SAR TEST REPORT

No. I16Z40480-SEM01

For

TCL Communication Ltd.

GSM Quad-band/UMTS Tri-band / LTE Tri-band mobile phone

Model Name: 5056N, 5056W

With

Hardware Version: 02

Software Version: VUB5M

FCC ID: 2ACCJB062

Issued Date: 2016-05-26

Note:

The test results in this test report relate only to the devices specified in this report. This report shall not be reproduced except in full without the written approval of CTTL.

Test Laboratory:

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REPORT HISTORY

Report Number	Revision	Issue Date	Description
I16Z40480-SEM01	Rev.0	2016-05-13	Initial creation of test report
I16Z40480-SEM01	Rev.1	2016-05-26	Add the description of hotspot mode in section 11

TABLE OF CONTENT

1 Test Laboratory

1.1 Testing Location

Company Name:	CTTL(Shouxiang)
Address:	No. 51 Shouxiang Science Building, Xueyuan Road, Haidian District, Beijing, P. R. China100191

1.2 Testing Environment

Temperature:	18°C~25°C,
Relative humidity:	30%~ 70%
Ground system resistance:	< 0.5 Ω
Ambient noise & Reflection:	< 0.012 W/kg

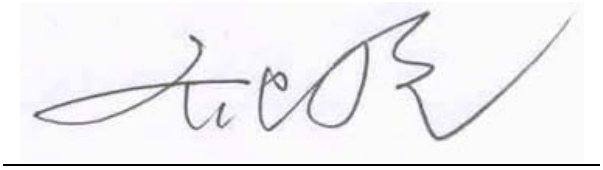
1.3 Project Data

Project Leader:	Qi Dianyuan
Test Engineer:	Lin Xiaojun
Testing Start Date:	May 03, 2016
Testing End Date:	May 07, 2016

1.4 Signature

Lin Xiaojun

(Prepared this test report)



Qi Dianyuan

(Reviewed this test report)

Xiao Li

Deputy Director of the laboratory

(Approved this test report)

2 Statement of Compliance

The maximum results of SAR found during testing for TCL Communication Ltd. GSM Quad-band/UMTS Tri-band / LTE Tri-band mobile phone 5056N, 5056W are as follows:

Table 2.1: Highest Reported SAR (1g)

Exposure Configuration	Technology Band	Highest Reported SAR 1g(W/Kg)	Equipment Class
Head (Separation Distance 0mm)	GSM 850	0.22	PCE
	PCS 1900	0.28	
	UMTS FDD 5	0.20	
	UMTS FDD 4	0.30	
	UMTS FDD 2	0.39	
	LTE Band 2	0.25	
	LTE Band 4	0.34	
	LTE Band 12	0.36	
	WLAN 2.4 GHz	0.62	DTS
Body-Hotspot (Data) (Separation Distance 10mm)	GSM 850	0.35	PCE
	PCS 1900	0.65	
	UMTS FDD 5	0.38	
	UMTS FDD 4	1.15	
	UMTS FDD 2	1.00	
	LTE Band 2	1.02	
	LTE Band 4	0.81	
	LTE Band 12	0.63	
	WLAN 2.4 GHz	0.19	DTS
Body-worn (Data) (Separation Distance 15mm)	UMTS FDD 4	0.62	PCE
	UMTS FDD 2	0.57	
	LTE Band 2	0.57	
	LTE Band 4	0.63	

The SAR values found for the Mobile Phone are below the maximum recommended levels of 1.6 W/Kg as averaged over any 1g tissue according to the ANSI C95.1-1999.
For body worn operation, this device has been tested and meets FCC RF exposure guidelines when used with any accessory that contains no metal and which provides a minimum separation distance of 10 mm or 15mm between this device and the body of the user. Use of other accessories may not ensure compliance with FCC RF exposure guidelines.
The EUT battery must be fully charged and checked periodically during the test to ascertain uniform power output.
The measurement together with the test system set-up is described in annex C of this test report. A detailed description of the equipment under test can be found in chapter 4 of this test report.
The highest reported SAR value is obtained at the case of **(Table 2.1),** and the values are**: 1.15 W/kg(1g).**

Table 2.2: The sum of reported SAR values for main antenna and WiFi

	Position	Main antenna	WiFi	Sum
Maximum reported SAR value for Head	Left hand, Touch cheek	0.31	0.62	**0.93**
	Right hand, Touch cheek	0.39	0.29	**0.68**
Maximum reported SAR value for Body	Rear	0.94	0.19	**1.13**
	Bottom	1.15	/	**1.15**

Table 2.3: The sum of reported SAR values for main antenna and BT

	Position	Main antenna	BT	Sum
Maximum reported SAR value for Head	Right hand, Touch cheek	0.39	0.33 [1]	**0.72**
Maximum reported SAR value for Body	Rear	0.94	0.17 [1]	**1.11**

[1] - Estimated SAR for Bluetooth (see the table 13.3)

According to the above tables, the highest sum of reported SAR values is **1.15 W/kg (1g)**. The detail for simultaneous transmission consideration is described in chapter 13.

3 Client Information

3.1 Applicant Information

Company Name:	TCL Communication Ltd.
Address /Post:	5F, C building, No. 232, Liang Jing Road ZhangJiang High-Tech Park, Pudong Area Shanghai, P.R. China. 201203
City:	Shanghai
Postal Code:	201203
Country:	P.R.China
Contact:	Gong Zhizhou
Email:	zhizhou.gong@tcl.com
Telephone:	0086-21- 31363544
Fax:	0086-21- 61460602

3.2 Manufacturer Information

Company Name:	TCL Communication Ltd.
Address /Post:	5F, C building, No. 232, Liang Jing Road ZhangJiang High-Tech Park, Pudong Area Shanghai, P.R. China. 201203
City:	Shanghai
Postal Code:	201203
Country:	P.R.China
Contact:	Gong Zhizhou
Email:	zhizhou.gong@tcl.com
Telephone:	0086-21- 31363544
Fax:	0086-21- 61460602

4 Equipment Under Test (EUT) and Ancillary Equipment (AE)

4.1 About EUT

Description:	GSM Quad-band/UMTS Tri-band / LTE Tri-band mobile phone
Model name:	5056N, 5056W
Operating mode(s):	GSM 850/900/1800/1900, WCDMA 850/1700/1900 BT, Wi-Fi, LTE Band 2/4/12
Tested Tx Frequency:	825 – 848.8 MHz (GSM 850)
	1850.2 – 1910 MHz (GSM 1900)
	826.4–846.6 MHz (WCDMA850 Band V)
	1712.4 – 1752.6 MHz (WCDMA 1700 Band IV)
	1852.4–1907.6 MHz (WCDMA1900 Band II)
	1860 – 1900 MHz (LTE Band 2)
	1720 – 1745 MHz (LTE Band 4)
	699.7 – 715.3 MHz (LTE Band 12)
	2412 – 2462 MHz (Wi-Fi 2.4G)
GPRS/EGPRS Multislot Class:	33
GPRS capability Class:	B
Test device Production information:	Production unit
Device type:	Portable device
Antenna type:	Integrated antenna
Accessories/Body-worn configurations:	Headset
Hotspot mode:	Support

4.2 Internal Identification of EUT used during the test

EUT ID*	IMEI	HW	SW Version
EUT1	014650000100574	02	VUB5M
EUT2	014650000100582	02	VUB5M
EUT3	014650000100640	02	VUB5M

*EUT ID: is used to identify the test sample in the lab internally.

Note: It is performed to test SAR with the EUT1&2 and conducted power with the EUT3.

4.3 Internal Identification of AE used during the test

AE ID*	Description	Model	SN	Manufacturer
AE1	Battery	CAC2500037C2	/	SCUD

*AE ID: is used to identify the test sample in the lab internally.

5 TEST METHODOLOGY

5.1 Applicable Limit Regulations

ANSI C95.1–1992: IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz.

It specifies the maximum exposure limit of **1.6 W/kg** as averaged over any 1 gram of tissue for portable devices being used within 20 cm of the user in the uncontrolled environment.

5.2 Applicable Measurement Standards

IEEE 1528–2013: Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques.

KDB447498 D01: General RF Exposure Guidance v06: Mobile and Portable Devices RF Exposure Procedures and Equipment Authorization Policies.

KDB648474 D04 Handset SAR v01r03: SAR Evaluation Considerations for Wireless Handsets.

KDB941225 D01 SAR test for 3G devices v03r01: SAR Measurement Procedures for 3G Devices

KDB941225 D05 SAR for LTE Devices v02r05: SAR Evaluation Considerations for LTE Devices

KDB941225 D06 Hotspot Mode SAR v02r01: SAR Evaluation Procedures for Portable Devices with Wireless Router Capabilities

KDB248227 D01 802.11 Wi-Fi SAR v02r02: SAR GUIDANCE FOR IEEE 802.11 (Wi-Fi) TRANSMITTERS

KDB865664 D01SAR measurement 100 MHz to 6 GHz v01r04: SAR Measurement Requirements for 100 MHz to 6 GHz.

KDB865664 D02 RF Exposure Reporting v01r02: RF Exposure Compliance Reporting and Documentation Considerations

6 Specific Absorption Rate (SAR)

6.1 Introduction

SAR is related to the rate at which energy is absorbed per unit mass in an object exposed to a radio field. The SAR distribution in a biological body is complicated and is usually carried out by experimental techniques or numerical modeling. The standard recommends limits for two tiers of groups, occupational/controlled and general population/uncontrolled, based on a person's awareness and ability to exercise control over his or her exposure. In general, occupational/controlled exposure limits are higher than the limits for general population/uncontrolled.

6.2 SAR Definition

The SAR definition is the time derivative (rate) of the incremental energy (dW) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dv) of a given density (ρ) . The equation description is as below:

$$SAR = \frac{d}{dt}\left(\frac{dW}{dm}\right) = \frac{d}{dt}\left(\frac{dW}{\rho dv}\right)$$

SAR is expressed in units of Watts per kilogram (W/kg)
SAR measurement can be either related to the temperature elevation in tissue by

$$SAR = c\left(\frac{\delta T}{\delta t}\right)$$

Where: C is the specific head capacity, δT is the temperature rise and δt is the exposure duration, or related to the electrical field in the tissue by

$$SAR = \frac{\sigma |E|^2}{\rho}$$

Where: σ is the conductivity of the tissue, ρ is the mass density of tissue and E is the RMS electrical field strength.

However for evaluating SAR of low power transmitter, electrical field measurement is typically applied.

7 Tissue Simulating Liquids

7.1 Targets for tissue simulating liquid

Table 7.1: Targets for tissue simulating liquid

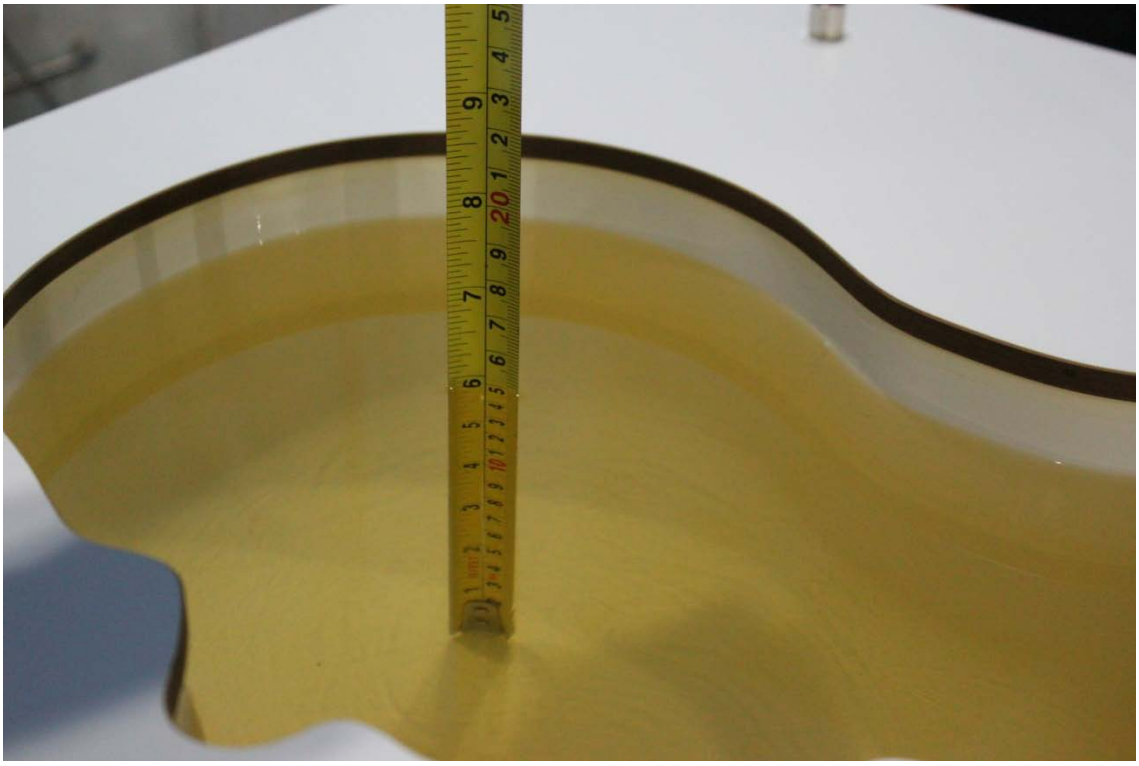
Frequency(MHz)	Liquid Type	Conductivity(σ)	± 5% Range	Permittivity(ε)	± 5% Range
750	Head	0.89	0.85～0.93	41.94	39.8～44.0
750	Body	0.96	0.91～1.01	55.5	52.7～58.3
835	Head	0.90	0.86～0.95	41.5	39.4～43.6
835	Body	0.97	0.92～1.02	55.2	52.4～58.0
1750	Head	1.37	1.30～1.44	40.08	38.1～42.1
1750	Body	1.49	1.42～1.56	53.4	50.7～56.1
1900	Head	1.40	1.33～1.47	40.0	38.0～42.0
1900	Body	1.52	1.44～1.60	53.3	50.6～56.0
2450	Head	1.80	1.71～1.89	39.2	37.2～41.2
2450	Body	1.95	1.85～2.05	52.7	50.1～55.3

7.2 Dielectric Performance

Table 7.2: Dielectric Performance of Tissue Simulating Liquid

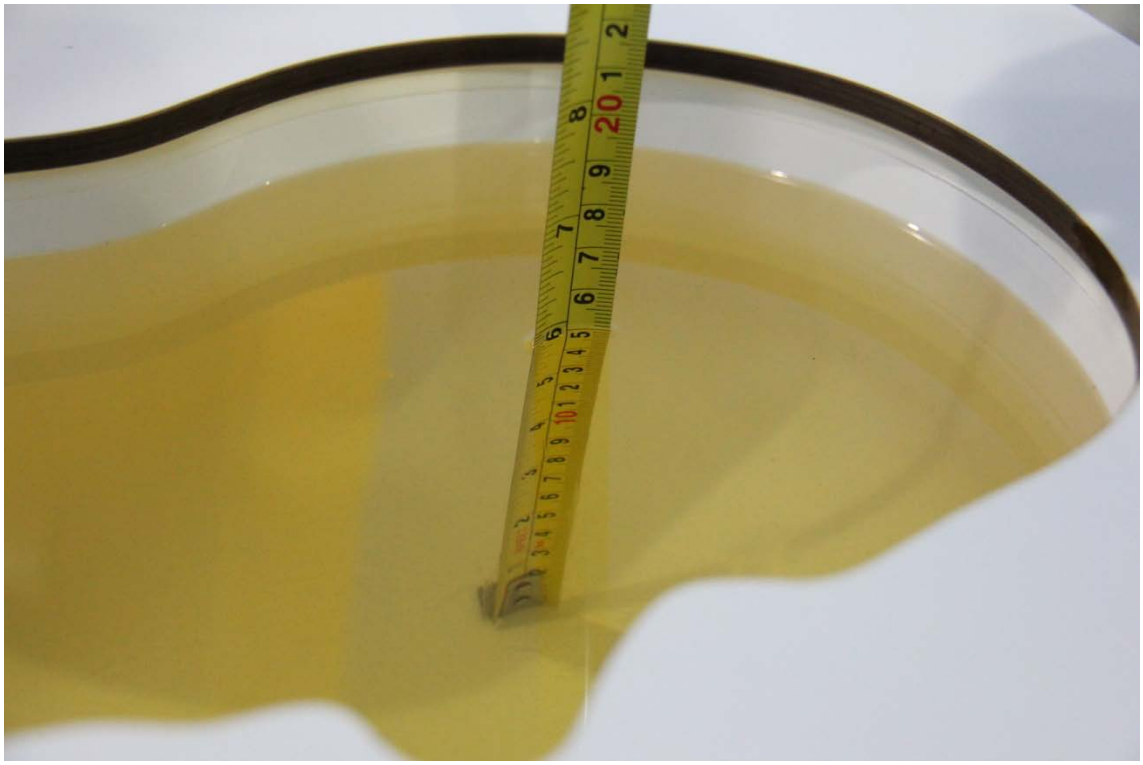
Measurement Date (yyyy-mm-dd)	Type	Frequency	Permittivity ε	Drift (%)	Conductivity σ (S/m)	Drift (%)
2016-05-03	Head	750 MHz	43.08	2.72	0.912	2.47
	Body	750 MHz	56.98	2.67	0.946	-1.46
2016-05-04	Head	835 MHz	41.22	-0.67	0.923	2.56
	Body	835 MHz	56.33	2.05	0.982	1.24
2016-05-05	Head	1750 MHz	39.65	-1.07	1.351	-1.39
	Body	1750 MHz	52.78	-1.16	1.481	-0.60
2016-05-06	Head	1900 MHz	39.88	-0.30	1.436	2.57
	Body	1900 MHz	54.15	1.59	1.573	3.49
2016-05-07	Head	2450 MHz	38.55	-1.66	1.834	1.89
	Body	2450 MHz	51.68	-1.94	1.982	1.64

Note: The liquid temperature is 22.0°C

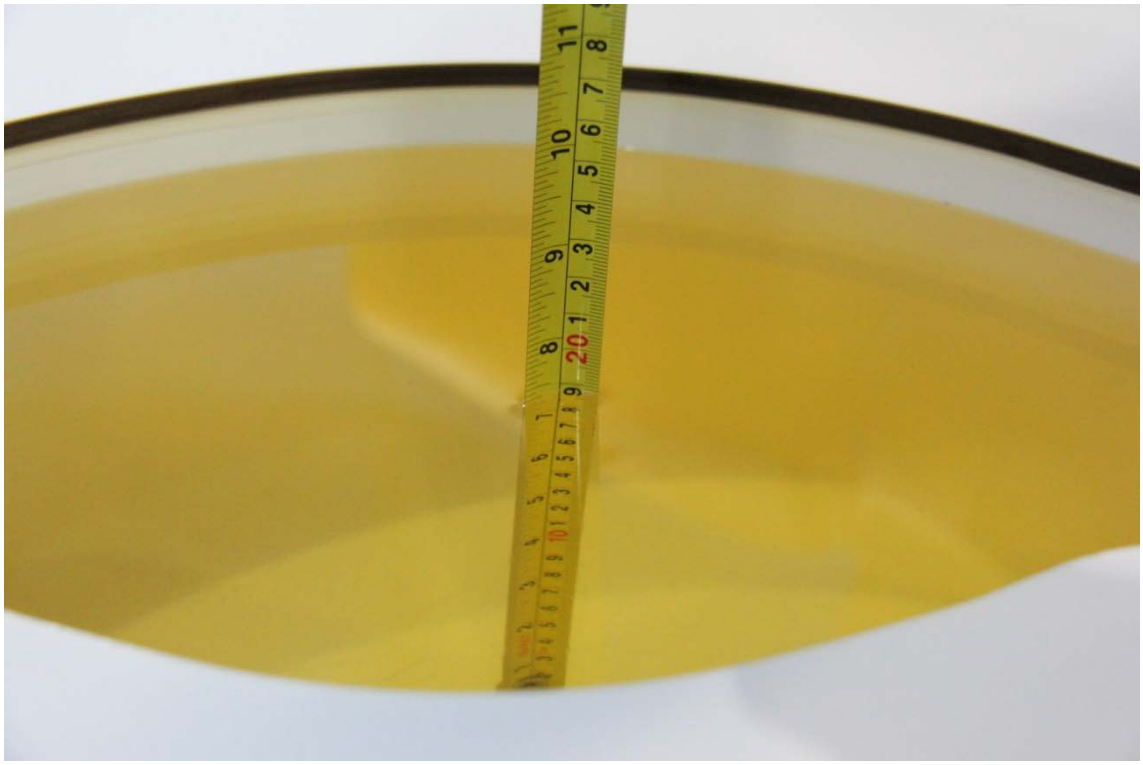


Picture 7-1: Liquid depth in the Head Phantom (750 MHz)

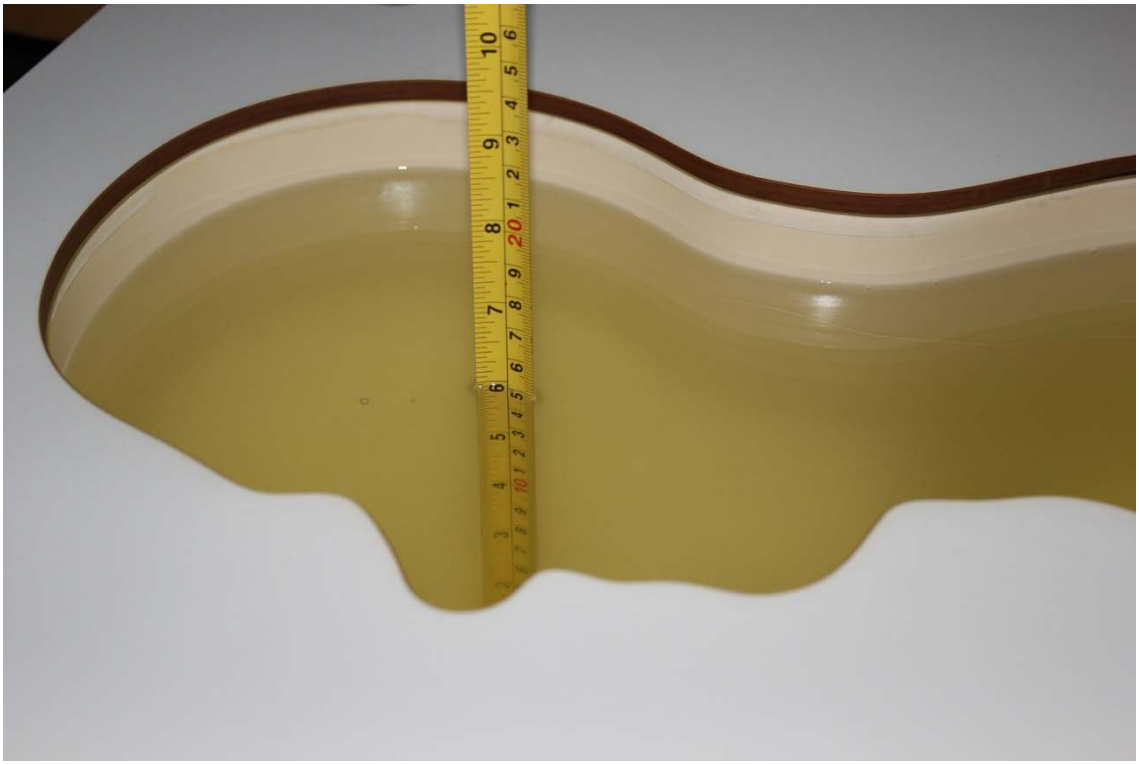
Picture 7-2: Liquid depth in the Flat Phantom (750 MHz)



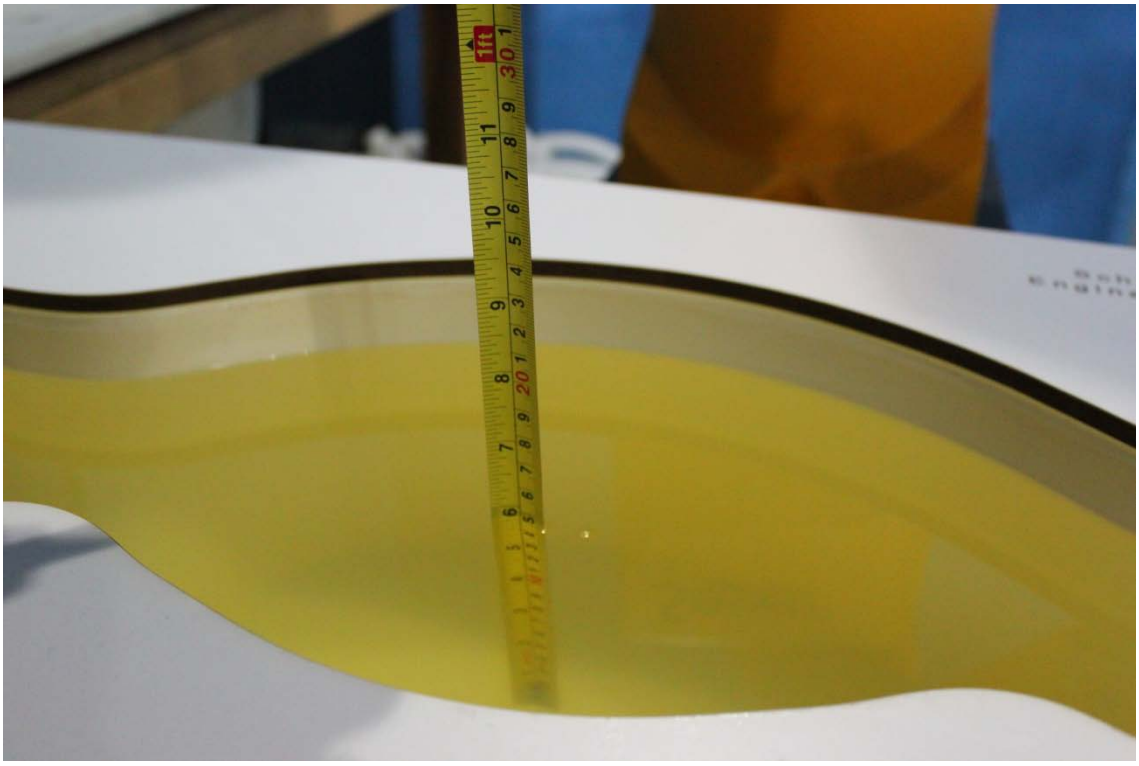
Picture 7-3 Liquid depth in the Head Phantom (835MHz)



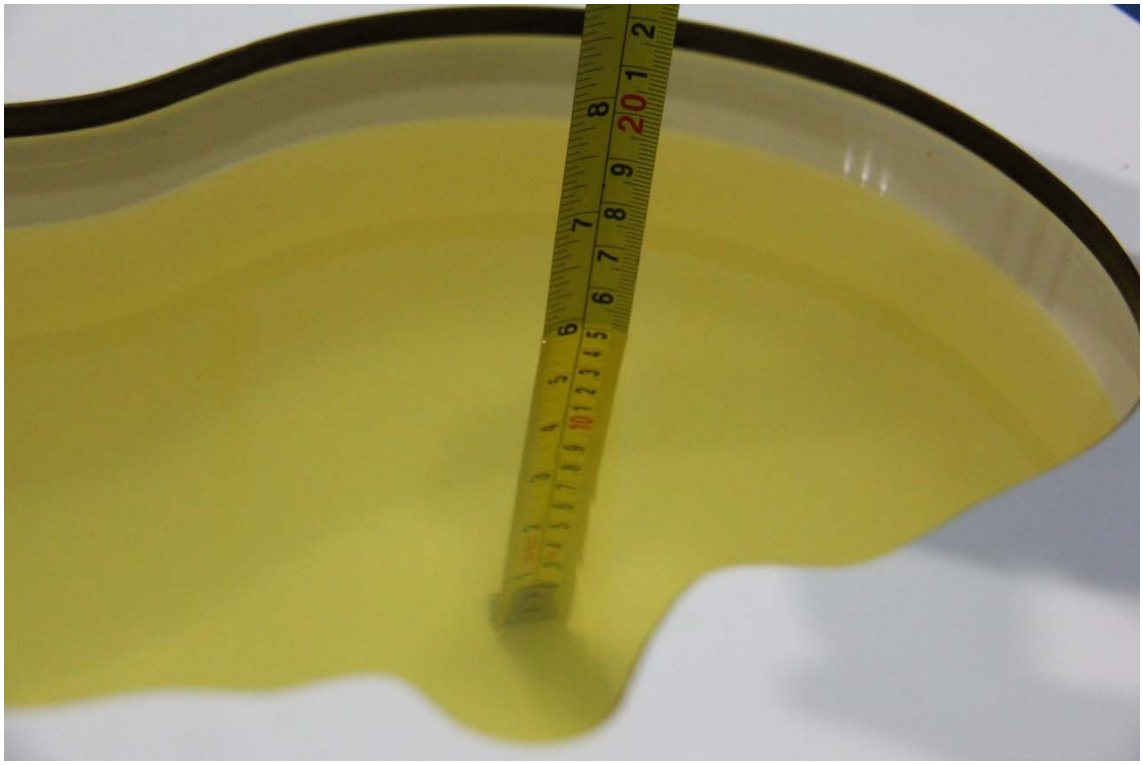
Picture 7-4Liquid depth in the Flat Phantom (835MHz)



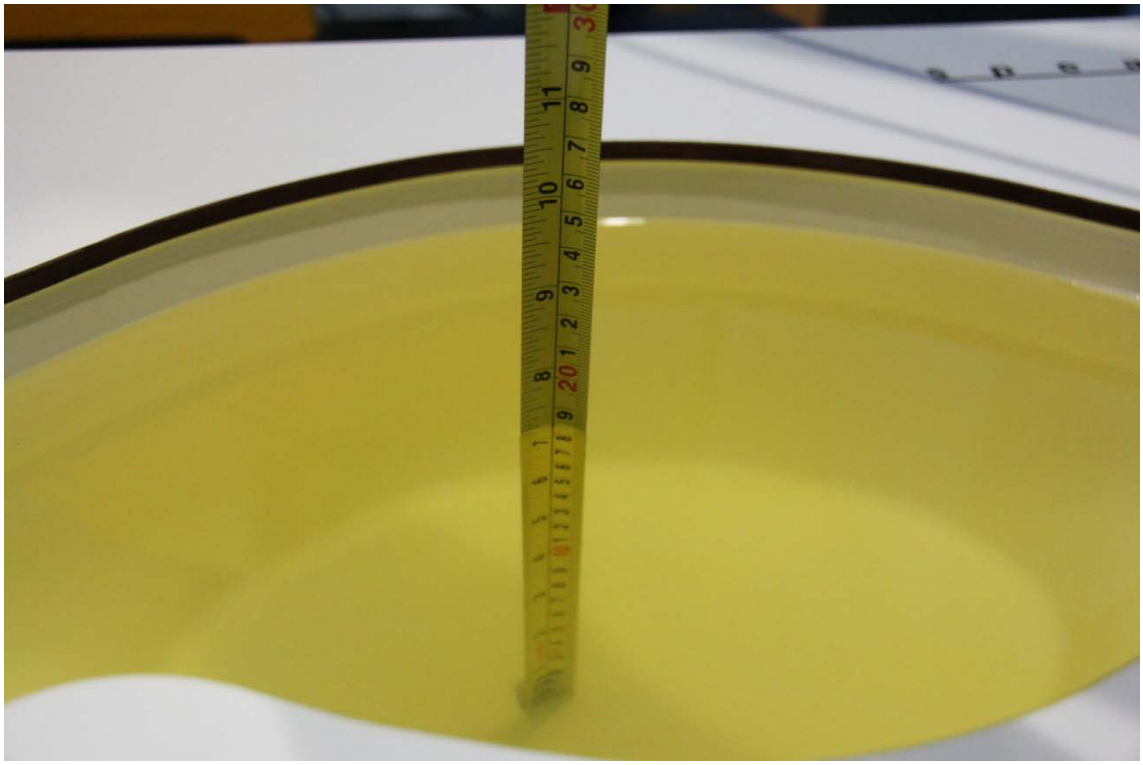
Picture 7-5 Liquid depth in the Head Phantom (1750 MHz)



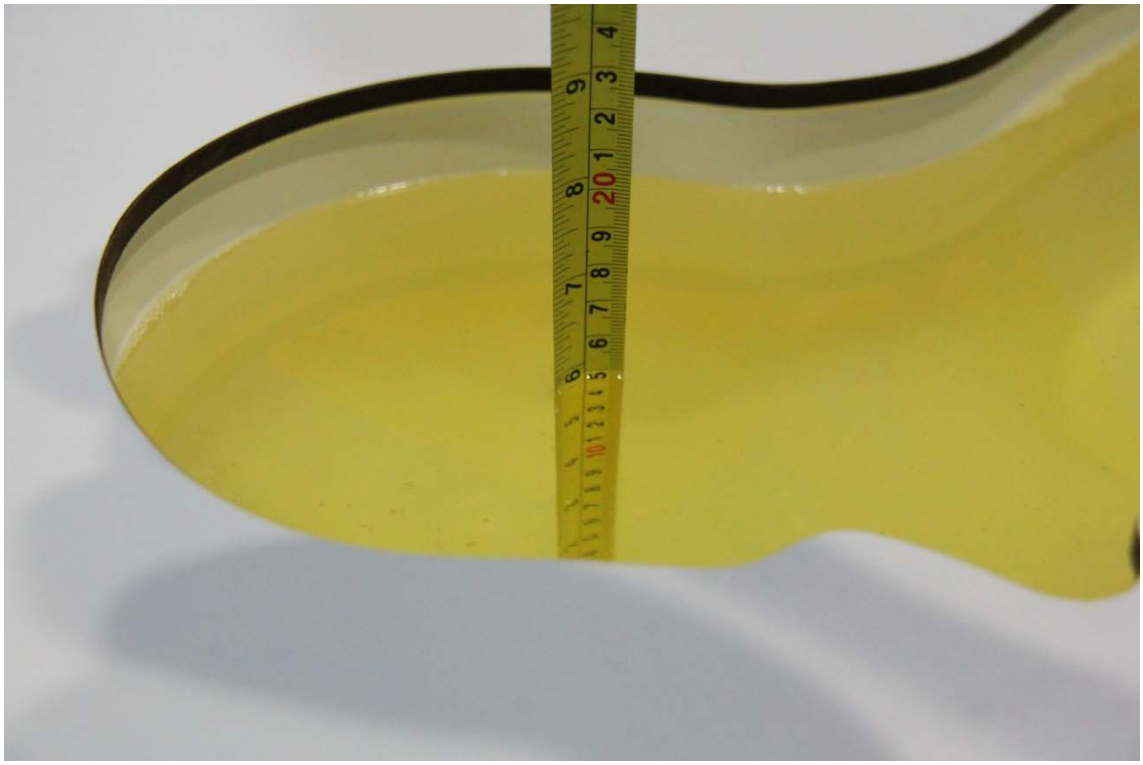
Picture 7-6Liquid depth in the Flat Phantom (1750MHz)



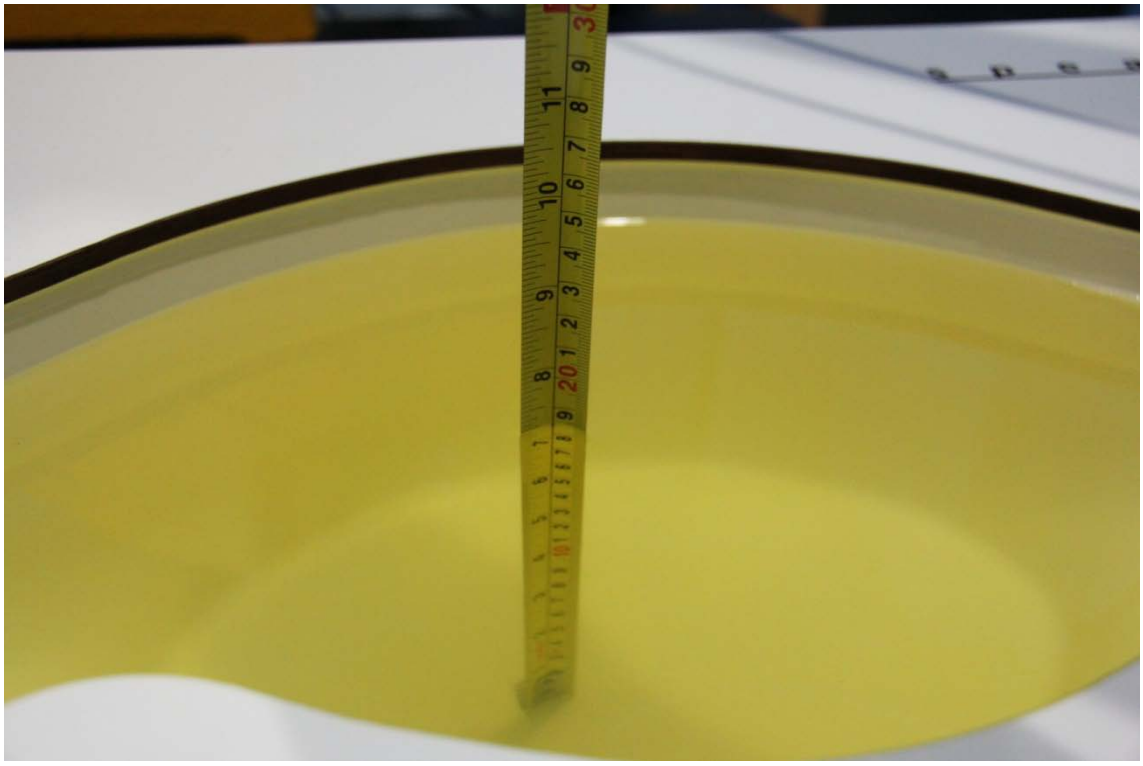
Picture 7-7 Liquid depth in the Head Phantom (1900 MHz)



Picture 7-8 Liquid depth in the Flat Phantom (1900MHz)



Picture 7-9 Liquid depth in the Head Phantom (2450MHz)

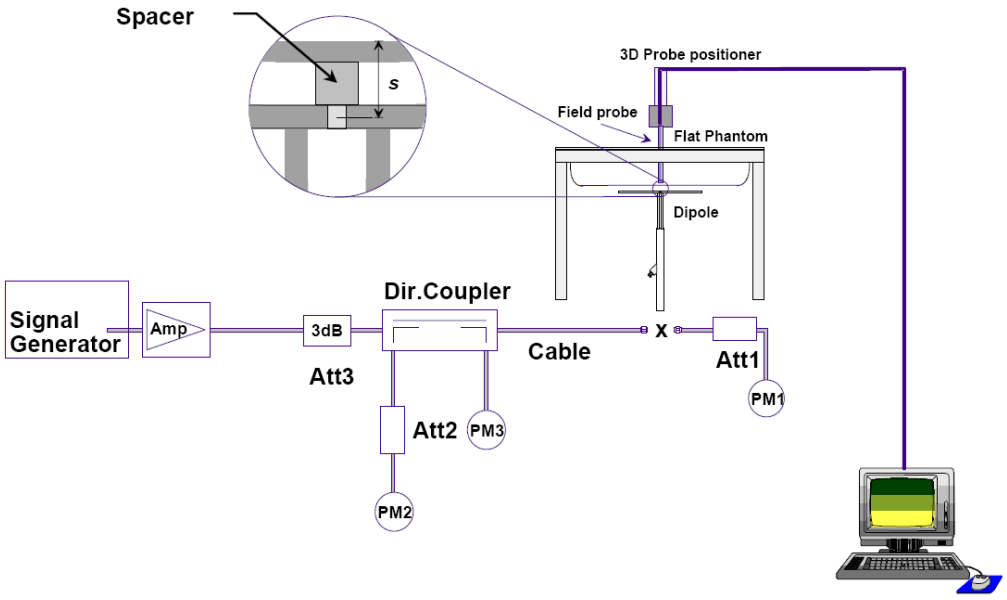


Picture 7-10 Liquid depth in the Flat Phantom (2450MHz)

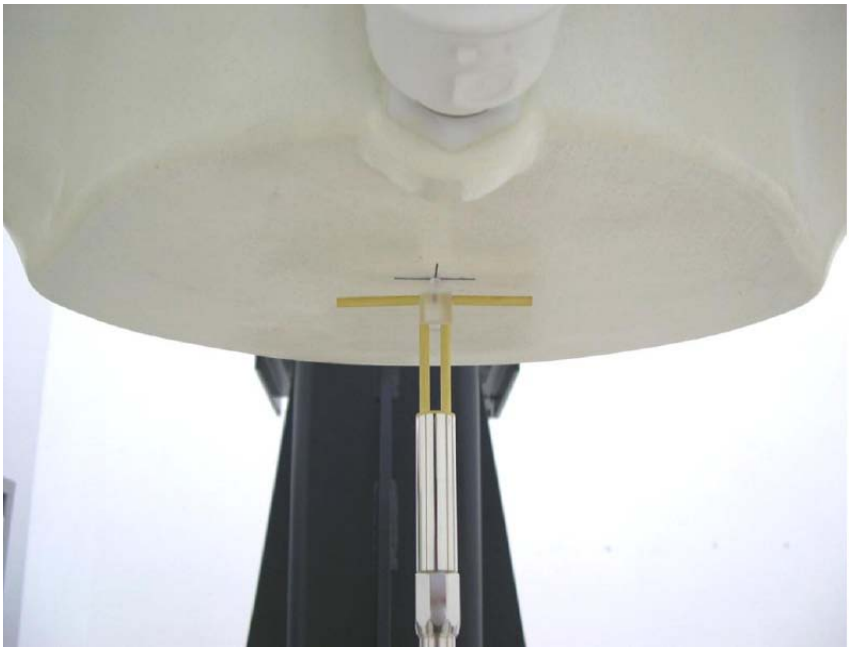
8 System verification

8.1 System Setup

In the simplified setup for system evaluation, the DUT is replaced by a calibrated dipole and the power source is replaced by a continuous wave that comes from a signal generator. The calibrated dipole must be placed beneath the flat phantom section of the SAM twin phantom with the correct distance holder. The distance holder should touch the phantom surface with a light pressure at the reference marking and be oriented parallel to the long side of the phantom. The equipment setup is shown below:



Picture 8.1 System Setup for System Evaluation



Picture 8.2 Photo of Dipole Setup

8.2System Verification

SAR system verification is required to confirm measurement accuracy, according to the tissue dielectric media, probe calibration points and other system operating parameters required for measuring the SAR of a test device. The system verification must be performed for each frequency band and within the valid range of each probe calibration point required for testing the device.

The system verification results are required that the area scan estimated 1-g SAR is within 3% of the zoom scan 1-g SAR. The details are presented in annex B.

Table 8.1: System Verification of Head

Measurement Date (yyyy-mm-dd)	Frequency	Target value (W/kg)		Measured value(W/kg)		Deviation	
		10 g Average	1 g Average	10 g Average	1 g Average	10 g Average	1 g Average
2016-05-03	750 MHz	5.33	8.15	5.40	8.28	1.31%	1.60%
2016-05-04	835 MHz	5.86	9.01	5.92	9.20	1.02%	2.11%
2016-05-05	1750 MHz	19.9	36.9	19.36	36.60	-2.71%	-0.81%
2016-05-06	1900 MHz	21.5	40.7	21.52	41.60	0.09%	2.21%
2016-05-07	2450 MHz	24.5	52.5	24.16	52.80	-1.39%	0.57%

Table 8.2: System Verification of Body

Measurement Date (yyyy-mm-dd)	Frequency	Target value (W/kg)		Measured value (W/kg)		Deviation	
		10 g Average	1 g Average	10 g Average	1 g Average	10 g Average	1 g Average
2016-05-03	750 MHz	5.6	8.49	5.80	8.84	3.57%	4.12%
2016-05-04	835 MHz	6.12	9.29	6.16	9.52	0.65%	2.48%
2016-05-05	1750 MHz	20.3	37.4	19.96	36.56	-1.67%	-2.25%
2016-05-06	1900 MHz	21.7	40.4	21.20	40.80	-2.30%	0.99%
2016-05-07	2450 MHz	24.4	52.1	23.76	50.80	-2.62%	-2.50%

9 Measurement Procedures

9.1 Tests to be performed

In order to determine the highest value of the peak spatial-average SAR of a handset, all device positions, configurations and operational modes shall be tested for each frequency band according to steps 1 to 3 below. A flowchart of the test process is shown in picture 9.1.

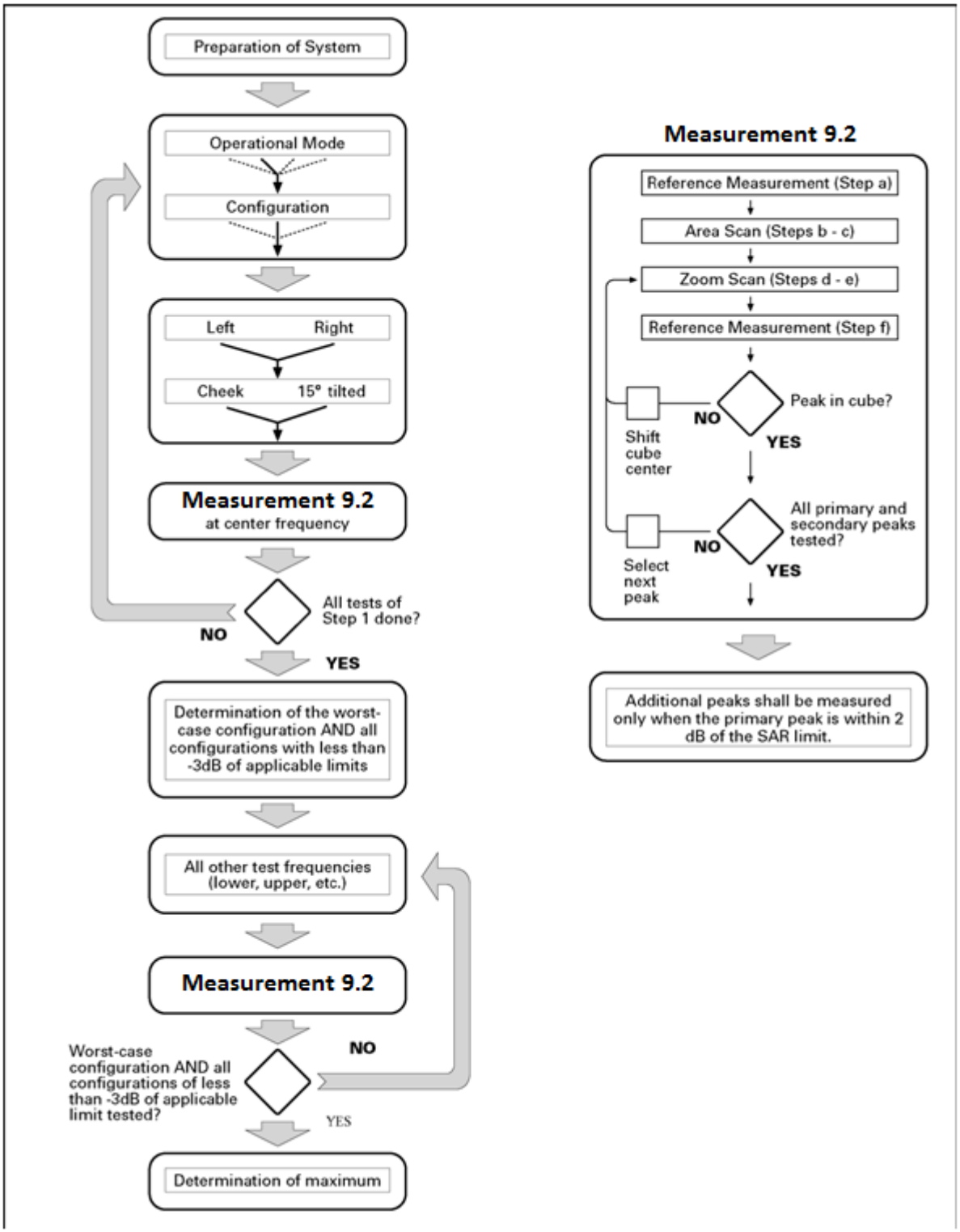
Step 1: The tests described in 9.2 shall be performed at the channel that is closest to the centre of the transmit frequency band (f_c) for:

a) all device positions (cheek and tilt, for both left and right sides of the SAM phantom, as described in annex D),
b) all configurations for each device position in a), e.g., antenna extended and retracted, and
c) all operational modes, e.g., analogue and digital, for each device position in a) and configuration in b) in each frequency band.

If more than three frequencies need to be tested according to 11.1 (i.e., $N_c > 3$), then all frequencies, configurations and modes shall be tested for all of the above test conditions.

Step 2: For the condition providing highest peak spatial-average SAR determined in Step 1,perform all tests described in 9.2 at all other test frequencies, i.e., lowest and highest frequencies. In addition, for all other conditions (device position, configuration and operational mode) where the peak spatial-average SAR value determined in Step 1 is within3 dB of the applicable SAR limit, it is recommended that all other test frequencies shall be tested as well.

Step 3: Examine all data to determine the highest value of the peak spatial-average SAR found in Steps 1 to 2.



Picture 9.1Block diagram of the tests to be performed

9.2General Measurement Procedure

The area and zoom scan resolutions specified in the table below must be applied to the SAR measurements and fully documented in SAR reports to qualify for TCB approval. Probe boundary effect error compensation is required for measurements with the probe tip closer than half a probe

tip diameter to the phantom surface. Both the probe tip diameter and sensor offset distance must satisfy measurement protocols; to ensure probe boundary effect errors are minimized and the higher fields closest to the phantom surface can be correctly measured and extrapolated to the phantom surface for computing 1-g SAR. Tolerances of the post-processing algorithms must be verified by the test laboratory for the scan resolutions used in the SAR measurements, according to the reference distribution functions specified in IEEE Std 1528-2003. The results should be documented as part of the system validation records and may be requested to support test results when all the measurement parameters in the following table are not satisfied.

<table>
<tr><td colspan="3"></td><td>≤ 3 GHz</td><td>> 3 GHz</td></tr>
<tr><td colspan="3">Maximum distance from closest measurement point (geometric center of probe sensors) to phantom surface</td><td>5 ± 1 mm</td><td>½·δ·ln(2) ± 0.5 mm</td></tr>
<tr><td colspan="3">Maximum probe angle from probe axis to phantom surface normal at the measurement location</td><td>30° ± 1°</td><td>20° ± 1°</td></tr>
<tr><td colspan="3" rowspan="2">Maximum area scan spatial resolution: Δx_{Area}, Δy_{Area}</td><td>≤ 2 GHz: ≤ 15 mm
2 – 3 GHz: ≤ 12 mm</td><td>3 – 4 GHz: ≤ 12 mm
4 – 6 GHz: ≤ 10 mm</td></tr>
<tr><td colspan="2">When the x or y dimension of the test device, in the measurement plane orientation, is smaller than the above, the measurement resolution must be ≤ the corresponding x or y dimension of the test device with at least one measurement point on the test device.</td></tr>
<tr><td colspan="3">Maximum zoom scan spatial resolution: Δx_{Zoom}, Δy_{Zoom}</td><td>≤ 2 GHz: ≤ 8 mm
2 – 3 GHz: ≤ 5 mm*</td><td>3 – 4 GHz: ≤ 5 mm*
4 – 6 GHz: ≤ 4 mm*</td></tr>
<tr><td rowspan="3">Maximum zoom scan spatial resolution, normal to phantom surface</td><td colspan="2">uniform grid: $\Delta z_{Zoom}(n)$</td><td>≤ 5 mm</td><td>3 – 4 GHz: ≤ 4 mm
4 – 5 GHz: ≤ 3 mm
5 – 6 GHz: ≤ 2 mm</td></tr>
<tr><td rowspan="2">graded grid</td><td>$\Delta z_{Zoom}(1)$: between 1st two points closest to phantom surface</td><td>≤ 4 mm</td><td>3 – 4 GHz: ≤ 3 mm
4 – 5 GHz: ≤ 2.5 mm
5 – 6 GHz: ≤ 2 mm</td></tr>
<tr><td>$\Delta z_{Zoom}(n>1)$: between subsequent points</td><td colspan="2">≤ 1.5·$\Delta z_{Zoom}(n-1)$</td></tr>
<tr><td>Minimum zoom scan volume</td><td colspan="2">x, y, z</td><td>≥ 30 mm</td><td>3 – 4 GHz: ≥ 28 mm
4 – 5 GHz: ≥ 25 mm
5 – 6 GHz: ≥ 22 mm</td></tr>
<tr><td colspan="5">Note: δ is the penetration depth of a plane-wave at normal incidence to the tissue medium; see draft standard IEEE P1528-2011 for details.
* When zoom scan is required and the <u>reported</u> SAR from the area scan based 1-g SAR estimation procedures of KDB 447498 is ≤ 1.4 W/kg, ≤ 8 mm, ≤ 7 mm and ≤ 5 mm zoom scan resolution may be applied, respectively, for 2 GHz to 3 GHz, 3 GHz to 4 GHz and 4 GHz to 6 GHz.</td></tr>
</table>

9.3 WCDMA Measurement Procedures for SAR

The following procedures are applicable to WCDMA handsets operating under 3GPP Release99, Release 5 and Release 6. The default test configuration is to measure SAR with an established radio link between the DUT and a communication test set using a 12.2kbps RMC (reference measurement channel) configured in Test Loop Mode 1. SAR is selectively confirmed for other

physical channel configurations (DPCCH & $DPDCH_n$), HSDPA and HSPA (HSUPA/HSDPA) modes according to output power, exposure conditions and device operating capabilities. Both uplink and downlink should be configured with the same RMC or AMR, when required. SAR for Release 5 HSDPA and Release 6 HSPA are measured using the applicable FRC (fixed reference channel) and E-DCH reference channel configurations. Maximum output power is verified according to applicable versions of 3GPP TS 34.121 and SAR must be measured according to these maximum output conditions. When Maximum Power Reduction (MPR) is not implemented according to Cubic Metric (CM) requirements for Release 6 HSPA, the following procedures do not apply.

For Release 5 HSDPA Data Devices:

Sub-test	β_c	β_d	β_d (SF)	β_c/β_d	β_{hs}	CM/dB
1	2/15	15/15	64	2/15	4/15	0.0
2	12/15	15/15	64	12/15	24/25	1.0
3	15/15	8/15	64	15/8	30/15	1.5
4	15/15	4/15	64	15/4	30/15	1.5

For Release 6 HSPA Data Devices

Sub-test	β_c	β_d	β_d (SF)	β_c/β_d	β_{hs}	β_{ec}	β_{ed}	β_{ed} (SF)	β_{ed} (codes)	CM (dB)	MPR (dB)	AG Index	E-TFCI
1	11/15	15/15	64	11/15	22/15	209/225	1039/225	4	1	0.0	0.0	20	75
2	6/15	15/15	64	6/15	12/15	12/15	12/15	4	1	2.0	2.0	12	67
3	15/15	9/15	64	15/9	30/15	30/15	β_{ed1}:47/15 β_{ed2}:47/15	4	2	1.0	1.0	15	92
4	2/15	15/15	64	2/15	4/15	4/15	56/75	4	1	2.0	2.0	17	71
5	15/15	15/15	64	15/15	24/15	30/15	134/15	4	1	0.0	0.0	21	81

9.4 SAR Measurement for LTE

SAR tests for LTE are performed with a base station simulator, Rohde & Rchwarz CMW500. Closed loop power control was used so the UE transmits with maximum output power during SAR testing. All powers were measured with the CMW 500.

It is performed for conducted power and SAR based on the KDB941225 D05.

SAR is evaluated separately according to the following procedures for the different test positions in each exposure condition – head, body, body-worn accessories and other use conditions. The procedures in the following subsections are applied separately to test each LTE frequency band.

1) QPSK with 1 RB allocation

Start with the largest channel bandwidth and measure SAR for QPSK with 1 RB allocation, using the RB offset and required test channel combination with the highest maximum output power among RB offsets at the upper edge, middle and lower edge of each required test channel. When the reported SAR is ≤ 0.8 W/kg, testing of the remaining RB offset configurations and required test channels is not required for 1 RB allocation; otherwise, SAR is required for the remaining required test channels and only for the RB offset configuration with the highest output