication System: CW Frequency: 835 MHz Duty Cycle: 1:1

Probe: EX3DV4 – SN3617 ConvF(9.71, 9.71, 9.71)

**System Validation /Area Scan (81x171x1):** Interpolated grid: dx=1.000 mm, dy=1.000 mm  
Maximum value of SAR (interpolated) = 2.92 W/kg

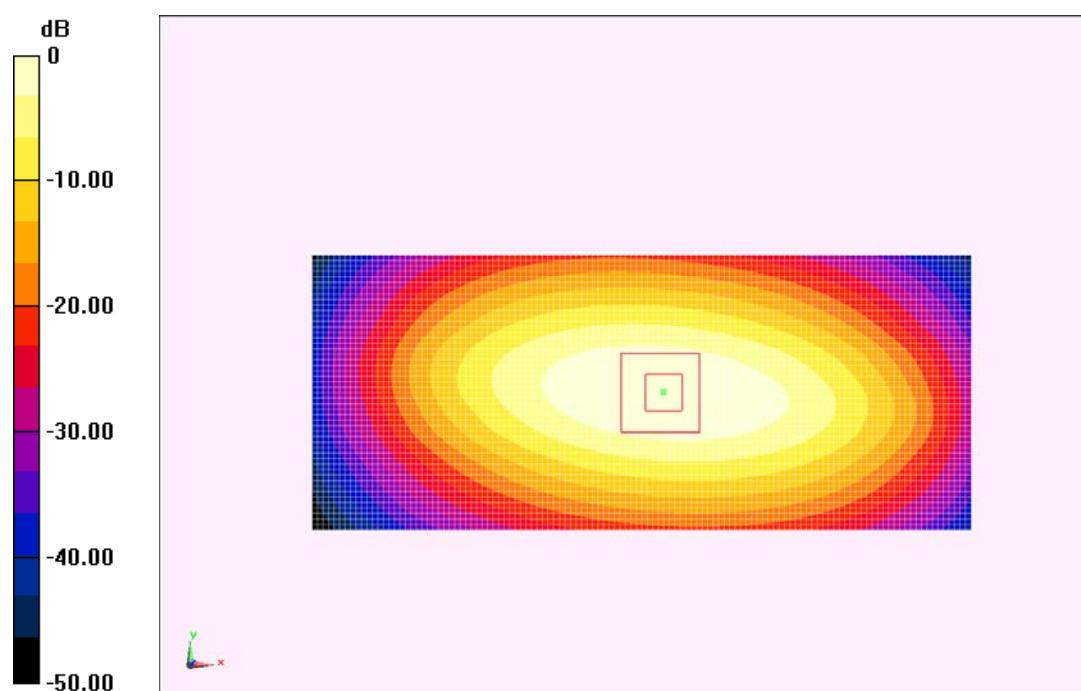
**System Validation /Zoom Scan (7x7x7)/Cube 0:** Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 55.37 V/m; Power Drift = 0.04 dB

Peak SAR (extrapolated) = 3.57 W/kg

**SAR(1 g) = 2.38 W/kg; SAR(10 g) = 1.57 W/kg**

Maximum value of SAR (measured) = 2.97 W/kg



$$0 \text{ dB} = 2.97 \text{ W/kg} = 4.73 \text{ dBW/kg}$$

**Fig.B.1 validation 835MHz 250mW**

## 1900MHz

Date: 2016-8-13

Electronics: DAE4 Sn777

Medium: Body 1900 MHz

Medium parameters used:  $f = 1900$  MHz;  $\sigma = 1.467$  S/m;  $\epsilon_r = 55.1$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Ambient Temperature: 23.0°C      Liquid Temperature: 22.5°C

Communication System: CW Frequency: 1900 MHz Duty Cycle: 1:1

Probe: EX3DV4 – SN3617 ConvF(7.74, 7.74, 7.74)

**System validation /Area Scan (81x121x1):** Interpolated grid: dx=1.000 mm, dy=1.000 mm  
Maximum value of SAR (interpolated) = 13.4 W/kg

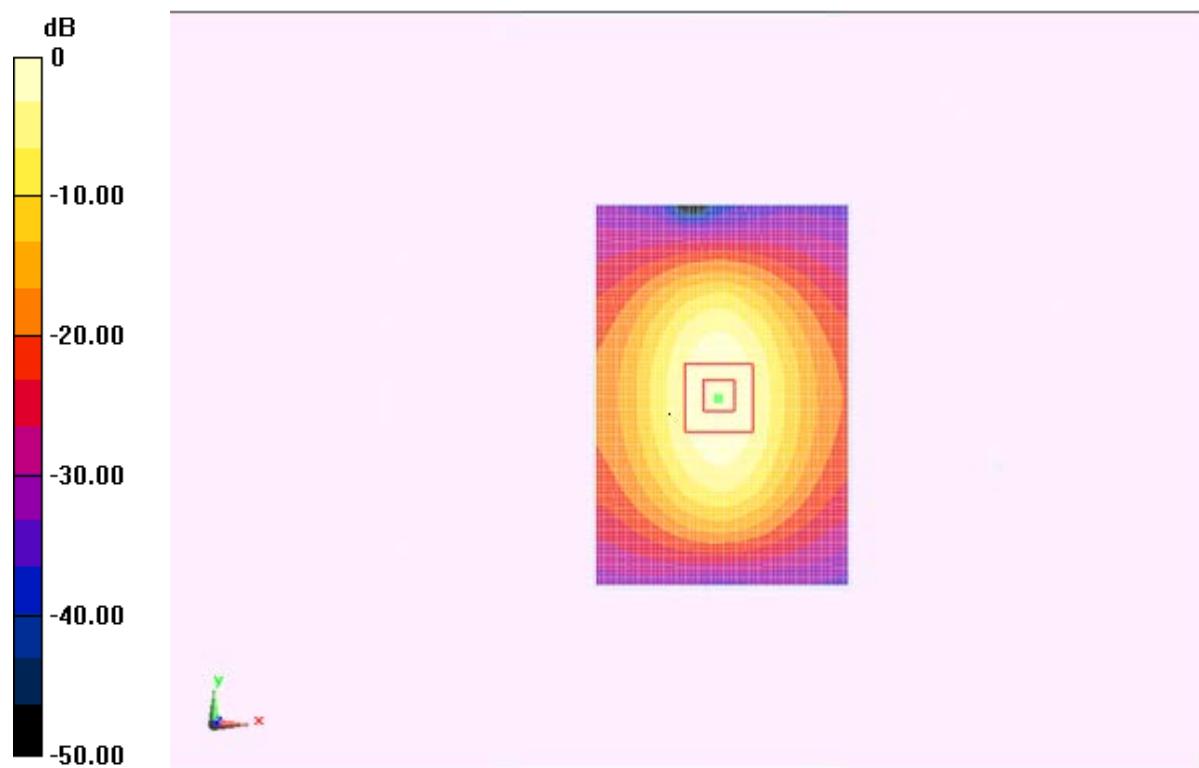
**System validation /Zoom Scan (7x7x7)/Cube 0:** Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 71.874 V/m; Power Drift = -0.05 dB

Peak SAR (extrapolated) = 19.11 W/kg

**SAR(1 g) = 10.2 W/kg; SAR(10 g) = 5.41 W/kg**

Maximum value of SAR (measured) = 13.2 W/kg



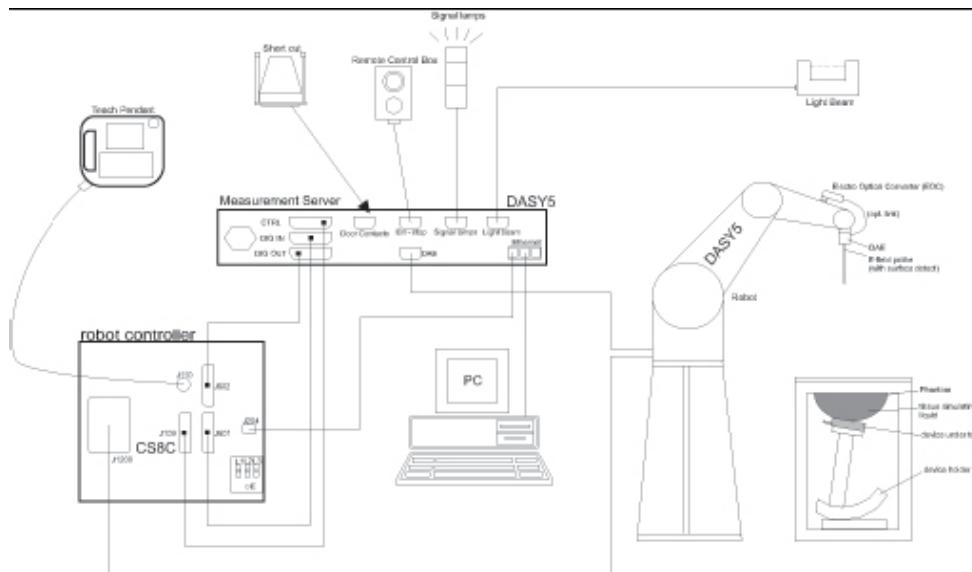
$$0 \text{ dB} = 13.2 \text{ W/kg} = 11.21 \text{ dBW/kg}$$

**Fig.B.2 validation 1900MHz 250mW**

## ANNEX C SAR Measurement Setup

### C.1 Measurement Set-up

The Dasy4 or DASY5 system for performing compliance tests is illustrated above graphically. This system consists of the following items:



Picture C.1SAR Lab Test Measurement Set-up

- A standard high precision 6-axis robot (StäubliTX=RX family) with controller, teach pendant and software. An arm extension for accommodating the data acquisition electronics (DAE).
- An isotropic field probe optimized and calibrated for the targeted measurement.
- A data acquisition electronics (DAE) which performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. The unit is battery powered with standard or rechargeable batteries. The signal is optically transmitted to the EOC.
- The Electro-optical converter (EOC) performs the conversion from optical to electrical signals for the digital communication to the DAE. To use optical surface detection, a special version of the EOC is required. The EOC signal is transmitted to the measurement server.
- The function of the measurement server is to perform the time critical tasks such as signal filtering, control of the robot operation and fast movement interrupts.
- The Light Beam used is for probe alignment. This improves the (absolute) accuracy of the probe positioning.
- A computer running WinXP and the DASY4 or DASY5 software.
- Remote control and teach pendant as well as additional circuitry for robot safety such as warning lamps, etc.
- The phantom, the device holder and other accessories according to the targeted measurement.

## C.2 Dasy4 or DASY5 E-field Probe System

The SAR measurements were conducted with the dosimetric probe designed in the classical triangular configuration and optimized for dosimetric evaluation. The probe is constructed using the thick film technique; with printed resistive lines on ceramic substrates. The probe is equipped with an optical multifiber line ending at the front of the probe tip. It is connected to the EOC box on the robot arm and provides an automatic detection of the phantom surface. Half of the fibers are connected to a pulsed infrared transmitter, the other half to a synchronized receiver. As the probe approaches the surface, the reflection from the surface produces a coupling from the transmitting to the receiving fibers. This reflection increases first during the approach, reaches maximum and then decreases. If the probe is flatly touching the surface, the coupling is zero. The distance of the coupling maximum to the surface is independent of the surface reflectivity and largely independent of the surface to probe angle. The DASY4 or DASY5 software reads the reflection during a software approach and looks for the maximum using 2<sup>nd</sup> ord curve fitting. The approach is stopped at reaching the maximum.

### Probe Specifications:

Model:	ES3DV3, EX3DV4
Frequency	10MHz — 6.0GHz(EX3DV4)
Range:	10MHz — 4GHz(ES3DV3)
Calibration:	In head and body simulating tissue at Frequencies from 835 up to 5800MHz
Linearity:	± 0.2dB(30 MHz to 6 GHz) for EX3DV4 ± 0.2dB(30 MHz to 4 GHz) for ES3DV3
Dynamic Range:	10 mW/kg — 100W/kg
Probe Length:	330 mm
Probe Tip	
Length:	20 mm
Body Diameter:	12 mm
Tip Diameter:	2.5 mm (3.9 mm for ES3DV3)
Tip-Center:	1 mm (2.0mm for ES3DV3)
Application:	SAR Dosimetry Testing Compliance tests of mobile phones Dosimetry in strong gradient fields



Picture C.2 Near-field Probe



Picture C.3 E-field Probe

## C.3 E-field Probe Calibration

Each E-Probe/Probe Amplifier combination has unique calibration parameters. A TEM cell calibration procedure is conducted to determine the proper amplifier settings to enter in the probe parameters. The amplifier settings are determined for a given frequency by subjecting the probe to a known E-field density (1 mW/cm<sup>2</sup>) using an RF Signal generator, TEM cell, and RF Power Meter.

The free space E-field from amplified probe outputs is determined in a test chamber. This calibration can be performed in a TEM cell if the frequency is below 1 GHz and in a waveguide or other methodologies above 1 GHz for free space. For the free space calibration, the probe is placed

in the volumetric center of the cavity and at the proper orientation with the field. The probe is then rotated 360 degrees until the three channels show the maximum reading. The power density readings equates to 1 mW/cm<sup>2</sup>.

E-field temperature correlation calibration is performed in a flat phantom filled with the appropriate simulated brain tissue. The E-field in the medium correlates with the temperature rise in the dielectric medium. For temperature correlation calibration a RF transparent thermistor-based temperature probe is used in conjunction with the E-field probe.

$$SAR = C \frac{\Delta T}{\Delta t}$$

Where:

$\Delta t$  = Exposure time (30 seconds),

C = Heat capacity of tissue (brain or muscle),

$\Delta T$  = Temperature increase due to RF exposure.

$$SAR = \frac{|E|^2 \cdot \sigma}{\rho}$$

Where:

$\sigma$  = Simulated tissue conductivity,

$\rho$  = Tissue density (kg/m<sup>3</sup>).

## C.4 Other Test Equipment

### C.4.1 Data Acquisition Electronics(DAE)

The data acquisition electronics consist of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder with a control logic unit. Transmission to the measurement server is accomplished through an optical downlink for data and status information, as well as an optical uplink for commands and the clock.

The mechanical probe mounting device includes two different sensor systems for frontal and sideways probe contacts. They are used for mechanical surface detection and probe collision detection.

The input impedance of the DAE is 200 M $\Omega$ ; the inputs are symmetrical and floating. Common mode rejection is above 80 dB.



**PictureC.4: DAE**

#### C.4.2 Robot

The SPEAG DASY system uses the high precision robots (DASY4: RX90XL; DASY5: RX160L) type from Stäubli SA (France). For the 6-axis controller system, the robot controller version from Stäubli is used. The Stäubli robot series have many features that are important for our application:

- High precision (repeatability 0.02mm)
- High reliability (industrial design)
- Low maintenance costs (virtually maintenance free due to direct drive gears; no belt drives)
- Jerk-free straight movements (brushless synchron motors; no stepper motors)
- Low ELF interference (motor control fields shielded via the closed metallic construction shields)



Picture C.5 DASY 4



Picture C.6 DASY 5

#### C.4.3 Measurement Server

The Measurement server is based on a PC/104 CPU broad with CPU (dasy4: 166 MHz, Intel Pentium; DASY5: 400 MHz, Intel Celeron), chip disk (DASY4: 32 MB; DASY5: 128MB), RAM (DASY4: 64 MB, DASY5: 128MB). The necessary circuits for communication with the DAE electronic box, as well as the 16 bit AD converter system for optical detection and digital I/O interface are contained on the DASY I/O broad, which is directly connected to the PC/104 bus of the CPU broad.

The measurement server performs all real-time data evaluation of field measurements and surface detection, controls robot movements and handles safety operation. The PC operating system cannot interfere with these time critical processes. All connections are supervised by a watchdog, and disconnection of any of the cables to the measurement server will automatically disarm the robot and disable all program-controlled robot movements. Furthermore, the measurement server is equipped with an expansion port which is reserved for future applications. Please note that this expansion port does not have a standardized pin out, and therefore only devices provided by SPEAG can be connected. Devices from any other supplier could seriously damage the measurement server.